

**ON-ROAD HEAVY-DUTY VEHICLE AIR QUALITY LOAN PROGRAM:  
BORROWER ELIGIBILITY CRITERIA AND CERTIFICATION FORM**

California Pollution Control Financing Agency (CPCFA)  
915 Capitol Mall, Rm. 457  
Attention CalCAP Program  
Sacramento, CA 95814

The undersigned Borrower hereby applies to the California Air Resources Board (ARB) for funds to pay the Borrower's premium for participation in the California Pollution Control Financing Authority (CPCFA) California Capital Access Program (CalCAP). The lender will submit this form with the standard CalCAP Loan Enrollment Form to CPCFA. **For assistance, lenders please call CPCFA staff at (916) 654-5610.**

## **SECTION I**

**By initialing, the Borrower certifies that each statement below is true and correct.**

\_\_\_\_\_The Borrower will use loan proceeds only to purchase on-road diesel-powered heavy-duty vehicles and equipment for compliance with the ARB's Statewide In-Use Truck and Bus Regulation and the Heavy-Duty Vehicle Greenhouse Gas Emission Reduction Measure. Eligible purchases with loan proceeds include:

- Used and new tractors equipped with 2007 – 2009 model year engines certified to engine emission standards of 1.20 g/bhp-hr NOx and 0.01 g/bhp-hr PM or cleaner;
- New tractors equipped with 2010 and later model year engines certified to engine emission standards of 0.20 g/bhp-hr NOx and 0.01 g/bhp-hr PM or cleaner;
- New 2011 and later model year sleeper cab tractors that are U.S. EPA SmartWay certified (only applicable if the tractor is used to haul a 53-foot or longer box-type trailer) ;
- New 2011 and later model year 53-foot or longer box-type trailers that are U.S. EPA SmartWay certified<sup>1</sup>;
- U.S. EPA Approved SmartWay aerodynamic technologies (*examples: trailer side skirts, front trailer fairings, rear tail fairings, dual- or single-wide low-rolling resistance tires*);
- ARB-verified diesel emission control devices (exhaust retrofits).

\_\_\_\_\_The Borrower certifies that his/her fleet has 20 or fewer on-road heavy-duty diesel vehicles subject to the two regulations referenced in the above statement, or is a non-profit entity that meets all other CalCAP requirements (*non-profit organizations may have more than 20 vehicles but must satisfy all other requirements of the CalCAP*).

\_\_\_\_\_The Borrower certifies that he/she is **NOT** a recipient of grant funding, nor is applying for grant funding, through the Proposition 1B Goods Movement Emission Reduction Program, for the purchase of a vehicle or equipment authorized under this application for loan funds. (*A Borrower that has applied for a Proposition 1B grant but was not awarded funding is eligible to participate in the HDV Air Quality Loan Program; however, a Borrower may not combine Proposition 1B Goods Movement Emission Reduction Program funds with HDV Air Quality Loan Program funds for the purchase of the same vehicle or equipment*).

**By initialing, the Borrower certifies that either (a) or (b) below is true and correct. THE BORROWER MUST INITIAL ONE STATEMENT.**

(a) \_\_\_\_\_The Borrower certifies that he/she has received or has submitted an application to receive a truck purchase voucher through the Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP).

(b) \_\_\_\_\_The Borrower certifies that he/she has NOT received or has NOT submitted an application to receive a truck purchase voucher through the Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP).

<sup>1</sup> Trailers that aren't SmartWay-certified may be eligible for purchase with loan proceeds ONLY when loan proceeds are also used to purchase an eligible tractor or ARB- verified diesel emission control device.

## SECTION II

By initialing, the Borrower certifies that each statement below is true and correct. Please also provide the applicable information in the table below.

\_\_\_\_\_The Borrower certifies that he/she has the proper permits authorizing the vehicle to operate in California.

\_\_\_\_\_The Borrower agrees to allow ARB staff or its designee to inspect the affected vehicle.

Additional Information for Completion by the Borrower			
<b>For Tractor Purchases</b>			
	Engine Manufacturer	Engine Model Year	SmartWay Certified? <sup>a</sup> (Y)es or (N)o
New Purchase			
Used Purchase			
Replaced Tractor (if purchase is to replace an existing tractor)			N/A <sup>b</sup>
<b>For ARB-Verified Diesel Emission Control Device (Exhaust Retrofit) Purchases</b>			
	Device Manufacturer	Technology Type (e.g., DPF)	N/A
Diesel Emission Control Device			N/A
<b>For Trailer Purchases</b>			
	Trailer Manufacturer	Model Year	SmartWay Certified? <sup>a</sup> (Y)es or (N)o
Trailer			
<b>For U.S. EPA Approved SmartWay Aerodynamic Technology Purchases<sup>c</sup></b>			
	Technology Manufacturer	Technology Type (e.g., side skirt, gap fairing, low-rolling resistance tires, etc.)	N/A
Technology			N/A
Technology			
Technology			

<sup>a</sup> SmartWay certification is only required for tractors and trailers subject to the Heavy-Duty Vehicle Greenhouse Gas Emission Reduction Regulation. Trailers that aren't SmartWay-certified may be eligible for purchase with loan proceeds ONLY when loan proceeds are also used to purchase an eligible tractor or ARB-verified diesel emission control device.

<sup>b</sup> N/A = Not Applicable

<sup>c</sup> Loan proceeds may only be used to purchase U.S. EPA Approved SmartWay Technologies for installation on tractors and trailers that must comply with the Heavy-Duty Vehicle Greenhouse Gas Emission Reduction Measure; loan proceeds may be used to purchase a combination of technologies.

## SECTION III

\_\_\_\_\_  
(Business Name)

\_\_\_\_\_  
(Borrower's Signature and Title)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Business Address)

\_\_\_\_\_  
(Phone Number)

\_\_\_\_\_  
(CPCFA Review: Signature and Title)

\_\_\_\_\_  
(Date)