

Evaluation Criteria for Providing Benefits To Priority Populations
ENERGY EFFICIENCY OR RENEWABLE ENERGY

California Climate Investments
2018 Funding Guidelines
Benefit Criteria Table



Project Type:
ENERGY EFFICIENCY OR RENEWABLE ENERGY

California Climate Investments are required to meet minimum levels of investments to projects that benefit residents of disadvantaged communities, low-income communities, and low-income households, collectively referred to as “priority populations.”

All projects counting toward the statutory investment minimums must be located within an identified community and benefit individuals living within that community, or directly benefit residents of low-income households anywhere in the State. Administering agencies must determine if a project meets the criteria for providing direct, meaningful, and assured benefits to priority populations using the following evaluation approach:

Step 1: Identify the Priority Population(s). Be located within a census tract identified as a disadvantaged community or low-income community, or directly benefit residents of a low-income household;

Step 2: Address a Need. Meaningfully address an important community or household need for the disadvantaged community, low-income community, or low-income household; and

Step 3: Provide a Benefit. Using the evaluation criteria, identify at least one direct, meaningful, and assured benefit that the project provides to priority populations.

Only investments that meet these criteria will be counted toward achieving the statutory investment minimums identified for priority populations. Administering agencies can fund projects that otherwise provide meaningful benefits, but do not meet these criteria; however, those projects will not be counted toward investment minimums.

The following table includes criteria for administering agencies to use to determine whether projects satisfy each of the three steps. The criteria are designed to enable administering agencies to readily make an objective “yes” or “no” decision about whether a particular project provides a benefit to a priority population.

Administering agencies must use the criteria table that is applicable to the specific project type(s) funded. For complex projects, there may be more than one applicable criteria table. A complete list of available criteria tables is available at: www.arb.ca.gov/cci-fundingguidelines.

Administering agencies must refer to CARB’s Funding Guidelines for direction on how to use the criteria table in program design and implementation, project evaluation, project selection, and reporting (www.arb.ca.gov/cci-fundingguidelines).

Evaluation Criteria for Providing Benefits To Priority Populations
ENERGY EFFICIENCY OR RENEWABLE ENERGY

[This page deliberately left blank.]

Evaluation Criteria for Providing Benefits To Priority Populations
ENERGY EFFICIENCY OR RENEWABLE ENERGY

Project Type: Projects will increase residential, commercial, or industrial energy efficiency or renewable energy generation (e.g., installation of more efficient heating, ventilation and air conditioning equipment, or lighting systems; building envelopes such as insulation, window shading devices, and energy management systems; solar water heaters, photovoltaic systems, wind energy systems, or community solar systems).

Projects must satisfy the applicable criteria through Step 3 to be considered to provide direct, meaningful, and assured benefits to priority populations and count toward statutory investment minimums.

Step 1 – Identify the Priority Population(s).¹ Evaluate the project against each of the following criteria. Check all boxes that apply.

- A. Is the entire project located **within the boundaries of a disadvantaged community census tract**?
- B. Is the entire project located **within the boundaries of a low-income community census tract**?
- C. Is the entire project located outside of a disadvantaged community, but **within ½-mile of a disadvantaged community and within a low-income community census tract**?
- D. For projects that distribute consumer-based incentives (e.g., vouchers, rebates, energy bill credits), is the direct recipient of the incentive **a resident of a disadvantaged community census tract**?
- E. For projects that distribute consumer-based incentives (e.g., vouchers, rebates, energy bill credits), is the direct recipient of the incentive **a resident of a low-income community census tract**?
- F. Is the project located **within a low-income household** (e.g., energy efficiency upgrades such as high-efficiency appliance upgrades)? For multi-family buildings, are the majority of the households low-income?
- G. Is the project located **within a low-income household that is outside of a disadvantaged community, but within ½-mile of a disadvantaged community**? For multi-family buildings, are the majority of the households low-income?
- H. For projects that distribute consumer-based incentives (e.g., vouchers, rebates, energy bill credits), is the direct recipient of the incentive **a resident of a low-income household**?
- I. Does the project, regardless of project location, provide jobs or job training to **residents of low-income households**?²

¹ An online mapping tool of identified disadvantaged communities and low-income communities, and a “look-up” tool list of “low-income” thresholds by county and household size are available at: <https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/communityinvestments.htm>.

² If selected, project must provide job or job training benefits consistent with criteria E and F in Step 3.

Evaluation Criteria for Providing Benefits To Priority Populations
ENERGY EFFICIENCY OR RENEWABLE ENERGY

If a project does not meet at least one of the qualifying criteria in Step 1, the project does not count toward statutory investment minimums and no further evaluation is needed. If the project meets at least one Step 1 criterion, continue the evaluation in Step 2.

Step 2 – Address a Need. *Identify an important community or household need and evaluate whether the project provides a benefit that meaningfully addresses that need.*

To identify a need that the project will address, agencies and/or applicants can use a variety of approaches:

- A. Host community meetings, workshops, outreach efforts, or public meetings as part of the planning process to engage local residents and community groups for input on community or household needs, and document how the received input was considered in the design and/or selection of projects to address those needs;
- B. Look at the individual factors in the latest version of CalEnviroScreen that are most impacting an identified disadvantaged or low-income community (i.e., factors that score above the 75th percentile), and confirm that the project will reduce the impacts of at least one of those factors;
- C. Receive documentation of support from local community-based organizations and/or residents (e.g., letters, emails) identifying a need that the project addresses and demonstrating that the project has broad community support; or
- D. Refer to the list of common needs for priority populations in CARB’s Funding Guidelines Table 5 and confirm that the project addresses at least one listed need.

If the project addresses a community or household need as described in Step 2, proceed to Step 3. If the project does not address a community or household need, it will not count toward statutory investment minimums and no further evaluation is needed.

Step 3 – Provide a Benefit. *Evaluate the project against each of the following criteria to determine if it provides direct, meaningful, and assured benefits to priority populations.*

Project must meet at least one of the following benefit criteria:

- A. Project provides energy efficiency upgrades to residents of a disadvantaged or low-income community or a low-income household (e.g. single- or multi-family housing units, shelters, college/university campus housing);
- B. Project provides renewable energy and direct energy cost savings to residents of disadvantaged or low-income communities, or low-income households (e.g. solar photovoltaic systems or community solar);
- C. Project reduces on-site criteria air pollutant or toxic air contaminant emissions through reduction of fossil fuel consumption via efficiency improvements or electrification;

Evaluation Criteria for Providing Benefits To Priority Populations
ENERGY EFFICIENCY OR RENEWABLE ENERGY

Step 3 – Provide a Benefit (continued). *Evaluate the project against each of the following criteria to determine if it provides direct, meaningful, and assured benefits to priority populations.*

- D. Project reinvests energy or fuel cost savings that would otherwise be realized by the funding recipient into the same disadvantaged or low-income community, or to low-income households, to provide direct, meaningful, and assured benefits to residents (consistent with this or another benefit criteria table);
- E. Project includes recruitment, agreements, policies, or other approaches that are consistent with federal and state law and result in at least 25 percent of project work hours performed by residents of a disadvantaged or low-income community, or by residents of low-income households; or
- F. Project includes recruitment, agreements, policies, or other approaches that are consistent with federal and state law and result in at least 10 percent of project work hours performed by residents of a disadvantaged or low-income community, or by residents of low-income households, participating in job training programs which lead to industry-recognized credentials or certifications.

If the project meets the criteria in Steps 1, 2, and 3, it will be considered as providing direct, meaningful, and assured benefits to priority populations and will be counted toward statutory investment minimums.