

**Detailed California-Modified GREET
Pathways for Brazilian Sugarcane Ethanol:
Average Brazilian Ethanol,
With Mechanized Harvesting and
Electricity Co-product Credit,
With Electricity Co-product Credit**



Stationary Source Division
Release Date: September 23, 2009
Version 2.3

The Staff of the Air Resources Board developed this preliminary draft version as part of the Low Carbon Fuel Standard regulatory process

The ARB acknowledges contributions from Life Cycle Associates (under contract with the California Energy Commission) during the development of this document

When reviewing this document, please submit comments directly to:

Anil Prabhu: aprabhu@arb.ca.gov

Chan Pham: cpham@arb.ca.gov

Alan Glabe: aglab@arb.ca.gov

These comments will be compiled, reviewed, and posted to the LCFS website in a timely manner.

TABLE OF CONTENTS

Table of Contents.....	i
List of Figures.....	ii
List of Tables.....	ii
SUMMARY	1
CA-GREET Model Pathway for Brazil Sugarcane Ethanol.....	2
APPENDIX A1 (BASELINE PATHWAY)	14
Average Brazilian Sugarcane Ethanol	14
Section 1. SUGARCANE FARMING.....	15
1.1 Energy Use for Sugarcane Farming.....	15
1.2 GHG Emissions from Sugarcane Farming	18
1.3 GHG Emissions from Straw Burning in Field.....	21
Section 2. INPUTS FOR AGRICULTURAL CHEMICALS.....	23
2.1 Energy Calculations for Production of Chemical Inputs	23
2.2 GHG Calculation from Production and Use of Agricultural Chemicals	24
Section 3. SUGARCANE TRANSPORT	29
3.1 Energy for Sugarcane Transportation	29
3.2 GHG Calculations from Sugarcane Transportation	30
Section 4. ETHANOL PRODUCTION.....	32
4.1 Ethanol Production.....	32
4.2 GHG Emissions from Ethanol Production	33
Section 5. ETHANOL TRANSPORT AND DISTRIBUTION	36
5.1 Energy for Ethanol Transportation and Distribution.....	36
5.2 GHG Calculations from Ethanol Transportation and Distribution (T&D).....	38
APPENDIX A2 (SCENARIOS 1 AND 2)	40
Scenario 1: Mechanized Harvesting and Electricity Co-product Credit	40
Scenario 2: Electricity Co-product Credit	40
Section 1. GHG Emissions from Avoiding Straw Burning and Mechanized Harvesting of Sugarcane	42
Section 2. GHG Emissions Accounting for Co-Product Credit from Electricity Generation.....	42
APPENDIX B	45
INPUT VALUES FOR ETHANOL FROM BRAZILIAN SUGARCANE	45

LIST OF FIGURES

Figure 1. WTW Components for Sugarcane Ethanol Produced in Brazil and Transported for Use in CA.....	3
Figure 2. Percent Energy Contribution from WTW Analysis for Sugarcane Ethanol	6
Figure 3. Percent GHG Emissions from WTW Sugarcane Ethanol.....	7

LIST OF TABLES

Table A. Summary of Baseline Pathway and Two Additional Scenarios.....	4
Table B. Summary of Energy Use for the Baseline Sugarcane Ethanol Pathway.....	5
Table C. GHG Emissions Summary for Sugarcane Ethanol	6
Table D. Total Energy Input by Fuel Use for Sugarcane Farming.....	8
Table E. GHG Emissions from Sugarcane Farming and Straw Burning.....	8
Table F. Energy Inputs for Agricultural Chemicals for Sugarcane Farming.....	9
Table G. Total GHG Emissions from Agricultural Chemical Use in Sugarcane Farming.	9
Table H. Sugarcane Transport Energy.....	10
Table I. Sugarcane Transport – Total GHG Emissions	10
Table J. Ethanol Production Energy Use.....	10
Table K. GHG Emissions for Ethanol Production	11
Table L. Energy Use for Ethanol Transport and Distribution	11
Table M. GHG Emissions Related to Ethanol Transport and Distribution	12
Table 1.01 Primary Energy Inputs by Fuel/Energy Input Type for Farm Operations	15
Table 1.02 General Shares of Electricity Mix in Brazil.....	16
Table 1.03 Calculating Total Energy Input by Fuel for Sugarcane Farming	16
Table 1.04 Values Used in Table 1.03	17
Table 1.05 Energy Consumption in the WTT Process and Specific Energy.....	18
Table 1.06 Global Warming Potentials for Gases	18
Table 1.07 CA-GREET Calculations for CO ₂ Emissions from Sugarcane Farming.....	19
Table 1.08 Input Values for Calculations in Table 1.06	20
Table 1.09 GHG Emissions from Sugarcane Farming	21
Table 1.10 Inputs for Calculating Field Burning Emissions	21
Table 1.11 Sugarcane Straw Burning Emission Factors	21
Table 2.01 Sugarcane Farming Chemical Inputs	23
Table 2.02 Calculated GHG Emissions (g/g) Associated with Production of Agricultural Chemicals	24
Table 2.03 Calculated CO ₂ Emissions Associated with Production of Agricultural Chemicals	25
Table 2.04 Calculated GHG Emissions (g/g) Associated with Production of Agricultural Chemicals	26
Table 2.05 Calculated GHG Emissions from Production of Agricultural Chemicals	27
Table 2.06 Inputs and Calculated Emissions for Soil NO and N ₂ O from Sugarcane Farming.....	27
Table 2.07 Total GHG Emissions from Agricultural Chemical Use for Sugarcane Ethanol	28
Table 3.01 Sugarcane Transport Inputs.....	29
Table 3.02 Sugarcane Transport Energy	29

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

<i>Table 3.03 Key Assumptions in Calculating GHG Emissions from Sugarcane</i>	<i>30</i>
<i>Table 3.04 Sugarcane Transport - CO₂ Emissions.....</i>	<i>30</i>
<i>Table 3.05 Sugarcane Transport –Total GHG Emissions</i>	<i>31</i>
<i>Table 4.01 Sugarcane Ethanol Fuel Shares and Primary Energy Inputs</i>	<i>32</i>
<i>Table 4.02 Sugarcane Ethanol Production Parameters and Total Energy Use.....</i>	<i>33</i>
<i>Table 4.03 Process Shares and Emission Factors (EF) for Ethanol Production</i>	<i>33</i>
<i>Table 4.04 Calculated GHG Emissions for Ethanol Production Using CO₂ Factors from Table 4.03</i>	<i>34</i>
<i>Table 5.01 Inputs and Calculated Energy Requirements for Ethanol Transport to Bulk Terminals</i>	<i>36</i>
<i>Table 5.02 Calculations for Ethanol Transport Energy by Transport Mode</i>	<i>37</i>
<i>Table 5.03 Assumptions in Calculating GHG Emissions from EtOH Transportation</i>	<i>38</i>
<i>Table 5.04 GHG Emissions from EtOH Transport and Distribution</i>	<i>39</i>
<i>Table A2 Comparison of Baseline Pathway with Two Additional Scenarios Analyzed In This Appendix</i>	<i>41</i>
<i>Table 1.01 Avoided Emissions from Mechanized Harvesting.....</i>	<i>42</i>
<i>Table 2.02 Total Electricity Exported to Grid in 2008 in Brazil of 39 Mills Surveyed</i>	<i>43</i>
<i>Table 2.03 GHG Emissions for Co-product Electricity Credit</i>	<i>44</i>

SUMMARY

CA-GREET Model Pathway for Brazil Sugarcane Ethanol

A Well-To-Tank (WTT) life cycle analysis of a fuel (or blending component of fuel) pathway includes all steps from feedstock production to final finished product. Tank-To-Wheel (TTW) analysis includes actual combustion of fuel in a motor vehicle for motive power. Together WTT and TTW analysis are combined together to provide a total Well-To-Wheel (WTW) analysis.

A life cycle analysis model called the **G**reenhouse gases, **R**egulated **E**missions, and **E**nergy use in **T**ransportation (GREET)¹ developed by Argonne National Laboratory has been used to estimate the energy use and greenhouse gas (GHG) emissions associated with the entire pathway of producing ethanol from Brazilian sugarcane, transporting it via ocean tanker to a California port, distributed and finally used in a light-duty vehicle in California. The original Argonne model was modified to include California specific values and factors and this model, the CA-GREET model was published on the Low Carbon Fuel Standard website in February 2009 (<http://www.arb.ca.gov/fuels/lcfs/lcfs.htm>).

The original pathway document for sugarcane ethanol published in February 2009 was for baseline ethanol produced in Brazil, transported to and used in California. For this document, this original pathway termed 'baseline' pathway in this document is identical in all aspects to the pathway published in February 2009. However, the Board directed staff to analyze two additional scenarios for sugarcane ethanol to account for improved harvesting practices and the export of electricity from sugarcane ethanol plants in Brazil using energy from bagasse. Therefore, this document adds the two additional scenarios for ethanol from sugarcane in Brazil. These two are not to be considered average for all of Brazilian ethanol but specific cases when such practices are adopted in Brazil.

The first additional scenario (labeled Scenario 1) added here includes:

- a) mechanized harvesting of cane which is gradually replacing the traditional practice of burning straw before harvesting cane and;
- b) export of electricity (co-generated) from power plants that are capable of exporting additional energy beyond that required for processing in the plant (co-product credit).

The second additional scenario (labeled Scenario 2) added here is by considering only the export of electricity (co-product) from power plants capable of producing the additional electricity for export.

For the results presented in this document, none of the assumptions or values have been changed for the baseline pathway published in February 2009.

¹ GREET Model: Argonne National Laboratory:
http://www.transportation.anl.gov/modeling_simulation/GREET/index.html

Figure 1 below outlines the discrete components that comprise the baseline sugarcane ethanol pathway. The baseline pathway does not include impacts from the components corresponding to the dashed arrows which are for the two additional scenarios presented in this document.

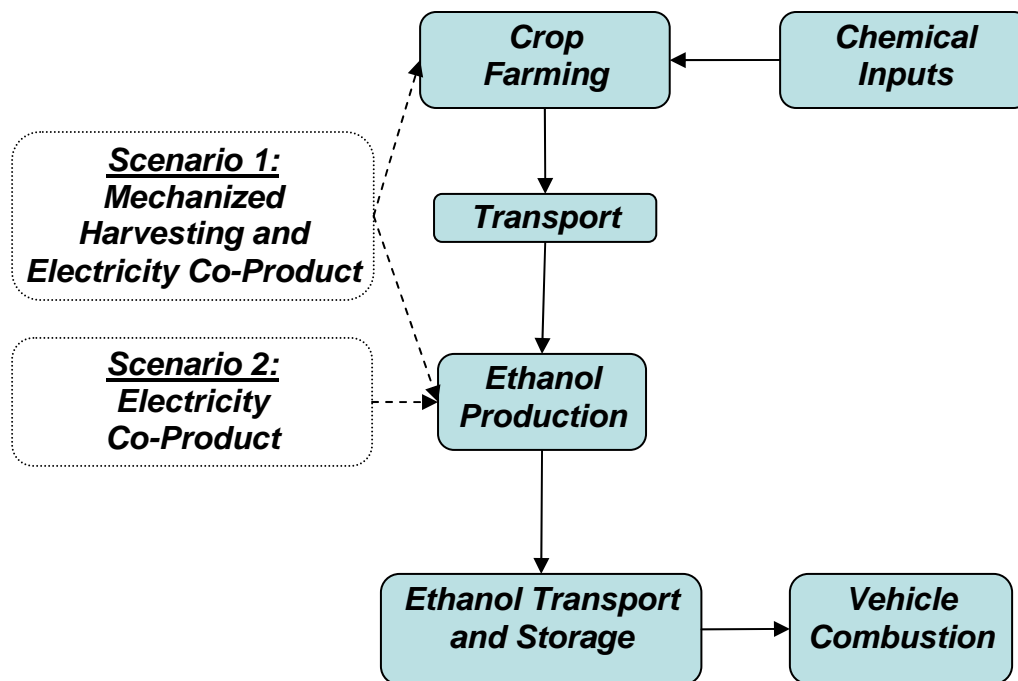


Figure 1. WTW Components for Sugarcane Ethanol Produced in Brazil and Transported for Use in CA

Several general descriptions and clarification of terminology used throughout this document are:

- CA-GREET employs a recursive methodology to calculate energy consumption and emissions. To calculate WTT energy and emissions, the values being calculated are often utilized in the calculation. For example, crude oil is used as a process fuel to recover crude oil. The total crude oil recovery energy consumption includes the direct crude oil consumption AND the energy associated with crude recovery (which is the value being calculated).
- Btu/mmBtu is the energy input necessary in Btu to produce one million Btu of a finished (or intermediate) product. This description is used consistently in CA-GREET for all energy calculations.
- gCO₂e/MJ provides the total greenhouse gas emissions on a CO₂ equivalent basis per unit of energy (MJ) for a given fuel. Methane (CH₄) and nitrous oxide (N₂O) are converted to a CO₂ equivalent basis using IPCC Global Warming Potential (GWP) values and included in the total.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

- CA-GREET assumes that VOC and CO are converted to CO₂ in the atmosphere and includes these pollutants in the total CO₂ value using ratios of the appropriate molecular weights. This method is also used by the IPCC.
- Process Efficiency for any step in CA-GREET is defined as:

$$\text{Efficiency} = \text{energy output} / (\text{energy output} + \text{energy consumed})$$

- Note that rounding of values has not been performed in several tables in this document. This is to allow stakeholders executing runs with the GREET model to compare actual output values from the CA-modified model with values in this document.

Table A provides a summary of the WTW GHG emissions for the baseline pathway and the two additional scenarios described in this document.

Table A. Summary of Baseline Pathway and Two Additional Scenarios

Pathway Description	WTW GHG* Emissions (gCO₂e/MJ)
Baseline Pathway Brazilian sugarcane using average production processes	27.40
Scenario 1 Brazilian sugarcane with average production process, mechanized harvesting and electricity co-product credit	12.40
Scenario 2 Brazilian sugarcane with average production process and electricity co-product credit	20.40

*These values do not include contributions from Land Use Change. This analysis is available in the staff report titled "Proposed Regulation to Implement the Low Carbon Fuel Standard - Initial Statement of Reasons (ISOR)" from the website: www.arb.ca.gov/fuels/lcfs/lcfs.htm.

Results provided in this section are for all the three pathways: baseline and the two additional scenarios. All the components and values of the baseline pathway are applicable to the two additional scenarios presented in this document. Only certain components that provide GHG credits to the baseline pathway form the additional components for scenarios 1 and 2.

Table B summarizes the fuel cycle energy inputs by stage (Btu/mmBtu) and Table C summarizes the major GHG emission categories and intensities (gCO₂e/MJ) for the baseline pathway. This is same as the document published in February 2009 for the Brazilian sugarcane ethanol pathway (see Appendix A1 for further details on energy use and emissions). Figure 2 shows the percentage energy contributions from the various components of the baseline ethanol pathway. From an energy viewpoint, ethanol production (48.6%) and carbon in fuel (44.4%) components dominate the baseline

sugarcane ethanol pathway. Figure 3 shows the GHG contributions from the various components of this pathway. From a GHG viewpoint, sugarcane farming impacts (37.2%) and production and use of agricultural chemicals (32.7%) components are the major contributors to the sugarcane ethanol pathway. Complete details of all energy inputs and GHG emissions for the baseline pathway are provided in Appendix A1. For the two additional scenarios provided in this document, details are provided in Appendix A2. A list of all input values is provided in Appendix B.

Note: Since all the ethanol is produced from sugarcane which consists of CO₂ fixed via photosynthesis, the tailpipe emissions from combustion of ethanol is considered to be zero. This is because the CO₂ release from combustion was actually removed from the atmosphere by the feedstock. The addition of denaturant, however, does lead to contributions to CO₂ during combustion which is proportional to the amount of denaturant added to anhydrous ethanol. This value is not shown below in Table C under TTW category since the values are shown for anhydrous ethanol. The discussion and calculations are presented in Appendix A1. Since the use of anhydrous ethanol as a stand alone fuel is not permitted in California, this document does not include tailpipe emissions of CH₄ and N₂O. An accompanying document for CaRFG² (containing ethanol as an oxygenate in CARBOB) provides combined effects including tailpipe emissions of using reformulated gasoline in a light-duty vehicle.

Table B. Summary of Energy Use for the Baseline Sugarcane Ethanol Pathway

Sugarcane Ethanol Components	Energy Use (Btu/mmBtu) (Anhydrous)	% Energy Contribution
Sugarcane Farming	26,219	1.2%
Energy Inputs for Ag Chemicals	59,562	2.6%
Sugarcane Transportation	25,344	1.1%
Ethanol Production	1,093,320	48.6%
Ethanol T&D	43,795	1.9%
Total Well-to-Tank	1,249,563	55.5%
Carbon in Fuel	1,000,000	44.6%
Total Tank-to-Wheel	1,000,000	44.6%
Total Well-to-Wheel	2,248,240	100%

² See this CaRFG document published 02/2009 by ARB:
http://www.arb.ca.gov/fuels/lcfs/022709lcfs_carfg.pdf

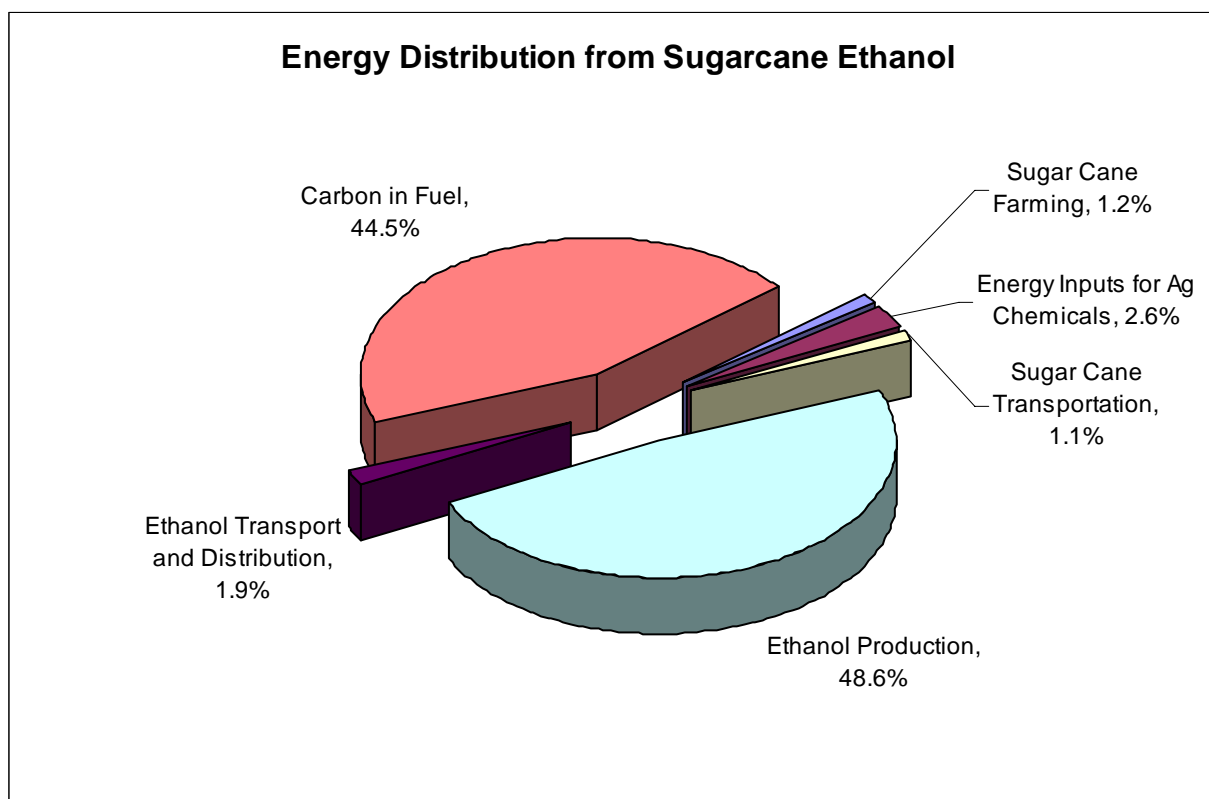


Figure 2. Percent Energy Contribution from WTW Analysis for Sugarcane Ethanol

Table C. GHG Emissions Summary for Sugarcane Ethanol

Sugarcane Ethanol Components	GHG Emissions (gCO ₂ e/MJ)	% Emission Contribution
Sugarcane Farming (incl. straw burning)	9.8	37.2%
Ag Chemicals Production and Use Impacts	9.2	32.7%
Sugarcane Transportation	2.0	7.5%
Ethanol Production	2.1	7.1%
Ethanol T&D	3.5	15.4%
Total Well-to-Tank	26.6	100%
Total Tank-to-Wheel	0	0%
Total Well-to-Wheel	26.6*	100%

*Note: The value of **26.6 gCO₂e/MJ** does not include contributions from CH₄ and N₂O when ethanol is blended with CARBOB and used as Reformulated Gasoline in a light-duty gasoline engine. The total GHG value including tailpipe contributions for sugarcane ethanol is **27.40 gCO₂e/MJ** when blended with CARBOB (approximately 10% by volume ethanol). Details of this calculation are available in the CaRFG document available on the LCFS website (www.arb.ca.gov/fuels/lcfs/lcfs.htm).

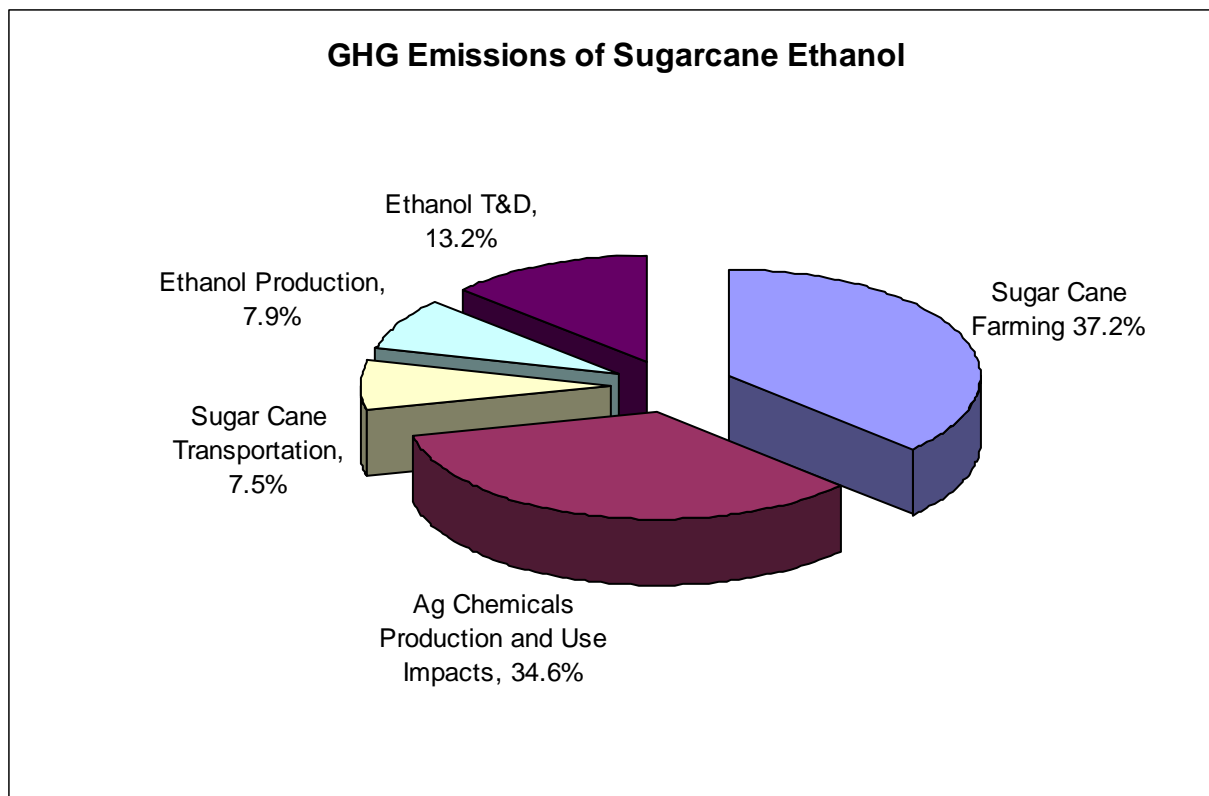


Figure 3. Percent GHG Emissions from WTW Sugarcane Ethanol

This section provides additional details of the energy and related GHG emissions for all the various baseline pathway components for sugarcane ethanol. Complete details including calculations, equations, etc. are provided in Appendices A1 and A2.

Additional Details of the Sugarcane Ethanol Pathways

The first part of this section provides results for the energy use and GHG emissions for the baseline sugarcane ethanol pathway. These values are identical for the two additional scenarios modeled here. Later in this section, details pertaining to the impacts of the two additional scenarios on the baseline pathway GHG emissions are provided.

SUGARCANE FARMING

Table D provides a breakdown of energy input from each fuel type used in sugarcane farming activities. Table E provides information on GHG emissions related to sugarcane farming. Additional details are provided in Appendix A1.

Table D. Total Energy Input by Fuel Use for Sugarcane Farming

Fuel Type	Total Energy Use
Diesel fuel (Btu/mmBtu)	10,113
Gasoline (Btu/mmBtu)	3,357
Natural gas (Btu/mmBtu)	5,221
Liquefied petroleum gas (Btu/mmBtu)	4,768
Electricity (Btu/mmBtu)	2,760
Total Energy for Sugarcane Farming	26,219

Table E. GHG Emissions from Sugarcane Farming and Straw Burning

Emission Species	GHG Emissions of Farming	GHG Emissions of Straw Burning
CH ₄ (gCO ₂ e/MJ)	0.1	6.6
N ₂ O (gCO ₂ e/MJ)	0.01	2.1
VOC (gCO ₂ e/MJ)	< 0.01	2.2
CO (gCO ₂ e/MJ)	< 0.01	14.2
CO ₂ (gCO ₂ e/MJ)	1.8	163.20
Biogenic CO ₂ credit (gCO ₂ e/MJ)	n/a	(-180.31)
GHG Emissions (gCO₂e/MJ)	1.8	8.0
Total GHG Emissions		9.8

INPUTS FOR AGRICULTURAL CHEMICALS

Table F provides details the energy inputs required to produce chemicals used in agricultural operations related to sugarcane farming. This includes fertilizers such as nitrogen, phosphorus, potassium (potash), and calcium carbonate (lime) as well as herbicides and insecticides. Table G provides details of the associated GHG emissions related to the production of these chemicals as well as their use in sugarcane farming. N₂O and CO₂ emissions from the soil are based on the amount of fertilizer and lime applied respectively. Complete details are provided in Appendix A1.

Table F. Energy Inputs for Agricultural Chemicals for Sugarcane Farming

Chemical Type (Btu/mmBtu)	Total Energy Use
Nitrogen Fertilizer	31,076
Phosphate Fertilizer	878
Potash	889
Lime	22,467
Herbicide	3,875
Insecticide	377
Total Energy Use (Btu/mmBtu)	59,562

Table G. Total GHG Emissions from Agricultural Chemical Use in Sugarcane Farming

GHG Emissions	GHG Emissions from Agricultural Chemicals			GHG Emissions from Soil N₂O and NO	GHG Emissions from CO₂ from Application of Lime	Total GHG Emissions
	Fertilizers	Herbicide	Pesticide			
GHGs (gCO₂e/MJ)	4.18	0.3	0.03	3.5	1.2	9.2

SUGARCANE TRANSPORT

Table H details the energy inputs required to transport sugarcane from the farm to the ethanol production plant using heavy duty trucks. Table I provides details of the associated GHG emissions related to transportation of sugarcane from the farm to the ethanol plant. Complete details are provided in Appendix A1.

Table H. Sugarcane Transport Energy

Transport Mode	Energy Consumption
Total Energy Use for Sugarcane Transport (Btu/mmBtu)	25,344

Table I. Sugarcane Transport – Total GHG Emissions

GHG Species	GHG Emissions
VOC (gCO ₂ e/MJ)	< 0.01
CO (gCO ₂ e/MJ)	< 0.01
CH ₄ (gCO ₂ e/MJ)	0.05
N ₂ O (gCO ₂ e/MJ)	0.01
CO ₂ (gCO ₂ e/MJ)	1.88
Total GHG Emissions	2.0

ETHANOL PRODUCTION

Table J details the energy inputs required to produce ethanol from sugarcane for the baseline pathway. Table K provides details of the associated GHG emissions related to production of ethanol. Complete details are provided in Appendix A1.

Table J. Ethanol Production Energy Use

Fuel Type	Total Energy Use
From Residual Oil (Btu/gal)	279
From Bagasse (Btu/gal)	83,132
Total Energy Input for Ethanol Production (Btu/gal)	83,411
Total Energy Input for Ethanol Production (Btu/mmBtu)	1,093,320

Table K. GHG Emissions for Ethanol Production

GHG Species (gCO ₂ e/MJ)	GHG Emissions
Residual Oil (gCO ₂ e/MJ)	0.03
GHG from Bagasse burning (gCO ₂ e/MJ)	124.93
Credit for Bagasse burning (gCO ₂ e/MJ)	-122.9
Total GHG Emissions (gCO ₂ e/MJ)	2.1

ETHANOL TRANSPORT AND DISTRIBUTION

Ethanol is transported within Brazil by rail or pipeline. It is then shipped to the US by ocean tanker. Several different denaturant blending options can apply to Brazilian ethanol. A significant fraction of ethanol imported to the U.S. is processed as hydrated ethanol (5% water) in the Caribbean where denaturant is also added. This delivery mode is not modeled in CA-GREET so the pathway based on delivering anhydrous ethanol to California is shown here. Once in California, it is blended with CARBOB and transported and distributed by heavy duty trucks. Table L details the energy inputs required to transport ethanol. Table M provides details of the associated GHG emissions related to ethanol transport and distribution. Additional details are provided in Appendix A1.

Table L. Energy Use for Ethanol Transport and Distribution

Transport Mode	Total Energy Use
Transportation within Brazil and to US Port	
By Ocean Tanker (Btu/mmBtu)	21,661
By Rail (Btu/mmBtu)	4,638
By Pipeline (Btu/mmBtu)	3,069
Transportation within U.S	
By Heavy Duty Truck (Btu/mmBtu)	10,305
Distribution within US	
By Heavy Duty Truck (Btu/mmBtu)	4,122
Total Ethanol T&D Energy Use (Btu/mmBtu)	43,795

Table M. GHG Emissions Related to Ethanol Transport and Distribution

Transport Mode	GHG Emissions (gCO₂e/mmBtu)	GHG Emissions (gCO₂e/MJ)
Transportation within Brazil and to US Port		
By Ocean Tanker	1,901	1.81
By Rail	755	0.72
By Pipeline	468	0.45
Transportation within U.S		
By Heavy Duty Truck	839	0.81
Distribution within US		
By Heavy Duty Truck	419	0.32
Total GHG Emissions (gCO₂e/MJ)	3,687	3.5

Since the CO₂ released from ethanol combustion is the carbon fixed during crop growth, the CO₂ emissions are not counted in the Life Cycle Analysis of sugarcane ethanol. Also, since ethanol is not used as a fuel but as an oxygenate in CaRFG, tailpipe emissions from use of anhydrous ethanol is not discussed in this document. Staff has provided a CaRFG (California Reformulated Gasoline) document which details the blending of ethanol into CARBOB for use as CaRFG and emissions from use of CaRFG (www.arb.ca.gov/fuels/lcfs/lcfs.htm).

Details for Additional Scenarios 1 and 2 Modeled here

FOR SCENARIO 1, WITH MECHANIZED HARVESTING AND EXPORT OF CO-PRODUCT ELECTRICITY

Table N provides a summary of the WTW GHG emissions for scenario 1. Complete details are provided in Appendix A2.

Table N. WTW GHG Emissions for Scenario 1

Description	GHG Emissions
Baseline Pathway Emissions (gCO ₂ e/MJ)	27.40
Credit from Mechanized Harvest (gCO ₂ e/MJ)	-8.0
Electricity Co-product Credit (gCO ₂ e/MJ)	-7.0
Total GHG Emissions for Scenario 1 (gCO₂e/MJ)	12.40

FOR SCENARIO 2 WITH EXPORT OF CO-PRODUCT ELECTRICITY

Table O provides a summary of the WTW GHG emissions for scenario 2. Complete details are provided in Appendix A2.

Table O. WTW GHG Emissions for Scenario 2

Description	GHG Emissions
Baseline Pathway Emissions (gCO ₂ e/MJ)	27.40
Electricity Co-product Credit (gCO ₂ e/MJ)	-7.0
Total GHG Emissions for Scenario 2 (gCO₂e/MJ)	20.40

APPENDIX A1 (BASELINE PATHWAY)

AVERAGE BRAZILIAN SUGARCANE ETHANOL

SECTION 1. SUGARCANE FARMING

1.1 Energy Use for Sugarcane Farming

This section presents the direct energy inputs for sugarcane farming. For farming, the CA-GREET model calculates energy and emissions based on the quantity of fuel (Btu) and chemicals used per tonne of sugarcane, rather than using energy efficiencies, as the petroleum pathways do in CA-GREET. The total input energy per metric tonne of sugarcane is **41,592 Btu** (CA-GREET default) using a mix of fuel types shown in Table 1.01.

The Brazilian sugarcane ethanol pathway uses three different electricity mixes: Brazilian average, Brazilian marginal and U.S. average mix. The electricity mix used for sugarcane farming is the Brazilian average mix³, and U.S. electricity is the assumed input for fertilizer production (see Sections 2.1 and 2.2 in this Appendix). Marginal Brazilian electricity (natural gas) is the assumed electricity mix displaced by bagasse-fired exported electricity produced at the ethanol plant. Table 1.02 below shows generation shares of the three electricity mixes used in this fuel pathway.

Table 1.01 Primary Energy Inputs by Fuel/Energy Input Type for Farm Operations

Fuel Type	Fuel Share	Equation	Primary Energy Input (Btu/tonne)	Primary Energy Input (Btu/mmBtu)
Diesel Fuel	38.3%	41,592*38.3%	15,930	9,858
Gasoline	12.3%	41,592*12.3%	5,116	3,166
Natural Gas	21.5%	41,592*21.5%	8,942	5,534
Liquefied Petroleum Gas	18.8%	41,592*18.8%	7,819	4,839
Electricity	9%	41,592*9%	3,743	2,316
Direct Energy Consumption for Sugarcane Cultivation (unadjusted)			41,592	22,704

Note: To convert Btu/tonne (metric tonne) into the standard units of Btu/mmBtu, we use the following convention for anhydrous ethanol:

$$41,592 \text{ (Btu/tonne)} / (24 \text{ (gallons/tonne)} * 76,330 \text{ Btu/gal}) * 10^6 = 22,704 \text{ Btu/mmBtu}$$

where:

41,592 is a calculated value in Table 1.01

24 (gallons/tonne) = sugarcane EtOH yield (CA-GREET default)

76,330 Btu/gal = Low Heating Value of anhydrous ethanol (CA-GREET default)

³ Brazilian Average Electricity Mix: <http://www.eia.doe.gov/emeu/cabs/Brazil/Full.html>

Table 1.02 General Shares of Electricity Mix in Brazil

Fuel	Brazilian Average Mix	U.S. Average Mix	Brazilian Marginal Mix
Petroleum	1.2%	2.7%	0.0%
NG	5.0%	18.9%	100.0%
Coal	1.7%	50.7%	0.0%
Biomass	4.2%	1.3%	0.0%
Nuclear	3.0%	18.7%	0.0%
Hydro	82.9%	(Included in "Others")	0.0%
Others	2.0%	7.7%	0.0%

The primary energy inputs do not include the upstream energy associated with the fuels. For example, the amount of energy associated with diesel does not include the energy and emissions associated with the making of the diesel fuel. CA-GREET accounts for the 'upstream' energy associated with fuels by multiplying with appropriate factors. Calculations are shown in Table 1.03. The factors A, B, etc. used in table 1.03 are defined in Table 1.04. Table 1.05 provides additional details for values used in Table 1.04.

Table 1.03 Calculating Total Energy Input by Fuel for Sugarcane Farming

Fuel Type	Equation	Total Energy (Btu/tonne)	Total Energy (Btu/mmBtu)
Diesel fuel	$A*[1+((B*C)+D/10^6)]$	18,527	10,113
Gasoline	$E*[1+((B*F)+G/10^6)]$	6,150	3,357
Natural gas	$H*(1+I)/10^6$	9,565	5,221
LPG	$(J)*(K)*(1+(I*L+M)/10^6) + (J)*(N)*(1+(P*O+Q)/10^6)$	8,735	4,768
Electricity	$R*S/10^6$	5,055	2,760
Total Energy for Sugarcane Cultivation		48,032	26,219

Note: Brazilian average electricity mix used. No energy inputs are included for agricultural machinery.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Table 1.04 Values Used in Table 1.03

Factor	Description	Value	Reference
A	Direct Diesel Input	15,930 Btu/tonne	calculated in Table 1.01
B	Crude Energy	39,213 Btu/mmBtu	CA-GREET calculated
C	Diesel Loss Factor	1.00004	CA-GREET default value
D	Diesel Energy	123,805 Btu/mmBtu	CA-GREET calculated
E	Direct Gasoline Input	5,116 Btu/tonne	calculated in Table 1.01
F	Gasoline Loss Factor	1.00081	CA-GREET default
G	Gasoline Energy	162,914 Btu/mmBtu	CA-GREET calculated
H	Direct NG Input	8,942 Btu/tonne	calculated in Table 1.01
I	NG Stationary Energy	69,596 Btu/mmBtu	CA-GREET calculated
J	Direct LPG Input	7,819 Btu/tonne	calculated in Table 1.01
K	NG for LPG Production Share	60%	CA-GREET default
L	NG to LPG Loss Factor	1.00006	CA-GREET default
M	NG to LPG Fuel Stage Energy	48,896 Btu/mmBtu	CA-GREET calculated
N	Petroleum for LPG Production Share	40%	CA-GREET default
O	Petroleum to LPG Loss Factor	1.00012	CA-GREET calculated
P	Petroleum to LPG Fuel Crude Energy	39,213 Btu/mmBtu	CA-GREET calculated
Q	Petroleum to LPG Fuel Energy	75,862 Btu/mmBtu	CA-GREET calculated
R	Direct Electricity Input	3,743 Btu/tonne	calculated in Table 1.01
S	Stationary Electricity Feedstock Production	1,350,521 Btu/mmBtu	CA-GREET calculated

The factors listed in Table 1.04 are derived from the energy contributions of all other fuels that were used in processing these fuels. Those fuels are shown in Table 1.05 below, in two components: WTT energy (E) and Specific Energy (S) for each fuel type.

Table 1.05 Energy Consumption in the WTT Process and Specific Energy

Factor/Operation /Fuel	WTT energy (Btu input/mmBtu product)	S: Specific Energy (Btu input/Btu product)
Crude Recovery	$WTT_{Crude Recovery} = 28,285$ (CA-GREET calculated)	$S_{Crude Recovery} = 1 + WTT_{Crude Recovery} / 10^6 = 1.028$
B	$WTT_{Crude} = WTT_{Crude Recovery} * LF_{T\&D} + WTT_{Crude T\&D} + WTT_{Crude Storage} = 28,285 * 1.00006 + 10,925 = 39,213$	$LF_{T\&D} = \text{Loss Factor for Transport and Distribution} = 1.00006$ (CA-GREET default) $WTT_{Crude T\&D} = 10,925$ (CA-GREET calculated) $WTT_{Crude Storage} = 0.0$ (CA-GREET default)
Residual Oil	$WTT_{Res Oil} = 74,239$ (CA-GREET calculated)	$S_{Res Oil} = 1 + (WTT_{Crude} * LF_{Crude} + WTT_{Res Oil}) / 10^6 = 1.113$ $LF_{Crude} = 1.00000$ (CA-GREET default)
D	$WTT_{diesel} = 123,805$ (CA-GREET calculated)	$S_{diesel} = 1 + (WTT_{Crude} * LF_{diesel} + WTT_{diesel}) / 10^6 = 1.163$. $LF_{diesel} = 1.00004$ (CA-GREET default).
G	$WTT_{gasoline} = 162,914$ (CA-GREET calculated)	$S_{gasoline} = 1 + (WTT_{Crude} * \text{Loss Factor}_{gasoline} + WTT_{gasoline}) / 10^6 = 1.202$ $LF_{gasoline} = 1.00081$ (CA-GREET default)
I	$WTT_{NG} = (WTT_{NG Recovery} * LF_{processing} + WTT_{NG Process}) * LF_{T\&D} + WTT_{T\&D} = 69,596$ (CA-GREET calculated)	$S_{NG} = 1 + WTT_{NG} / 10^6 = 1.073$ Natural Gas recovery, Process and T&D includes $WTT_{NG Recovery} = 31,148$, $WTT_{NG Process} = 31,854$, $LF_{Processing} = 1.00148$ and $WTT_{NG T\&D} = 6,498$. $LF_{T\&D} = 1.00367$ (all CA-GREET calculated)
S	$WTT_{electricity} = 1,350,521$	$S_{Electricity} = 1 + (WTT_{electricity}) / 10^6 = 2.351$

Note: $WTT_{Crude Recovery}$: WTT energy for crude oil recovery, of self use of crude oil at the well, and does not include T&D. $WTT_{Crude Storage}$: WTT energy of crude storage

1.2 GHG Emissions from Sugarcane Farming

CA-GREET calculates carbon dioxide (CO₂), methane (CH₄) and nitrous oxide (N₂O) emissions for each component of the pathway and uses IPCC⁴ **G**lobal **W**arming **P**otentials (GWP) to calculate CO₂ equivalent values for CH₄ and N₂O (see Table 1.06). For VOC and CO, CA-GREET uses a carbon ratio to calculate CO₂ equivalent values which are detailed in a note below Table 1.06. These are based on the oxidation of CO and VOC to CO₂ in the atmosphere.

Table 1.06 Global Warming Potentials for Gases

GHG Species	GWP (relative to CO ₂)
CO ₂	1
CH ₄	25
N ₂ O	298

Carbon ratio of VOC = 0.85 grams CO₂/MJ so grams VOC*(0.85)*(44/12) = 3.1

Carbon ratio of CO = 0.43 grams CO₂/MJ so grams CO/mmBtu*(0.43)*(44/12) = 1.6

⁴ Intergovernmental Panel on Climate Change a scientific intergovernmental body tasked to evaluate the risk of climate change caused by human activity established by United Nations in 1988.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

The GHG emissions for farm energy use are determined separately for CO₂, CH₄ and N₂O in CA-GREET using the direct energy inputs presented in Section 1.1 (Btu/tonne) and the combustion and upstream emissions for the energy inputs. CA-GREET calculates the emissions for each fossil fuel input by multiplying fuel input (Btu/tonne) by the total emissions from combustion, crude production and fuel production. The electricity emissions are calculated by multiplying the electricity input (Btu/tonne) by the total (feedstock plus fuel) emissions associated with the chosen electricity mix (from the “Electricity” tab in CA-GREET). Note that U. S. average emission factors are used for Brazilian fuel use and electricity generation. Table 1.07 below shows equations and calculated values by fuel type for sugarcane farming CO₂ emissions. Equations and values for CH₄ and N₂O are not shown, but use the same structure. Table 1.08 provides values for parameters used in equations shown in Table 1.07.

Table 1.07 CA-GREET Calculations for CO₂ Emissions from Sugarcane Farming

Fuel	Equations	CO ₂ Emissions (g/tonne)	CO ₂ Emissions (g/mmBtu)
Diesel	$[(A)*[(B)*(C) + (D)*(E)+(F)*(G)+(H)*(I)+(J)*(K)+(L)]]/10^6$	1,441	787
Gasoline	$[(M)*[(N)+ (J)*(O)+(P)]]/10^6$	335	183
Natural Gas	$[(Q)*[(R)*(S) + (T)*(U)+(V)*(W)+(X)*(Y)+(Z)]]/10^6$	552	301
LPG	$[(AA)*[(BB)+((J)*(CC)+(DD)+(EE)*(FF)+(GG))/2]]/10^6$	601	328
Electricity	$[(HH)*(II)]/10^6$	70	38
Total CO₂ Emissions		2,999	1,637

To convert from g/tonne to g/mmBtu use:

$$2,999 \text{ (g/tonne)} / (24 \text{ (gallons/tonne)} * 76,330 \text{ Btu/gal}) * 10^6 = 1,637 \text{ g/mmBtu}$$

where:

24 (gallons/tonne) = sugarcane EtOH yield (CA-GREET default)

76,330 Btu/gal = Low Heating Value of anhydrous ethanol (CA-GREET default)

10⁶ is to convert to mmBtu.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Table 1.08 Input Values for Calculations in Table 1.06

	Relevant Parameters*	Reference
A	= Diesel input = 15,930 Btu/tonne	Table 1.01
B	= % Fuel share diesel boiler = 0%	CA-GREET default
C	= Boiler CO ₂ emissions = 78,167 g/mmBtu	CA-GREET default
D	= % Fuel share diesel stationary engine = 0%	CA-GREET default
E	= IC Engine CO ₂ Emissions = 77,401 g/mmBtu	CA-GREET default
F	= % Fuel share diesel turbine = 0%	CA-GREET default
G	= Turbine CO ₂ emissions 78,179 g/mmBtu	CA-GREET default
H	= % Fuel share diesel tractor = 100%	CA-GREET default
I	= Tractor CO ₂ emissions = 77,411 g/mmBtu	CA-GREET default
J	= Crude production CO ₂ emissions = 3,260 g/mmBtu	CA-GREET calculation
K	= Diesel loss factor = 1.00004	CA-GREET default
L	= Diesel production CO ₂ emissions = 9,387 g/mmBtu	CA-GREET default
M	= Gasoline input = 5,116 Btu/tonne	Table 1.01
N	= Farming tractor CO ₂ emission factor = 75,645 g/mmBtu	CA-GREET default
O	= Gasoline loss factor = 1.00081	CA-GREET default
P	= Gasoline production CO ₂ emissions = 12,122 g/mmBtu	CA-GREET calculation
Q	= NG input = 8,942 Btu/tonne	Table 1.01
R	= % Fuel share NG engine = 100%	CA-GREET default
S	= Engine CO ₂ emission factor = 56,551 g/mmBtu	CA-GREET default
T	= % Fuel share NG large turbine = 0%	CA-GREET default
U	= Turbine CO ₂ emission factor = 58,179 g/mmBtu	CA-GREET default
V	= % Fuel share NG large boiler = 0%	CA-GREET default
W	= Large boiler CO ₂ emission factor = 58,198 g/mmBtu	CA-GREET default
X	= % Fuel share small NG boiler = 0%	CA-GREET default
Y	= Small boiler CO ₂ emission factor = 58,176 g/mmBtu	CA-GREET default
Z	= WTT stationary NG CO ₂ emissions = 5,218 g/mmBtu	CA-GREET calculation
AA	= LPG input = 7,819 Btu/tonne	Table 1.01
BB	= Commercial boiler CO ₂ emission factor = 68,036 g/mmBtu	CA-GREET default
CC	= LPG loss factor = 1.00012	CA-GREET default
DD	= LPG production CO ₂ emissions = 5,708 g/mmBtu	CA-GREET calculation
EE	= LNG feedstock CO ₂ emissions = 4,882 g/mmBtu	CA-GREET calculation
FF	= NG to LPG loss factor = 1.00006	CA-GREET default
GG	= NG to LPG fuel CO ₂ emissions = 3,162 g/mmBtu	CA-GREET calculation
HH	= Electricity input = 3,743 Btu/tonne	Table 1.01
II	= Electricity CO ₂ emissions = 18,504 g/mmBtu	CA-GREET calculation

Other GHGs, including VOC, CO, CH₄, and N₂O emissions are calculated with the same equations, energy input, and loss factors as CO₂ emissions calculations shown in Tables 1.07 and 1.08, but with different VOC, CO, CH₄, and N₂O emission factors. Table 1.09 shows the results of the calculations of VOC, CO, CH₄, and N₂O in (g/tonne) then converted to g/mmBtu. The conversion is performed as shown in the note below Table 1.07.

Table 1.09 GHG Emissions from Sugarcane Farming

Emission Species	Emissions ¹ (g/tonne)	GHGs (gCO ₂ e/mmBtu)	GHGs (gCO ₂ e/MJ)
CH ₄	7.85	107	0.1
N ₂ O	0.08	13	0.01
CO ₂	3,163	1,726	1.6
Total GHG Emissions		1,772	1.8

¹Emissions in grams of gaseous species per tonne. To convert all VOC, CO, CH₄ and N₂O (g/tonne) to (g/mmBtu) = (g/tonne)/(Ethanol Yield (gal/tonne) * LHV of Anhydrous Ethanol (Btu/gal))*10⁶. Note that non-CO₂ gases expressed as GHG in gCO₂e/mmBtu were converted to CO₂e

1.3 GHG Emissions from Straw Burning in Field

The sugarcane field is burned prior to manual harvesting. The fire removes dry leaves and straw and kills any pests present while leaving the wet, sugar-rich stalks undamaged. The CA-GREET model uses assumptions shown below in Table 1.10 and emission factors presented in Table 1.11 to calculate emissions from field burning. An emission credit is also calculated in grams of CO₂/tonne cane, assuming that all carbon in burned residue is converted to CO₂.

Table 1.10 Inputs for Calculating Field Burning Emissions

Sugarcane Straw Burning Input Parameters	Straw Yield (Dry tonne straw/tonne cane)	Straw C Ratio (% by weight)
	0.190	50.0%

Table 1.11 Sugarcane Straw Burning Emission Factors

Emission Species	CO ₂ EF	VOC EF	CO EF	CH ₄ EF	N ₂ O EF
Emission Factor (g/kg straw burned)	1,660	7.0	92.0	2.7	0.07

The straw burning emissions for CO₂ are calculated as follows:

$$(1,660 \text{ g CO}_2/\text{kg straw})(0.190 \text{ dry tonne straw/tonne cane})(1,000 \text{ kg/tonne}) = \mathbf{315,973 \text{ g/tonne cane}}$$

The CO₂ emission credit is calculated as follows:

$$-(0.190 \text{ dry tonne straw/tonne cane}) * (50.0\% \text{ C content by wt.}) * (1,000 \text{ kg/tonne}) * (1,000 \text{ g/Kg}) * (44/12) = \mathbf{-349,067 \text{ g/tonne cane}}$$

Table 1.12 shows all emission species calculated the same way as CO₂ example above.

Table 1.12 Sugarcane Straw Burning Emissions

Emission Species	Emissions (g/tonne cane)	GHG Emissions (gCO₂e/mmBtu)	GHG Emissions (gCO₂e/MJ)
VOC	1,332.80	2,264	2.2
CO	17,516.80	15,001	14.2
CH ₄	514.1	7,004	6.6
N ₂ O	13.3	2,164	2.1
CO ₂	315,973	172,195	163.2
Biogenic CO ₂ Credit	-349,067	-190,230	-180.3
Total GHG Emissions		23,226	
Total GHG Emissions (gCO₂e/MJ)			8.0

The same notes under Table 1.09 apply for this table.

Total GHG emissions from sugarcane farming and straw burning is therefore
1.8+ 8.0 = 9.8 gCO₂e/MJ.

SECTION 2. INPUTS FOR AGRICULTURAL CHEMICALS

2.1 Energy Calculations for Production of Chemical Inputs

Chemical inputs, including fertilizer, herbicide and insecticide, are input on a g-nutrient/tonne (fertilizer) or g-product/tonne (herbicide and pesticide) basis. Table 2.01 below presents the CA-GREET chemical inputs per metric tonne of sugarcane, the total energy required to produce the chemical product and the calculated upstream energy required to produce a bushel of sugarcane using these inputs. Both chemical input values and product energy values are CA-GREET defaults.

Table 2.01 Sugarcane Farming Chemical Inputs

Chemical Type	Chemical Input (Btu/g)	Product Input Factors (g/tonne)	Total Energy Use (Btu/tonne)	Total Energy Use (Btu/mmBtu)
Nitrogen Fertilizer	52.2	1,091.7	56,930	31,076
Phosphate Fertilizer	13.3	120.8	1,608	878
Potash	8.4	193.6	1,629	889
Lime	7.7	5,337.7	41,158	22,467
Herbicide (average)	263.9	26.9	7,098	3,875
Insecticide (average)	312.4	2.21	690	377
Total				59,562

Note: Ethanol yields for sugarcane ethanol are assumed to be 24 gal/tonne in CA-GREET. The WTT energy = chemical input (g/tonne)* product input energy (Btu/g).

Example Calculation:

For Nitrogen Fertilizer: WTT Energy (Btu/tonne) = 52.2 (Btu/g) * 1,091.7 (g/tonne) = **56,930 Btu/tonne**

To convert Btu/tonne into the standard units of Btu/mmBtu, we use the following:

$(56,930 \text{ Btu/tonne}) / ((24 \text{ gallons/tonne}) * 76,330 \text{ Btu/gal}) * 10^6 = \mathbf{31,076 \text{ Btu/mmBtu}}$
where :

50,133 is a calculated value in Table 2.01

24 gallons/tonne = sugarcane EtOH yield (CA-GREET default)

76,330 Btu/gal = Lower Heating Value of anhydrous ethanol (CA-GREET default)

CA-GREET models nitrogen fertilizer as a weighted average of ammonia (70.7%), urea (21.1%) and ammonium nitrate (8.2%) fertilizers. As Table 2.01 shows, nitrogen fertilizer input accounts for more than half of total chemical energy input. The herbicide production energy is a weighted average of four types of herbicides used: atrazine (31.2%), metolachlor (28.1%), acetochlor (23.6%) and cyanazine (17.1%). The

insecticide inputs represent an “average” insecticide, rather than an explicitly weighted average of specific insecticides. The energy required to produce nitrogen fertilizers, herbicides or pesticides does not vary significantly by category, attesting to the validity of using average energy inputs.

2.2 GHG Calculation from Production and Use of Agricultural Chemicals

This component includes all of the upstream emissions related to the manufacturing of agricultural chemical products. It also includes impacts from the use of agricultural chemicals in farming. Upstream emissions are calculated in CA-GREET per metric tonne of product, including the production, process and transportation emissions associated with manufacturing chemicals; these intermediate calculations take place in the “*Ag_Inputs*” sheet. These values are converted to emissions per tonne of nutrient using the ratio of nutrient to product.

Nitrogen fertilizer greenhouse emissions are modeled as a weighted average of 3 types of N-fertilizers modeled in CA-GREET. Energy and emissions are converted to Btu or grams greenhouse gases per g of nutrient (fertilizer) or product (herbicide and pesticide). Average emissions for herbicides are calculated using a weighted average of 4 types of herbicides while pesticide emissions are based on a single pesticide type. Table 2.02 below shows the greenhouse emissions for agricultural chemicals in grams per gram of nutrient for fertilizers and per gram of product for herbicides and pesticides. The equations are complex and not shown here since agricultural inputs apply to large variety of crop cultivation and are not specific to sugarcane cultivation.

Table 2.02 Calculated GHG Emissions (g/g) Associated with Production of Agricultural Chemicals

GHG Type	Nitrogen (weighted average)	P₂O₅	K₂O	CaCO₃	Herbicide (weighted average)	Pesticide
VOC	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
CO	<0.01	<0.01	<0.01	<0.01	0.01	0.02
CH ₄	<0.01	<0.01	<0.01	<0.01	0.03	0.03
N ₂ O	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
CO ₂	1.81	0.98	0.66	0.60	20.63	23.99
Convert to GHG (g/g)	2.8	1.0	0.7	0.6	21.4	24.9

The greenhouse emissions of agricultural inputs are multiplied by chemical input factors (g/tonne) in the “*Ethanol*” tab and a loss factor from the “*Ag_Inputs*” tab to yield fertilizer emissions in grams per bushel of sugarcane produced. Table 2.03 below shows the calculations for CO₂ emissions associated with the use of chemical inputs in g/tonne of sugarcane produced. Table 2.04 details the values used in calculations in Table 2.03. The equations for CH₄ and N₂O are analogous to these calculations and are not shown.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Table 2.05 shows the emission results for all greenhouse gases for chemical use, based on the calculations shown in Table 2.03.

Table 2.03 Calculated CO₂ Emissions Associated with Production of Agricultural Chemicals

Chemical Product	Equation	CO ₂ Emissions		(gCO ₂ e/MJ)
		(g/tonne)	(g/mmBtu)	
Nitrogen (weighted average)	(A)*(B)*(C)	3,431	1,870	
P ₂ O ₅	(D)*(E)*(F)	119	65	
K ₂ O	(G)*(H)*(I)	128	70	
CaCO ₃	(J)*(K)*(L)	3,224	1,757	
Herbicide	(M)*(N)*(O)	555	302	
Pesticide	(P)*(Q)*(R)	53	29	
Total CO₂ emissions (gCO₂e/MJ)		7,509	4,092	3.88

Table 2.04 Calculated GHG Emissions (g/g) Associated with Production of Agricultural Chemicals

Variables	Relevant Parameters	Reference
A	Nitrogen input = 1,091.7 g/tonne	CA-GREET default
B	Nitrogen chemical cycle emissions = 2.39 g/g	Table 2.02
C	Nitrogen loss factor = 1.0 (during transport, distribution...)	CA-GREET default
D	P ₂ O ₅ input = 120.8 g/tonne	CA-GREET default
E	P ₂ O ₅ chemical cycle emissions = 0.98 g/g	Table 2.02
F	P ₂ O ₅ loss factor = 1.0 (during transport, distribution...)	CA-GREET default
G	K ₂ O input = 193.6 g/tonne	CA-GREET default
H	K ₂ O chemical cycle emissions = 0.66 g/g	Table 2.02
I	K ₂ O loss factor = 1.0 (during transport, distribution...)	CA-GREET default
J	CaCO ₃ input = 5,337.7 g/tonne	CA-GREET default
K	CaCO ₃ chemical cycle emissions = 0.60 g/g	Table 2.02
L	CaCO ₃ loss factor = 1.0 (during transport, distribution...)	CA-GREET default
M	Herbicide input = 26.9 g/tonne	CA-GREET default
N	Herbicide chemical cycle emissions = 20.53 g/g	Table 2.02
O	Herbicide loss factor = 1.0	CA-GREET default
P	Pesticide input = 2.21 g/tonne	CA-GREET default
Q	Pesticide chemical cycle emissions = 23.87 g/g	Table 2.02
R	Pesticide loss factor = 1.0	CA-GREET default

Table 2.05 shows the emission results (g/tonne) for all GHG emissions for production of chemicals used in agriculture based on the calculations shown in Table 2.03. The CH₄ and N₂O emissions results shown in Table 2.05 are calculated with the same equations as CO₂ emission calculations, except that CO₂ emission factors are replaced by CH₄ and N₂O emission factors. Table 2.05 also shows the WTT emissions on an energy basis. Note that converting from g/tonne to g/mmBtu is shown in a note below Table 2.05. To convert from g/mmBtu to gCO₂e/mmBtu, non-CO₂ gasses are adjusted using their respective GWPs.

Table 2.05 Calculated GHG Emissions from Production of Agricultural Chemicals

GHG Type (g/tonne)	Nitrogen (weighted average)	P ₂ O ₅	K ₂ O	CaCO ₃	Total Fert.	Herbicide (weighted average)	Pesticide	Total
VOC	6.86	0.04	0.02	0.42		0.07	0.01	7.43
CO	6.94	0.14	0.12	2.80		0.39	0.05	10.45
CH ₄	2.99	0.17	0.17	4.23		0.70	0.07	8.32
N ₂ O	3.23	< 0.01	< 0.01	0.03		< 0.01	< 0.01	3.27
CO ₂	3,431	119	128	3,224		555	53	7,509
GHGs	4,500	124	133	3,344		574	55	8,730
GHGs (g/mmBtu)	2,453	67	72	1,822	4,415	313	30	4,758
Total GHG Emissions (gCO₂e/MJ)	2.33	0.06	0.07	1.73	4.18	0.30	0.03	4.5

Note: To convert (g/tonne) to (g/mmBtu) = (g/tonne)/(Ethanol Yield (gal/tonne) * LHV of Anhydrous Ethanol (Btu/gal))*10⁶. LHV of denatured ethanol is 76,330 Btu/gal and ethanol yield is assumed to be 24 gal/tonne.

Impact of soil N₂O emissions resulting from nitrogen fertilizer use on WTT GHG emissions

CA-GREET also calculates direct field and downstream N₂O emissions resulting from nitrogen fertilizer input. Table 2.06 below shows the two main inputs: fertilizer input (g/tonne) and percent conversion of N-input to N₂O. The table shows the N₂O emissions on an energy basis. CA-GREET v1.8b assumes 1.3% of fertilizer-N is ultimately converted to N₂O. The calculation also uses the mass ratio of N₂O to N₂ (44/28). Table 2.06 provides total GHG impacts from soil N₂O emissions.

Table 2.06 Inputs and Calculated Emissions for Soil NO and N₂O from Sugarcane Farming

	Fertilizer N input (g/tonne)	Percent conversion to N ₂ O-N	N ₂ O formed/ N ₂ O-N (g/g)	N Converted (g/tonne)	N ₂ O or NO Emissions (g/tonne)	GHG Emissions (g/mmBtu)	GHG Emissions (gCO ₂ e/MJ)
N ₂ O	1,091.7	1.3%	44/28	14.5	22.7	3,691	3.5

Note: Soil N₂O emissions = (1,091.8 g N/tonne)(1.3%)(44 g N₂O/28 g N₂) = 22.7 g N₂O/tonne
 N₂O Emissions: N in N₂O as % of N in N fertilizer and biomass: CA-GREET default of 1.3%

Effect of Lime (CaCO₃) added to soil on GHG emissions

CA-GREET assumes that all of the carbon in added lime is emitted as CO₂. This results in the following CO₂ emission: Soil CO₂ emissions = (5,337.7 gCaCO₃/tonne)*(44 g CO₂/100 gCaCO₃) = 2,349 gCO₂/tonne = 1,282 gCO₂/mmBtu = **1.2 gCO₂e/MJ**.

Tables 2.05, 2.06 and emissions from adding lime to soil are combined to provide the total GHG emissions from the use of Agricultural Chemicals and is detailed in Table 2.07.

Table 2.07 Total GHG Emissions from Agricultural Chemical Use for Sugarcane Ethanol

Ethanol Pathway	Fertilizers	Herbicide	Pesticide	Soil N₂O and NO	CO₂ from CaCO₃	Total (gCO₂e/MJ)
GHGs (gCO₂e/MJ)	4.18	0.3	0.03	3.5	1.2	9.2

SECTION 3. SUGARCANE TRANSPORT

3.1 Energy for Sugarcane Transportation

CA-GREET calculates the total energy needed (Btu/tonne) to transport sugarcane from the field to the ethanol production facility using heavy duty trucks. Table 3.01 below shows the sugarcane transportation distance and energy inputs. The calculations are based on heavy duty truck capacities of 17 tonnes. The default transport distance modeled is 12 miles. CA-GREET calculates the diesel energy per tonne mile based on the cargo capacity of the truck and its fuel economy and assumes that truck trips carrying sugarcane and returning empty use the same energy. All values are CA-GREET default values.

Table 3.01 Sugarcane Transport Inputs

Transport Mode	Energy Intensity (Btu/tonne-mile)	Distance from Origin to Destination (mi)	Capacity (tonnes)	Fuel Cons. (mi/gal)	Energy Cons. of Truck (Btu/mi)	Share of Diesel Used
Field to Ethanol Plant	1,511	12	17	5	25,690	100%

The calculated sugarcane transport energy on a Btu per tonne of sugarcane basis is shown below in Table 3.02 using the values in Table 3.01.

Table 3.02 Sugarcane Transport Energy

Transport Mode	Energy Consumption (Btu/ton)
Field to Ethanol Plant	(12 miles one-way distance)*(1,511 Btu/ton-mile origin to destination + 1,511 Btu/ton-mile back-haul)*(Diesel share 100%)*(1+Diesel WTT Energy 0.157 Btu/Btu) /0.907 (tonnes/ton) = 46,506 Btu/tonne
Total Energy Used (Btu/tonne)	46,506
Total Energy Used (Btu/mmBtu)	25,344

Note: To convert (Btu/ton) to (Btu/mmBtu) = (Btu/ton)/(0.907 tonnes/ton)/(Ethanol Yield (gal/tonne) * LHV of Anhydrous Ethanol (Btu/gal))*10⁶. Diesel WTT energy is a CA-GREET calculation

3.2 GHG Calculations from Sugarcane Transportation

GHG emissions from sugarcane transportation are calculated from section 3.1 above with the same transportation mode, miles traveled, etc. as indicated by Table 3.01 above. Table 3.03 below details key assumptions of calculating GHG from sugarcane transportation. All values used in calculations are CA-GREET default values.

Table 3.03 Key Assumptions in Calculating GHG Emissions from Sugarcane

Transport Mode	Energy Intensity (Btu/ton-mile)	Distance from Origin to Destination (mi)	CO ₂ Emission Factors of Truck (g/mi)	WTT Transport Diesel Emissions (g/mmBtu)	CO ₂ Emission Factors of Diesel Combustion (g/mmBtu)
Sugarcane to plant by heavy duty truck	1,511	12	1,999 (2,002)*	12,647	77,809 (77,913)*

Note: *values in parenthesis are for the return trips.

Sugarcane transport emissions are first calculated on a g/ton basis and then finally converted to g/mmBtu as shown in Table 3.04 below.

Table 3.04 Sugarcane Transport - CO₂ Emissions

Transport Mode	CO ₂ Emission (g/tonne)	CO ₂ Emissions (g/mmBtu)
Sugarcane to Ethanol Plant by Heavy Duty Truck	3,644	1,986
Total CO₂ Emissions (gCO₂e/MJ)		1.88

Note: Example formula to calculate CO₂ emission of Heavy Duty Truck above:

$$[(77,809 \text{ g/mmBtu}) + (12,647 \text{ g/mmBtu}) * (100\% \text{ diesel used})] * (1,511 \text{ Btu/ton-mile}) + [(77,913 \text{ g/mmBtu}) + (12,647 \text{ g/mmBtu}) * (100\% \text{ diesel used})] * 1,511 \text{ Btu/ton-mile} * 12 \text{ miles} / 0.907 \text{ ton/tonne} / (10^6 \text{ mmBtu/Btu}) = 3,701 \text{ g/tonne}.$$

To convert (g/tonne) to (g/mmBtu) = (g/tonne) / (Ethanol Yield (gal/tonne) * LHV of Anhydrous Ethanol (Btu/gal)) * 10^6 .

Similarly, CH₄, N₂O, VOC, and CO are calculated the same way (with different emission factors for each species) and shown in Table 3.05. All emissions are converted to a CO₂ equivalent-basis. The emissions are shown on an anhydrous ethanol basis.

Table 3.05 Sugarcane Transport –Total GHG Emissions

GHG Emissions	CH₄	N₂O	VOC	CO	CO₂	GHG Emissions
(g/tonne)	3.98	0.09	1.53	6.83	3,644	
(g/mmBtu)	54.27	14.62	2.60	5.84	1,986	2,087
Total GHG Emissions (gCO₂e/MJ)	0.05	0.01	<0.01	<0.01	1.88	2.0

SECTION 4. ETHANOL PRODUCTION

4.1 Ethanol Production

Similar to the sugarcane farming energy calculations, CA-GREET uses energy input values for sugarcane ethanol in Btu/gallon of anhydrous ethanol and uses fuel shares to allocate this direct energy input to process fuels. Part of the bagasse, the fibrous residue remaining after squeezing the juice of the plant, is currently burned at the mill to provide heat for distillation and electricity to run machinery at the plant. This allows ethanol plants to be energetically self-sufficient and even sell surplus electricity to utilities in some cases.

A major portion of the energy used in sugarcane ethanol plant in Brazil is from bagasse (a fiber material of the sugarcane plant). Sucrose accounts for little more than 30% of the chemical energy stored in the mature plant; 35% is in the leaves and stem tips, which are left in the fields during harvest, and 35% are in the fibrous residue (bagasse).

Table 4.01 shows the ethanol production fuel shares and energy inputs per gallon of anhydrous ethanol. The electricity input is represented in Btu/gal and added to the process fuel consumption to determine the fuel shares. Additional details are shown in Table 4.02.

Table 4.01 Sugarcane Ethanol Fuel Shares and Primary Energy Inputs

Fuel Type	Fuel Share	Primary Energy Input (Btu/gallon)
Bagasse	99.65%	83,132
Residual Oil	0.35%	278
Total	100%	83,409

Note:

For Bagasse: 0.00642 US ton of dry bagasse/gal ethanol *12,947,318 (Btu/US ton) LHV = **83,132 Btu/gal**

For Residual oil: Oil use in sugarcane ethanol plants is from lubricant use. For CO₂ calculation, it is assumed that 10% of lubricants are burned.

Tables 4.02 and 4.03 show the CA-GREET equations, parameters and energy inputs for ethanol production. The tables show the total input energy per mmBtu of anhydrous ethanol. For this document, ethanol transported from Brazil is considered as anhydrous which is subsequently blended to make denatured ethanol in California.

Table 4.02 Sugarcane Ethanol Production Parameters and Total Energy Use

Fuel Type	Formula	Relevant Parameters	Total Energy
Bagasse	Dry tonne bagasse/gal ethanol *Bagasse LHV	Dry tonne bagasse/gal ethanol = 0.00642 tonne/gal	83,132 (Btu/gal)
		Bagasse LHV = 12,947,318 Btu/tonne (CA-GREET default)	
Residual Oil	(Direct Residual Oil Input)* (1+(WTT Crude Oil Energy*Loss Factor + WTT of residual oil)/10 ⁶)	Direct residual oil input = 251 Btu/gal	279 (Btu/gal)
		WTT crude oil energy = 39,213 Btu/mmBtu	
		Loss Factor = 1.001	
		WTT of residual oil = 74,239Btu/mmBtu	
Total energy input for ethanol production (Btu/gal)			83,411
Total energy input for ethanol production (Btu/mmBtu)		83,411 Btu/gal / (76,330 Btu/gal) *10 ⁶ *1.001	1,093,320

Note: 1.001 is the loss factor by CA-GREET default

4.2 GHG Emissions from Ethanol Production

Sugarcane mill ethanol production in Brazil is assumed here to use dry bagasse as fuel for small boilers (99.65%). A relatively small amount of residual oil is also utilized in the process (about 0.35%). GHG from ethanol production by burning bagasse is calculated based on the assumptions in Table 4.03 and the results are shown in Table 4.04. The CO₂ emissions shown in Table 4.03 include the direct boiler emissions (118,834 g/mmBtu) of bagasse; residual oil emissions include emissions from an industrial boiler (85,045 g/mmBtu) and direct WTT residual oil use in the boiler. CO₂ is credited to the ethanol production process resulting from biomass (bagasse) burning.

Table 4.03 Process Shares and Emission Factors (EF) for Ethanol Production

EtOH Production Equipment and Fuel Used	% Shares of Equip. Usage	CO ₂ EF (g/mmBtu of fuel burned)	VOC EF	CO EF	CH ₄ EF	N ₂ O EF	Assumed % of Fuels used at the EtOH Plant	Direct Energy Use (Btu/gal)
Small industrial boiler (10-100mmBtu/hr input) to burn bagasse	100%	118,834	5.34	76.8	31.6	4.2	99.7%	83,132
Residual oil industrial boiler	10%	85,045	0.9	15.8	3.2	0.4	0.30%	251

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Table 4.04 Calculated GHG Emissions for Ethanol Production Using CO₂ Factors from Table 4.03

Calculations CO ₂ in g/gal			Conversion to g/mmBtu	to gCO ₂ e/ mmBtu	gCO ₂ e/ MJ
Bagasse burning in EtOH Production					
CO ₂ Small industrial boiler	(Direct energy use of bagasse, Btu/gal) * (118,834 g/mmBtu) / 10 ⁶	9,879	9,879 g/gal / (76,330 Btu/gal) * 10 ⁶	129,423	122.67
CO ₂ credit from bagasse burning	Bagasse burning = - (0.00642 tonne/gal * 46.3% carbon content * 2000 lbs/tonne * 454 g/lbs) * 44 / 12	-9,897	-9,897 g/gal / (76,330 Btu/gal) * 10 ⁶	-129,667	-122.9
CH ₄	Bagasse burning = 0.00642 tonne/gal * (31.6 g/mmBtu * 12,947,318 Btu/ton / 10 ⁶)	2.63	2.634 g/gal / (76,330 Btu/gal) * 10 ⁶	862	0.82
N ₂ O	Bagasse burning = 0.00642 tonne/gal * (4.2 g/mmBtu * 12,947,318 Btu/gal / 10 ⁶)	0.35	0.351 g/gal / (76,330 Btu/gal) * 10 ⁶	1,370	1.3
VOC	Bagasse burning = 0.00642 tonne/gal * (5.34 g/mmBtu * 12,947,318 Btu/gal / 10 ⁶)	0.44	0.44 g/gal / (76,330 Btu/gal) * 10 ⁶	18	0.02
CO	Bagasse burning = 0.00642 tonne/gal * (76.8 g/mmBtu * 12,947,318 Btu/gal / 10 ⁶)	6.3	6.3 g/gal / (76,330 Btu/gal) * 10 ⁶	131	0.12
Residual Oil					
CO ₂ of small industrial boiler	(Direct energy use of residual oil, Btu/gal) * 10% * (85,045 g/mmBtu) / 10 ⁶	2.10	(2.1 g/gal) / (76,330 Btu/gal) * 10 ⁶	28	0.03
CO ₂ for WTT of crude oil	(Direct energy use of residual oil, Btu/gal) * 10% * (3,868 g/mmBtu) * 1 / 10 ⁶	0.10	(0.1 g/gal) / (76,330 Btu/gal) * 10 ⁶	1.1	<0.01
CO ₂ for WTT of residual oil	(Direct energy use of residual oil, Btu/gal) * 10% * (5,613 g/mmBtu) / 10 ⁶	0.10	(0.16 g/gal) / (76,330 Btu/gal) * 10 ⁶	1.8	<0.01
CH ₄	(Direct energy use of residual oil, Btu/gal) * 10% * [(3.24 g/mmBtu) + (90.166 g/mmBtu) * 1.000 + 4.94 g/mmBtu] / 10 ⁶ = 0.002	< 0.01	(0.002 g/gal) / (76,330 Btu/gal) * 10 ⁶	0.8	<0.01
N ₂ O	(Direct energy use of residual oil, Btu/gal) * 10% * [(0.36 g/mmBtu) + (0.65 g/mmBtu) * 1.000 + 0.54 g/mmBtu] / 10 ⁶ = < 0.01	< 0.01	(< 0.00 g/gal) / (76,330 Btu/gal) * 10 ⁶	< 0.01	<0.01

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

VOC	(Direct energy use of residual oil, Btu/gal)*(0.9 g/mmBtu)/10 ⁶	<0.01	(<0.01 g/gal)/(76,330 Btu/gal)*(3.1)*10 ⁶	< 0.01	<0.01
CO	(Direct energy use of residual oil, Btu/gal) * (15.8 g/mmBtu)/10 ⁶	<0.01	(<0.01 g/gal)/(76,330 Btu/gal)*(1.6)*10 ⁶	0.02	<0.01
Total GHGs for ethanol production (gCO₂e/mmBtu)				2,169	
Total GHGs for ethanol production (gCO₂e/MJ)					2.1

Note: Feed Loss Factor is assumed at 1.000. Small amounts of CH₄ and N₂O are negligible.

Carbon ratio of bagasse is 46.3% by CA-GREET default.

The 10% allocation of residual oil to ethanol is a CA-GREET default value. The 10% is to account for lubricating oil that is used not as a combustion source but is lost during the operation of the machinery involved in ethanol production. For this document, the lubricating oil is modeled as residual oil and its WTT emissions are used as a surrogate for lubricating oil. (Numbers may not add up, due to rounding)

SECTION 5. ETHANOL TRANSPORT AND DISTRIBUTION

5.1 Energy for Ethanol Transportation and Distribution

For the CA-GREET sugarcane ethanol pathway modeled here, the default sugarcane ethanol transport and distribution (T&D) from Brazil to the U.S is divided as follows:

- From ethanol plant in Brazil to U.S ports:
 - Inside Brazil: 50% by rail (500 miles) and 50% by pipeline (500 miles)
 - From Brazilian ports to U.S ports by ocean tanker (7,416 miles)
- From U.S ports to distribution centers inside U.S
 - 100% by Heavy Duty Truck (100 miles)
- For distribution within U.S
 - 80% by truck (50 miles)
 - 20% directly from ports to blending terminals

Instead of calculating the WTT values on a per tonne basis as CA-GREET does for the sugarcane transport component, CA-GREET calculates WTT energy required per mmBtu of fuel (anhydrous ethanol) transported. Table 5.01 below shows the major inputs used in calculating transport energy and Table 5.02 presents the CA-GREET formulas used to calculate the ethanol transport energy for each transport mode.

Table 5.01 Inputs and Calculated Energy Requirements for Ethanol Transport to Bulk Terminals

Transport	Mode	Energy Intensity (Btu/tonne-mile)	Distance from Origin to Destination (mi)	Capacity (tonnes)	Fuel Used (mi/gal)	Energy Used (Btu/mi for truck) (Btu/hp hr for ship)	Shares of Diesel Used	% Fuel Transported by Mode
Brazil Plant to Brazil port	Pipeline	253	500	110	n/a	n/a	20%	50%
	Rail	370	500	n/a	n/a	n/a	100%	50%
Brazil port to U.S port	Ocean Tanker	32	7,416	150,000	19	4,620	100%	100%
		29	7,416	150,000	19	4,691	100%	100%
U.S port to distribution center inside U.S	Heavy Duty Truck	1,028	100	33	5	25,690	100%	100%
Distribution to blending terminal inside U.S	Heavy Duty Truck	1,028	50	33	5	25,690	100%	80%

Note: Pipeline use 20% diesel, 6% electricity, 24% natural gas, the remaining 50% is residual oil. Ocean tanker travel from origin and back has different energy consumption. For ethanol distributed in the U.S, 20% ethanol is directly transported to blending terminal by CA-GREET default.

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Table 5.02 Calculations for Ethanol Transport Energy by Transport Mode

Transport Mode	CA-GREET Formula	Relevant Parameters	Btu/mmBtu
Transport Pipeline within Brazil	- 6% electricity use: $(10^6/A)*B/((g/lb)*(lb/tonne)*(C)*(D)*[6%*(H)*100%])$ - 20% diesel use: $(10^6/A)*B/((g/lb)*(lb/tonne)*(C)*(D)*[20%*100%*(1+(F))])$ - 50% residual oil: $(10^6/A)*B/((g/lb)*(lb/tonne)*(C)*(D)*[50%*100%*(1+(G))])$ - 24% NG Use: $10^6/A*B/((g/lb)*(lb/tonne)*(C)*(D)*[24%*100%*(1+(K))*50%])$	A = Ethanol LHV = 76,330 Btu/gal B = Ethanol density = 2,988 g/gal C = Mi traveled = 500 miles D = Energy intensity = 253 (Btu/tonne-mile) E = %Diesel Share = 20% F = Diesel energy = 0.163 Btu/Btu G = Residual oil energy = 0.113 Btu/Btu H = Electricity Energy (U.S. Average) = 2.647 K = NG energy = 0.070 Btu/Btu	3,069
Transport Rail within Brazil	100% diesel use: $10^6/A*B/((g/lb)*(lb/tonne)*I*K*[E*(1+F)]*50%)$	I = Mi traveled = 500 miles J = % Electricity share = 0% K = Rail energy intensity = 370 Btu/tonne-mile	4,638
Transport Ocean Tanker to U.S ports	$10^6/A*B((g/lb)*(lb/tonne)*(L*(M+N)*100\%*(1+G))$	L = Mi traveled = 7,416 miles M = energy intensity from origin = 32 Btu/tonne-mile N = energy intensity from destination = 29 Btu/tonne-mile	21,661
Total EtOH Transportation used in Brazil = 50% by pipeline, 50% by rail			29,368
Transport Within U.S	$10^6/A*B((g/lb)*(lb/tonne)*(O*(P+P)*100\%*(1+F))$	O = Mi traveled = 100 miles P = energy intensity = 1,028	10,305
Total EtOH Transportation			39,673
Distribution	$10^6/A*B((g/lb)*(lb/tonne)*(Q*(P+P)*100\%*(1+F)*80\%)$	Q = Mi traveled = 50 miles 80% = shares of truck travel	4,122
T&D Total (Btu/mmBtu)			43,795

Note: The energy intensity for heavy duty trucks is multiplied by 2 to account for return trip.

5.2 GHG Calculations from Ethanol Transportation and Distribution (T&D)

Similar to sugarcane T&D, ethanol T&D to bulk terminal is assumed in CA-GREET model by rail and pipeline inside Brazil, then ocean tanker from Brazilian ports to U.S. ports, and finally from trucks to terminal within U.S. All the assumptions are the same as sugarcane T&D's and are shown in Table 5.03. The values in this table do not reflect the mode shares.

Table 5.03 Assumptions in Calculating GHG Emissions from EtOH Transportation

Transport Mode	Transport Fuel	1-way Energy Intensity (Btu/tonne-mile)	Distance from Origin to Destination (mi)	WTT Fuel CO ₂ Emissions of transportation fuels (g/mmBtu)	CO ₂ Emission Factors of Diesel Combustion (g/mmBtu)
50% Rail	Diesel	370	500	12,647	77,623
50% Pipeline	Electricity	253	500	18,504	-
	Diesel			12,647	Turbine: 78,179 Reciprocating Engine: 77,337
	Residual Oil			8,867	Turbine: 85,061 Reciprocating Engine: 84,219
	Natural Gas			5,218	Turbine: 58,044 Reciprocating Engine: 56,013
100% Ocean Tanker	Residual Oil	32 (29)	7,416	8,867	84,102
100% Heavy Duty Truck	Diesel	1,713	100	12,647	77,809 (77,913)
80% Heavy Duty Truck	Diesel	1,713	50	12,647	77,809 (77,913)

Note: It is assumed that all locomotives use diesel. Values in parenthesis are for the return trips

The results are shown in Table 5.04. The WTT emissions shown in the Table for each GHG species is calculated in the “T&D” tab of CA-GREET model. The equation for CO₂ from rail is shown below and the calculations for the other transport modes and GHG gases are done similarly. VOC and CO emissions are not shown in Table 5.04, which contribute 8.7 g/mmBtu and 18.6 g/mmBtu (on a CO₂-equivalent basis), respectively. CA-GREET also includes 19.7 g/mmBtu VOC fugitive emissions (62 g/mmBtu CO₂-equivalent). Note that only one-way rail emissions are counted, whereas an extra term exists in the calculation for truck transport to account for the return truck trip; emissions from the return trip are assumed to be equal to emissions for the trip from the origin to destination.

Table 5.04 GHG Emissions from EtOH Transport and Distribution

Transport Mode	CO ₂ Emissions, Excluding VOC and CO (g/mmBtu)	CH ₄ Emissions (g/mmBtu)		N ₂ O Emissions (g/mmBtu)		CO ₂ e ¹ (g/mmBtu)
		actual	as CO ₂ e	actual	as CO ₂ e	
Transported by Pipeline*	223.5	0.76	$0.76 \times 25 / 2 = 9.5$	0.01	$0.01 \times 298 / 2 = 1.5$	234.5
Transported by Rail*	362.5	0.83	$0.83 \times 25 / 2 = 10$	0.02	$0.02 \times 298 / 2 = 2.5$	375
Transported by Ocean Tanker	1,829	1.94	$1.94 \times 25 = 48$	0.04	$0.04 \times 298 = 12$	1,889
Weighted Average*	2,413		67.5		16	2,496.5
Transported by Heavy Duty Truck	807	0.9	$0.9 \times 298 = 22.5$	0.02	$0.02 \times 298 = 6$	835.5
Distributed by Heavy Duty Truck*	323.2	0.4	$0.4 \times 25 = 10$	0.01	$0.01 \times 298 = 3$	336.2
Total	3,543.2		100		25	3,668.2
Total GHG Emissions (gCO₂e/MJ)						3.5

Note: *In Brazil, assumed 50% EtOH transportation travel by rail and 50% by pipeline, and 80% distributed by truck

Note: Anhydrous ethanol modeled here is not suitable for use in blending with the CARBOB component to produce California Reformulated Gasoline (CaRFG). Calculations pertaining to tailpipe emissions from the use of denatured ethanol blended with CARBOB (to produce CaRFG) are detailed in the CaRFG document and is available on the Low Carbon Fuel Standard website (www.arb.ca.gov/fuels/lcfs/lcfs.htm).

APPENDIX A2 (SCENARIOS 1 AND 2)

**SCENARIO 1: MECHANIZED HARVESTING AND
ELECTRICITY CO-PRODUCT CREDIT**

SCENARIO 2: ELECTRICITY CO-PRODUCT CREDIT

Detailed calculations for the two additional scenarios analyzed for Brazilian sugarcane ethanol

This appendix details the calculations for the two additional scenarios presented in the summary section of this document. They include:

Scenario 1: Mechanized harvesting and export of co-product power from plant burning bagasse

Scenario 2: Export of co-product power from plants burning bagasse

Table A2 provides a comparison of the two scenarios with the baseline pathway completed in February 2009 and detailed in Appendix A1. All of the assumptions for the two scenarios are the same as those for the baseline pathway (except for the variations considered in the two scenarios).

Table A2 Comparison of Baseline Pathway with Two Additional Scenarios Analyzed In This Appendix

Pathway	Baseline Pathway	Scenario 1	Scenario 2
Mechanized Harvest	No	Yes	No
With Co-Product Electricity Credit	No	Yes	Yes
Total GHG Emissions (gCO₂e/MJ)	27.40	12.40	20.40

Scenario 1: Mechanized harvesting and export of co-product electricity from plant burning bagasse

The dominant practice of cane harvest in Brazil has been burning the straw prior to harvesting. This practice however is gradually being replaced by mechanized harvesting and new regulations prohibit burning prior to harvesting in Sao Paulo, Brazil by 2012 (the largest state in Brazil producing and importing sugarcane ethanol to the U.S)⁵.

The baseline pathway calculated that burning generated 8.2 gCO₂e/MJ of GHG emissions (details provided later in this Appendix). When a mechanized process is adopted, the baseline pathway is credited with this amount to provide a WTW emissions for the pathway with mechanized harvesting. For the co-product electricity, a GHG credit of 7.0 gCO₂e/MJ is applied (details provided later in this Appendix). Therefore, this scenario has a total WTW of **12.40 gCO₂e/MJ** (baseline of 27.4 – 8.0 – 7.0 = 12.4).

⁵ Sao Paulo State Law: 11.241 on 19 September 2002

Scenario 2: Export of co-product electricity from plants burning bagasse

As indicated in Scenario 1, the co-product credit is 7.0 gCO₂e/MJ which leads to WTW emissions for this scenario of **20.40 gCO₂e/MJ** (baseline of 27.4 – 7.0 = 20.4). A complete detail of the co-product credit is provided later in this Appendix.

Detailed CA-GREET model calculations of values used for scenarios 1 and 2**SECTION 1. GHG EMISSIONS FROM AVOIDING STRAW BURNING AND MECHANIZED HARVESTING OF SUGARCANE**

As mechanization replaces field burning prior to harvesting by hand, the avoided emissions are calculated and presented as an emissions credit to the pathway. Section 1.3 in Appendix A1 presented details of the emissions from straw burning prior to harvest and the results are shown here in Table 1.01

Table 1.01 Avoided Emissions from Mechanized Harvesting

Emission Species	GHG Emissions (gCO₂e/MJ)
VOC	2.2
CO	14.2
CH ₄	6.6
N ₂ O	2.1
CO ₂	163.2
Biogenic CO ₂ Credit	(-180.3)
Total GHG Emissions (gCO₂e/MJ)	8.0

SECTION 2. GHG EMISSIONS ACCOUNTING FOR CO-PRODUCT CREDIT FROM ELECTRICITY GENERATION

Data was supplied to staff by the Brazilian Sugarcane Association (UNICA) for 39 plants that produce excess electric power using energy from burning of bagasse. The exported electricity is assumed to displace power from new generation, which in Brazil is natural gas derived. Table 2.02 summarizes the data from UNICA⁶.

⁶ Data and Personal Communication with Joel Valesco and associates (UNICA) on 06/30/2009

Table 2.02 Total Electricity Exported to Grid in 2008 in Brazil of 39 Mills Surveyed

Ethanol Mills Survey	Cane Crushed (tonnes)	Surplus Electricity Exported (MWh)	Average Surplus Electricity (kWh/tonne)
39	121,694,215	3,062,304	25.16

The CA-GREET model uses a default co-product electricity value of 0.96 kWh/gal for the export electricity scenario. This value is equal to **23.1** kWh/tonne cane which is close to the actual value. For the calculations provided below, this CA-GREET default value of **23.1** kwh/tonne cane has been used.

Assumptions: (CA-GREET)⁷

Thermal energy of sugarcane: 1,188 MJ/tonne

LHV of bagasse: 12,947,318 Btu/ton

Bagasse moisture content: 50%

Biomass boiler efficiency: 80%

Power generation efficiency: 30%

Energy needed per gallon of cane ethanol:

$$\frac{1188 \text{ MJ / tonne cane}}{1055 \text{ MJ / MMBtu}} \times \frac{1}{80\%} \times \frac{1 \text{ tonne cane}}{24 \text{ gal EtOH}} = 58,546 \text{ Btu/gal ethanol}$$

Bagasse Energy yield per gallon of Ethanol:

$$\frac{12,947,318 \text{ Btu / ton}}{10^6} \times \frac{1055 \text{ MJ}}{1 \text{ MMBtu}} \times \frac{1}{(2000 \text{ lb / ton}) \times (0.454 \text{ kg / lb})} \times 50\% \times \frac{280 \text{ kg bagass / 1000 kg cane}}{0.024 \text{ gal / kg cane}} = 83,124 \text{ Btu/gal ethanol}$$

Extra bagasse Btu for Electricity Co-gen:

$$\frac{(83124 \text{ Btu / gal} - 58546 \text{ Btu / gal}) \times 30\%}{3412 \text{ Btu / KWh}} = 2.16 \text{ kWh / gal}$$

After internal deduction 1.2 kWh/gal from ethanol processing (0.5 kWh/gal electrical and 0.7 kWh/gal mechanical usage), the extra electricity export from bagasse is
(2.16 - 0.5 - 0.7) kWh/gal = **0.96 kWh/gal**

The results are a CA-GREET calculation based on the electricity exported and the emission factor in the CA-GREET model for marginal natural gas based power generation. The first column in Table 1.03 is a CA-GREET calculation for Brazil marginal power in the “EtOH” tab. The adjacent column calculates the co-product credit in g/gal with subsequent columns showing the unit conversions to g/MJ. Table 2.03 shows the results for co-product electricity credit (-7.0 gCO₂e/MJ) as calculated in CA-GREET.

⁷ Using data from M. Wang et al: WTW Energy Use and GHG Emissions of Brazilian Sugarcane Ethanol - July 2007

Table 2.03 GHG Emissions for Co-product Electricity Credit

	Brazil Marginal Electricity	Co-Product Electricity Credit	Co-Product Electricity Credit	Co-Product Electricity Credit
Energy	Btu/mmBtu	Btu/gal	Btu/mmBtu	J/MJ
Total energy	2,983,664	-8,981	-117,666	-117,666
Emissions	g/mmBtu	g/gal	g/mmBtu	gCO₂e/mmBtu
VOC	25.82	-0.078	-1.018	
CO	97.54	-0.294	-3.847	
CH ₄	368.782	-1.110	-14.544	-363.6
N ₂ O	3.62	-0.011	-0.143	-42.6
CO ₂ only	176,797	-532		
CO ₂ (including VOC and CO)	177,032	-533	-6,982	-6,982
GHG Emissions				-7,388.2
Total GHG Emissions (gCO₂e/MJ)				-7.0

The calculations for the electricity credit are based on the product of the co-product power and the emission intensity of the electricity in g/mmBtu.

Sample Calculation of CO₂ shown Table 2.03 above:

Electricity Fuel Shares = 0.96 kWh * 3,412 Btu/kWh = 3,276 Btu/gallon.
 3,276 Btu/gallon * (176,797 g/mmBtu/10⁶ Btu)*(1-8.1%) = **532 g/gal**
 (see entry in Table 2.03).

APPENDIX B

INPUT VALUES FOR ETHANOL FROM BRAZILIAN SUGARCANE

Scenario: Ethanol made in Brazil from Brazil Sugarcane and transported to California.

Parameters	Units	Values	Note
GHG Equivalent			
CO ₂		1	CA-GREET Default
CH ₄		25	CA-GREET Default
N ₂ O		298	CA-GREET Default
VOC		3.1	CA-GREET Default
CO		1.6	CA-GREET Default
Sugarcane Cultivation			
Fuel Use Shares			
<i>Diesel</i>		38.3%	CA-GREET Default
<i>Gasoline</i>		12.3%	CA-GREET Default
<i>Natural Gas</i>		21.5%	CA-GREET Default
<i>LPG</i>		18.8%	CA-GREET Default
<i>Electricity</i>		9%	CA-GREET Default
Cultivation Equipment Shares			
<i>Diesel Farming Tractor</i>		80%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/mmBtu	77,411	CA-GREET Default
<i>Diesel Engine</i>		20%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/mmBtu	77,349	CA-GREET Default
<i>Gasoline Farming Tractor</i>		80%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/mmBtu	75,645	CA-GREET Default
<i>NG Engine</i>		100%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/mmBtu	57,732	CA-GREET Default
<i>LPG Commercial Boiler</i>		100%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/mmBtu	68,036	CA-GREET Default
Sugarcane Farming			
<i>Sugarcane energy use</i>	Btu/tonne	41,592	CA-GREET Default
<i>Sugarcane harvest yield</i>	tonne/ha	75	CA-GREET Default
Sugarcane T&D			
<i>Transported from Sugarcane Field to Stack</i>			
<i>by medium truck</i>	miles	10	2,199 Btu/mile-tonne Energy Intensity
<i>fuel consumption</i>	mi/gal	7.3	capacity 8 tonnes/trip
<i>CO₂ emission factor</i>	g/mi	1,369	CA-GREET Default
<i>Transported from Stack to EtOH Plant</i>			
<i>by heavy duty diesel truck</i>	miles	40	1,713 Btu/mile-tonne Energy Intensity
<i>fuel consumption</i>	mi/gal	5	capacity 15 tonnes/trip
<i>CO₂ emission factor</i>	g/mi	1,999	CA-GREET Default
Chemicals Inputs			
Nitrogen	g/tonne	1,092	CA-GREET Default
NH₃			
<i>Production Efficiency</i>		82.4%	CA-GREET Default
<i>Shares in Nitrogen Production</i>		70.7%	CA-GREET Default
<i>CO₂ Emission Factor</i>	g/g	2.475	CA-GREET Default
Urea			
<i>Production Efficiency</i>		46.7%	CA-GREET Default
<i>Shares in Nitrogen Production</i>		21.1%	CA-GREET Default
Ammonium Nitrate			
<i>Production Efficiency</i>		35%	CA-GREET Default
<i>Shares in Nitrogen Production</i>		8%	CA-GREET Default

PRELIMINARY DRAFT DISTRIBUTED FOR PUBLIC COMMENT

Parameters	Units	Values	Note
P₂O₅	g/tonne	149	CA-GREET Default
H₂SO₄			
<i>Feedstock input</i>	tonnes	2.674	CA-GREET Default
Phosphor Rock			
<i>Feedstock input</i>	tonnes	3.525	CA-GREET Default
K₂O	g/tonne	193.6	CA-GREET Default
CaCO₃	g/tonne	5,337.7	CA-GREET Default
Herbicide	g/tonne	8.1	CA-GREET Default
Pesticide	g/tonne	2.21	CA-GREET Default
CO₂ from CaCO₃ use	g/tonne	2,349	CA-GREET Default
Sugarcane Straw Burning Credit	g/tonne	-349,067	CA-GREET Default
EtOH Production			
Yield			
<i>EtOH Yiel</i>	gal/wet tonne	24.0	CA-GREET Default
<i>Sugarcane Straw Yield</i>	Dry tonne/tonne sugarcane	0.19	CA-GREET Calculations
<i>Bagasse Burning/gal EtOH Yield</i>	Dry tonne/gal	0.00642	CA-GREET Default
Production			
<i>Energy use for Sugarcane Mill EtOH</i>	Btu/gal	251	CA-GREET Default
<i>From Residual Oil</i>		0.3%	CA-GREET Default
<i>Residual Oil Industrial Boiler</i>	g/mmBtu	85,045	CA-GREET Default
<i>From Bagasse burning</i>		99.7%	CA-GREET Default
<i>Bagasse –burned, small Industrial Boiler</i>	g/mmBtu	118,834	CA-GREET Default
EtOH T&D			
<i>Transported by rail – inside Brazil</i>	miles	500	370 Btu/mile-tonne Energy Intensity, CA-GREET Default
<i>Transported by pipeline – inside Brazil</i>	miles	500	253 Btu/mile-tonne Energy Intensity, CA-GREET Default
<i>Transported by Ocean Tanker to U.S.</i>	miles	7,416	26 Btu/mile-tonne Energy Intensity from original, CA-GREET Default
<i>From U.S. back to Brazil</i>	miles	7,416	39 Btu/mile-tonne Energy Intensity from destination, CA-GREET Default
<i>Transported by HHD truck to distribution center</i>	miles	100	1,028 Btu/mile-tonne Energy Intensity both ways, CA-GREET Default
<i>Transported by HHD truck to blending terminal</i>	Miles	50	1,028 Btu/mile-tonne Energy Intensity both ways, CA-GREET Default
Fuels Properties	LHV (Btu/gal)	Density (g/gal)	
<i>Crude</i>	129,670	3,205	CA-GREET Default
<i>Residual Oil</i>	140,353	3,752	CA-GREET Default
<i>Conventional Diesel</i>	128,450	3,167	CA-GREET Default
<i>Conventional Gasoline</i>	116,090	2,819	CA-GREET Default
<i>CaRFG</i>	111,289	2,828	CA-GREET Default
<i>CARBOB</i>	113,300	2,767	CA-GREET Default
<i>Natural Gas</i>	83,868	2,651	As liquid
<i>EtOH</i>	76,330	2,988	Anhydrous ethanol (neat)
<i>EtOH</i>	77,254	2,983	Denatured ethanol (2.5% by volume)
<i>Bagasse (Btu/dry tonne)</i>	12,947,318	n/a	CA-GREET Default