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Voluntary Emission Reductions in Rice Management Systems

**Version 1.0
May 2013**



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Prepared by:



Terra Global Capital, LLC

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1 **1 Sources**

- 2 • DNDC (i.e. DeNitrification-DeComposition) Model Version 9.4, available from
3 <http://www.dndc.sr.unh.edu/>
4 • DNDC User Manual, available from <http://www.dndc.sr.unh.edu/>

5 2 Definitions and Acronyms

6 2.1 Definitions

Accuracy	The degree of closeness of repeated measurements under unchanged conditions to their true or actual value.
Baseline Scenario	A counterfactual scenario that forecasts the likely stream of emissions or removals to occur if the Project Proponent does not implement the project, i.e., the "business as usual" case.
Calibration	The process of tuning the coefficients of Model Parameters, of a process-based model such as DNDC, to observations.
Common Practice Baseline	The Baseline used for a Rice Field when the Project Activity implemented has an adoption rate below or equal to 5% within a Rice Growing Region.
Crediting Period	The finite length of time for which a GHG Project Plan is valid, and during which a project can generate offsets against its Baseline Scenario. The Baseline Scenario must be re-evaluated in order to renew the Crediting Period. The Crediting Period applies to the Project overall, rather than being Rice Field-specific. The start and end date of a Crediting Period are determined as described in 5.3.
Critical Management Parameter	A Model Parameter that is impacted by the Project Activities, either directly or indirectly.
Ex-ante	At validation of the GHG Project Plan; also refers to estimates made of GHG reductions prior to verification.
Ex-post	At verification; also refers to GHG reductions actually monitored and verified.
Field-Specific Baseline	The Baseline used for a Rice Field when the Project Activity implemented has an adoption rate greater than 5%, but less than 50%.
Flooded Field	A Rice Field that is completely inundated with water and no visible soil or mud.
GHG Project Plan	A document that describes the Project Activity, satisfies eligibility requirements, identifies sources and sinks of GHG emissions, establishes project boundaries, describes the Baseline Scenario, defines how GHG quantification will be done and what methodologies, assumptions and data will be used, and provides details on the project's monitoring, reporting and verification procedures. ACR requires every project to submit GHG Project Plan using an ACR-approved methodology.
GHG Project Plan Validation	The systematic, independent and documented process for the evaluation of a GHG Project Plan against applicable requirements of the ACR Standard, any relevant sector standard, and the applicable ACR-approved methodology.
Historical Period	The 20-year period used for model simulation to allow the DNDC model to attain equilibrium in certain critical variables for which empirical data is lacking. See 7.1.
Model Parameter	A data item that is supplied as input to a process-based model.
Model Validation	The process of evaluating calibrated model results using field-measured data and quantifying the residual (structural) uncertainty.
Non-Critical Management Parameter	A Model Parameter that is related to agricultural management but not impacted by Project Activities.
Parameterization	The selection of Model Parameters that a process-based model such as DNDC will use for simulation.

Precision	The degree to which repeated measurements under unchanged conditions show the same results.
Project	A group of Rice Fields on which Project Activities take place.
Project Activity	Change in agronomic management that leads to a reduction in GHG emissions in comparison to the baseline management and GHG emissions.
Regional Calibration	The specific steps required to Calibrate and Validate the DNDC model for a Rice Growing Region and specific Project Activities
Rice Field	A contiguous parcel of land with irrigation management that is homogeneous for the past five years and on that was cropped under rice semi-continuously (i.e., at least 2 out of 5 years). One Rice Field has one water inlet and one outlet and is usually separated into “checks” by berms inside of perimeter levees that delineate the field’s boundaries.
Rice Growing Region	A geographic region in which the climate and rice management practices are relatively homogeneous. There are four Rice Growing Regions in the United States: (1) Sacramento and San Joaquin Valley in California, (2) Mississippi River Delta mainly in Arkansas, but extending into Mississippi and Missouri, (3) Gulf Coast area in Texas, and (4) Gulf Coast area in Louisiana. A Rice Growing Region represents the geographical region that reflects the area over which one Calibration of the DNDC model remains valid.
Start Date	The start of the Vintage Year for the first Rice Field in the Project, as determined per 7.1.
Structural Uncertainty	The inherent uncertainty of process-based models that remains even if all input data were error-free.
Uncertainty Deduction	Deduction, accounting for both uncertainty in input parameters and model Structural Uncertainty, applied to the emission reductions calculated by DNDC to ensure that credited emission reductions remain conservative.
Validation/ Verification Body	A competent and independent person, persons or firm responsible for performing the validation and/or verification process. To conduct validation and verification the VVB must be ACR-approved and accredited by the American National Standards Institute (ANSI), or be a Designated Operational Entity approved under Clean Development Mechanism or Accredited Independent Entity approved under Joint Implementation.
Vintage Year	The time period of credit generation, determined by the interannual sequence of planted crops and the timing of harvest, spring tillage and fertilization as described in 5.3. The Vintage Year is not a calendar year and may be more or less than a year in duration. ¹

7 2.2 Acronyms

ACR	American Carbon Registry
AFOLU	Agriculture, Forestry and Other Land Use
ANR	Agriculture and Natural Resources
CARB	California Air Resources Board

¹ Due to the dynamic nature of agriculture, it is impractical or impossible to define a Vintage Year between fixed dates. The current definition of Vintage Year is sufficiently strict to avoid double counting, and ensure that there is only one Vintage Year for every calendar year. While the start and end dates of a Vintage Year cannot be determined *Ex-ante*, they are fixed as a function of actual agricultural management decisions, so cannot be changed *Ex-post*.

CDM	Clean Development Mechanism
DANR	Department of Agriculture and Natural Resources
DNDC	DeNitrification and DeComposition model
EDF	Environmental Defense Fund
GHG	Greenhouse Gas
ha	hectare
NASS	National Agriculture Statistics Service
NRCS	Natural Resources Conservation Service of the U.S. Department of Agriculture
OFEF	Off-field Emission Factor
PBM	Process-based model
QA/QC	Quality Assurance and Quality Control
RMSE	Root Mean Square Error
SAR	Synthetic Aperture Radar
TDD	Thermal Degree Days
UCCE	University of California Cooperative Extension
UNFCCC	United Nations Framework Convention on Climate Change
VVB	Validation/Verification Body

8

9 3 Summary Description of the Methodology

10 3.1 Options to Reduce GHG Emissions in Rice Cultivation

11 Flooded rice fields are a source of atmospheric methane (CH₄). Flooding results in
12 anaerobic conditions in soils, which triggers anaerobic decomposition of organic
13 matter by methanogens, a class of soil bacteria. Methanogens produce CH₄ as the
14 product of the microbial decomposition of organic matter. Soon after the flooding of
15 rice fields, the oxygen in soil pores is depleted, and the process of anaerobic
16 decomposition of organic matter starts, leading to CH₄ emissions. The organic matter
17 used during anaerobic decomposition can originate from organic amendments, plant
18 residues or root exudates. The amount of CH₄ produced is proportional to the
19 duration of flooding (during the growing season and outside the growing season
20 during the winter months) and is impacted by the rice cultivar and the availability of
21 crop residues and organic matter.

22 This methodology uses the biogeochemical process model DNDC to quantify soil
23 carbon dynamics, N₂O and CH₄ emissions under the Baseline and Project scenarios.
24 Even though the DNDC model has been shown to be highly valid across a wide
25 range of activities and geographic areas in predicting both CH₄ and N₂O fluxes (Li,
26 2000; Pathak et al., 2005; Babu et al., 2006), this methodology only allows Project
27 Activities in geographic regions for which the DNDC model has been explicitly
28 calibrated with empirical data. This requirement is necessary because the
29 quantification of uncertainty around modeled CH₄ fluxes can only be done with local
30 and specific data consisting of empirical measurements of CH₄ fluxes². Instead of
31 requiring Project Proponents to demonstrate that the DNDC model is valid on a
32 project-by-project basis, this methodology divides model Calibration into two separate
33 steps: (1) a Regional Calibration and model Validation, which can be valid for a larger
34 area than just the project area, and (2) a field-specific Calibration, which must be
35 done on a field-by-field basis.

36 During the Regional Calibration and model Validation, gas fluxes from a field close to
37 the project area are used to fine-tune key Model Parameters and verify the model's
38 ability to produce accurate results for a specific region and for specific Project
39 Activities. During a Field-Specific Calibration, agricultural yields are used to calibrate
40 the crop sub-model to ensure that crop biomass growth is simulated correctly.

41 In addition, this methodology contains provisions to develop Regional Calibration
42 "modules" containing all the steps required for calibration for a specific region and

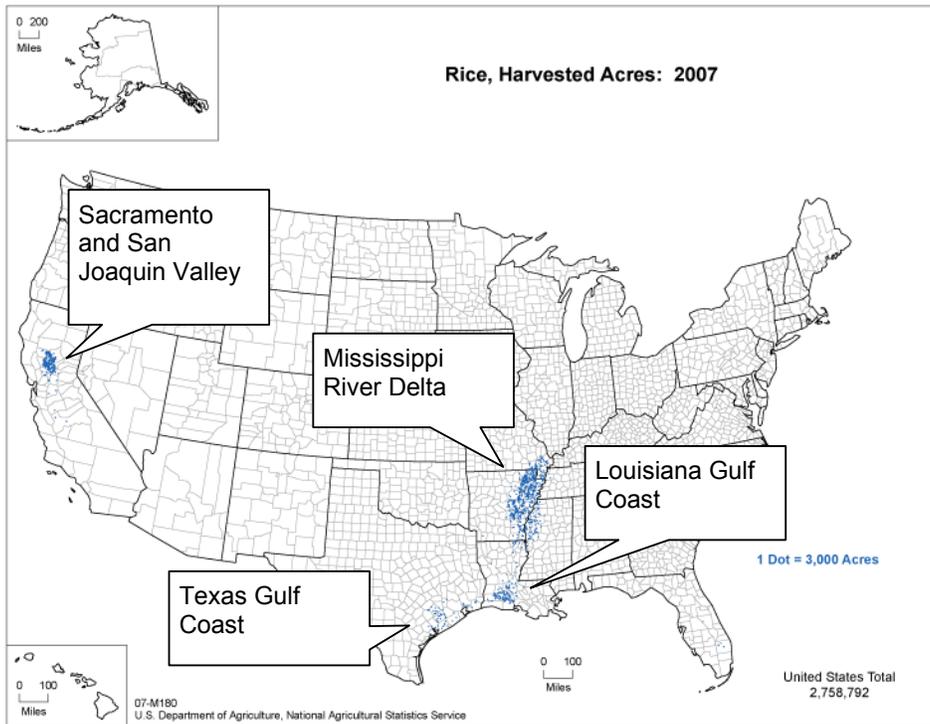
² Note that empirical measurements of N₂O fluxes are not required since these are not the primary target of this methodology. Peer-reviewed literature indicates that the uncertainty around changes in N₂O fluxes due to the project activities is insignificant relative to the change in CH₄ fluxes (Li, 2000; Pathak et al., 2005; Babu et al., 2006). As a consequence, the prediction of changes in N₂O fluxes by the DNDC model are sufficient for GHG accounting purposes.

43 specific Project Activities. The methodology contains a Regional Calibration module
44 for specified Project Activities in California; other Regional Calibration modules are
45 separate from this methodology and must be approved through ACR’s public
46 comment and peer review procedures. When an approved Regional Calibration
47 module is available for the region a Project is located in and for the Project Activities
48 under consideration, Project Proponents are allowed to skip the Regional Calibration
49 step and use the Parameterization, model input variables, and structural Uncertainty
50 Deduction contained in the Regional Calibration module. The existence of an
51 appropriate module, therefore, greatly reduces the work that must be done to develop
52 a Project.

53 This methodology contains a Regional Calibration module that is applicable to
54 California’s Sacramento and San Joaquin Valleys and three specific Project Activities:
55 (1) removal of rice straw from the field after harvest and before winter flooding
56 (“ACT1”), (2) replacing water seeding with dry seeding (“ACT2”), and (3) early
57 drainage (“ACT3”). Note that these Project Activities must be implemented as stated
58 in this protocol to minimize potentially negative environmental impacts.

59 3.2 Rice-Growing Regions

60 A Rice-Growing Region is a geographical region in which the climate and rice
61 management practices are relatively homogeneous. A Rice Growing Region
62 represents an area over which one calibration of the DNDC model remains valid.
63 There are four major Rice Growing Regions in the United States: (1) Sacramento and
64 San Joaquin Valleys in California, (2) Mississippi River Delta mainly in Arkansas, but
65 extending into Mississippi and Missouri, (3) Gulf Coast area in Texas, and (4) Gulf
66 Coast area in Louisiana.



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Figure 1. Map 07-M180 of the Agricultural Census of the USDA: Rice, Harvested Acres: 2007. Dot distribution map where each dot represents 3,000 acres of rice harvested in 2007. The largest concentrations of acres are in Arkansas and Louisiana. Available at [http://www.agcensus.usda.gov/Publications/2007/Online_Highlights/Ag Atlas Maps/Crops and Plants/Field Crops Harvested/07-M180.asp](http://www.agcensus.usda.gov/Publications/2007/Online_Highlights/Ag_Atlas_Maps/Crops_and_Plants/Field_Crops_Harvested/07-M180.asp)

73 Within California, rice is grown in a very concentrated area; 95% of the rice produced
74 in California is located within one 70x40 mile area. The management within this
75 region is very homogeneous. Some small differences in water availability and
76 temperature exist between the Sacramento and San Joaquin Valleys within
77 California's Central Valley. However, the differences in water availability and
78 temperature between the Sacramento and San Joaquin Valleys are adequately
79 simulated by the DNDC model, as demonstrated by the correct simulation of
80 seasonal weather patterns within the model validation sites. In addition, the number
81 of rice growers in the San Joaquin Valley is small compared to the rice growers in the
82 Sacramento Valley and does not justify a completely different reference region.
83 Therefore, the rice growing region within California was selected as one single Rice
84 Growing Region.

85 In the Mid-South, rice cropping occurs along the Mississippi River Delta as well as the
86 Gulf Coast area in Texas and Louisiana. It is sensible to distinguish the Gulf Coast
87 areas from the Mississippi River area due to differences in climate and rice
88 management practices. In addition, ratoon cropping occurs mainly in Louisiana and
89 less so in Texas. Therefore, the Louisiana Gulf Coast area is a separate Rice
90 Growing Region from the Texas Gulf Coast area. Of these three regions, the
91 Mississippi River delta is the largest and has the most diversity in it. However,

92 extension specialists agree that the Mississippi River Delta area is sufficiently
93 homogenous to be considered one Rice Growing Region. Note that since calculations
94 of emission reductions still take into account the exact soil properties and
95 management practices of a specific field, the variability of fields within one Rice
96 Growing Region is still acknowledged.

97 3.3 Overview of Methodology

98 3.3.1 Overview of Accounting Mechanics

- 99 • The emission reductions from implementing Project Activities are calculated
100 using the DNDC model separately for each field or stratum and for the
101 Baseline and Project scenarios. The calculations must be done once before
102 the start of the Project and included in the GHG Project Plan as an *ex ante*
103 estimate of emission reductions, and must be redone after the Project
104 Activities are complete to calculate the *ex post* actual emission reductions. An
105 Uncertainty Deduction is applied to modeled emission reductions to account
106 for model structural uncertainty and uncertainty in input parameters. The
107 uncertainty deduction must be applied to each field individually (see Section
108 10.1.3).
- 109 • Project Proponents must explicitly demonstrate that the DNDC model is
110 calibrated and must quantify the uncertainty around modeled emission
111 reductions for the proposed Project Activities and the geographic region of the
112 project. The methodology requires two different Calibration steps: (1) Regional
113 Calibration and Validation of the model using empirical gas flux data, and (2)
114 Field-Specific Calibration of the DNDC model's crop sub-model. Regional
115 Calibration is based on measured gas flux data from a field that is potentially
116 different than the Project fields and is, therefore, valid for a whole region. Field-
117 Specific Calibration uses the yield of an individual field and must be conducted
118 for each field separately. After the model has been Calibrated, the remaining
119 deviation between the modeled and measured results is used to calculate an
120 Uncertainty Deduction which, when applied to modeled emission reductions,
121 ensures that emission reductions remain conservative. The methodology
122 allows creating Regional Calibration modules as add-ons to this methodology.
123 A Regional Calibration module for three Project Activities in California is
124 included in this methodology.
- 125 • Emission reductions from changes in rice management in a given year are
126 permanent and cannot be reversed, regardless of future changes in
127 management. This methodology thus requires no buffer contribution or other
128 reversal risk mitigation mechanism.
- 129 • The Baseline Scenario is determined by distinguishing Critical Management
130 Parameters – parameters that are directly or indirectly related to the Project

- 131 Activities – from Non-Critical Management Parameters – parameters that are
132 completely unrelated to the Project Activities. All Non-Critical Management
133 Parameters must remain the same between the Project and the Baseline
134 simulations; only the Critical Management Parameters are allowed to differ
135 between the Project and Baseline Scenario.
- 136 • There are two options for setting the Baseline.
- 137 ○ **Common Practice Baseline.** For proposed Project Activities that have
138 limited Baseline adoption, the management for the Baseline Scenario
139 must be set to the common practice across the industry. Specifically, a
140 Project that plans to implement a practice that has an adoption rate
141 below or equal to 5% within a Rice Growing Region can assume a
142 Baseline Scenario that reflects the management across the producers
143 that have not yet adopted the practice³.
- 144 ○ **Field-Specific Baseline.** For Project Activities that have an adoption
145 rate greater than 5%, baseline emissions must (1) assume the same
146 sequence and frequency of whether Project Activities occurred (i.e.,
147 baling or not, dry seeding or not, etc.) as the five-year historical
148 sequence and frequency of Project Activity occurrence on each of the
149 individual Rice Fields, (2) obtain the Model Parameters (e.g., planting
150 date, fertilization amounts, tillage, etc.) of at least three out of five years
151 on each of the individual Rice Fields that participate, unless rice was
152 grown in only two out of the past five years, and (3) obtain five-year
153 historical weather information.
- 154 Note that for both the common-practice baseline case and field-specific
155 baseline case, data on historical management is needed following Applicability
156 Condition 4.
- 157 • In this methodology, Baselines are only partially fixed *Ex-ante*: only the values
158 of Critical Management Parameters are fixed *Ex-ante*. All Non-Critical
159 Management Parameters used for *Ex-post* calculations must reflect the actual
160 management and weather. This provision enables Project Proponents to
161 incorporate the impact of weather and management on CH₄ emissions and
162 growers' management decisions such as planting or harvesting dates. If
163 Baselines were entirely fixed *Ex-ante*, artificial emission reductions could be
164 generated due to extreme or outlying weather circumstances that are not
165 captured under the *Ex-ante* Baseline. To avoid the generation of such artificial

³ The 5% threshold is identical to the VCS' level of activity penetration threshold of 5% in the Standardized Methods Requirements document, available at <http://v-c-s.org/sites/v-c-s.org/files/VCS%20Guidance%2C%20Standardized%20Methods%2C%20v3.1.pdf>

166 emission reductions, the Baseline must be recalculated *Ex-post* using the
167 actual historical weather information. Likewise, since certain management
168 decisions are dependent on weather (e.g., planting and harvesting dates), the
169 Baseline Scenario must be recalculated using the actual values of these
170 management decisions.

171 • The standard project Crediting Period is 5 years. The Crediting Period can be
172 renewed in increments of 5 years if the following conditions are met.

173 ○ After 5 years, Projects using a Field-Specific Baseline must switch to a
174 Common Practice Baseline. However, the Project's Crediting Period
175 can only be renewed if the baseline adoption rate is less than or equal
176 to 50%. The latter provision ensures that a Baseline is set based on
177 common practice that represents the practice of a majority of the
178 producers. Any practice for which the adoption is smaller than 50%
179 cannot be considered common practice because less than half of the
180 producers are implementing the practice.

181 ○ After 10 years, Projects using a Field-Specific Baseline in the first 5
182 years of a Project can renew the Crediting Period indefinitely as long as
183 the Common Practice Baseline adoption rate of the practice remains
184 smaller than 50%.

185 ○ Projects initiated using a Common Practice Baseline can renew their
186 Crediting Period after 5 years. However, if after 10 years, the Baseline
187 adoption rate is still less than 5%, the Crediting Period can no longer be
188 renewed. This limitation on Crediting Period renewal is based on the
189 view that if after 10 years the practice remains at <5% adoption, there
190 must be some other barrier to adoption and the reason for allowing
191 early adopters in the program (to prime the system and demonstrate
192 that a set of Project Activities can be successfully used) becomes less
193 persuasive. If after 10 years, the Baseline adoption rate is greater than
194 5% but smaller than 50%, the Crediting Period can be renewed.

195 3.3.2 Importance of Spatial Aggregation

196 Given the complexity of the calculations, it is most likely that many Rice Fields,
197 potentially managed by different growers, will be combined within one GHG Project
198 Plan through an aggregating entity. This aggregating entity will streamline monitoring
199 requirements, third-party verification and other legal and financial requirements that
200 must be put in place to generate carbon credits.

201 The methodology requires that the Project include a minimum of five individual Rice
202 Fields or 405 ha (1,000 acres) to reduce structural uncertainty in model predictions.
203 The methodology's Uncertainty Deduction incentivizes further aggregation since the

204 (relative) deduction will be smaller if more fields are combined within a Project. It is
205 not necessary that Rice Fields within one spatial aggregate be of the same soil type
206 since the methodology still requires stratification of all Rice Fields according to soil
207 type, execution of DNDC simulations separately for each stratum within in a field, and
208 quantification and reporting of GHG emissions for all fields individually.

209 *3.3.3 Environmental Impact*

210 Winter-flooded Rice Fields represent critical habitat for waterbirds (Day and Colwell
211 1998). Therefore, any reduction in winter flooding cannot be credited under this
212 methodology.

213 If removing straw after harvest (i.e., baling) impacts waterbird food sources,
214 methodology developers will reevaluate the methodology to ensure that significant
215 negative impacts on food sources are mitigated.

216 4 Applicability Conditions

217 The following conditions must be met for this methodology to be used:

- 218 1. The project area must include a minimum of five individual Rice Fields **or** 405
219 ha (1,000 acres)⁴. The fields can be distributed among different farmers/farms
220 or located on one farming operation.
- 221 2. The participating Rice Fields are located in a Rice Growing Region for which
222 the DNDC model has been successfully Calibrated for each of the proposed
223 Project Activities following Section 14.1⁵.
- 224 3. The Rice Fields included in the Project Area have been cropped under rice
225 under flooded conditions for at least two out of five years preceding the first
226 Project Activity on each field.
- 227 4. For each Rice Field, it is known whether the Project Activities were conducted
228 for each of the five years preceding the start of the Crediting Period during
229 which rice was grown. In addition, values for Model Parameters for each
230 individual Rice Fields are available for three out of the five years preceding the
231 start of the Crediting Period during which rice was grown⁶, unless rice was
232 grown only two out of the past five years, in which case two years of historical
233 data are sufficient.
- 234 5. The Project does not contain any soils with organic carbon content in the top
235 30 cm greater than 3%⁷.

⁴The methodology contains a minimal size and/or minimal number of Rice Fields due to concerns related to the structural uncertainty of a biogeochemical model. Fluxes of trace gases such as CH₄ and N₂O are notably spatially variable. Therefore, the (structural) uncertainty around modeled results decreases with increasing area (see Section 10.1.2).

⁵ This requirement is necessary because the quantification of uncertainty around modeled results can only be done with local and specific data.

⁶ Model Parameters must indicate rice variety and cultivar planted, yields, planting and harvesting dates, indicative flooding and draining dates throughout the year, yields, residue management and fertilization dates and amounts. Note that these data are confidential and do not have to be made publically available.

⁷ N₂O emissions become more variable with increases in soil carbon content. To remain conservative and ensure that the biogeochemical model performs well, projects are limited to soils with carbon content less than 3%. The DNDC model has been calibrated primarily for soils with carbon contents smaller than this threshold.

236 **5 Project Boundary**

237 5.1 Geographic Boundary

238 The boundaries of one or more Rice Fields constitute the project boundary as the
239 location where primary emission reductions are generated. Secondary emissions
240 taking place outside of the project boundary are included in the carbon accounting of
241 this methodology and covered in sections 8.3 and 9. The following requirements are
242 needed related to geographic boundaries:

- 243 • A minimum of five Rice Fields **or** 405 ha (1,000 acres) must be included within
244 the GHG Project Plan.
- 245 • The geographical coordinates of the boundaries of each Rice Field must be
246 unambiguously defined and provided to the Validation/Verification Body (VVB)
247 in .kml or shapefile format. Note that geographic coordinates shall remain
248 confidential and do not have to be made publically available.
- 249 • This methodology allows for “Programmatic Aggregated Projects”, meaning
250 that it is allowed to add new Rice Fields areas to an existing Project after the
251 start of the Crediting Period as long as all the applicability criteria are met for
252 each new Rice Field.

253 Large or heterogeneous fields must be stratified into homogeneous units or strata.
254 Valid parameters that must be used to stratify the project area are:

- 255 • Common rice cultivation practices
- 256 • Biophysical conditions (soil type, climate, and water quality)
- 257 • Landscape type (sloping terrain, flood plains, etc.)
- 258 • Differences in legally binding requirements affecting the Project area

259 If the Project consists of parts that differ in one or more of the parameters listed
260 above, and the emission reductions calculated for each of these different parts differ
261 by more than 5% among each other, the different parts must be considered as
262 separate strata. A description and justification of the stratification procedure must be
263 included in the GHG Project Plan.

264 The Project Proponent is allowed to re-stratify Rice Fields after validation. Examples
265 of reasons why re-stratification after validation occurs include: a Rice Field is split into
266 two Rice Fields after validation; one side of a Rice Field has different characteristics
267 than the other side that were not known at validation; or other reasons for re-
268 stratification justified to the VVB.

269 5.2 Greenhouse Gas Boundary

270 Changing management practices potentially affects each of the three biogenic
271 greenhouse gases. The greenhouse gases included in and excluded from the Project

272 are shown in Table 1. It is allowed to include additional sources and gases in a
273 Regional Calibration module.

274 Table 1. Overview of included greenhouse gas sources.

Source	Gas	Included?	Justification/Explanation		
Baseline Scenario	Soil microorganisms metabolizing soil C, root exudates, and soil mineral N	CO ₂	Yes	Significant changes in CO ₂ emissions due to Project Activities if straw is removed (baled) after harvest.	
		CH ₄	Yes	Significant Baseline emission source if Rice Fields are flooded.	
		N ₂ O	Yes	Significant Baseline emission source if fertilizer is applied.	
	Emissions from burning straw	CO ₂	Yes	Significant emission if straw residues are burned	
		CH ₄	Yes	id.	
		N ₂ O	No	N ₂ O emissions from burning residue are insignificant due to low N content of rice straw	
	Emissions from production and transportation of N, P, and K fertilizer	CO ₂	Yes	Increase in emissions is included if fertilization increases to replenish soil nutrients after straw removal (baling)	
		CH ₄	Yes	id.	
		N ₂ O	Yes	id.	
	Project Scenario	Soil microorganisms metabolizing soil C, root exudates, and soil mineral N	CO ₂	Yes	Significant changes in CO ₂ emissions due to Project Activities if straw is removed.
			CH ₄	Yes	Significant emission source affected by Project Activities if flooding duration and periods are changed. Emissions from ruminants are potentially significant if feed is replaced by low-nitrogen rice straw.
			N ₂ O	Yes	Significant emission source affected by Project Activities if fertilizer amounts and dates are changed or seeding practices are altered ⁸
Emissions from burning straw		CO ₂	Yes	Significant emission if straw residues are emitted	
		CH ₄	Yes	id.	
		N ₂ O	No	N ₂ O emissions from burning residue are insignificant due to low N content of rice straw	
Emissions from alternative uses of straw		CO ₂	Yes	CO ₂ emissions from decomposition of rice straw management are insignificant. However, fuel used to collect straw is potentially significant	
		CH ₄	Yes	Significant if rice straw decomposes anaerobically	
		N ₂ O	No	Due to the low N content of rice straw, N ₂ O emissions during decomposition of rice straw are assumed insignificant.	
Emissions from production and transportation of N, P, and K fertilizer		CO ₂	Yes	Increase in emissions is included if fertilization increases to replenish soil nutrients after straw removal (baling)	
		CH ₄	Yes	id.	

⁸ *Dry-seeding*, as defined in Section 6 may increase N₂O emissions in the period right after seeding and before flooding, when the soil is kept moist and inorganic N from fertilizer is readily available.

		N ₂ O	Yes	id.
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275

276 Project Proponents are allowed to use this methodology in combination with a
 277 separate methodology that credits reduced N₂O emissions from optimized fertilizer
 278 management⁹. When this methodology is used in conjunction with a fertilizer
 279 reduction methodology, only one GHG Project Plan shall be developed and the N₂O
 280 quantification shall occur based on the accounting procedures in the fertilizer
 281 reduction methodology. When the DNDC model is used for quantification in the
 282 fertilizer reduction methodology, only one simulation run for Baseline and project
 283 conditions shall be used that is used for both the fertilizer reduction methodology and
 284 this methodology.

285 5.3 Temporal Boundary

286 Credits are calculated in increments that start and end at specific points during the
 287 growing season. Specifically:

- 288 • If **rice is grown continuously**, the Vintage Year shall start immediately after a
 289 harvest and end immediately after a subsequent harvest.
- 290 • When the **crop following the current year is not rice** (e.g., fallow, soy, etc.),
 291 the Vintage Year shall extend over the winter period and end at the time of
 292 spring tillage and/or fertilization to prepare planting of the following crop.
- 293 • When the **crop preceding the current year is not rice**, the Vintage Year
 294 shall start at the time of spring tillage and/or fertilization to prepare planting of
 295 the rice crop.

296 Because this methodology is specific to GHG emissions from rice production, no
 297 credits shall be generated for fallow seasons or during years where a crop other than
 298 rice is grown. In addition, farmers are allowed to remain in the Project without
 299 generating credits for one or more years if conditions are such that Project Activities
 300 cannot be implemented.

301 The Crediting Period includes five growing seasons and starts when the Vintage Year
 302 for the first Rice Field in the Project starts and ends when the Vintage Year for the
 303 last Rice Field in the Project ends, regardless of whether rice was grown in the last
 304 growing season. A Crediting Period can be renewed following the rules in Section
 305 12.4.

⁹ Such as the methodology “N₂O Emissions Reductions through Changes in Fertilizer Management” available at <http://americancarbonregistry.org/carbon-accounting/emissions-reductions-through-changes-in-fertilizer-management>

306 The Crediting Period always applies to the Project overall rather than being field-
307 specific. If fields are added after validation, they are subject to the Crediting Period
308 end date of the Project they are joining.

309 **6 Procedure for Determining the Baseline Scenario and Demonstrating** 310 **Additionality**

311 Determining the Baseline Scenario and demonstrating additionality shall occur for
312 each Rice Field. For each of the Rice Fields included in the Project, Project
313 Proponents must identify credible Baseline Scenarios describing what would have
314 occurred on the field in absence of the Project Activities. The identified credible
315 Baseline Scenarios must be limited to agricultural land uses. A conversion to non-
316 agricultural land use is not allowed as a possible Baseline Scenario, and all areas
317 that are likely to be converted to non-agricultural uses must be excluded from the
318 Project.

319 There are two options for determining the Baseline Scenario. Projects that implement
320 a Project Activity that has an adoption rate less than or equal to 5% of the rice acres
321 in the Rice Growing Region where the Project is located must use a Common
322 Practice Baseline and are automatically additional (provided the practice exceeds
323 legal/regulatory requirements applicable on that Rice Field). Projects that implement
324 a Project Activity with an adoption rate greater than 5% of the rice acres in the
325 Project's Rice Growing Region must use a Field-Specific Baseline and must explicitly
326 demonstrate additionality using the ACR three-prong test and associated tools.

327 6.1 Determining whether a Common Practice Baseline can be used

328 An individual Project Activity for which the Baseline adoption rate is less than or equal
329 to 5% of the rice acres within a Rice Growing Region must use a Common Practice
330 Baseline. Note that a Project including multiple Rice Fields may have some fields on
331 which the Common Practice Baseline is used and others on which a Field-Specific
332 Baseline is used, depending on the Project Activities included. Note also that in the
333 case of Rice Fields on which multiple Project Activities are implemented
334 simultaneously (e.g. ACT2 dry seeding and ACT3 early drainage on the same Rice
335 Field), the Baseline Scenario may be partly Common Practice (for activities with <5%
336 adoption) and partly Field-Specific (for activities with >5% adoption)

337 There are two options to determine the Baseline adoption rate of a Project Activity:
338 using survey data, or using expert opinion.

- 339 • **Survey data or Remote Sensing data.** The adoption rate may be determined
340 using a statistically valid survey or remote sensing analysis of producers within
341 the Rice Growing Region where the Project is located. The analysis must be
342 set up so that a precision of 10% with 90% confidence is attained. The fields
343 must be selected randomly over all the fields within the Rice Growing Region.
344 The average of all available survey data (including those published in validated
345 GHG Project Plans) must be used to calculate the baseline adoption rate. For
346 initial validation, one adoption rate in the past 5 years suffices to set the
347 baseline adoption rate. However, upon renewal of a project's Crediting Period,

348 the baseline adoption rate must be set as the average of at least 2 adoption
 349 rates in the 5 years preceding the Crediting Period.¹⁰
 350 • **Expert opinion.** If 3 independent experts assert that the baseline adoption
 351 rate of a given practice is less than or equal to 4% of the acres on which rice is
 352 grown within the Rice Growing Region, no survey has to be conducted, and
 353 projects using the practice must use a Common Practice Baseline. The
 354 independent experts must have at least 10 years of relevant experience in rice
 355 agronomy and must be associated with an academic institution, government
 356 institution, or must be a full-time certified crop advisor with experience in the
 357 Rice Growing Region. The validity of the independent experts shall be
 358 evaluated during validation of a GHG Project Plan by a third-party auditor.

359 6.2 Determining Additionality

360 An individual Project Activity that exceeds applicable legal/regulatory requirements¹¹,
 361 and for which the baseline adoption rate is less than or equal to 5% of all acres on
 362 which rice is grown within one Rice Growing Region, is automatically additional and
 363 no further additionality test must be conducted. Project Activities for which the
 364 baseline adoption rates is greater than 5% must explicitly demonstrate additionality
 365 using ACR's project-specific three-pronged test of additionality or a comparable ACR-
 366 approved additionality tool.¹² This demonstration needs to be conducted at project
 367 commencement and documented in the GHG Project Plan.

368 For the three-prong additionality test, Project Proponents shall demonstrate that the
 369 proposed change in management: 1) exceeds regulatory/legal requirements; 2) goes
 370 beyond common practice; and 3) overcomes at least one of three implementation
 371 barriers: institutional, financial or technical. The barrier analysis shall consider the
 372 likelihood of at least three potential Baseline Scenarios:

- 373 1. Rice cultivation with a continuation of the management before Project Start
 374 Date with respect to seeding procedure, straw management, pre-harvest
 375 drainage date, or any other management aspect of rice cultivation.
- 376 2. Rice cultivation with a change in management before Project Start Date with
 377 respect to seeding procedure, straw management, pre-harvest drainage date,

¹⁰ For example, an extension service publishes annual adoption rates of a specific practice. The five adoption rates of the five years before the project's crediting period renewal are 4%, 6%, 6%, 5%, and 3%; the average is 4.8% and a renewal of the crediting period is not allowed after the first renewal period.

¹¹ Specifically, the proposed Project Activity is not required by any law related to air quality, water quality, water discharge, nutrient management, safety, labor, endangered species and protection, or any other law in the jurisdiction to which the individual Rice Field belongs.

¹² Such as the "ACR Tool for Determining the Baseline and Assessing Additionality in REDD Project Activities" or the CDM Tool for the Demonstration and Assessment of Additionality at <http://cdm.unfccc.int/methodologies/PAMethodologies/tools/>.

378 or any other management aspect of rice cultivation, in the absence of
379 registration as an ACR Project Activity.
380 3. Discontinuing rice cultivation and converting the land to an alternative
381 agricultural use.

382 It must be demonstrated that scenario 1, rice cultivation with a continuation of the
383 management before project Start Date, is the most likely baseline scenario by
384 showing that it is more financially attractive than, or faces lower barriers than, all
385 alternative scenarios.

386 Project Proponents only need to demonstrate additionality once for each Rice Field.
387 The demonstration of additionality of a field added after validation shall be included in
388 a monitoring report.

389 **7 Baseline Emissions**

390 Under this methodology, the calculation of GHG emissions under the Baseline and
 391 Project Scenarios must be evaluated using the version of the DNDC model posted at
 392 [http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems)
 393 [reductions-in-rice-management-systems](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems). It is possible that future updates of this
 394 methodology will include newer versions of the DNDC model and quantification
 395 procedures, reflecting advances in the science of predicting GHG emissions. For
 396 each individual Rice Field, a separate model run must be executed for the Baseline
 397 Scenario and an appropriate input parameter file (“*.dnd”) must be available to the
 398 auditor.

399 There is a large body of evidence that demonstrates that the DNDC model can
 400 predict GHG emissions from rice systems under a range of different management
 401 conditions (planting, fertilization, straw management, winter flooding, etc) with
 402 Accuracy (*Li et al., 2002; Cai et al., 2003; EDF, 2011*), on the condition that the model
 403 is well calibrated for local conditions. This methodology specifies how the Model
 404 Parameters must be set so that the emissions calculated by DNDC are valid to be
 405 used to calculate credits. A detailed explanation on the meaning and impact of each
 406 of the Model Parameters and how to use DNDC is beyond the scope of this
 407 methodology. More practical information on how to use DNDC can be found in the
 408 DNDC User Manual, also available at <http://www.dndc.sr.unh.edu/>.

409 **7.1 Duration and Structure of Model Simulations**

410 **Table 2. Schematic of the modeling period.**

Year -20 to -15	Year -15 to -10	Year -10 to -5	Year -5 to 0	Year 0 to 5	Year 5 to 10
<i>Historical Period</i>				<i>Crediting Period</i>	
Model Equilibration			Crop Yield Calibration	Period 1	Period 2

411

412 Table 2 indicates the structure of a DNDC modeling simulation. The following is
 413 required:

- 414 • The duration of a DNDC model simulation must be at least 20 years before the
 415 start of the Crediting Period so that the model can attain equilibrium in certain
 416 critical variables for which empirical data is lacking, such as the sizes and the
 417 quality of the different carbon pools, and the inorganic nitrogen contents of soil
 418 pore water. This period is referred to as the Historical Period. In case a Field
 419 Specific Baseline is used, the Model Parameters for the 20-year Historical
 420 Period must be set by repeating the frequency of historical occurrence of
 421 Project Activities during the last five years before the start of the Crediting
 422 Period four times, while using the management parameters of at least three
 423 out of five years before the start of the Crediting Period unless otherwise

- 424 noted. However, if rice was grown only two out of the past five years, two
425 years of historical data are sufficient to parameterize the DNDC model.
- 426 • The management parameters of at least three out of the last five years
427 preceding the Project Start Date, from the producer's own Rice Fields, must be
428 used to calibrate the modeled crop yields during the field-specific model
429 calibration step (see Section 7.4.2).
 - 430 • After the start of the Crediting Period, the model must be simulated in five-year
431 increments. The GHG Project Plan must include at least one five-year cycle
432 after the start of the Crediting Period.

433 7.2 Identifying Critical vs. Non-Critical Management Parameters

434 For each Project Activity, all Model Parameters shall be divided into Critical
435 Management Parameters and Non-Critical Management Parameters. Critical
436 Management Parameters are Model Parameters for the DNDC model that are directly
437 or indirectly impacted by the Project Activities. Non-Critical Management Parameters
438 are Model Parameters related to agricultural management but not impacted by
439 Project Activities.

440 For example, if straw baling is a Project Activity, the residue left after harvest would
441 be a Critical Management Parameter; if dry seeding is a Project Activity, date of first
442 flood is a Critical Management Parameter. Sufficient attention must be paid to all
443 potential indirect impacts of the Project Activities on nutrient, weed, crop residue, and
444 flooding management. In the example of straw baling, the amount of nitrogen fertilizer
445 applied is a Critical Management Parameter as well because it is possible that
446 additional nitrogen fertilizer was applied to compensate for nutrient losses during
447 straw removal. This additional nitrogen fertilizer will potentially lead to an increase in
448 N₂O emissions, and must, therefore, be included as a Critical Management
449 Parameter. Note that the loss of other nutrients such as K will likely have to be
450 compensated as well by increasing the amount of K fertilizer; however, the GHG
451 emissions related to the increase in application rates for other nutrients are
452 considered insignificant. Project Proponents must present in the GHG Project Plan a
453 comprehensive list of the all Model Parameters and indicate which ones are critical
454 and which ones are not.

455 If a pre-approved Regional Calibration module is used, Project Proponents shall use
456 the identification of Critical Management Parameters presented in the module.

457 7.3 Model Parameterization

458 Parameterization of a process-based model is the step of selecting Model
459 Parameters that the model will use for simulation. For DNDC parameters include: soil
460 conditions (organic matter, texture, pH, porosity, wilting point, bulk density, etc.),
461 weather (temperature, precipitation, wind speed, solar radiation, etc.), and agricultural
462 management (planting and harvest dates, tillage, fertilizer use, irrigation, etc.).

463 **7.3.1 Weather and Climate**

464 Weather significantly affects CH₄ emissions and hence the reduction in CH₄
 465 emissions due to alternative crop management. Variations in temperature not only
 466 directly affect CH₄ emissions; climate also affects annual CH₄ emissions since
 467 climate controls the length of the growing season: the exact planting date is
 468 dependent on the average temperature and rainfall in April-May and how many fields
 469 a farmer has. The harvesting date is dependent on the cumulative growing degree
 470 days since planting. Therefore, while *Ex-ante* baseline emissions must be calculated
 471 using five years of historical weather data preceding the start of the Crediting Period,
 472 *Ex-post* the Baseline must be re-calculated with the actual weather. The following
 473 requirements must be met:

- 474 • Daily climate data must come from a weather station that is located maximally
 475 50 miles away. If the Project is located in California, it is recommended to use
 476 weather data from the nearest CIMIS weather station
 477 (<http://www.cimis.water.ca.gov>).
- 478 • Weather data for the five years preceding the start of the Crediting Period must
 479 be collected. Weather data for the Historical Period must be set by repeating
 480 this five-year weather data set four times as described in 7.1. After the start of
 481 the Crediting Period, the same five-year weather data must be used and
 482 repeated, if necessary. As indicated before, *Ex-post*, actual weather data must
 483 be used for all emission calculations.
- 484 • Daily values of maximum temperature, minimum temperature, rainfall, and
 485 solar radiation must be collected and formatted according to the DNDC
 486 model's "Jday, MaxT, MinT, Rainfall, Radiation (MJ/m²/day)" format, which is
 487 the DNDC model's climate file mode 1.

488 **Table 3. Input parameters related to weather.**

Input Parameters	Unit
Jday (Julian day)	Day of year
MaxT (Maximum temperature)	°C
MinT (minimum temperature)	°C
Rainfall	mm day ⁻¹
Radiation	MJ m ⁻² day ⁻¹

489

490 **7.3.2 Soil Data**

491 For each of the Rice Fields in the Project, it is recommended that soil texture, organic
 492 carbon content, bulk density and soil pH are empirically measured and the
 493 measurements used to parameterize the relevant input Model Parameters. At least 3
 494 samples shall be taken for each agricultural field and measured separately. Averages
 495 and standard errors of the measurement shall be used in subsequent calculations.
 496 Official soil laboratory statements must be included with the GHG Project Plan.

497 If no empirical measure values for soil texture, organic carbon content, bulk density
498 and soil pH are available, it is allowed to use values queried by SSURGO, or
499 STATSGO if no SSURGO data are available.¹³

500 The standard values from DNDC for field capacity, wilting point and hydraulic
501 conductivity for the closest clay content as the one that was measured (or taken from
502 SSURGO or STATSGO) shall be used.

503 The value for the initial concentration of NO_3^- and NH_4^+ in the soil surface must be set
504 to 0.5 and 0.05 mg N/kg, respectively, which are appropriate initial values commonly
505 used during DNDC model simulations. Since model simulations start at least 20 years
506 prior to the start of the Crediting Period, concentrations of NO_3^- and NH_4^+ in the
507 surface soil will eventually equilibrate.

508 **Table 4. Input parameters related to soil data.**

Input Parameters	Unit
Clay content	kg kg ⁻¹ soil
Sand content	kg kg ⁻¹ soil
Organic carbon content	kg kg ⁻¹ soil
Bulk Density	g cm ⁻³
pH	-

509

510 7.3.3 Critical Management Parameters (only during Rice Growing Years)

511 The baseline scenario for Rice Fields that use a Field Specific Baseline is set so that
512 the Baseline follows the same sequence of Project Activity Practices on that field as
513 the management during the 5-year period before the project start. The Critical
514 Management Parameters of the Baseline are set to the values of the management
515 during at least three out of five years preceding the Project Start Date until the next
516 baseline update. However, if rice is only grown two out of the five years preceding the
517 Project Start Date, two years of historical data are sufficient.

518 Rice Fields that use a Common Practice Baseline must set the Critical Management
519 Parameters based on actual management from at least 5 fields on which the common
520 practice management is done. In addition, the management data shall be reviewed by
521 at least 3 independent peer reviewers such as farm advisors, extension agents or
522 academic scientists. Contact information of the three peer reviewers shall be provided
523 to the VVB.

524 Values for the Critical Management Parameters shall be fixed *Ex-ante* and used for
525 all *Ex-post* calculations of the Baseline. Critical Management Parameters are not
526 allowed to change until the Baseline is updated. In case new Rice Fields are added,

¹³ SSURGO is the Soil Survey Geographic Database of the USDA - Natural Resources Conservation Service (NRCS). See <http://soils.usda.gov/survey/geography/ssurgo/>. STATSGO is NRCS's U.S. General Soil Map. See <http://soils.usda.gov/survey/geography/statsgo/>.

527 the values of the Critical Management Parameters of the existing Project shall remain
528 fixed. Historical data collected throughout multiple years must be used consecutively
529 cycled through during the Baseline period.

530 7.3.4 Non-Critical Management Parameters

531 All Non-Critical Management Parameters must be set based on information from the
532 last 5 years preceding the Start Date (either from the fields themselves in case of a
533 Field Specific Baseline, or from areas as explained in 7.3.3 in case of a Common
534 Practice Baseline) for *Ex-ante* calculations. However, for *Ex-post* calculation of
535 emission reductions, the values of Non-Critical Management Parameters shall be set
536 to actual values monitored during the period being reported and verified.

537 Thus Non-Critical Management Parameters are not fixed *Ex-ante* and must be
538 identical between the Project and Baseline Scenarios in both the *Ex-ante* and *Ex-post*
539 calculations.

540 Straw burning events must be scheduled in the Baseline Scenario as they occur
541 according to surveys and historical data. Straw burning during the Crediting Period
542 must follow all relevant regulations in the jurisdiction in which the Project is located.

543 All management during years in which no rice is grown (i.e. fields are fallow, or
544 another crop is grown) shall be considered non-critical. As explained in section 5.3,
545 no credits shall be generated during these years but the fields are allowed to remain
546 in the Project without generating credits. Crediting can only start and end at one
547 specific time during every year, i.e. the start and end of the Vintage Year, as specified
548 in 5.3. During fallow seasons or years where no rice is grown, the DNDC model shall
549 be parameterized on a best-effort basis.

550 7.3.5 Using Dates in Baselines

551 Planting and harvesting dates vary from one year to the next, depending on the
552 weather. Therefore, it is necessary to adapt the Baseline Scenario given the actual
553 weather. Every date used in Baseline determination shall be relative to either the
554 planting date or harvesting date. For example, dates of fertilization could be set at 1
555 week before planting for the pre-plant fertilizer and at the day of planting for starter
556 fertilizer. Similarly, dates of draining a field by stopping pumping and/or pulling the
557 boards could be set at 2 weeks before harvest, and the date for straw incorporation
558 could be set 2 weeks after harvesting.

559 For Projects that use a Common Practice Baseline, dates that are Critical
560 Management Parameters, i.e. dates that are different between the Project and
561 Baseline Scenarios, shall be set relative to the planting and harvesting dates of
562 producers employing common practice.

563 Dates that are not Critical Management Parameters, i.e., dates that are equal in the
564 Project and Baseline Scenarios, shall be set relative to the actual planting and
565 harvesting dates of the specific field.

566 For example, the planting date for dry seeding is different than when water seeding is
567 used. Assume dry seeding has 4% adoption in the Rice Growing Region. Projects
568 using a Common Practice Baseline that include dry seeding shall use the planting
569 date used by 96% of producers during the Vintage Year. In contrast the planting date
570 for fields on which baling occurs will be similar to fields where no baling occurs; in
571 such cases the actual planting date for the Rice Field would be used to set the
572 Baseline.

573 7.4 Model Calibration and Model Validation for Rice Growing Seasons

574 Calibration of a process-based model such as DNDC is the process of tuning the
575 coefficients of Model Parameters to observations. For example, setting the maximum
576 yield or C/N values of roots, leaves and stems of a particular crop is Calibration. The
577 Calibration process can be applied to both internal and external parameters.
578 However, Calibration of the internal Model Parameters is done only in model
579 development by the developer while tuning of the external Parameters is done in a
580 Regional Calibration Module and by the Project Proponent (see below).

581 Model Validation is the process of evaluating a calibrated model's results using field-
582 measured data and quantifying the residual (structural) uncertainty. Model Validation
583 requires independent measurements (measurements that were not used in calibration
584 of internal parameters) for comparison with model estimates.

585 Two different Calibration steps must be conducted: a Regional Model Calibration and
586 validation, in which the use of the DNDC model in a similar area as the Project is
587 demonstrated, and a field-specific model calibration, in which field-specific yields are
588 used to tune the maximal yield parameter in DNDC. Because credits can only be
589 generated during rice growing periods, the calibration and model validation steps only
590 have to be conducted for periods where rice is grown. Even though it is optimal to
591 collect the calibration and model validation data from the Project Rice Fields, this is
592 not strictly necessary; however yield data must come from the Rice Fields themselves
593 (see 7.4.2). The Regional Model Calibration is representative for the whole Rice
594 Growing Region and can be used for many Rice Fields and projects, while the field-
595 specific calibration must be repeated for each different Rice Field. By distinguishing
596 the two levels of Calibration, the effort to calibrate multiple Projects is greatly reduced
597 with only a minimal reduction in representativeness of the calibration and model
598 validation data. This distinction is justified as the management, climate, and general
599 soil types remain similar across a region, while cropping yields are potentially very
600 field-specific. However, whenever possible, both methane flux and yield data shall be
601 collected from the Project area.

602 *7.4.1 Regional Model Calibration and Model Validation and Calculation of Structural*
603 *Uncertainty Deduction*

604 During the Regional Model Calibration and Model Validation, measured methane
605 fluxes from the Project area itself or a field within the same Rice Growing Region as
606 the participating Rice Field must be used to calibrate the DNDC model. The
607 methodology does not prescribe a specific procedure for calibration. Rather, the
608 methodology requires Project Proponents to present in the GHG Project Plan values
609 for each of the Model Parameters of the DNDC model and a set of at least eight
610 observations of modeled results vs. measured fluxes. Project Proponents are allowed
611 to skip this step if an appropriate pre-approved Regional Calibration Module is
612 available. This methodology contains a pre-approved Regional Calibration Module for
613 certain Project Activities in California (see Section 6).

614 Methane fluxes must be calculated from the rate of change in chamber concentration,
615 chamber volume, and soil surface area as described in Hutchinson and Mosier (1981)
616 and Rochette (2008)¹⁴. Methane fluxes shall be derived using standards and
617 procedures used in the peer-reviewed literature, and must be measured in a
618 laboratory that uses standard operating procedures available for review by the VVB if
619 requested. At least one full year of measurements must be included. In addition it is
620 recommended that:

- 621 • The chamber methane concentrations be measured using established
622 analytical techniques such as Gas Chromatography, a Tunable Diode Laser or
623 other laser-based equipment.
- 624 • The detection limit of the analytical equipment be minimally 20 $\mu\text{l l}^{-1}$ (ppbv).
- 625 • The analytical equipment be calibrated by a trained professional to
626 manufacturer specifications to achieve a precision that is smaller than 5%
627 before each measurement.
- 628 • Methane fluxes be measured at least twice a week during periods with rainfall
629 and around draining and wetting events (“critical periods”); every two weeks
630 during non-critical periods of the growing season; and at least every 6 weeks
631 outside of the rice growing season.
- 632 • Two or 3 years of measurements be included.

633 Annual emissions must be calculated by interpolating daily emissions between
634 sampling days using linear interpolation, which is a broadly accepted mechanism in
635 the scientific peer-reviewed literature (Hutchinson and Mosier, 1981).

636 Using the pairs of modeled results vs. measured methane fluxes, it must be explicitly
637 tested that the model calibration strategy is unbiased. The lack of bias must be tested
638 by following the procedures outlined in section 14.1.2.

¹⁴ Soil Sci. Soc. Am. J. 72:331-342

639 The remaining uncertainty between modeled and measured values is a conservative
 640 estimate of the Structural Uncertainty of using the DNDC model within the Rice
 641 Growing Region. The Structural Uncertainty is related to the inherent uncertainty of
 642 process-based models that remains even if all input data were error-free. A deduction
 643 factor for the Structural Uncertainty must be calculated based on the residuals
 644 between modeled results and measured gas fluxes using the procedures in this
 645 section. By applying this deduction factor, it can be ensured that simulated emission
 646 reductions will remain conservative at a confidence level of 90%. The full derivation of
 647 the uncertainty deduction factor is included in section 14.1.

648 Assume m pairs of $(Y_{field}(i), Y_{model}(i))$ pairs of annual fluxes of field measurements
 649 and simulated results.

650 Calculate the standard deviation of the difference of the field measurements and
 651 simulated results:

$$s = \text{stdev}(Y_{field,i} - Y_{model,i}) \quad [\text{EQ 1}]$$

652 The Structural Uncertainty deduction should then be calculated as:

$$u_{struct} = \frac{s\sqrt{2(1-\rho)}}{\sqrt{n}} \cdot t_{inv}(0.90, k) \quad [\text{EQ 2}]$$

653 Where:

- s = Standard deviation of the residuals between modeled and measured values
- $Y_{field,i}$ = Field measurement of experiment i
- $Y_{model,i}$ = Simulated flux of experiment i
- u_{struct} = Structural uncertainty factor
- ρ = Correlation between Project residuals and Baseline residuals
- t_{inv} = Inverse of the cumulative t-distribution with a specific confidence and degrees of freedom
- k = Number of pairs of modeled and measured values used for model verification.
- n = Size of Project Area [ha]

654 **7.4.2 Field-specific Model Calibration**

655 After the regional model calibration, it is required to conduct an additional field-
 656 specific Calibration for each Rice Field included in the Project. The field-specific
 657 Calibration tunes the crop sub-model of DNDC to the exact yields attained on each
 658 Rice Field. The field-specific Calibration shall always use yield data, but when the
 659 yield-based Calibration is insufficient to ensure that DNDC predicts the recorded
 660 yields during at least three out of five years before the start of the project with a

661 maximal relative Root Mean Squared Error (RMSE) of 10% of the observed means,
 662 the field-specific Calibration must also include additional crop data. However, if rice is
 663 grown only two out of the five years preceding the Project Start Date, yield data from
 664 these two years suffice to apply this test. These more general crop data include the
 665 default partitioning of carbon into different plant compartments, C/N ratio of the
 666 different plant compartments, and the thermal degree days required to reach maturity.

667 • **Step 1 – selecting the right parameter set for the variety used.** The
 668 specific rice variety used strongly impacts CH₄ emissions (Lindau et al., 1995).
 669 The crop parameters used must be appropriate for the rice variety used by the
 670 farmer. In addition, the “maximum biomass” parameter must be manually
 671 optimized until the actual cropping yield coincides with the cropping yield
 672 simulated by the DNDC model. Parameters for M-206 rice variety, based on
 673 calibration using field data from the Maxwell and Biggs study sites (Bossio et
 674 al. 1999, Fitzgerald et al. 2000 and Horwath et al., 2011, preliminary
 675 unpublished results), are given in Table 5 below. As more field data become
 676 available, model Calibration may improve, hence the parameters in Table 5
 677 may be updated in future versions of this methodology. In addition, crop
 678 parameterization values for other varieties will be published as an addendum
 679 to this methodology as they become available.

680 **Table 5. DNDC input parameters based on calibration data from two study sites, for the M-206 rice variety**
 681 **commonly grown in California.**

DNDC Input parameter	M-206
Rate_reproductive	0.044
Rate_vegetative	0.015
Psn_efficiency	0.4
Psn_maximum	47
Initial_biomass	12.5
Cover_crop	0
Perennial_crop	0
Grain_fraction	0.6
Shoot_fraction	0.3
Root_fraction	0.1
Grain_CN	30
Shoot_CN	65
Root_CN	65
TDD	3000
Water_requirement	508
Optimum_temp	25
Max_LAI	6
N_fixation	1.05
Vascularity	1

682
 683 Growers are allowed to change varieties after the Start Date as long as the
 684 new variety is well parameterized. If Project Activities did not impact the
 685 decision to change the variety, variety shall be considered a Non-Critical

686 Management Parameter. However, if the variety change is the result of one of
687 the Project Activities, variety shall be considered a Critical Management
688 Parameter
689

690 • **Step 2 – tuning the “maximum biomass” parameter of the DNDC model.**

691 The “maximum biomass” parameter of the DNDC model must be manually
692 tuned using yield data so that DNDC predicts the recorded yields during at
693 least three out of five years before the start of the Project with a maximal
694 relative Root Mean Squared Error (RMSE) of 10% of the observed means.
695 However, if rice is grown only two out of the five years preceding the Project
696 Start Date, applying this test with two years of data suffices. If this is not
697 possible by adjusting the “maximum biomass” parameter, one or both of the
698 following options are to be followed until modeled yields are within a maximal
699 relative RMSE of 10% of observed means.

- 700 ○ If the “Crop” pane of the DNDC results (with title “Crop Yields and Heat-
701 Water-Nitrogen Stresses”) indicates that the modeled “Water demand”
702 value is greater than the “Water uptake” value during years with normal
703 weather, the value for “water demand, g water/g DM” in the “Crop” pane
704 of the Farming Practice Management dialog (equal to the
705 “Water_requirement” parameter in the .dnd file) must be reduced until
706 the “Water demand” is equal to the “Water uptake” value.
- 707 ○ Similarly, if the same pane indicates that the “Temperature demand”
708 value is greater than the value for “Thermal degree days for maturity”,
709 the “Thermal degree days for maturity” (equal to the “TDD” parameter in
710 the .dnd file) must be reduced until the “Temperature demand” is
711 smaller than or equal to the value of “Thermal degree days for maturity”.

712

- 713 • **Step 3 – Re-parameterization of crop if no sufficient correspondence is**
714 **achieved.** If sufficient correspondence was achieved during step 2, this step
715 shall be skipped. However, if no sufficient correspondence can be achieved by
716 following the procedure described above, Project Proponents must calibrate
717 the other crop parameters, including biomass allocation to roots, leaves/stems
718 and grain and the C/N ratio of roots, leaves/stems and grain using laboratory
719 measurements, scientific literature, and/or a cross-calibration with a more
720 sophisticated crop growth model such as the DD-50 model¹⁵. However, it is up
721 to the Project Proponents to execute a proper Calibration and provide all the
722 necessary justification to the third-party VVB. Because it is very challenging to
723 define rigorous criteria to calibrate each of the crop parameters and verify their

¹⁵ The Missouri Rice Degree Day 50 (DD-50) model is available at
<http://agebb.missouri.edu/rice/ricemodel.htm>

724 impact on simulation results, a third-party VVB may request that the new
725 calibration be reviewed by an independent expert.

726 7.5 Quantification of Baseline Emissions

727 Separate model simulations of the Baseline Scenario must be conducted for each of
728 the individual Rice Fields. The Project Proponent shall then look up the annual values
729 for “Flux rates” from the “Greenhouse gas” page of the DNDC results.

$$BE_{y,i} = \frac{44}{12} \cdot [CO2]_{baseline,y,i} + 310 \cdot \frac{44}{28} \cdot [N2O]_{baseline,y,i} + 21 \cdot \frac{16}{12} \cdot [CH4]_{baseline,y,i} \quad [EQ 3]$$

730

731 Where:

- $BE_{y,i}$ = Baseline emissions in year y for individual Rice Field i
- $[CO2]_{baseline,y,i}$ = Baseline carbon dioxide flux rate from changes in SOC content in year y for individual Rice Field i as reported by DNDC [$kg\ C\ ha^{-1}$]
- $[N2O]_{baseline,y,i}$ = Baseline nitrous oxide flux rate in year y for individual Rice Field i as reported by DNDC [$kg\ N\ ha^{-1}$]
- $[CH4]_{baseline,y,i}$ = Baseline CH_4 flux rate in year y for individual Rice Field i as reported by DNDC [$kg\ C\ ha^{-1}$]

732

733 Following ACR requirements, 21 and 310 are the Global Warming Potentials for
734 methane and nitrous oxide, respectively, as developed in the IPCC Second
735 Assessment Report and reported in Table 2.14 of the IPCC 4th Assessment Report of
736 Working Group 1, available at <http://www.ipcc.ch/pdf/assessment-report/ar4/wg1/ar4-wg1-chapter2.pdf>.
737

738 8 Project Emissions

739 Similarly to the Baseline emissions, Project emissions of CO₂, CH₄ and N₂O must be
 740 calculated using DNDC. For each individual Rice Field, a separate model simulation
 741 must be executed for the Project scenario and an appropriate input parameter file
 742 (“*.dnd”) must be available to the VVB.

743 8.1 Duration and Structure of Model Simulations

744 All Critical and Non-Critical Management Parameters for the Historical Period for the
 745 Project scenario simulations must be identical to the Model Parameters for the
 746 Historical Period for the Baseline Scenario, except for Projects that are using a
 747 Common Practice Baseline. Projects that are using a Common Practice Baseline
 748 shall use their historical field-specific management for the Historical Period for the *Ex-*
 749 *ante* Project scenario simulation. After the start of the Crediting Period, only the
 750 Critical Management Parameters are allowed to be different between the Baseline
 751 and Project scenarios. Actual, monitored values of Critical and Non-Critical
 752 Management Parameters are used for *Ex-post* calculations.

753 8.2 Model Parameterization

754 The Parameterization of weather and soil input parameters for model simulations of
 755 Project emissions shall be similar to the Parameterization of input parameter values
 756 for model simulations of the Baseline. In addition, all values for Non-Critical
 757 Management Parameters, identified in Section 7.2, shall be the same between the
 758 Baseline and Project simulations. Only the values of Critical Management Parameters
 759 are allowed to be different between the Baseline and Project simulations. For *Ex-ante*
 760 calculations, values for the Critical Management Parameters under the Project
 761 scenario must be set based on expert opinion. For *Ex-post* calculations, values for
 762 the Critical Management Parameters must be set using farming records and empirical
 763 data of the Project Activities actually implemented.

764 8.3 Quantification of Project Emissions

765 8.3.1 Gross Project Emissions

766 Similarly to the Baseline simulations, the DNDC model must be run separately for
 767 each of the individual Rice Fields. The annual Project emissions correspond to the
 768 annual values for “Flux Rates” from the “Greenhouse gas” page of the DNDC results.

769

$$PE_{y,i} = \frac{44}{12} \cdot [CO_2]_{project,y,i} + 310 \cdot \frac{44}{28} \cdot [N_2O]_{project,y,i} + 21 \cdot \frac{16}{12} \cdot [CH_4]_{project,y,i} \quad [EQ\ 4]$$

770

771 Where:

$PE_{y,i}$	=	Project emissions in year y for individual Rice Field i
$[CO_2]_{project,y,i}$	=	Project carbon dioxide flux rate from changes in SOC content in year y for individual Rice Field i as reported by DNDC [$kg\ C\ ha^{-1}$]
$[N_2O]_{project,y,i}$	=	Project nitrous oxide flux rate in year y for individual Rice Field i as reported by DNDC [$kg\ N\ ha^{-1}$]
$[CH_4]_{project,y,i}$	=	Project CH_4 flux rate in year y for individual Rice Field i as reported by DNDC [$kg\ C\ ha^{-1}$]

772 **8.3.2 Off-Field Emissions from Rice Straw (OFEF)**

773 In the case of Projects implementing ACT1, the end uses for rice straw must be
 774 explicitly identified so that any potential increase in emissions due to the removal and
 775 subsequent end use of rice straw can be accounted for. Project Proponents shall
 776 either use the default emission factors in Table 14, or use their own emission
 777 calculations on the condition it can be demonstrated that the reported emissions are
 778 conservative (Summers and Williams, 2001).

779 Baling rice straw potentially increases emissions during swathing, raking or baling
 780 operations, but will reduce emissions related to the avoidance of post-harvest
 781 chopping and disking. In addition, depending on the end-use of the baled straw,
 782 additional off-field emissions potentially occur. Table 14 contains the net emissions
 783 for the following end-uses that were identified in ANR (2010):

- 784 • **Dairy replacement heifer feed.** Wheat straw is traditionally used in heifer
 785 feed. Rice straw can be used if it is cut to the right length (ANR, 2010). Quality
 786 of the straw (crude protein content, moisture content, etc.) must meet minimal
 787 standards before it can be used. It is possible that there are some effects on
 788 enteric fermentation by feeding lower quality straw. Only emissions from
 789 increased enteric fermentation due to the lower straw quality must be
 790 accounted for.
- 791 • **Beef cattle feed.** Rice straw is used by beef cattle operations as a dry matter
 792 supplement to pasture feeding during fall and winter (ANR, 2010). Cattle
 793 ranchers spread the large bales out on the range in fall and allow the cattle to
 794 feed on the bales. Quality of the straw (crude protein content, moisture
 795 content, etc.) must meet minimal standards before it can be used. It is possible
 796 that there are some effects on enteric fermentation by feeding lower quality
 797 straw.
- 798 • **Animal bedding.** Application of straw to soil at dairies and feedlots as a way
 799 to help preserve and dry the soil is a well-established, longstanding use of rice
 800 straw. The decomposition of the straw is considered aerobic for the purposes
 801 of this methodology.

- 802 • **Spread out on bare soils as erosion control.** Rice straw is valuable for
803 erosion control since it is produced in an aquatic environment and does not
804 pose a risk of introducing upland weeds, unlike wheat or barley straw. When
805 used for erosion control, rice straw will decompose aerobically.
- 806 • **Stuffed in netted rolls to prevent soil loss.** Rice straw is also used in
807 construction areas to protect bare soil surfaces from soil loss. Netted rolls
808 stuffed with rice straw are placed at the edge of the construction site to trap
809 soil on the site.
- 810 • **Mushroom production.** Rice straw is an effective substrate for mushroom
811 production. Wheat straw is the primary substrate used for mushroom
812 production (CARB, 1995). Therefore, no increase in emissions from anaerobic
813 decomposition from replacing wheat straw by rice straw is expected.
- 814 • **Use in fiberboard manufacturing.** Rice straw may be used for fiberboard
815 manufacturing, in which case emissions from post-harvest chopping and
816 disking will be avoided, but the increased emissions from swathing, raking or
817 baling operations must be accounted for.

818

819 **Table 6. Emission factors for potential end-uses of removed straw (kg CO₂ equivalents per metric ton of**
820 **dry straw).**

Potential end-use	Sources of (Avoided) Emissions	$OFEF_{y,i}$ [kg CO ₂ -eq t ⁻¹ dry straw]
Dairy replacement heifer feed	<i>avoiding post-harvest chopping and disking</i>	-50 ¹⁶
	<i>swathing, raking, baling</i>	20
	<i>increases in CH₄ emissions from enteric fermentation due to incorporating low-digestible rice straw in feed</i>	75 ¹⁷
	TOTAL	45
Beef cattle feed	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	<i>increases in CH₄ emissions from enteric fermentation due to incorporating low-digestible rice straw in feed</i>	75 ¹⁸
	TOTAL	45
Animal bedding	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20

¹⁶ Salas, Li, and Sumner (2010). Final report for project “Creating and Quantifying Carbon Credits from Voluntary Practices on Rice Farms in the Sacramento Valley: Accounting for Multiple Benefits for Producers and the Environment”

¹⁷ Assuming a calorific value of dry rice straw of 15 MJ kg⁻¹ (Pütün et al., 2004), an increase in the cattle CH₄ conversion factor due to switching to low-digestible food of 1% (2006 IPCC Guidelines for National Greenhouse Gas Inventories Vol. 4), and an energy content of CH₄ of 55.65 MJ kg⁻¹ CH₄ (id.).

¹⁸ Assuming a calorific value of dry rice straw of 15 MJ kg⁻¹ (Pütün et al., 2004), an increase in the cattle CH₄ conversion factor due to switching to low-digestible food of 1% (2006 IPCC Guidelines for National Greenhouse Gas Inventories Vol. 4), and an energy content of CH₄ of 55.65 MJ kg⁻¹ CH₄ (id.).

Potential end-use	Sources of (Avoided) Emissions	$OFEF_{y,i}$ [kg CO ₂ -eq t ⁻¹ dry straw]
	TOTAL	-30
Spread out on bare soils as erosion control	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	<i>roadsiding, storing, loading, transport</i>	60
	<i>spreading</i>	10 ¹⁹
	TOTAL	40
Stuffed in netted rolls to prevent soil loss	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	TOTAL	-30
Mushroom production	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	TOTAL	-30
Unused and accumulated in piles near the farm	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	<i>non-CO₂ emissions during the decomposition of the straw</i>	250 ²⁰
	TOTAL	220
Fiberboard manufacturing	<i>avoiding post-harvest chopping and disking</i>	-50
	<i>swathing, raking, baling</i>	20
	<i>non-CO₂ emissions during the manufacturing and life cycle of the fiberboard</i>	0 ²¹
	TOTAL	-30

821

822 This factor is referred to as *OFEF* (Off-field Emission Factor) in section 10.2.

823 **8.3.3 Emissions from Increases in Fertilization due to Baling (IFEF)**

824 Removing rice straw from a Rice Field removes a significant amount of nutrients. This
 825 nutrient removal must be compensated by increasing fertilization. This increase in
 826 fertilization is associated with an increase in GHG emissions from fertilizer production
 827 and fertilizer transportation. Emissions from fertilizer transportation are assumed to
 828 be negligible, but emissions from fertilizer production are not. The average nutrient
 829 content of rice straw is 0.77% N, 0.10% P and 1.74% K (ANR, 2010), and GHG
 830 emissions from fertilizer production are 4 kg CO₂-eq (kg N)⁻¹, 1.6 kg CO₂-eq (kg P)⁻¹,
 831 0.71 kg CO₂-eq (kg K)⁻¹ (coefficients taken from the GREET model as published in

¹⁹ Assumed to be similar to emissions from post-harvest chopping and disking.

²⁰ Using the average CH₄ Emission Factor for composting of 10 g CH₄ kg⁻¹ waste (2006 IPCC Guidelines for National Greenhouse Gas Inventories Vol. 5, Table 4.1)

²¹ Rice straw replaces wood products for manufacturing of fiberboard. Avoidance of harvesting and transport of wood products provides likely net-positive GHG benefits.

832 Chalmers and Walden, 2009). As a consequence, the emissions related to the
833 increase in fertilization per metric ton of rice straw removed are $1000 \cdot (0.0077 \cdot 4 +$
834 $0.001 \cdot 1.6 + 0.0174 \cdot 0.71) = 44.7 \text{ kg CO}_2\text{-eq (t dry straw)}^{-1}$.

835 This factor is referred to as *IFEF* (Increased Fertilizer Emission Factor) in section
836 10.2.

837 **9 Leakage**

838 For *Ex-ante* calculations, it shall be assumed that leakage is negligible since the
839 impact of Project Activities on yields must be minimal per applicability conditions.

$$E_{leakage,t,i} = 0 \quad [EQ 5]$$

840 Where:

$E_{leakage,t,i}$ = Ex-ante emissions from leakage in year t for individual Rice
Field i [tCO₂-eq yr⁻¹]

841

842 However, for *Ex-post* calculations, the impact of Project Activities on yields and
843 potential leakage shall be calculated using actual yields according to the procedures
844 in Section 12.1.

845 10 Quantification of Net GHG Emission Reductions and/or Removals

846 10.1 Uncertainty Deduction

847 As this methodology relies on a biogeochemical model to quantify GHG fluxes, the
 848 sources of uncertainty related to using models must be considered. The total
 849 uncertainty of any process-based model (PBM) such as DNDC is usually split into two
 850 sources of uncertainty: (1) uncertainty of input data and (2) Structural Uncertainty.
 851 The Structural Uncertainty is related to the inherent uncertainty of PBMs that remains
 852 even if all input data were error-free; the uncertainty of input data is related to the
 853 impact of errors in the input data on simulated results. The distinction is important
 854 since the Structural Uncertainty is inherent to the model and cannot be reduced
 855 unless the model is improved, while the uncertainty in input data can be controlled by
 856 users of a PBM, e.g. by expanding the number of samples on which input data is
 857 based.

858 This section explains how to calculate, combine, and apply deductions for these two
 859 sources of uncertainty.

860 10.1.1 Uncertainty in the Input Parameters

861 Uncertainty due to variability in the input parameters can be captured using a Monte-
 862 Carlo analysis, and can be calculated using the built-in tools in the DNDC model.
 863 Table 7 indicates which parameters must be included in the uncertainty analysis
 864 dependent on the source of the data as either from soil laboratory measurements or
 865 GIS databases such as STATSGO or SSURGO. If no data is available to empirically
 866 quantify the variability, the following distribution parameters must be assumed:

867 **Table 7. Distribution parameters for input parameters to execute a Monte Carlo analysis.**

Parameter	Value when using actual soil measurements	Value when using SSURGO or STATSGO data ²²
Distribution of Clay content	Log-Normal	Log-Normal
Distribution of Organic carbon content	Log-Normal	Log-Normal
Distribution of Bulk Density	Log-Normal	Log-Normal
Coefficient of Variation (CV) Clay content	actual CV	10%
Coefficient of Variation of Organic carbon content	actual CV	10%
Coefficient of Variation of Bulk Density	actual CV	10%
Correlation between clay content and organic carbon	actual correlation	10%
Correlation between clay content and bulk density	actual correlation	-50%
Correlation between organic carbon and bulk density	actual correlation	-60%

868

²² Default values are based on a landscape-scale analysis of SSURGO data across rice growing regions in the U.S. (Salas et al., unpublished).

869 A multivariate lognormal distribution must be used to sample parameters for the
 870 Monte Carlo analysis²³. At least 1000 (n) different draws out of this multivariate
 871 lognormal distribution for both the Baseline Scenario and the Project Scenario and
 872 subsequent model simulations must be executed. For each of the n draws of the
 873 distribution, one emission reduction is calculated by subtracting the Baseline
 874 emissions from the Project emissions. Calculate the uncertainty as the value
 875 corresponding to the 10% quantile for the distribution of n values.

876 10.1.2 Structural Uncertainty

877 Structural Uncertainty can be quantified by comparing modeled gas fluxes with
 878 empirical gas fluxes. The Structural Uncertainty around the size of the emission
 879 reductions of a project that combines multiple individual Rice Fields will decrease with
 880 increasing number of individual Rice Fields included. For example, Olander and Malin
 881 (2010) demonstrate that the RMSE decreases from 9 kg N-N₂O ha⁻¹ for an individual
 882 Rice Field to 1.8 kg N-N₂O ha⁻¹ if 10 Rice Fields are combined within one Project.
 883 The methodology requires a minimum of five Rice Fields or 405 ha (1,000 acres) be
 884 included within the Project, and requires estimating a Structural Uncertainty factor by
 885 comparing modeled with measured CH₄ emissions. Procedures to calculate this
 886 factor are included in 7.4.1.

887 10.1.3 Combining the Sources of Uncertainty

888 Since the two sources of uncertainty are uncorrelated, one can sum the variance
 889 related to uncertainties to get the combined uncertainty. As per ACR requirements,
 890 no Uncertainty Deduction must be applied if the half-width of the resulting combined
 891 confidence interval is within 10% of the mean at 90% confidence. However, if the
 892 half-width of the confidence interval is greater than 10%, an Uncertainty Deduction
 893 must be applied equal to this interval.

894 10.2 Calculation of Emission Reductions

895 The GHG emission reductions for year y (ER_y) are calculated as:

$$ER_y = \sum_{i=1}^{nrFields} u_i (PE_{y,i} - BE_{y,i}) - (OFEF_{y,i} + IFEF) \cdot CR_{y,i} - E_{leakage,i} \quad [EQ 6]$$

896

897 Where:

ER_y = GHG emissions reductions and/or removals in year y
 $nrFields$ = Number of individual Rice Fields included in the Project area

²³ For example, using the `rlnorm` function of the R package
 (<http://rss.acs.unt.edu/Rdoc/library/compositions/html/rlnorm.html>).

u_i	=	Uncertainty Deduction for individual Rice Field i
$PE_{y,i}$	=	Project emissions in year y for individual Rice Field i
$BE_{y,i}$	=	Baseline emissions in year y for individual Rice Field i
$OFEF_{y,i}$	=	Off-Field Emission Factor in year y for individual Rice Field i [kg CO ₂ -eq t ⁻¹ dry straw]
$IFEF$	=	Increased Fertilizer Emission Factor [kg CO ₂ -eq t ⁻¹ dry straw]
$CR_{y,i}$	=	Crop Residue in year y for individual Rice Field i [t dry straw]

898

899 **11 Data and Parameters Not Monitored**

Data Unit / Parameter:	Soil_Texture
Data unit:	-
Description:	<p>Soil texture class determined by percent contents of clay, sand and silt particles. Common texture classes are – sand, loamy sand, sandy loam, silt loam, loam, sandy clay loam, silty clay loam, clay loam, sandy clay, silty clay, clay and organic soil. The texture class is determined from the content of soil particles. The soil triangle below shows the percentage of clay, silt and sand in basic soil texture class (except for organic soil).</p>
Source of data:	Soil laboratory statements, peer-reviewed literature, or GIS databases such as SSURGO. The STATSGO database shall only be used if no SSURGO data are available.
Justification of choice of data or description of measurement methods and procedures applied:	
Any comment:	

900

Data Unit / Parameter:	Soil_pH
Data unit:	-
Description:	pH of top soil. A measure of the acidity or alkalinity of soil. The range of pH for most soils is from 4 to 10 in logarithmic scale.
Source of data:	Soil laboratory statements, peer-reviewed literature, or GIS databases such as SSURGO. The STATSGO database shall only be used if no SSURGO data are available.
Justification of choice of data or description of measurement methods and procedures applied:	
Any comment:	

901

902

Data Unit / Parameter:	SOC_at_Surface
Data unit:	kg C kg ⁻¹
Description:	Content of total soil organic carbon (SOC), excluding litter and visible plant debris.
Source of data:	Soil laboratory statements, peer-reviewed literature, or GIS databases such as SSURGO. The STATSGO database shall only be used if no SSURGO data are available.
Justification of choice of data or description of measurement methods and procedures applied:	
Any comment:	

903

Data Unit / Parameter:	Clay_fraction
Data unit:	Fraction ranging from 0 to 1.
Description:	Fraction of clay in the top horizon
Source of data:	Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature, analysis carried out by the Project Proponents at certified soil laboratories, or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	Soil laboratory statements, peer-reviewed literature, GIS databases such as SSURGO. The STATSGO database shall only be used if no SSURGO data are available.
Any comment:	

904

Data Unit / Parameter:	Field_capacity
Data unit:	Fraction ranging from 0 to 1.
Description:	Water-filled porosity of soil (WFPS) at soil field capacity.
Source of data:	Established procedures shall be followed to measure field capacity as detailed in Head (1992) and NRCS (2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer-reviewed literature, analysis carried out by the Project Proponents at certified soil laboratories, or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	When soil texture is selected, a default field capacity value will be given although it can be modified by users.

Data Unit / Parameter:	Wilting_point
Data unit:	Fraction ranging from 0 to 1.
Description:	Water-field porosity at soil wilting point.
Source of data:	Established procedures shall be followed to measure wilting point as detailed in Head (1992) and NRCS (2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature,

905

	or analysis carried out by the Project Proponents at certified soil laboratories, or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	When soil texture is selected, a default wilting point will be given although it can be modified by users.

906

Data Unit / Parameter:	Hydro_conductivity
Data unit:	m hr ⁻¹
Description:	Saturated hydraulic conductivity
Source of data:	Established procedures shall be followed to measure saturated hydraulic conductivity as detailed in Head (1992) and NRCS (2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature, or analysis carried out by the Project Proponents at certified soil laboratories, or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	When soil texture is selected, a default value will be used although it can be modified by users.

907

Data Unit / Parameter:	Soil_porosity
Data unit:	Fraction ranging from 0 to 1.
Description:	Soil porosity.
Source of data:	Established procedures shall be followed to measure porosity as detailed in Head (1992) and NRCS (2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature, or analysis carried out by the Project Proponents at certified soil laboratories, or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	When soil texture is selected, a default value will be used although it can be modified by users.

Data Unit / Parameter:	SOC_profile_A
Data unit:	kg C kg ⁻¹
Description:	Content of total soil organic carbon (SOC in soil profile A)
Source of data:	Established procedures shall be followed to measure soil organic carbon as detailed in Head (1992) and NRCS

908

	(2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature, measurement carried out by the Project Proponents, or analysis carried out by the Project Proponents at certified soil laboratory(ies) , or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	

909

Data Unit / Parameter:	SOC_profile_B
Data unit:	kg C kg ⁻¹
Description:	Content of total soil organic carbon (SOC) in soil profile B)
Source of data:	Established procedures shall be followed to measure soil organic carbon as detailed in Head (1992) and NRCS (2004). Soil laboratory statements from Government agency, recent (i.e. less than 10 year old) peer reviewed literature, measurement carried out by the Project Proponents, or analysis carried out by the Project Proponents at certified soil laboratory(ies), or a typical range in values according to the soil texture class.
Justification of choice of data or description of measurement methods and procedures applied:	If uncertainty is present in the data unit/parameter or the parameter is only known within a certain range, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the input parameters.
Any comment:	

910

Data Unit / Parameter:	$\epsilon_{rice, rice}$
Data unit:	[-]
Description:	Own-price crop acreage elasticity for rice cropping. [-]
Source of data:	Using econometric analysis available in scientific papers, such as Lee and Kennedy (2008). In the latter publication, a value of 0.3567 is indicated. A default factor of 0.6 is to be used if no scientific publications are available.
Justification of choice of data or description of measurement methods and procedures applied:	
Any comment:	Estimates from econometric analysis are often uncertain. Therefore, a conservative choice of the own-price crop acreage elasticity must be selected.

Data Unit / Parameter:	Average flood-up and draining duration
Data unit:	days
Description:	Flood up duration: average time it takes to flood a field between the start of flooding and complete coverage of the soil with water. Drainage duration: average time it takes to drain a field by either pulling the boards or stopping pumping until all

	standing water has left the field. Note that at this stage, some water may remain in puddles, but no more water will be flowing into the ditch.
Source of data:	Farmer experience, remote sensing procedures.
Description of measurement methods and procedures to be applied:	
QA/QC procedures to be applied:	
Verification requirements:	
Any comment:	The flood-up and drainage duration depends on the geometry of a field, the length of the draining ditches, the number of boards, whether the boards are pulled when draining or the water is subsiding naturally through infiltration and the flow rate of the pumps.

911

Data Unit / Parameter:	Conventional Drainage Date determination
Data unit:	Narrative
Description:	Methodology to set the conventional, i.e., baseline, drainage date for a specific field
Source of data:	Producer or crop advisor
Description of measurement methods and procedures to be applied:	<p>A reasonably workable description of how the drainage date has been set either historically on a specific field if no Common Practice Baseline is used or following common practice in case a Common Practice Baseline is used. Examples of procedures how a conventional Drainage Date are set include²⁴:</p> <ul style="list-style-type: none"> • Fixed number of days after a specific crop growth stage is reached (e.g. 50% heading, or R7). It must be described how it is determined that a specific crop growth stage is reached (i.e., through crop advisor, by producer, detailed description of phenological or morphological indicators that a crop growth stage is reached, etc.). • Fixed number of days relative to a growth stage simulated by the DD50 model (Counce et al., 2009) available through extension agents.
QA/QC procedures to be applied:	
Verification requirements:	Cross-checked with independent crop advisors or extension agents.
Any comment:	Interview with producer or crop advisor if contact information is provided

912

²⁴ Note that the examples are given for illustration purposes only. They are no recommendations or endorsements from the authors of this methodology. Producers are advised to use the judgment of extension staff or other experts to determine a drainage date that is appropriate for their specific circumstances

913 **12 Monitoring and Verification**

914 12.1 Check Yield Impacts and Calculate Leakage

915 If the Project Activities lead to a statistically significant decrease in the rice yield
 916 totaled over all participating Rice Fields, compared to the available yields of at least
 917 three of the five years before the Project Start Date, credits must be discounted
 918 according to the procedures this section. This deduction is necessary to account for
 919 potential market leakage effects. Yields are normalized against seasonal variations in
 920 yields using yield statistics obtained by the NASS or NRCS.

921 Use the following procedure to conduct this test and calculate any potential leakage:

922 (1) For yields that are available during at least three out of the five years t before
 923 t_0 – unless rice is grown two out of the past five years, in which case two
 924 years of yield data suffice (“historical yields”), normalize the yield and
 925 calculate the standard deviation and mean of the normalized yields as follows:

926

$$y_{norm_{t,i}} = \frac{y_{t,i}}{y_{county_t}} \quad \text{[EQ 7]}$$

927

$$s_i = stdev(y_{norm_{t,i}}) \quad \text{[EQ 8]}$$

928

$$\overline{y_{norm_i}} = mean(y_{norm_{t,i}}) \quad \text{[EQ 9]}$$

929

930 Where:

- $y_{norm_{t,i}}$ = Normalized yield at time t for individual Rice Field i [$Mg\ ha^{-1}$]
- $y_{t,i}$ = Actual yield at time t for individual Rice Field i [$Mg\ ha^{-1}$]
- y_{county_t} = Average yield of the county at time t for individual Rice Field i [$Mg\ ha^{-1}$]
- s_i = Standard deviation of the historical normalized yields for individual Rice Field i [$Mg\ ha^{-1}$]
- $\overline{y_{norm_i}}$ = Average of the historical normalized yields for individual Rice Field i [$Mg\ ha^{-1}$]

931

932 Normalize the sum of the historical yields for all the Rice Fields included in the
 933 Project by dividing the yield sum by the county mean for that specific year and

934 for the aggregated rice crop in units of “yield, measured in lbs / acre” obtained
 935 from the USDA NASS (<http://quickstats.nass.usda.gov>).

936
 937 Verify the distribution of $y_{norm_{t,i}}$ values. Most likely, these will be log-
 938 normally distributed. Apply the appropriate statistical transformation to
 939 y_{norm_t} to obtain a normal distribution before taking standard deviation and
 940 means.

941
 942 (2) Calculate the “minimum yield threshold” below which normalized yields are
 943 significantly smaller than the county mean:

944

$$y_{min_i} = \overline{y_{norm_{t,i}}} - t(0.10, n - 1) \cdot s_i \quad [EQ 10]$$

945

946 Where:

- y_{min_i} = Minimum yield threshold for individual Rice Field i
- $\overline{y_{norm_{t,i}}}$ = Average of the historical normalized yields for individual Rice Field i [$Mg\ ha^{-1}$]
- $t(0.10, n - 1)$ = t-distribution value with 90% confidence (for a one-tailed test) and $n - 1$ degrees of freedom [-]
- s_i = Standard deviation of the historical normalized yields for individual Rice Field i [$Mg\ ha^{-1}$]

947

948 where n is normally 5, and $t(0.10, n - 1)$ the t-distribution value with 95%
 949 confidence (for a one-tailed test) and $n - 1$ degrees of freedom.

950

951 (3) For every year of the Crediting Period, calculate y_{norm_t} and compare this
 952 value to y_{min} . If $y_{norm_{t_0}}$ is smaller than y_{min} , yields were significantly
 953 smaller than under pre-Project conditions, even normalized for inter-annual
 954 differences. In this case, the theoretical yield that could have been attained
 955 without Project Activities, i.e. the Baseline yield, is:

$$y_{baseline_{t,i}} = \overline{y_{norm_{t,i}}} \cdot y_{county_t} \quad [EQ 11]$$

956

957 The decrease in yield caused by Project Activities is, therefore:

$$y_{baseline_{t,i}} - y_{t,i} \quad [EQ 12]$$

958 The intensity of greenhouse gas emissions, expressed per unit yield is:

$$\frac{BE_{t,i}}{y_baseline_{t,i}} \quad [EQ\ 13]$$

959 Finally, the potential leakage caused by a decrease in yield is:

$$E_{leakage,t,i} = \varepsilon_{rice,rice} \cdot (y_baseline_{t,i} - y_{t,i}) \cdot \frac{BE_{t,i}}{y_baseline_{t,i}} \quad [EQ\ 14]$$

960

961 Where:

$E_{leakage,t,i}$	= Emissions from leakage in year t for individual Rice Field i [tCO ₂ -eq yr ⁻¹]
$\varepsilon_{rice,rice}$	= Own-price elasticity for rice cropping. [-]
$y_baseline_{t,i}$	= Baseline yield at time t for individual Rice Field i , the (theoretical) yield that could have been attained without Project Activities
$\overline{y_norm_{t,i}}$	= Average of the historical normalized yields for individual Rice Field i [Mg ha ⁻¹]
y_county_t	= Average yield of the county at time t [Mg ha ⁻¹]
$y_{t,i}$	= Actual yield at time t for individual Rice Field i [Mg ha ⁻¹]
$BE_{t,i}$	= Baseline emissions in year y for individual Rice Field i [tCO ₂ -eq yr ⁻¹]

962

963 In this calculation, it is assumed that the GHG intensity of rice production where the
 964 leakage occurs is similar to the Baseline GHG intensity on the Project Rice Fields,
 965 and that the cross-price crop acreage elasticity can be conservatively omitted.

966 12.2 *Ex-post* Monitoring

967 The following management data must be collected by the farmer after the Project
 968 Start Date:

- 969 • Planting preparation description and date
- 970 • Planting date
- 971 • Fertilization amounts and dates
- 972 • Flooding start and duration throughout the year
- 973 • Harvesting date
- 974 • Post-harvesting description and dates

975 12.3 Fields Joining and Leaving the Project

976 The Project Proponent is allowed to add and remove Rice Fields from the Project
977 during the Crediting Period. The fields can either leave permanently or temporarily.
978 For example, if weather conditions are not conducive to implementing dry seeding, a
979 Rice Field can temporarily leave the Project for that year and rejoin the next year. No
980 credits are issued during that year. The start of the Crediting Period shall always be
981 counted from the first field joining the Project.

982 However, credits can only be issued if at least 5 fields **or** 405 ha (1,000 acres) are in
983 the Project at the time of verification. If less than 5 fields **or** 405 ha remain in the
984 Project, no credits shall be issued that verification event. However, the Project
985 Proponent may include new fields in the Project and postpone the issuance of credits
986 for all Rice Fields until at least 5 fields **or** 405 ha are available again.

987 12.4 Project Renewal and Baseline Update

988 Per the *ACR Standard*, the duration of the Crediting Period equals the period of
989 baseline validity, which is five years under this methodology. The Crediting Period for
990 a Project (or Rice Field within a Project) using a Common Practice Baseline can be
991 renewed at the end of a 5-year Crediting Period for another five years. However, if 10
992 years after the start of the first Crediting Period, the Baseline adoption rate of the
993 Project Activity in the Rice Growing Region is still less than 5%, the Crediting Period
994 can no longer be renewed.²⁵ If after 10 years the adoption rate of the Project Activity
995 in the Rice Growing Region is greater than 5%, the Crediting Period can be renewed.

996 A Crediting Period for a Project using either a Field-Specific or Common Practice
997 Baseline can be renewed until the adoption rate of the Project Activity in the Rice
998 Growing Region is greater than 50%. The latter provision is included to ensure that a
999 Baseline is set based on common practice that represents the practice of a majority
1000 of the producers. Any practice for which the adoption is smaller than 50% cannot be
1001 considered common practice because less than half of the producers are
1002 implementing the practice.

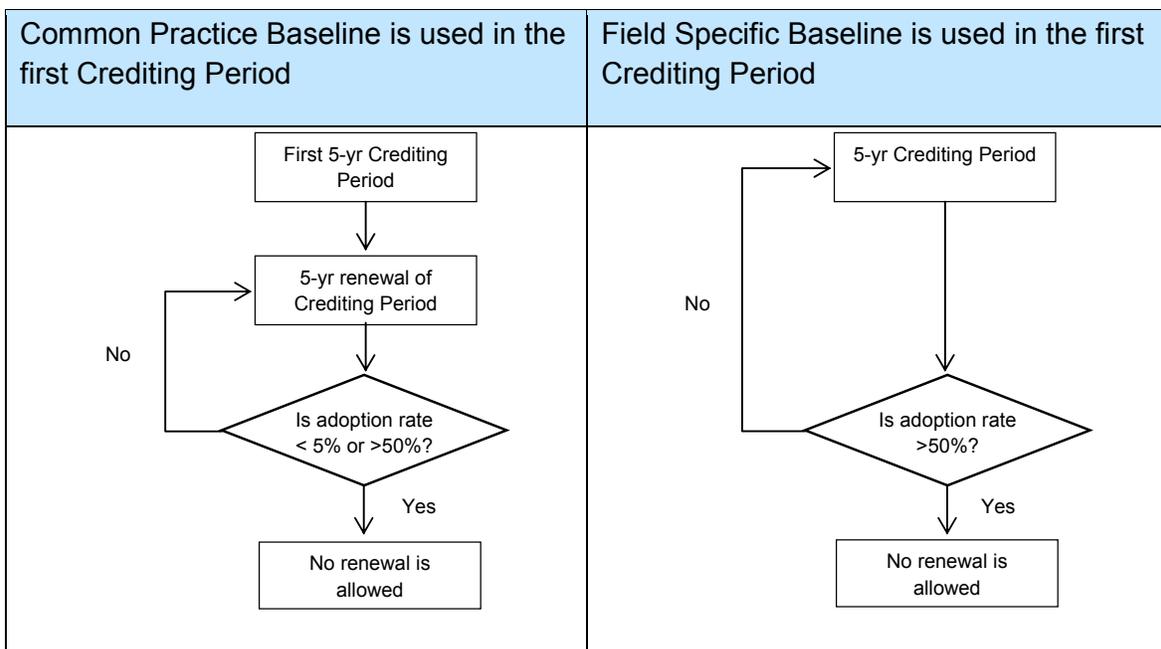
1003 At every renewal of a Project's Crediting Period, Project Proponents shall calculate
1004 the adoption rate of the Project Activity so that the requirements above can be
1005 verified. The procedures in Section 6.1 must be used to calculate the adoption rate of
1006 the practice. The flowchart in Figure 2 can be used to determine the renewal
1007 eligibility.

²⁵ This limitation on Crediting Period renewal for Projects using Common Practice Baselines is based on the belief that if after 10 years the Project Activity remains at <5% adoption, there must be a different barrier to adoption and the reasons for allowing a Common Practice Baseline in the program (i.e., to prime the system and demonstrate that a set of project activities can be successfully used) become obsolete.

1008 Rice Fields using a Field-Specific Baseline in the first Crediting Period must switch to
 1009 a Common Practice Baseline when the Project Crediting Period is renewed as
 1010 indicated in Table 8. Rice Fields using a Common Practice Baseline in the first
 1011 Crediting Period must continue using a Common Practice Baseline.

1012 For Common Practice Baselines, the Baseline values of the Critical Management
 1013 Parameters shall not be older than five years before the start of the current Crediting
 1014 Period according to the procedures in Section 7 for determining Common Practice
 1015 Baselines.

1016 Note that the Crediting Period is project-specific and not field-specific. If a Rice Field
 1017 joins in the third year of the Crediting Period, it joins the Crediting Period of the
 1018 overall Project rather than beginning its own 5-year Crediting Period. If this field is
 1019 using a Field Specific Baseline, it must switch to a Common Practice Baseline upon
 1020 renewal of the overall Project Crediting Period at year 5, similar to the other fields in
 1021 the Project.



1022 Figure 2. Flow chart of renewal of a Crediting Period.

1023 **Table 8. The use of Field Specific and Common Practice Baselines for Projects starting with either a Field**
 1024 **Specific or Common Practice Baseline.**

Period	-5 to 0	0 to 5	5 to 10	10 to 15	Etc.
Procedure for projects starting with a Field Specific Baselines		Based on conditions on the Rice Field itself from year -5 to 0	Based on common practice in Rice Growing Region from year 0 to 5	Based on common practice in Rice Growing Region from year 5 to 10	Etc.
Procedure for Projects starting with a Common Practice Baselines		Based on common practice in Rice Growing Region from year -5 to 0	Based on common practice in Rice Growing Region from year 0 to 5	Based on common practice in Rice Growing Region from year 5 to 10	Etc.

1025

1026 12.5 Verification

1027 *12.5.1 Levels of Verification: Desk Reviews and Field Visits*

1028 At a verification event, a VVB shall review that all required monitoring parameters are
 1029 available for every Rice Field (“completeness audit”) in a desk review based on the
 1030 data provided in a monitoring report. In addition to the completeness audit, the VVB
 1031 shall check a random selection of fields using a more in-depth audit in which the
 1032 values of specific parameters are verified during a field visit (“in-depth audit”) and the
 1033 DNDC simulations are checked. The use of remote sensing techniques and local
 1034 experts can reduce or even eliminate field visits.

1035 Rice Fields on which Project Activities were conducted before this methodology was
 1036 adopted by ACR are exempt from undergoing an in-depth audit.

1037 *12.5.2 What must be done during an In-depth Audit?*

1038 During an in-depth audit, two aspects shall be verified: (1) whether a Project Activity
 1039 occurred or not, e.g. whether a field was baled or not, and (2) whether the Model
 1040 Parameters that are indicated as Critical Management Parameters in the
 1041 methodology for the Project Activities on a specific Rice Field are within an expected
 1042 (or verifiable) range. The procedures to verify that the value of each Critical
 1043 Management Parameter is within the verifiable range are specified in the description
 1044 of each parameter in section 0.

1045 *12.5.3 How many and which fields must be visited in an in-depth audit?*

- 1046 • For every year of the Crediting Period being verified, at least 20% of the Rice
 1047 Fields generating credits during that year or 2 Rice Fields, whichever is
 1048 greater, shall be selected for verification. Note that this does not imply that a
 1049 verification audit has to occur every year of the Crediting Period; practices and
 1050 parameters of multiple years may be verified during one single audit.

- 1051 • For every year of the Crediting Period being verified, the Rice Fields that are to
 1052 be visited shall be selected at random from the Rice Fields generating credits
 1053 during that year of the Crediting Period. Each field shall only be visited at most
 1054 one time within one year, but a Rice Field may potentially be visited multiple
 1055 times during different years.

1056 *12.5.4 Reducing the Burden of Field Visits by employing Industry Experts*

1057 The methodology allows for aggregators, project developers, extension agents, or
 1058 other industry experts to eliminate the need of a VVB themselves to conduct a field
 1059 visit on the conditions that (1) the VVB has selected the fields to be visited at random
 1060 and (2) the selection of the fields is only communicated with the growers after the
 1061 Project Activities have been implemented and (3) the information provided by the
 1062 expert follows the parameter-specific description in Section 13. Section 0 describes
 1063 which evidence can eliminate a field visit and focuses on how the risk for tampering
 1064 can be eliminated. For example, a VVB may request a geo-tagged photograph of a
 1065 specific field after baling. The photograph must be taken by a GPS-enabled camera
 1066 and shall be automatically uploaded to an account to which the VVB has full access,
 1067 so that the metadata cannot be tampered with.

1068 *12.5.5 Reducing the Burden of Field Visits by using Remote Sensing Data*

1069 The Project Proponent is allowed to employ remote sensing to replace a field visit for
 1070 Project Activities or Model Parameters that can be observed using remote sensing
 1071 with sufficient accuracy. The Project Activities or Model Parameters that may be
 1072 verified using remote sensing instead of a field visit are described in the parameter
 1073 list in section 13. However, evaluating the presence or absence a Project Activity
 1074 using remote sensing must have an Accuracy of at least 90% as evaluated on a held-
 1075 out sample. If the Accuracy is less than 90%, remote sensing procedures shall not be
 1076 used to replace the field visit.

1077

Box 1. Example of using remote sensing to replace field visit

Imagery from the MODIS satellite can be used to verify dry seeding practices. Specifically, if the green signal – which is related to the planting date – is picked up before the water signal – which indicates flooding – one can be certain that dry seeding occurred.

For example, on one Rice Field, it is found that the planting signal occurs well before the flooding signal with 95% Accuracy. As a consequence, this field does not have to be visited. On another Rice Field, the imagery is unclear and only a very weak planting signal is present before the flooding signal, yielding an Accuracy of only 75%. In this case, remote sensing cannot replace a field visit, and the Rice Field must be visited.

1078

1079 *12.5.6 Timing of Verification*

1080 It is the nature of agriculture that Project Activities can only be observed at discrete
 1081 points during the growing season. Therefore, the timing of field visits shall follow the
 1082 growing calendar. As the timing of the growing calendar depends on the weather, a
 1083 VVB shall be in close contact with the Project Proponents to ensure the window of
 1084 verification shall not be missed.

1085 **Table 9. Illustrative timing of verification field visits.**

Project Action	Window during which practice can be verified
Removal of straw after harvest (e.g., by baling)	October
Dry seeding	May
Early drainage	August-September

1086

1087 *12.5.7 What happens if Requirements for Verification are not met?*

1088 As indicated above, during an in-depth parameter audit, it shall be verified (1)
 1089 whether a practice occurred and (2) whether the values of the Critical Management
 1090 Parameters are within a verifiable range as specified in the description of each
 1091 parameter in Section 0.

1092 If, during an in-depth parameter audit, it cannot be verified whether a Project Activity
 1093 occurred on a specific Rice Field, the Rice Field shall be removed from credit
 1094 calculations for that year. If more than 5 fields or 405 ha (1,000 acres) remain in the
 1095 Project, credits can be generated. If less than 5 fields or 405 ha remain in the Project,
 1096 no credits are to be issued that year. However, the Project Proponent is allowed to
 1097 include new Rice Fields in the Project and postpone the issuance of credits for all
 1098 fields until 5 fields or 405 ha are available. If for more than two fields belonging to the
 1099 same grower, the VVB cannot verify whether a practice occurred, all Rice Fields for
 1100 this grower shall undergo an in-depth parameter audit.

1101 If, during an in-depth parameter audit, the Critical Management Parameters are not
 1102 found to be within the verifiable range, the fields do not automatically become
 1103 ineligible. The problematic Critical Management Parameter shall be included in a
 1104 Monte Carlo analysis after specifying an expected range to quantify the uncertainty
 1105 due to variability in the Model Parameters.

1106 **13 Data and Parameters Monitored**

Data Unit / Parameter:	Climate Data
Data unit:	DNDC climate data file
Description:	Daily meteorological data files(s) in the plain text (i.e., ASCII) format for each year. Data files are written in format readable in the DNDC model.
Source of data:	Weather station data
Description of measurement methods and procedures to be applied:	If the project area is located in California, it is recommended to use weather data from the nearest CIMIS weather station (http://www.cimis.water.ca.gov). National Climate Data Center (www.ncdc.noaa.gov/oa/ndcd.html) is another source of climatic data that can be used.
Frequency of monitoring/recording:	Daily
QA/QC procedures to be applied:	Daily climate data must come from a weather station that is located maximally 50 miles away.
Verification requirements:	Source of the data shall be provided to the VVB so that the data can be independently retrieved by the VVB and compared to the data submitted at verification.
Any comment:	See user manual of the DNDC model for guidance on format of files.

1107

Data Unit / Parameter:	Plant_time
Data unit:	-
Description:	Planting month and day. A number from 1 – 12 for month; and a number from 1 to 31 for day.
Source of data:	Agricultural statistical records, farmer records, or remote sensing procedures.
Description of measurement methods and procedures to be applied:	If uncertainty is present in the data unit/parameter, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the Model Parameters.
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	Geo-tagged picture within 3 weeks after planting date indicated in Monitoring Report OR date of first green signal assessed using remote sensing data occurring within 4 weeks after planting date indicated in Monitoring Report
Any comment:	

1108

Data Unit / Parameter:	Harvest_time
Data unit:	-
Description:	Harvesting month and day. A number from 1 – 12 for month; and a number from 1 to 31 for day.
Source of data:	Agricultural statistical records, farmer records, or remote sensing procedures.
Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	If uncertainty is present in the data unit/parameter, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the Model Parameters.
Verification requirements:	Geo-tagged picture within 3 weeks after harvesting OR date-stamped receipt from the mill occurring within 2 weeks after the harvest date indicated in the Monitoring Report OR any other receipt or contractual information indicating the harvesting date
Any comment:	

1109

Data Unit / Parameter:	Yield
Data unit:	t DM ha ⁻¹
Description:	Crop productivity (i.e. rice productivity for rice) in the growing season
Source of data:	Agricultural statistical records or farmer records.
Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually or per growing season.
QA/QC procedures to be applied:	
Verification requirements:	Signed affidavit of farmer OR interview with farmer by VVB OR date-stamped receipt from the mill indicating yield OR yield information on any other contract
Any comment:	

1110

Data Unit / Parameter:	Tilling Date/Period and Method
Data unit:	Date and -
Description:	Date of tilling event. In case multiple tillage events are done throughout a period (e.g., for post-harvest straw residue management), it suffices to provide the dates of the first and last tillage events. Tilling method is to be provided as one of the following four methods: a. No-till (i.e., only mulching) (0 cm) b. Plowing slightly (5 cm) c. Plowing with disk or chisel (10 cm) d. Deep plowing (30 cm)
Source of data:	Agricultural statistical records or farmer records.

1111

Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	Signed affidavit of farmer OR interview with farmer by VVB
Any comment:	All tillage operations must be included, whether they occur during the fall or springtime.

1112

Data Unit / Parameter:	Fertilizer Date, Amount and Composition
Data unit:	Date, kg N ha ⁻¹
Description:	Date of fertilizer application, amount of fertilizer applied and chemical composition of fertilizer
Source of data:	Agricultural statistical records or farmer records.
Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	Signed affidavit of farmer OR interview with farmer by VVB
Any comment:	

1113

Data Unit / Parameter:	Residue left after harvest
Data unit:	Fraction
Description:	A fraction of the above-ground crop residue left as stubble in the field after harvest.
Source of data:	Field measurement.
Description of measurement methods and procedures to be applied:	Measure either directly, or estimate using the cutter height used during harvesting using the relationship between cutter height and straw yield in Summers et al. (2001): [straw yield - % of maximum] = -2.95 * [cutter height - in] + 94.8 For example, if the cutter height was set to 4 in, the straw yield as a % of maximum is 83%, and the percentage left after harvest is 17%.
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	Geotagged picture of stubble height OR contract with baler or end-user indicating end use of straw OR interview with baler or end-user of straw if contact information is provided
Any comment:	Use default fraction of 0.10.

Data Unit / Parameter:	Flooding and Draining Dates
Data unit:	Date (month and day)

Description:	Start and end dates for flooding and draining in Rice Fields. Dates shall be given in month and day combination. If start and end dates fall in different years, then year must also be provided.
Source of data:	Agricultural statistical records, farmer records, or remote sensing procedures.
Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	If uncertainty is present in the data unit/parameter, this data unit/parameter must be included in the Monte Carlo procedure to quantify the uncertainty due to variability in the Model Parameters.
Verification requirements:	Geotagged pictures taken of field or pulled boards within one week of date provided in Monitoring Report OR remote sensing imagery within 2 weeks of dates provided in Monitoring Report OR observations from farm advisers OR records, observations, or interviews with the water districts confirming that no more water was required within 1 week of the date provided in the Monitoring Report
Any comment:	

1114

Data Unit / Parameter:	End use of baled straw
Data unit:	-
Description:	The end use for rice straw. Select from the following: a. Dairy replacement heifer feed b. Beef cattle feed c. Animal bedding d. Spread out on bare soils as erosion control e. Stuffed in netted rolls to prevent soil loss f. Mushroom production g. Fiberboard manufacturing h. None of the above. Describe end-use
Source of data:	Farmer records
Description of measurement methods and procedures to be applied:	
Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	Contact information of baler or end-user of straw shall be provided so that baler or end-user of straw can be contacted to verify end-use of straw.
Any comment:	

1115

Data Unit / Parameter:	Date of straw burning event
Data unit:	Date
Description:	The date of a burned event used for post-harvest straw management
Source of data:	Farmer records
Description of measurement methods and procedures to be applied:	

Frequency of monitoring/recording:	Annually
QA/QC procedures to be applied:	
Verification requirements:	
Any comment:	

1116

1117 **14 Uncertainty Quantification and Requirements for Regional Calibration**
 1118 **Modules**

1119 14.1 Model Validation and Uncertainty Quantification

1120 The DNDC model must be successfully calibrated and validated for each of the
 1121 proposed Project Activities before it can be used in carbon accounting. Procedures to
 1122 do so are contained in this section. It is up to the Project Proponents to justify to the
 1123 VVB the boundaries of the area for which the DNDC model has been calibrated by
 1124 demonstrating the homogeneity of the area in terms of Project Activities, rice cultivars
 1125 planted, and soil types. Empirical gas flux data are required for at least five individual
 1126 Rice Fields located in the same Rice Growing Region as the Project.

1127 *14.1.1 Overview*

1128 The Structural Uncertainty deduction u_{struct} is a deduction that is applied to the gross
 1129 emission reductions to compensate for the Structural Uncertainty of model
 1130 simulations. This deduction is calculated beforehand using values of pairs of
 1131 measured emissions and simulated emissions. The measurements must take place in
 1132 the Rice Growing Region where the Project is located. Therefore, it is possible to
 1133 calculate the Structural Uncertainty deduction for a Rice Growing Region beforehand
 1134 and apply the same factor on emission reductions for any Rice Field in the Rice
 1135 Growing Region. The Structural Uncertainty deduction will also decrease with the
 1136 number of fields included in the Project, since errors in one field can be compensated
 1137 by errors in a different field. As a consequence, the more fields participating in the
 1138 Project, the smaller the resulting error on the emission reductions summed over all
 1139 fields, and the smaller the Structural Uncertainty deduction.

1140 The Structural Uncertainty deduction is mathematically defined such that, after
 1141 application of the deduction to the direct emission reductions, the following inequality
 1142 holds in 90% of the outcomes, i.e., with 90% confidence:

$$DERs < BE_{meas} - PE_{meas}$$

1143 An outcome should be interpreted in the frequentist sense of the word, in which
 1144 measurements are seen as samples drawn out of a greater population, and each
 1145 outcome is a set of samples drawn out of the greater population.

1146 The structural uncertainty factor, a negative value, must be added to the gross
 1147 difference between project and baseline emissions:

$$DERs = u_{struct} + (BE_{meas} - PE_{meas})$$

1148 Where:

DERs	=	Direct Emission Reductions
u_{struct}	=	Structural uncertainty factor
$PE_{model}(i)$	=	Model results for Project emissions
$BE_{model}(i)$	=	Model results for Baseline emissions
$PE_{meas}(i)$	=	Field results for Project emissions
$BE_{meas}(i)$	=	Field results for Baseline emissions

1149

1150 14.1.2 Verification of the lack of bias

1151 The derivation of the Structural Uncertainty deduction assumes that no bias exists
 1152 between measured and modeled results, or that $E(Y_{meas}) = E(Y_{model})$. The DNDC
 1153 model has been shown to predict GHG fluxes without bias, when correctly calibrated.
 1154 This methodology specifies how model inputs can be set so that the model is
 1155 calibrated correctly. It must still be explicitly tested that the model calibration strategy
 1156 does not lead to bias by comparing modeled and measured emissions. A classical
 1157 paired t-test is suboptimal since the goal is not to demonstrate a significant difference
 1158 between modeled and measured values using a set confidence, but rather the lack of
 1159 a difference. In such a case, Two One-Sided Tests (TOST) equivalence testing is
 1160 superior. For equivalence tests, a tolerable deviation between measured and
 1161 modeled results must be defined. We set this tolerable deviation to the statistical
 1162 convention of 10%. In practice, a regression must be executed between measured
 1163 and modeled values, and it must be ensured that the slope is not smaller than 0.90
 1164 with 90% confidence, as well as not greater than 1.1 with 90% confidence.

1165 14.1.3 Derivation of Uncertainty Deduction

1166 The structural error induced by a biogeochemical model is assumed to be additive.
 1167 The relation between modeled and actual emissions is therefore as follows:

1168

$$1169 Y_{model,i} = Y_{field,i} + \varepsilon_i \text{ with } \varepsilon \sim \mathcal{N}(0, \sigma^2)$$

1170

1171 If the model is unbiased, the following error model can be assumed for the project
 1172 and baseline emissions:

1173

$$1174 PE_{model} = PE_{meas} + \varepsilon_1 \text{ with } \varepsilon_1 \sim \mathcal{N}(0, \sigma^2)$$

$$1175 BE_{model} = BE_{meas} + \varepsilon_2 \text{ with } \varepsilon_2 \sim \mathcal{N}(0, \sigma^2)$$

1176 A correlation between the Project and Baseline residuals potentially exists:

1177

$$\rho = \text{corr}(\varepsilon_1, \varepsilon_2)$$

1178 Where:

$PE_{model}(i)$	=	Model results for Project emissions
$BE_{model}(i)$	=	Model results for Baseline emissions
$PE_{meas}(i)$	=	Field results for Project emissions
$BE_{meas}(i)$	=	Field results for Baseline emissions
ε_1		Error term for Project emissions
ε_2		Error term for Baseline emissions
σ		Standard deviation of the residuals between modeled and measured values
ρ		Correlation between Project residuals and Baseline residuals

1179

1180 The direct emission reductions are the difference between Project and Baseline
1181 emissions:

$$DER_{model} = BE_{model} - PE_{model}$$

$$DER_{meas} = BE_{meas} - PE_{meas}$$

1182

1183 Where:

DER_{model}	=	Direct emission reductions based on modeled emissions
DER_{meas}	=	Direct emission reductions based on measured emissions

1184

1185 After it has been shown that the DNDC model is unbiased following the procedures in
1186 Section 14.1.2, the average of the difference between $DER_{model} - DER_{meas}$ is 0. The
1187 variance of this difference is:

$$\begin{aligned} \text{Var}(DER_{model} - DER_{meas}) &= \text{Var}(\varepsilon_1) + \text{Var}(\varepsilon_2) - 2\text{Cov}(\varepsilon_1, \varepsilon_2) \\ &= \sigma^2 + \sigma^2 - 2\sigma^2\rho \\ &= 2\sigma^2(1 - \rho) \end{aligned}$$

1188

1189 In practice, experimental Rice Fields on which fluxes are measured are much smaller
 1190 than production Rice Fields managed by commercial producers. Often, experimental
 1191 rice fields can be as small as 10-25 m² up to about 1 ha. Since the relative
 1192 uncertainty decreases with increasing plot size, the uncertainty as quantified on
 1193 experimental plots must be adjusted for the greater size of the project area relative to
 1194 the size of an experimental plot. Let n denote the number of times the total project
 1195 size is greater than a typical experimental plot. Assuming a greater size of
 1196 experimental plots will lead to greater uncertainty deductions. Therefore, to remain
 1197 conservative and for simplicity, we have set the size of an experimental plot to the
 1198 upper bound of the range of sizes of experimental plots, 1 ha. Therefore, n is simply
 1199 equal to the project area in ha. Hence, the variance of the sum of the emission
 1200 reductions across a Project Area of size n is:

$$\begin{aligned} \text{Var}\left(\sum_{i=1}^n DER_{model,i} - DER_{meas,i}\right) &= n \cdot \text{Var}(\varepsilon_1) + n \cdot \text{Var}(\varepsilon_2) - 2n \cdot \text{Cov}(\varepsilon_1, \varepsilon_2) \\ &= n\sigma^2 + n\sigma^2 - 2n\sigma^2\rho \\ &= 2n\sigma^2(1 - \rho) \end{aligned}$$

1201

1202 If s is the standard deviation of the model residuals based on a limited set of k
 1203 calibration values, the one-sided 90% confidence interval around the average of the
 1204 sum of the differences $DER_{model} - DER_{meas}$ is:

$$DER_{model} - DER_{meas} < s\sqrt{2(1 - \rho)} \cdot t_{inv}(0.90, k)$$

1205 This equation enables to define the structural uncertainty factor u_{struct} .

$$u_{struct} = \frac{s\sqrt{2(1 - \rho)}}{\sqrt{n}} \cdot t_{inv}(0.90, k)$$

1206 Where:

- u_{struct} = Structural uncertainty factor
- s = Standard deviation of the residuals between modeled and measured values
- ρ = Correlation between Project residuals and Baseline residuals
- t_{inv} = Inverse of the cumulative t-distribution with a specific confidence and degrees of freedom
- k = Number of pairs of modeled and measured values used for model verification.

n = Size of Project Area [ha]

1207

1208 In other words, subtracting u_{struct} from DER_{model} , average modeled emission
1209 reductions are smaller than average measured emission reductions with 90%
1210 confidence:

$$DER_{model} - u_{struct} < DER_{model}$$

1211 *14.1.4 Quantifying the standard deviation s and the correlation ρ*

1212 The calculation of u_{struct} is critically dependent on the standard deviation of the
1213 residuals s and the correlation between the residuals of the Project emissions and the
1214 residuals of the Baseline emissions ρ .

1215 If k pairs of $[Y_{meas}(i), Y_{model}(i)]$ are available, the quantity s can be calculated as the
1216 standard deviation of the difference between $Y_{meas}(i)$ and $Y_{model}(i)$. The quantity ρ
1217 can be estimated by dividing the measurements in Baseline cases, $BE_{meas}(i)$ and
1218 Project cases, $PE_{meas}(i)$. Using conventional terminology, the Baseline would be the
1219 control or conventional treatment. Subsequently, pairs of measured and modeled
1220 emission reductions $DER_{meas}(i)$ and $DER_{model}(i)$ can be calculated as the difference
1221 between $PE_{meas}(i)$ and $BE_{meas}(i)$, and $PE_{model}(i)$ and $BE_{model}(i)$, respectively.
1222 Calculate ρ as the correlation coefficient between $DER_{meas}(i)$ and $DER_{model}(i)$.
1223 Smaller correlation coefficients will result in greater uncertainty deductions.
1224 Therefore, it is good practice to calculate a set of correlation coefficients through
1225 leave-one-out jackknifing and set the correlation coefficient to the low range of this
1226 set of values.

1227 In most cases, only a very limited set of values will be available. For the standard
1228 deviation of the residuals, using a student-t distribution instead of a normal
1229 distribution will compensate for the potential bias introduced by a limited number of
1230 values. In addition, this methodology requires the standard deviation s to be
1231 calculated based on at least 8 pairs of measured and simulated annual emissions
1232 that have been measured over at least 2 growing seasons.

1233 If a set of daily fluxes are available, the quantities s and ρ can be calculated with
1234 more accuracy based on daily values of these quantities as:

$$s_{annual} = 365 \cdot s_{daily}$$

$$\rho_{annual} = \rho_{daily}$$

1235 Note that measurements aggregated over any other time period than daily can be
1236 used to estimate ρ . This methodology requires to use at least 50 measurements of
1237 daily measured and modeled methane fluxes to calculate ρ .

1238 It is likely that new and improved measurements become available after the Project
1239 Start Date. Therefore, it is allowed to recalculate s_{annual} , ρ_{annual} leading to a potential
1240 decrease in u_{struct} at a verification event after the Start Date of the Project using the
1241 additional and/or improved measurements.

1242 14.2 Requirements for Regional Calibration Modules

1243 This methodology can be expanded using modules in which the regional calibration
1244 and model validation step is executed for specific Project Activities and additional
1245 Rice Growing Regions. If a Regional Calibration Module is available, Project
1246 Proponents are allowed to skip the regional calibration and model validation step on
1247 the condition that the Structural Uncertainty deduction included in the module is used,
1248 as well as the template input file to the DNDC model.

1249 New Regional Calibration Modules must contain the following elements:

- 1250 1. **Step 1. Exact and unambiguous definition of Project Activities.** The
1251 definitions must be workable for growers and sufficiently rigorous for carbon
1252 methodologies. Definitions must be robust with respect to variations in
1253 weather.
- 1254 2. **Step 2. Selection of one of the four Rice Growing Regions in the U.S.** (see
1255 Section 3.2) for which the Regional Calibration Module is valid.
- 1256 3. **Step 3. Development of performance standard (optional).** For each of the
1257 Project Activities defined in step 1, and for the full Rice Growing Region
1258 defined in step 2, the Regional Calibration Module can include an analysis of
1259 the adoption rate and the additionality following the procedures in Section 0.
- 1260 4. **Step 4. Identification of Critical and Non Critical Management**
1261 **Parameters.** This shall follow the procedure defined in Section 7.2.
- 1262 5. **Step 5.** Values of measured and modeled fluxes and a demonstration that the
1263 **DNDC model simulates fluxes in an unbiased way** according to the
1264 procedures in section 7.4.1, as well as a table of Structural Uncertainty
1265 deduction factors as deduced using the procedures in this section.
- 1266 6. **Step 6. A template .dnd input file** with each of the DNDC Model Parameters
1267 similar to section 15.1.6, and how they must be parameterized (default value,
1268 lookup table, historical records, field measurements, etc.)

1269 **15 Regional Calibration Module for Project Activities in California**

1270 California is the second largest rice-producing state in the United States, producing
 1271 rice on approximately 585,000 acres (236,741 ha) and contributing \$774 million to the
 1272 state's economy.²⁶ Before 1990, in California, the most common post-harvest straw
 1273 management option was burning. However, burning was significantly phased down
 1274 between 1991 and 2000, and is now only practiced on a limited and highly regulated
 1275 basis for disease control purposes. Currently, the most commonly used techniques
 1276 for straw management on rice fields, listed in order of degree of use, are (UCCE,
 1277 2007): (1), chopping and/or disking, followed by winter-flooding and sometimes
 1278 rolling, (2) chopping and/or disking without winter-flooding or (3) burning in the fall
 1279 and/or spring for disease control. In 2007, the University of California Cooperative
 1280 Extension estimated that rice straw burning occurred on 13% of the area, winter
 1281 flooding on 60% of the area, and incorporation without winter flooding on the 27% of
 1282 the area. Straw burning events must be scheduled in the Baseline Scenario as they
 1283 occur according to surveys and historical data. Straw burning during the Crediting
 1284 Period must follow all appropriate laws in the jurisdiction in which the Project is
 1285 located. It is estimated that 3 to 5% of the rice acreage has straw baled for use later
 1286 for various purposes (California Rice Commission 2009).

1287 This methodology allows Project Proponents to voluntarily generate CH₄ emission
 1288 reductions by (1) removing rice straw from the field after harvest and before winter
 1289 flooding, (2) replacing water seeding with dry seeding, and (3) early drainage at the
 1290 end of the growing season. Reducing winter flooding acreage in the California Rice
 1291 Growing Region cannot be used for crediting under this version of the methodology.²⁷

1292 *15.1.1 Step 1 - Definition of Included Project Activities*

1293 The following Project Activities are included in this Regional Calibration Module and
 1294 do not have to be validated in a GHG Project Plan.

	Project Activity	Rice Growing Region
ACT1	Removal of straw after harvest (e.g., by baling)	California
ACT2	Dry seeding	California
ACT3	Early drainage	California

1295

1296 Note that it is allowed to combine Project Activities. Table 10 provides definitions for
 1297 key terminology related to these Project Activities.

²⁶ Planted acres for 2011, all rice varieties, from *California Agricultural Statistics: 2011 Crop Year*. USDA National Agricultural Statistics Service - California Field Office. Available at <http://www.cdfa.ca.gov/statistics/> or www.nass.usda.gov/ca.

²⁷ Future versions may allow reduced winter flooding if it can be demonstrated that impacts on waterfowl habitat are neutral or positive.

1298 **Table 10. Definitions of eligible Project Activities for the California Sacramento and San Joaquin Valley**
 1299 **Rice Growing Region.**

Project Activity	Definition
Straw baling and removal	After harvest, rice straw residue is traditionally left on agricultural fields. However, rice straw can be removed by baling. Baled straw can be sold even though the market is small. Rice straw can be used for erosion control, animal bedding, as an alternative feed for cow and calf producers (DANR, publication 8425), or for other purposes noted in 8.3.2.
Dry seeding	A seeding method that involves broadcasting or drilling dry seeds into dry or moist, non-puddled soil. Dry seeding often allows for quicker land preparation and reduces the irrigation water required for crop establishment. Dry seeding can occur through spreading seeds onto the soil surface and transferring soil on top of the seeds or by drilling seeds into a prepared seedbed, a practice known as “drill seeding”. Alternatively, seeding normally occurs by distributing seeds on inundated fields using small airplanes, a practice known as “water seeding”.
Early drainage	Early Drainage is defined as terminating water applications and draining a field at least 5 days earlier than the drainage date under conventional management (“Conventional Drainage Date”). ²⁸ Since there is not one single procedure to determine the Conventional Drainage Date that is used by all producers across all Rice Growing Regions, the procedure to set the Conventional Drainage Date as used by a specific participating grower shall be recorded in the GHG project plan.

1300

1301 *15.1.2 Step 2 - Rice Growing Region*

1302 This regional calibration module is valid within California.

1303 *15.1.3 Step 3 - Development of performance standard*

1304 A performance standard is proposed for ACT1 - removal of straw after harvest and
 1305 ACT 2 - dry seeding.

1306 No legal requirements exist that relate to removal of straw after harvest or dry
 1307 seeding. As a consequence, these Project Activities will be surplus to applicable
 1308 regulations.

1309 Based on discussions with industry experts, including P. Buttner (CalRice), R.
 1310 Mutters, L. Espino, and G Nader (University of California Cooperative Extension), the
 1311 following baseline adoption rates are estimated.

- 1312 • A continuous flooding and water seeded regime is estimated to be used on
 1313 over 96% of the acreage in California. As a consequence, the adoption of dry
 1314 seeding is estimated to be less than 4%.
- 1315 • The current estimate for baling adoption in California is on average 4% of
 1316 California rice acres per year. This percentage fluctuates slightly annually with
 1317 various straw markets.

²⁸ This methodology does not endorse a specific procedure to set the Conventional Drainage Date or the early drainage date. Producers are advised to use the judgment of extension staff or other experts to determine a drainage date that is appropriate for their specific circumstances.

1318 Both ACT1 and ACT2 have a baseline adoption rate of less than 5%, and therefore
 1319 pass the common practice test. No Project-specific demonstration of additionality is
 1320 required.

1321 *15.1.4 Step 4 - Identification of Critical and Non-Critical Management Parameters*
 1322 The pre-approved Project Activities in this methodology potentially affect (1) the
 1323 duration and frequency of the winter flooding period, (2) post-harvest rice straw
 1324 residue management, and (3) seeding practices. The potential critical input
 1325 parameters are outlined in Table 11.

1326 **Table 11. Critical (C) and Non Critical (NC) Management Parameters for Project Activities included in this**
 1327 **module.**

Management Parameter	Project Activity		
	ACT1	ACT2	ACT 3
	Removal of straw after harvest	Dry seeding	Early drainage
Harvesting date	NC	NC	C
Fraction of residues left after harvest	C	NC	NC
Crop residue management (tillage) date	C	NC	NC
Crop residue management (tillage) method	C	NC	NC
Crop residue burning date (if burning was present)	C	NC	NC
Frequency of winter flooding	C ²⁹	NC	NC
Start Date of the winter flooding period (if any)	C	NC	NC
End Date of the winter flooding period (if any)	C	NC	NC
Spring fertilization amount	C	C	NC
Spring fertilization date	C	C	NC
Spring fertilization application method	C	C	NC
Pre-plant field preparation (tillage) date	NC	C	NC
Pre-plant field preparation (tillage) method	NC	C	NC
Planting date	NC	C	NC
Flooding date	NC	NC	NC
Fertilization amount during growing season	C	NC	NC
Fertilization date during growing season	C	NC	NC
Fertilization application method during growing season	C	NC	NC
Draining date	NC	NC	C

1328

1329 *15.1.5 Step 5 - Structural Uncertainty Deduction*

1330 Nine different annual fluxes of CH₄ emissions were measured for a number of
 1331 different management scenarios (Horwath et al., 2011, preliminary unpublished

²⁹ ACR will monitor the adoption of baling and take corrective action if winter flooding rates are significantly negatively affected, to ensure habitat for waterfowl is maintained.

1332 results). The same management scenarios were modeled using the DNDC model.
 1333 These scenarios represent the Project Activities included in this Regional Calibration
 1334 Module for California. Results from this exercise are summarized in Table 12. Further
 1335 details can be found in EDF (2011).

1336 **Table 12. Modeled and measured CH₄ fluxes from field trials in California. Data reproduced with**
 1337 **permission from EDF (2011).**

Observation nr.	Site	Treatment	Year	Modeled [kg C ha ⁻¹ yr ⁻¹]	Measured [kg C ha ⁻¹ yr ⁻¹]
1	Biggs	Drill seeded in a stale seedbed	1	126.9	199.9
2	Biggs	Water seeded in a conventional seedbed	1	366.0	294.7
3	Biggs	Water seeded in a stale seedbed	1	260.0	335.9
4	Bossio	Burned residue and winter flooded	1	33.3	13.7
5	Bossio	Incorporated residue and winter flooded	1	69.8	58.4
6	Maxwell	Burned residue and winter flooded	1	58.7	26.6
7	Maxwell	Burned residue and winter flooded	2	82.7	55.8
8	Maxwell	Residue incorporated and winter flooded	1	61.3	122.3
9	Maxwell	Residue incorporated and winter flooded	2	127.1	187.1
10	Maxwell	Residue incorporated and not winter flooded	1	50.8	36.6
11	Maxwell	Residue incorporated and not winter flooded	2	90.9	136.7

1338

1339 The formula for Structural Uncertainty is:

$$u_{struct} = \frac{s\sqrt{2(1-\rho)}}{\sqrt{n}} \cdot t_{inv}(0.90, k)$$

1340 Where:

s = 51.3 (estimated based on the 9 pairs of measured and simulated fluxes presented in Table 12)

$$\begin{aligned} \rho &= 0.06 \text{ (estimated based on the daily flux data of the fields} \\ &\text{and seasons as presented in Table 12)} \\ k &= 9 \\ n &= \text{Project Area size in ha} \\ t_{inv}(0.90, k) &= 1.36 \text{ for } k=11 \end{aligned}$$

1341

1342 Table 13, posted on the ACR website, summarizes the results of this equation. The
1343 structural uncertainty deduction factor given in that table shall be applied on a field-
1344 by-field basis.

1345 **Table 13. Structural uncertainty deduction factors for projects within California**

1346 See [http://americancarbonregistry.org/carbon-accounting/carbon-](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems)
1347 [accounting/emission-reductions-in-rice-management-systems](http://americancarbonregistry.org/carbon-accounting/carbon-accounting/emission-reductions-in-rice-management-systems) for the current version
1348 of this table.

1349

1350 *15.1.6 Step 6 - Template .dnd input file*

1351 The following table is a template .dnd input file with an indication of fixed default
1352 values or if values must be added by Project Proponents. The input file is set up to
1353 represent three years.

1354 **Table 14. Template dnd input file**

Line	DND Parameter	Selection procedure for value
1	Input_Parameters:	
2	-----	
3	Site_data:	leave blank
4	Simulated_Year:	24
5	Latitude:	Use latitude of project area
6	Daily_Record:	0
7	-----	leave blank
8	Climate_data:	0
9	Climate_Data_Type:	Fix at 1
10	NO3NH4_in_Rainfall	1
11	NO3_of_Atmosphere	0.06
12	BaseCO2_of_Atmosphere	Fix at 350
13	Climate_file_count	leave blank
14	1	no default
15	Climate_file_mode	1
16	CO2_increase_rate	0
17	-----	
18	Soil_data:	0

Line	DND Parameter	Selection procedure for value
19	Soil_Texture	Empirical soil measurements
20	Landuse_Type	2
21	Density	Empirical soil measurements
22	Soil_pH	Empirical soil measurements
23	SOC_at_Surface	Empirical soil measurements
24	Clay_fraction	Empirical soil measurements
25	BypassFlow	Fix at 0
26	Litter_SOC	Fix at 0.01
27	Humads_SOC	Fix at 0.003
28	Humus_SOC	Fix at 0.987
29	Soil_NO3(-)(mg N/kg)	Fix at 0.5
30	Soil_NH4(+)(mg N/kg)	Fix at 0.05
31	Moisture	Fix at 0.405
32	Temperature	no default
33	Field_capacity	Empirical soil measurements
34	Wilting_point	Empirical soil measurements
35	Hydro_conductivity	Empirical soil measurements
36	Soil_porosity	Empirical soil measurements
37	SOC_profile_A	provide soil information
38	SOC_profile_B	provide soil information
39	DC_litter_factor	Fix at 1
40	DC_humads_factor	Fix at 1
41	DC_humus_factor	Fix at 1
42	Humad_CN	Fix at 10
43	Humus_CN	Fix at 10
44	Soil_PassiveC	Fix at 0
45	Soil_microbial_index	Fix at 1
46	Highest_WT_depth	Fix at 9.99
47	Depth_WRL_m	Fix at 0.3
48	Slope	0
49	Use_ION_file	0
50	-----	
51	Crop_data:	0
52	Rotation_Number	no default
	REPEAT FROM 20 YEARS BEFORE START OF CREDITING PERIOD UNTIL 10 YEARS AFTER START CREDITING PERIOD:	
53	Rotation_ID	no default
54	Totalyear	no default
55	Years_Of_A_Cycle	no default
56	YearID_of_a_cycle	no default
57	Crop_total_Number	no default
58	Crop_ID	no default
59	Crop_Type	no default

Line	DND Parameter	Selection procedure for value
60	Plant_time	Exact date required, for example 5 1
61	Harvest_time	Exact date required, for example 9 11
62	Year_of_harvest	1
63	Ground_Residue	1 if no baling is applied, otherwise 0.25 or empirical measurement
64	Yield	Exact data required
65	Rate_reproductive	0.044
66	Rate_vegetative	0.015
67	Psn_efficiency	0.4
68	Psn_maximum	47
69	Initial_biomass	12.5
70	Cover_crop	0
71	Perennial_crop	0
72	Grain_fraction	0.6
73	Shoot_fraction	0.3
74	Root_fraction	0.1
75	Grain_CN	30
76	Shoot_CN	65
77	Root_CN	65
78	TDD	3000
79	Water_requirement	508
80	Max_LAI	6
81	N_fixation	1.05
82	Vascularity	1
83	Tillage_number	Supply number of tillage events
REPEAT FOR ALL TILLAGE EVENTS:		
84	Tillage_ID	Index value running from 1 until the number of tillage events
85	Month/Day/method	Exact date required, for example 4 23 3
(end of tillage event enumeration)		
94	Fertil_number	Supply number of fertilization events
REPEAT FOR EACH FERTILIZATION EVENT:		
95	fertilization_ID	1
96	Month/Day/method	Exact date required, for example 4 30 1
97	Depth	15
98	Nitrate	0
99	AmmBic	0
100	Urea	0
101	Anh	130
102	NH4NO3	0
103	NH42SO4	0
104	NH4HPO4	0

Line	DND Parameter	Selection procedure for value
105	Release_rate	1
106	Inhibitor_efficiency	0
107	Inhibitor_duration	0
108	Urease_efficiency	no default
109	Urease_duration	no default
(end of fertilization event enumeration)		
141	Manure_number	0
142	Plastic_applications	no default
143	Ventilation	no default
144	Weed_number	no default
145	Weed_Problem	no default
146	Flood_number	3
147	Leak_type	1
148	Water_control	0
149	Leak_rate	0.08
REPEAT FOR EACH FLOODING EVENT:		
150	Flooding_ID	1
151	Flood_Month/Day	Exact date required, for example 1 1
152	Drain_Month/Day	Exact date required, for example 1 31
153	Water_N	0
154	Shallow_flood	0
(end of flooding event enumeration)		
168	Irrigation_number	Fixed at 0
169	Irrigation_type	Fixed at 0
170	Irrigation_Index	Fixed at 0
171	Grazing_number	Fixed at 0
172	Cut_number	Fixed at 0
(end of crediting year enumeration)		
435	Crop_model_approach 0	-

1355

1356

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