

Additional Auction 1 and 2 Summary Statistics

Background

The Air Resources Board (ARB) held its first and second auctions of greenhouse gas (GHG) allowances on November 14, 2012 and February 19, 2013. The November auction included a Current Auction of 2013 vintage allowances and Advance Auction of 2015 vintage allowances, and the February auction included a Current Auction of 2013 vintage allowances and Advance Auction of 2016 vintage allowances. ARB released post-auction summary reports for the November auction on [November 19, 2012](#) and [December 6, 2012](#), and for the February auction on [February 22, 2012](#). Based on stakeholder requests, ARB is providing additional auction summary statistics in this report.

The post auction summary reports provided the Total 2013 Allowances Available for Sale and the Total 2013 Allowances Sold at Auction at the Current Auctions. The additional summary statistics in this report categorize the total 2013 allowances available for sale and sold by source. The three categories are:

- 1) Investor Owned Electric Utility (IOU) consigned 2013 allowances
- 2) Publicly Owned Electric Utility (POU) consigned 2013 allowances
- 3) State-Owned 2013 allowances

In addition, the monetary value (in US Dollars (USD)) is provided for the three categories above and for the total 2013 allowances sold at auction.

Likewise, the post auction summary reports provided the Total 2015 Allowances Available for Sale and Sold and the Total 2016 Allowances Available for Sale and Sold at the November 2012 and February 2013 Advance Auctions respectively. The additional summary statistics in this report include the monetary value (in USD) of the 2015 allowances and 2016 allowances sold at the November and February auctions.

The post-auction summary for the May 2013 auction includes the quantity and monetary value of allowances available at auction consigned by IOUs, POUs, or that are state-owned, and also the monetary value of allowances sold at the Advance Auction. ARB will continue to include these statistics in future post-auction summary reports.

Additional Auction 1 and 2 Summary Statistics

November 14, 2012 Auction

2013 Vintage Allowances

Owner	Quantity (metric tons)		Settlement Price (US Dollars)	Value (US Dollars)
	Offered	Sold		
State-owned	0	0	\$10.09	\$0.00
IOU	21,731,990	21,731,990	\$10.09	\$219,275,779.10
POU	1,394,120	1,394,120	\$10.09	\$14,066,670.80
Total	23,126,110	23,126,110	\$10.09	\$233,342,449.90

2015 Vintage Allowances

Owner	Quantity (metric tons)		Settlement Price (US Dollars)	Value (US Dollars)
	Offered	Sold		
State-owned	39,450,000	5,576,000	\$10.00	\$55,760,000.00
Total	39,450,000	5,576,000	\$10.00	\$55,760,000.00

February 19, 2013 Auction

2013 Vintage Allowances

Owner	Quantity (metric tons)		Settlement Price (US Dollars)	Value (US Dollars)
	Offered	Sold		
State-owned	2,670,422	2,670,422	\$13.62	\$36,371,147.64
IOU	9,625,460	9,625,460	\$13.62	\$131,098,765.20
POU	628,940	628,940	\$13.62	\$8,566,162.80
Total	12,924,822	12,924,822	\$13.62	\$176,036,075.64

2016 Vintage Allowances

Owner	Quantity (metric tons)		Settlement Price (US Dollars)	Value (US Dollars)
	Offered	Sold		
State-owned	9,560,000	4,440,000	\$10.71	\$47,552,400.00
Total	9,560,000	4,440,000	\$10.71	\$47,552,400.00