

June 12, 2017

Summary of Updated Auction Services

Participating jurisdictions working with Western Climate Initiative, Incorporated (WCI, Inc.) and its contractors, have updated auction services. An update to the Compliance Instrument Tracking System Service (CITSS) was released on June 9, 2017, and an update to the Markit Auction Platform (Auction Platform) is anticipated near the end of June 2017. These updates will result in some significant changes to auction services starting with the August 2017 Joint Auction #12. The primary change is that auction applications will be submitted in CITSS instead of the Auction Platform. A Summary of Updated Auction Services is provided below.

CITSS 8.0 Updated Auction Services

- Auction and reserve sale (event) applications and confirmations of intent to bid are submitted in CITSS.
- Automated emails related to changes in application status or event application information (i.e., approval of an application or recording of a submitted bid guarantee) are sent to the PAR and all AARs from CITSS.
- Financial Services Delivery Instructions will be available from CITSS.
- User accounts and account representative status information in CITSS and the Auction Platform are automatically reconciled on a daily basis, rather than only during an open auction or reserve sale application period.
 - Active PARs and AARs of entities in CITSS that have been qualified bidders to a past event or that have submitted an application to an upcoming event are eligible to establish Auction Platform accounts.
 - Changes to entity representative status in CITSS (e.g., retiring an AAR) results in updates to user access to the Auction Platform.
- Bidding limitations applicable to an event – bid guarantee amount, holding limits, and purchase limits – are displayed with the entity’s event application information in CITSS.
- For more information, see the User Reference CITSS Version 8.0 posted on the ARB CITSS webpage at: <https://www.arb.ca.gov/citss>.

Auction Platform Updated Auction Services

- The Auction Platform provides multiple security features to protect user accounts. In addition to the password and security questions user authentication features, the Auction Platform uses:
 - Password Expiration: Passwords expire and must be reset every 90 days.
 - CAPTCHA: A CAPTCHA is a feature that protects websites against automated access attempts by generating and grading tests that humans can pass but current computer programs cannot.
 - Session Code: The Session Code is used to provide greater security to identify a device(s) used by the account holder.
- Active PARs and AARs with Auction Platform accounts have access to entity reports for all events in which the entity participated, regardless of when the PAR

or AAR was designated as such by the entity. The bids displayed on the Auction Page paginate, and the number of bids displayed per page can be selected.

- Up to 1,000 bids can be displayed on a page.
- Bids displayed on the Auction Page can be filtered by Transaction ID.
- Auction Platform users have the ability to delete multiple bids at one time during the bidding window.
 - By filtering the bids displayed by Transaction ID and displaying up to 1,000 bids on the page, users have the ability to delete all bids in a single bid upload in one action.
- Bidding limitations applicable to an event – bid guarantee amount, holding limits, and purchase limits – are displayed on the Auction Page in the Auction Platform.
- For more information, an Auction and Reserve Sale Platform User Guide will be posted on the Auction Platform when the update is released.

ARB will host an auction participant training webinar session to describe how to apply for an auction in CITSS, as well as how to submit bids in the Auction Platform and how to submit a bid guarantee. Details for the webinar are provided below.

Auction Participant Training Webinar

Date: June 22, 2017

Time: 10:00 AM – 12:00 PM PT / 1:00 PM – 3:00 PM ET

Click here to register: <https://attendee.gotowebinar.com/register/8532152340732780547>

To access the audio portion of the Webinar, please use your phone to dial in as follows:

Webinar Dial-In Number (US and Canada toll free): 1-800-857-4830

Webinar Dial-In Number (toll applies): 1-312-470-0180

Participant Passcode: 3511998#

The August 2017 Joint Auction #12 Auction Notice and updated Auction Requirements, Instructions, and Examples will be posted on June 16, 2017, on the ARB Auction Information webpage available at: <https://www.arb.ca.gov/auction>.