Outline

- Overview
- General Reporting and Verification Information
- Guidance Document Updates
- Cal-e-GGRT and Workbook Updates & Review
- Questions

2016 Data Reporting Recap

- 800 reports submitted
- 515 verified, 170 site visits
- 100% received positive or qualified positive emissions verification statements
- Other reports not subject to verification (abbreviated or retail provider with no imports/exports)
- 2016 summary data posted for all reports
  - https://ww2.arb.ca.gov/mrr-data

Overview

Regulation for the Mandatory Reporting of Greenhouse Gas Emissions
GHG Reporting For 2017 Data Electric Power Entities

Overview

General Reporting and Verification Information

Guidance Document Updates

Cal-e-GGRT and Workbook Updates & Review

Questions

Presentation slides available here:
http://www.arb.ca.gov/cc/reporting/ghg-rep/guidance/guidance-training.htm
Reporting Schedule

- June 1, 2018
  - Reports due for EPEs and electricity retail providers
  - No time extensions

Failure to submit a report by the required deadline is a violation of the regulation for each day the report is late

Key Dates Reference Page: https://ww2.arb.ca.gov/mrr-key-dates

Verification Schedule

- Must be completed by August 10, 2018
  - No time extensions
  - Less-intensive verification this year with the option for no site visit if the EPE
    - Received a positive verification statement last year and
    - Did not change verification bodies
  - Site visits or webinars should be considered to expedite the verification process, facilitate data sharing, and increase verifier confidence

Begin the verification process as soon as possible (e.g., contracting and scheduling). Do not wait until reporting is completed

Verifiers must submit an adverse statement if the verification is not completed on time

Failure to have a verification statement submitted by the verification deadline is a violation of the regulation for each day the verification statement is late

Enforcement Summary

- Issues that could lead to enforcement include:
  - Incorrect data submitted
  - Errors leading to a material misstatement (includes errors present at the reporting or the verification deadline)
  - Failure to fix correctable errors
  - Late reports or failure to report
  - Late verification or no verification

CARB has completed enforcement actions related to reporting, and continues enforcement activities

As completed, case settlements are posted here: http://www.arb.ca.gov/enf/casesett/casesett.htm

Regulation Updates

- Mandatory Reporting Regulation (MRR)
  - 2016 Update
    - Only §95111(h) EIM provisions effective for 2017 data reporting
    - All other EPE provisions effective next cycle for 2018 data reporting

- Cap-and-Trade Regulation
  - For EPEs, no changes to Covered Emissions calculations
  - Qualified Export (QE) Adjustment removed but can still be reported under MRR with 2017 data
    - QE Adjustment not allowed for 2018 data reported in 2019 and future years
General Reporting and Verification Information

Tool available NOW
- 2017 data reporting activated
- Workbooks 1-4 have been posted: https://www.ccdsupport.com/confluence/display/calhelp/Reporting+Form+Instructions
- Electric Power Entity module has been activated
  - https://ssl.arb.ca.gov/Cal-eGGRT/login.do

Reporting Tips
- Start early, finish early
- Involve verifier as soon as possible
  - Include enough time to revise data based on verification
  - Some reporters are reaching the 6-year time limit with the same verification body and must hire a new verifier. Contact CARB if unsure.
  - New verification body = site visit required
  - Reminder: Complete and accurate data must be submitted by the reporting deadline
- Update DR, ADR, Agents as needed
  - Old employees, etc., have access to system until you remove them
- Contact CARB for any questions about requirements (ghgreport@arb.ca.gov)
  - Save and file any CARB responses as part of your GHG Inventory Program
- Check CARB guidance related to EPEs: https://ww2.arb.ca.gov/mir-epe

Verification Tips for Reporters
- Correctable errors (errors that affect reported emissions) must be fixed
  - If not, the verifier must submit an adverse statement
- “Covered emissions” data must meet accuracy requirements and be reasonably assured of no material misstatement
- If the verifier and reporter disagree on requirements, always contact CARB for assistance
- Ensure the GHG inventory program meets the requirements of section 95105(d) and includes helpful information
  - Robust description of key personnel and procedures
  - Provide copy to verifier as soon as possible
- Provide all CARB written clarifications for reporting to verifier
Verification Process Timing

- Reporters can improve the process by:
  - Ensuring contracting is in place and that COIs are submitted to CARB prior to report submission
  - Providing data to verifiers ASAP after report submission
  - Scheduling site visits, or remote desktop (webinar) review in June or earlier
- Ask questions of CARB early to ensure sufficient time to address issues
- Reporters and verifiers should work together to facilitate earlier site visits and submission of verification statements

Guidance Document Updates

- New EPE FAQs on CAISO EIM
  - To briefly explain EIM reporting
  - FAQs #6 - Questions
    6.1 Are EPEs required to report EIM imports and exports under MRR?
    6.2 What CAISO settlement data should be used when reporting EIM imports?

Updates to Guidance

- Guidance still based on 2014 MRR
  - Guidance updates for 2016 MRR planned for next year
  - Posted here, [https://ww2.arb.ca.gov/mrr-epe](https://ww2.arb.ca.gov/mrr-epe)
- EPE FAQ – Updates include:
  - Added a Table of Contents with active links
  - New FAQs: EIM (#6); Reporting Retail Sales (#7); Cessation (#8), next slides
  - Clarified CAISO Sales Threshold questions #2 and #4
  - Clarifications on CEC RPS Eligibility for CARB reporting
  - Added text on 2014 vs. 2016 MRR applicability
  - FAQ 1.4.3. updated with Tacoma Power as the third ACS entity
- RPS Adjustment Guidance document
  - Minor formatting changes, minor changes to echo workbook format and language
New FAQs on Reporting Retail Sales of Electricity

- Provides direction on how to report retail sales that serve California’s load

FAQs #7 - Questions

7.1 Do EPEs have to report retail sales that serve California load?
7.2 How should CPUC-registered electric service providers (ESP) report?
7.3 Can an EPE report retail sales for an affiliated ESP or community choice aggregator (CCA)?
7.4 How should an EPE document the practice of reporting retail sales for an affiliated ESP or a CCA?

New FAQs on Cessation

- Reflects 2014 MRR and future year 2016 MRR

FAQs #8 - Questions

8.1 Imports and/or Exports: When an EPE stops importing and exporting power, how much longer does the EPE have to report and verify?
  - Data Year 2017. An EPE with zero imports and/or exports reports this year and next, and must undergo verification this year
8.2 Wheels: When an EPE only has “wheeled electricity through California” to report, does the EPE have to report and verify?
  - An EPE with only reportable wheels is not considered an importer or exporter and is not required to report or verify

CAISO Sales Threshold Questions

- Clarified CAISO Sales Threshold questions #2 and #4

Redline version:

ALLOCATION ALLOCATED TO COMPLIANCE ACCOUNT
2. Did you direct ARB to deposit any allocated allowances into your compliance account? If so, or in another electric power entity’s (EPE) compliance account? How many allowances were allocated to your compliance account? If answering “Yes,” you are required to report CAISO sales and no additional information is required on this sheet.

COMPLIANCE OBLIGATION
4. Do you have, or did you direct ARB to deposit any allocated allowances into the compliance account of an electric generating entity that has a compliance obligation under the Cap-and-Trade Regulation for emissions from electric power sold into CAISO? (Yes/No) ___

Clean version:

2. Did you have any allocated allowances deposited in your compliance account, or in another electric power entity’s (EPE) compliance account? If answering “Yes,” you are not required to report CAISO sales and no additional information is required on this sheet.
4. Do you, or an EPE to whom you allocated allowances, have a compliance obligation under the Cap-and-Trade Regulation for emissions from electricity sold into CAISO? (Yes/No)

CARB Advisory Info on CEC RPS Eligibility

- California Energy Commission (CEC) certifies electric generation facilities as “RPS-eligible” under the California RPS Program
  - List of eligible and ineligible facilities at http://rps.energy.ca.gov
- When reporting under CARB MRR
  - RPS Adjustments are only allowed for power from eligible facilities
  - EPEs importing power from eligible facilities are required to report RECs
- CARB advisory by facility in the workbooks determined by:
  - Certification Status: Approved, and
  - Certification Type: Not Pre-Certification, and
  - Eligibility Date before or during reporting year.
  - Result: Either “Ineligible”, “Eligible”, or “Partial Year Eligibility”
Cal e-GGRT and Workbook Updates

Preliminary Emission Factor List

- CARB provided draft specified source emission factors based on February 1 Specified Source Registration workbooks
- Allows EPEs to ensure all of their registered sources are included in the workbooks and the emission factors are reasonable based on publicly available data
- Staff found that providing Preliminary EFs is very helpful to ensure workbooks are complete before posting
- Based on your feedback, CARB plans to release draft EFs again next year

Workbook 1 - Reporter Info tab

- “EPE Type” replaces “Supplemental Data Category” field, and new field values
- “Retail Provider in California (Y/N)” new field
- New text in “Notes” field on reporting retail sales for additional entity

Workbook 1 - CAISO Sales tab

- Threshold Question #1 (POU or CO-OP) in Cell A8 is now autofilled from user input on the Reporter Info tab, as shown in Cell A9
- Revised wording to clarify Threshold Questions #2 and #4
- XML Validation of Cell F8 is based on inputs in Cells A8:E8 that will prevent XML upload to Cal e-GGRT if threshold questions are incomplete

Note: Allocated Allowances are deposited by CARB into the Limited Use Holding Account (LUHA) of each eligible entity. Only Entities can move allowances from their LUHA to their Compliance Accounts. Thus, if allocated allowances remain in the LUHA, the answer to Question #2 is "No."
Workbook 1 - Unspecified Imports

- Deleted the following four fields: First Point of Receipt, Transaction Start Date, Transaction End Date, & NERC e-Tag Code
- Three optional fields removed and one redundant item to streamline reporting
- Same four fields also deleted in Spec Imports, RPS Adjust, Unspec Exports, and Spec Exports tabs

Workbook 1 - Specified Imports tab

- New CEC RPS ID field in Column D pulls ID from new “CEC RPS List” tab
- Jurisdiction field in Column J (state) is now autofilled when a resource is selected in Column A
- In Manual EF field set: New “CARB Authorization” field in Column R where EPEs must demonstrate approval received to use a Manual Emissions Factor
- In Retail Providers Only field set: New autofilled “Are you a Retail Provider?” response pulled in from Reporter Info tab in Column AP. Reworded fieldname in Column AQ to read, “Was the Power Brought in on Your Behalf?”
- New Retail Provider fields in Columns AZ-BB
- All three fields are autofill based on earlier responses and info
  - Are you a retail provider in CA and a GPE?
  - Low or High GHG relative to 0.428 MTCO2e/MWh
  - Flag (Yes/No) to report line item in the Retail Provider tab

Workbook 1 - RPS Adjust tab

- Jurisdiction field will now autofill, and three Optional fields have been deleted as previously described
- CEC RPS Eligibility Advisory Info field (see previous slide) - New in Column N
  - RPS Adjustments are only allowed for power from eligible facilities
  - Imports from eligible facilities are required to report RECs
- REC Date retired filed in Column P now uses MM/DD/YYYY date format
- New REC Vintage field in Column T with YYYY date format
- New CEC RPS ID field in Column D pulls ID from “CEC RPS List” tab
  - Located in Tabs:
    - Spec Imports
    - RPS Adjust
    - REC Serial
    - CEC RPS List
Workbook 1 – REC Serial tab

- New field: “Where Did You Obtain The RECs” in Column K
  - Drop-down field values: WREGIS, GPE, Other EPE, Not Listed

- New field: “On-Behalf Of Imports (Y/N)” in Column L
  - Answer should always be “No” because RECs should not be reported for On-Behalf of spec imports, and imported power is not eligible for RPS Adjustment

- REC Vintage field in Column Q now only with YYYY date format

- Updated tabulation logic for the RPS Adjustment RECs
  - Select “RPS Adjustment” in Column I, and
  - REC Status in Column J must be Retired, in order for REC quantity to tabulate as RPS adjustment in Column F

- Automates data quality check

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Workbook 1 – Wheeled tab

- Deleted the three Optional fields shown below
  - Same fields also deleted in Spec Imports, RPS Adjust, Unspec Exports, and Spec Exports tabs

- Deleted First Point of Receipt (POR) Full Name field in Column Q

- Deleted Final Point of Delivery (POD) Full Name field in Column U

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Additional Verifier Information

- New: REC Tabulation in Cal e-GGRT GHG Summary Report

<table>
<thead>
<tr>
<th>Generation Resource by Claim Type</th>
<th>Reported MWh</th>
<th>Required RECs</th>
<th>(Under)/Over</th>
</tr>
</thead>
<tbody>
<tr>
<td>RPS Adjustment RECs - Detail</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arlington Wind Power Project, ARB ID: 600016</td>
<td>50,000</td>
<td>75,000</td>
<td>25,000</td>
</tr>
<tr>
<td>Big Horn II-Wind, ARB ID: 800180</td>
<td>45,000</td>
<td>47,000</td>
<td>2,000</td>
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<tr>
<td>Biglow Canyon Wind Farm (Phase 1), ARB ID: 800007</td>
<td>10,000</td>
<td>30,000</td>
<td>-</td>
</tr>
<tr>
<td>Biglow Canyon Wind Farm (Phase 2), ARB ID: 810004</td>
<td>25,000</td>
<td>25,000</td>
<td>(2,000)</td>
</tr>
<tr>
<td>Biglow Canyon Wind Farm (Phase 3), ARB ID: 810003</td>
<td>10,000</td>
<td>10,000</td>
<td>-</td>
</tr>
<tr>
<td>RPS Adjustment RECs - Total</td>
<td>100,000</td>
<td>180,000</td>
<td>25,000</td>
</tr>
</tbody>
</table>

Specified Sources Imports RECs - Detail

<table>
<thead>
<tr>
<th>Generation Resource by Claim Type</th>
<th>Reported MWh</th>
<th>Required RECs</th>
<th>(Under)/Over</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arlington Valley Solar Project, ARB ID: 700100</td>
<td>25,000</td>
<td>23,000</td>
<td>(2,000)</td>
</tr>
<tr>
<td>Copper Mountain Solar 2 (CMS2), ARB ID: 700004</td>
<td>80,000</td>
<td>80,000</td>
<td>-</td>
</tr>
<tr>
<td>Copper Mountain Solar 3, ARB ID: 700101</td>
<td>85,000</td>
<td>88,000</td>
<td>3,000</td>
</tr>
<tr>
<td>Copper Mountain Solar 4 (CMS4), ARB ID: 700130</td>
<td>45,000</td>
<td>47,000</td>
<td>2,000</td>
</tr>
<tr>
<td>Macho Springs Solar Facility, ARB ID: 700124</td>
<td>85,000</td>
<td>80,000</td>
<td>(5,000)</td>
</tr>
<tr>
<td>Specified Sources Imports RECs - Total</td>
<td>220,000</td>
<td>218,000</td>
<td>(2,000)</td>
</tr>
</tbody>
</table>

- Verifiers are expected to resolve all validation errors identified in the worksheets and Cal e-GGRT report with their reporters
  - All issues must first be logged in the issues log when reviewing the initial submitted report

- If there are any issues regarding discrepancies in CEC Eligibility status as advised by CARB and as reported by the reporter, please contact CARB immediately so that we can participate in the resolution and, if applicable, update our records accordingly

- Verifiers and reporters can still expect communications from CARB via Cal e-GGRT after June 1 regarding at least the following quality assurance checks, if any:
  - Over-allocation of resources
  - Overlap of reported REC serials
  - Discrepancies identified by CARB during quality assurance checks
Questions

Key Reporting Dates

<table>
<thead>
<tr>
<th>Date</th>
<th>Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>February</td>
<td>Public release of Cal e-GGRT module for EPEs</td>
</tr>
<tr>
<td>June 1</td>
<td>Regulatory deadline: Reporting deadline for electric power entities and those subject to abbreviated reporting</td>
</tr>
<tr>
<td>July 16</td>
<td>Deadline for corrections to RPS Adjustment data required for electric power entity data reports (i.e., 45 days following the emissions data report due date)</td>
</tr>
<tr>
<td>August 10</td>
<td><strong>NEW</strong> Regulatory deadline: Verification statements due</td>
</tr>
</tbody>
</table>

https://ww2.arb.ca.gov/mr-key-dates

GHG Reporting Contacts

<table>
<thead>
<tr>
<th>Subject Matter</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manager – Climate Change Reporting Section</td>
<td>Syd Partridge, Manager</td>
</tr>
<tr>
<td>General Reporting Requirements, Stationary Combustion, Electricity Generation and Cogen, Miscellaneous Sectors, Cal e-GGRT Reporting</td>
<td><a href="mailto:ghgreport@arb.ca.gov">ghgreport@arb.ca.gov</a></td>
</tr>
<tr>
<td>Reporting Tool Registration and General Questions</td>
<td>Karen Lutter</td>
</tr>
<tr>
<td>Electric Retail Providers and Electricity Marketers, §95111</td>
<td>Wade McCadney, Manager</td>
</tr>
<tr>
<td>Manager – Greenhouse Gas Verification Section</td>
<td>Ryan Schaufler, Manager</td>
</tr>
<tr>
<td>Verification of Electric Retail Providers and Electricity Marketers</td>
<td>Abhij Singh</td>
</tr>
<tr>
<td>Chief – Program Planning and Monitoring Branch</td>
<td>Brieana Aguila, Chief</td>
</tr>
</tbody>
</table>

Resources

- Email reporting questions to: ghgreport@arb.ca.gov
- Reporting Guidance for EPEs
  https://ww2.arb.ca.gov/mr-epe
- Cal e-GGRT Tool Training
  http://www.arb.ca.gov/cc/reporting/ghg-rep/tool/ghg-tool.htm
- Cal e-GGRT Main Help Page
  http://www.ccdsupport.com/confluence/display/calhelp/Home
- Accredited Verification Bodies
  https://ww2.arb.ca.gov/our-work/programs/mandatory-greenhouse-gas-emissions-reporting/verification-bodies

https://ww2.arb.ca.gov/mr-key-dates