<1> Chapter 1. Once and Future Trees

Quote: Forêts précèdent les peuples, et les déserts les suivent. (forests precede civilizations and deserts follow them), Chateaubriand (1768-1848), quoted in *The Human Situation* by Aldous Huxley

Quote: I am trying to save the knowledge that the forests and this planet are alive, to give it back to you who have lost this understanding, Paulinho Paiakan, Kayapo Leader, Brazil, 1990

Along the Colorado Plateau's high-standing Mogollon Rim in northern Arizona's Coconino National Forest stands a small patch of big trees that matured well before Europeans came to North America. Massive ponderosa pines, and even pinyon pines and western junipers, tower above the forest floor, shutting out all but the most shade-tolerant competitors. Few places like this one still exist anywhere in the United States, even on national forest lands. A tourist hoping to see all the diversity that earliest European arrivals found commonplace in the western landscape must seek out a wide scattering of isolated enclaves across the region.

Western forests no longer contain the grand glades and lush thickets that our forerunners encountered because most, especially publicly-owned woodlands, largely serve a wide variety of human purposes, as camp sites or home sites, board-feet of lumber, potential jobs, recreational playgrounds, and even temples of the spirit. We also rely on forests to maintain habitat for endangered species and seed banks for restoring depleted biodiversity--and to provide us with clean air and water, stable hillside soils, and flood control in wet years. Forests must perform these roles while being consumed, fragmented by roads, and heavily eroded. But there is no guarantee that these most beloved and iconic of natural resources can sustain such a burden.

Federal, state, and local government agencies oversee and regulate western U.S. forest lands and their uses, trying to manage the complex and only partly understood biological interactions of forest ecology to serve public needs. But after nine decades of variable goals, and five decades of encroaching development, western woodlands are far from healthy. Urban pollution and exotic tree diseases, some brought by humans, are killing pines, firs, and oaks. Loggers have more than decimated the oldest mountainside forests--most valuable for habitat and lumber alike--with clearcutting practices that induce severe soil erosion. Illegal clearings for marijuana farms are increasing. Drought, following a long history of too much fire prevention, promotes widespread, devastating fires. Salvage logging follows the fires, promoting more erosion and habitat losses.

As these stresses converge toward a crisis, rapid climate warming is reducing the survival potential of many tree species, if not of whole interdependent plant and animal communities (ecosystems). If the climate warms too fast and droughts stretch out, many of our highly logged and trampled and driven-over western forests could perish, depriving us of all their critical services.

<2> Preserving Forests for the Trees

Trees have served humanity's economic and spiritual needs and wants as long as people have lived on the earth. Before and after the rise of civilizations, people cleared woods for farming, cut trees for building shelters, and burned them to cook food and keep warm.¹ Over the last 10,000 years, extensive and pervasive human uses, for firing pottery and smelting metals; making tools, paper, and other equipment; and building communities, boats, roads, and vehicles radically transformed the world's forests. While ancient Mycenean and Greek cultures venerated trees and preserved many sacred groves for religious rituals,² their unregulated forest cutting also denuded hills and mountains. Severe erosion followed, stripping upland forest soils and flooding huge sediment loads into rivers. The eroded forest soils choked harbors and pushed coastlines seaward from important coastal cities such as Ephesus, Troy (Illios), and Mytelene,³ which ended up landlocked or buried under sediment.

Like the ancients, European civilizations long depended on forests for their primary energy source--as critical then as petroleum and coal are to us (Chapter 12). Ships made of wood were essential to navigation and economic expansion until the latter 19th century. By the 17th century, farmland clearings and massive construction projects, including whole navies, had severely reduced the size and quality of northern Europe's timber resources--a classic illustration of Garrett Hardin's *Tragedy of the Commons*. A worldwide search for ship masts ensued, dictating both foreign policy and military actions from the Baltic to the New World.⁴ Once global explorers discovered vast Asian and American timberlands, Europe's rulers established colonies to exploit them, among them the founding settlements of these United States.

The European settlers moved into forests of the temperate zone, between the Tropic of Cancer and the Arctic Circle. These forests covered about a billion acres of what is now the coterminous United States. Native Americans had made impacts upon the woods, yet mature stands of large to giant trees from several hundred to several thousand years old were abundant and widespread. To avoid words laden with scientific controversy and legal confrontation, we refer to these pre-Columbian North American woodlands as "heritage forests."

Although mostly arid, the west harbors dense, undulating stands hugging the northwestern coast--still the world's most impressive stretch of temperate rain forest. Interior forests grow mostly in the mountains, the islands of moisture punctuating dry prairies and deserts. The largest, tallest, and oldest trees on earth--the great groves of coast redwoods and stately Douglas firs; monumental giant sequoia; gnarled, weather-beaten timberline bristlecone pines; and dark, coastal fog-moistened spruce and yew--all grow in the west.

Few of the heritage forests are left today. The few scraps cover less than 63 million acres, around six percent of the original.⁵ North America's earliest settlers saw no reason to preserve forests that could harbor hostile Indians and predatory animals. Wrote one,⁶ "Upon first glance,

the woods gave ... the impression of a 'wild and savage hue'" For many generations, most Americans accepted clearing forests as virtuous activity. Except in a few early forest reserve areas they indulged in unchecked cutting and logging until the early 20th century. Forests representing almost all major ecosystems have been logged over at least once, or converted to a myriad of human uses.⁷

By 1907, agricultural clearings, mostly in the eastern states, had shrunk U.S. woodlands some 28 percent,⁸ raising the possibility that rapacious lumber companies and railroad interests could denude America of its forests. Leading foresters and politicians of the day seemed to understand that forest processes were important "natural capital"--predicting that the nation could be facing not only a timber famine, but also the loss of precious topsoils along the lines of Mycenean Greece, along with depleted groundwater supplies and degraded water quality. They also feared that loss of evapotranspiration from trees--the process of drawing water from the ground through plant roots, upward through stems or trunks, and releasing it through leaves or needles--might even dry the climate.⁹

As it turned out, formally classified U.S. forest land declined only two percent after 1907 even though the global demand for wood products increased five-fold between 1900 and 2000. This was due to a combination of social forces and enlightened government regulations, which helped the U.S. avoid timber famine and complete forest denudation. Fossil fuels replaced wood (Chapter 12), and plastics manufacturing from petroleum began shifting the world toward substitutes for many wood products. Meanwhile, the federal government enacted nationwide forest management policies that allowed American forests to recover, also preserving many heritage forest remnants in national parks and forest reserves. Millions of farmers moved from low-quality or degraded farm lands to find work in cities (Chapter 2), and forests regrew on those lands as well. Also, after World War II (WW II) the United States imported foreign lumber in tremendous quantities.

Forests of predominately native tree species still occupy about 70 percent of the 1620-era woodlands nationwide.¹⁰ But the overwhelming majority of American forests now mostly contain small-diameter trees, no more than 30 inches across, from the second or third re-growth cycle. Only about seven percent of American forests are protected in national and state parks, wilderness areas, and other conservation reserves.¹¹ And large forest regions are in the process of conversion to other uses as you read these pages.

Most western forests are on federal public lands, variously under the supervision of the U.S. Department of Agriculture Forest Service (USFS), and two U.S. Department of Interior agencies, the National Park Service (NPS) and Bureau of Land Management (BLM). State and county preserves protect some heritage forest stands, especially in California, and environmental groups have purchased a number of others.

The national forests, under USFS management, were born in the early 20th century after the most productive and accessible forests, generally on lowlands, had come under private ownership. The remaining timber stands mostly were in steep remote terrain, which private landholders had spurned as less accessible and generally less productive. Up to WW II, the abundance of private timber, and the difficulty and expense of logging mountainsides far from any road, protected the trees in national forests. But when demand for forest products surged after the war, logging severely depleted the private forests, leaving national forests the nation's largest timber resource.

While only a few percent of the nation's remaining harvestable timber acreage, the remaining groves of great old trees contain greater volumes of relatively unblemished wood than equivalent acreages of re-grown timber. The old forests also are principal habitat for dwindling native North American wildlife. Campaigns for preserving them are intended largely to save the life support of

threatened and endangered species. Nature worshipers and (or) recreating urbanites--a vocal and active segment of the citizenry--also campaign to preserve heritage groves as monuments of the spirit. Some of the preservation campaigns have turned into mystical experiences. Perhaps the apotheosis of modern tree worship is Julia Butterfly Hill, who lived high in the branches of a coast redwood for more than two years, developing a close personal relationship with the tree named "Luna."

Julia Hill's tree-sitting campaign succeeded in saving just one heritage forest remnant, while commercial companies still get permits to cut in and around thousands more.¹² Ironically, many people hope to preserve heritage forests *and* continue consuming high-quality lumber for their homes, boats and decks. The conflict between these disparate goals, both within individuals and across the culture, continue to inflame tensions over western U.S. forests.

Most people do not realize that there are even better reasons for saving forests--that trees' natural functions are just as important to human lives as to wildlife. *We need to save forests for the services that trees provide*. Trees are the earth's main source of airborne oxygen, absorbing carbon dioxide (CO₂) and releasing oxygen for people and all other animals to breathe. This same process of forest respiration also reduces the atmospheric greenhouse gas concentrations that drive rapid climatic warming. Trees also help purify surface and underground water. The clean water that forests provide is nearly as important for human survival and health as oxygen--and clean water is a critically declining resource (Chapter 9).

Former Forest Service Chief, Mike Dombeck has "worried that we may, as a society, lose our appreciation of what the land does for us; why open space is important ... The fact that a single tree sequesters about 13 pounds of carbon each year. That a single tree produces enough oxygen for a family of four to breathe. The water filtration functions of the vegetation on the landscape. It's important for people to appreciate and connect to the land."¹³ Since extensive tree cutting on

hill slopes commonly results in severe soil erosion and siltation, Dombeck might have added that trees add essential nutrients to the soils in which they grow, hold soil on slopes, and help prevent catastrophic floods (Chapters 5, 8, 9, 13). These are the top six reasons for humans to preserve forests and worship trees.

<2> The Nature of Forests

USFS and BLM aspire to take over forest management from nature. So far they have not proved that people can manage heritage forests to preserve natural ecosystems while extensively and intensively producing lumber, paper, and other commodities, however. Some conservationists clamor for the agencies to protect the old woods in their pre-settlement state, or slightly more realistically, return them to that state. To reach either goal requires better knowledge of the actual state of both the pre-settlement and today's forests, and also expertise in how to stabilize small remnants glades, surrounded by clearings and second or later-growth woodland. The most difficult challenge is preserving the whole diversity of forest ecosystems, particularly in heritage forest remnants outside of parks and preserves. The first, most critical, step is finding out how forests grew and thrived before intense human occupation.¹⁴

Woodlands are shaped by climate and the soils that they grow in. The old temperate forests of North America matured in temperate zone soils, which resist degradation and erosion better than soils in the tropics, where rainfall levels are extremely high. The temperate zone's lower rainfall and cooler climate make temperate soils into good nutrient storehouses.¹⁵ But understanding how temperate woodlands grow, what makes them thrive, and how best to preserve a forest or any other ecosystem, whether stressed by humans or by natural forces, remains the subject of considerable research efforts.

Biogeographer F.E. Clements¹⁶ proposed that forests develop through broadly predictable plant succession processes, with intimate links between all the plant and animal species.

Widespread-seeding, fast-growing "pioneer" trees easily colonize an unforested area, increasing shade and moisture as they mature. This critical first stage helps longer-lived "successor" species spread their seeds, grow, and eventually take over. Clements demonstrated that a natural forest generally transitions through one or more successional stages over 100 to 500 years, eventually reaching a "climax" mix of forest species that may not change for milennia. The climax community's CO₂ absorption is more stable and sluggish than for younger forests because it promotes less new growth. A climax forest must age several centuries before achieving the full maturity of old growth (Table 1.1).¹⁷ The total soil nutrient and water use of old growth forests generally equals the combined weight of vegetation and animal life (biomass) in the ecosystem.

Plant succession processes create much of the biological diversity (biodiversity) in natural ecosystems. A typical succession pattern in western temperate forests starts after one or more of the natural destructive forces--high wind, natural fire, flood, drought plus beetle infestations, disease, landslide, volcanic eruption, and even meteorite impacts--open a clearing or a series of clearings. Pioneer grasses, wildflowers, and shrubs sprout very quickly in the clearing, then successor aspens, willows, and lodgepole pines grow up to replace them. If nothing else changes, these successors yield in turn to climax-stage firs, which dominate the forest until they die off from old age, or until another disturbance clears them away and resets the ecological clock. The strongest support for Clements' succession model is the broad geographical extent of uniform forest communities across many mountain ranges in the American west, and even at common elevations and latitudes worldwide.

Ecologist A.S. Waitt's forest dynamics concept modified Clements' long-term successional model by accounting for the effects of short-term changes. Waitt showed that frequent events, such as fires and windfalls, down to and including the natural aging and death of individual trees, continually open up gaps in natural forests and create an ever-changing "gap-mosaic" architecture

16

(Figure 1.1).¹⁸ "Following the death of a large tree and its fall, a canopy gap forms. The area below this gap becomes the site of increased regeneration and survival of trees. Trees grow, the forest builds, the canopy closes, and the gap disappears. Eventually, the mature forest in the vicinity of the former gap suffers the mortality of a large tree and the new gap is formed and the cycle is repeated." The gaps offer a large variety of habitats, maximizing forest biodiversity.

H.A. Gleason's contrasting "individualistic community" concept¹⁹ proposes that a forest is simply a collection of individual trees, plants, and animals without significant co-dependent relationships, only coincidentally requiring similar ecologic conditions. The well documented interdependence of plant and animal communities favor Clements' successional model, but this individualistic community concept still is useful for understanding exotic plant invasions (Chapter 3), which can radically alter the survival prospects of native species.²⁰

<3> Cataclysms

Climatic forces, along with other natural forces driven by gravity, the sun, and the earth's own internal heat engine, modify the land surface in many ways (Chapter 13). When a tree falls, a volcano erupts, or a vehicle impacts a hillslope or stream, natural forces start transforming the site through erosion, siltation, biological decomposition, and the like. All the forces operate continuously and interrelate complexly. Fossil tree leaves and branches, found in some sedimentary rocks--including thick coal seams (Chapter 12)--testify that natural forces periodically devastated forests before humans or their axes appeared on the earth.

One immense cataclysm, the Chicxulub meteorite that slammed into what is now Mexico's Yucatán Peninsula some 65 million years ago, destroyed vast tracts of North American forest. Natural processes reestablished plant cover and added animal species over about the next 10 million years. The resulting ecosystems were entirely different communities than before the meteorite impact.²¹ Natural climate changes are much more common than meteorite impacts, or even volcanic eruptions or landslides, and pose the most serious long-range challenge to the survival of temperate-climate forests worldwide.

Climate variations have kept North America's forests in a state of continuous flux for at least five million years. Over the past two and half million years, western North America was mostly moister and cooler than it is now. A number of times, glaciers--sheets of ice, some as much as two miles thick--emerged from far northern latitudes and blanketed the North American landscape as far south as Kansas. Glaciers also emerged from high mountain ranges, carving sloping river canyons into the steep-walled valleys with broad, marshy, floors, thus creating the topography of Yosemite, Glacier, and Rocky Mountain National Parks. During ice ages, warm intervals like the present occurred less than 10 percent of the time. Today's rapid warming, aided by human burning of fossil fuels, is much higher than would have been achieved by natural climate changes at this point in earth history.

North American forests started adjusting to the warmer conditions when the most recent ice age ended, about 10,000 years ago. As the glaciers retreated, forests began to spread northward into areas that had been ice-covered for a hundred thousand years. This northward re-forestation still is taking place. All this means that America's heritage forests developed in a relatively short period of climate and geologic stability within a much longer history of harsh, erratically-changing conditions. At present, the basic mix of species in forest communities is changing on thousand-year time scales in many places--and in a few locations, the adjustments are happening much faster.²²

<3> Human factors

During ice ages, glaciers tied up such large volumes of water that ocean levels declined by hundreds of feet, making shallow ocean floor into dry land bridges between continents and connecting areas that now appear as islands. As the last ice age ended, North America's Indian forerunners came from Siberia on a land bridge that now underlies the Bering Sea, together with large mammals like the grizzly, moose, buffalo, and elk. Eventually, most of America's pre-Columbian human population lived in forests and cut trees to make lodges or tipis, canoes, and much more. Geographer Thomas Vale explained, "Pre-European peoples humanized areas on the North American continent, including parts of the American west" But these are minor human imprints that do not fundamentally modify the natural world.²³

Some of the highly civilized native cultures built permanent communities, even cities, and did significantly degrade the local ecology with irrigated agriculture (Chapters 2, 9). By one estimate, all forest within six to nine miles of the prehistoric metropolis of Cahokia, near modern day St. Louis, was cut down to make way for farming. Tree cutting for fuel and construction lumber extended much farther.²⁴ These effects were ecologically significant to a much lesser degree than those of later settlers or the present.²⁵

So European colonists moved into forest-mosaics shaped both by non-human and human forces. Deep forest areas were not uniformly dense, and did not all consist of giant trees. Instead, groves of sparse mature trees, accompanied by ground level grasses, ferns, and small shrubs, alternated with sunny openings of meadow grass punctuated by seedling trees and bushes, or with zones of densely packed younger trees and understory shrubs. At irregular intervals, human- or lightning-sparked fires burned the forests, prairies and other grasslands, and even wetlands.

Native Americans were sophisticated land managers. They manipulated plant species to sustain food and fiber supplies, often deliberately setting forest fires to promote growth of their favorite food plants and clear away brush and litter (Chapter 3).²⁶ The fires they set rarely were severe--they improved forage and habitat for game animals, opened hunter pathways, and made hunting easier, particularly of deer and other game that prefer feeding in open forest understory. The fires also enhanced biodiversity and opened up niches for saplings and healthy young trees.

<2> Forest Health

Forest managers need a way to assess the health of forest ecosystems to guide their management practices. Otherwise, they--and we--will not know whether forests are thriving now or if they can thrive in the future under our relentless pressures. First and foremost, sustaining forests requires preserving stable slopes and soils. All human clearings destabilize slopes and soils, which in turn increases floods and lowers water quality, oxygen production, and biodiversity. Clearings also reduce the forests' CO₂ absorption.²⁷ The number and size of human clearings have vastly expanded over the past 50 years, breaching forest integrity, soils, slopes, and streams on scales that natural processes rarely accomplish, short of cataclysms.

In the western U.S., 64 percent of exploitable timber grows on public lands, most of it in the Pacific Northwest states under USFS jurisdiction, or in the arid Great Basin and southwestern states under BLM management.²⁸ Other significant unprotected forest tracts are state owned, or privately held and governed by state forestry regulations.²⁹ Regulations and guidelines vary by agency and category of land ownership.

Effectively, USFS is the largest timber supplier in the United States. It plans and prepares "timber sales"--actually sales of permits for logging timber on federal lands--offers the sales for bidding, awards the contracts, and administers the eventual harvest of trees belonging to all U.S. citizens. In addition, agriculture and grazing (Chapters 2, 3), mining (Chapter 4), reservoirs (Chapter 9), military training (Chapter 6), utility corridors and roads for oil and gas exploration and production (Chapters 5, 12), plus urban-suburban developments (Chapter 8), all encroach on western re-grown and heritage woodlands. Large scale recreational developments on national forest lands (Chapter 11) and increased suburban settlements at the forests' edges tend to influence national forest management policies.

Federal and state land managers and elected officials have a duty to evaluate the sustainability of logging practices and lumber yields, and enforce laws protecting endangered species. At the same time they must also respond to appeals from other commercial forest users and address the public's sometimes-conflicting demands for access and fire suppression. Both USFS and BLM must manage federal lands to reconcile the often incompatible goals of "multiple use"--fostering economic and recreational uses while trying to preserve natural resources. The agencies tend to allow extensive clearing in and around western U.S. forests for a myriad of consumptive human uses.

<3> Sustaining harvests

Over 90 percent of all the world's wood products come from natural forests, primarily in the northwestern U.S., Russia, and rainy equatorial countries.³⁰ Logging takes place in eight of the 11 western states, principally the Pacific Northwest states plus California, Idaho, Montana, Wyoming, Colorado, and parts of northern Arizona and New Mexico. The timber mostly supplies construction lumber, and wood for paper and biomass fuel. All by itself, the United States uses 20 percent of all the world's produced wood--nearly 50 cubic feet per year for every American. This is more than three times the global average, and double the lumber consumption of most other industrialized countries.³¹

Many of the people who call for endangered species protections also demand abundant forest products, with little idea of the paradox or environmental consequence. Globally the consumption of wood products is rising, driven both by world population growth and by many developing countries' goals for economic expansion. By 1999 fuel wood and charcoal consumption grew to just over half of all wood cut.³² Paper accounts for another 20 percent of the global annual cut. Worldwide wood consumption is likely to reach more than 77 billion cubic feet per year by 2010-

-roughly equivalent to cutting down 77 million trees of 50 to 65 foot height. Only about a third of wood products are recycled.

An agreeable climate and healthy soil are the most vital factors supporting the long term sustainability of a forest. Human exploitation may do little ecological damage to a forest that grew and will re-grow under ideal natural conditions, but can force the same ecosystem into decline or extinction where it is barely hanging on.³³ Given optimal soil and climate combinations, plant and animal associations can resist substantial stresses from drought, insect infestation, logging, or grazing. An ecosystem is much more vulnerable under less than optimal conditions. But even under optimal conditions, frequent repeat harvests ultimately render a forest incapable of recovering from logging impacts. At each harvest the soil loses nutrients, its layered structure degrades, and the overall erosion potential increases (Chapter 13).

There are appropriate and inappropriate ways to cut a forest, depending upon the landscape and forest type. To avoid overcutting and devastating soil losses, timber-dependent economies must harvest timber under sustained-yield principles, continuously producing wood from the same areas for indefinite periods. Selective cutting can be the least damaging approach to logging. To achieve sustainability, the rate of forest cutting must never exceed the growth rate of harvestable trees. Roads must be correctly placed, preferably high on a slope, and care taken to limit the surface disturbances from cutting and hauling. Highly conserving Switzerland has long restricted timber cutting in its mountain cantons to selected trees scattered through a forest tract, for example. This practice of selective cutting preserves the stability of wooded slopes, prevents floods from increasing in number and height, and protects vital ecological services, to the benefit of villagers and graziers living in the valleys below. Low Swiss population pressures keep timber demands well within sustainable limits. In practice, foresters cut forest patches on rotation, allowing adequate intervals for re-growth depending on the type of tree. A stand of Australian eucalyptus may yield marketable products once every ten years, but plantation pine, a major source of pulpwood and paper, commonly needs to grow for at least 20 years between harvests. To sustain the yield from a pine forest, only five percent of the timber can be cut each year. On the other hand, eastern U.S. oak and beech forests require 200-year rotation periods, which partly explains high oak and beech lumber prices. <3> Unkind cuts

USFS records estimate that over 60 percent of western U.S. logging is selective,³⁴ but the large per capita U.S. demand for wood products makes loggers prefer clearcutting. Unlike selective cutting, clearcuts utterly eradicate a patch of forest (Figure 1.2). Heavy machinery fells and extracts only the larger trees, while crushing smaller trees and shrubs, and overturning soil layers that typically take thousands of years to develop (Box 5.1, Chapter 13). Clearcutting allows logging companies to extract large amounts of timber in short periods of time, and to replant more easily. It is the simplest, and in terms of profit margins, most efficient way to harvest a forest on a rotational basis.

Individual clearcuts are variably sized and shaped, ranging from a few to several hundred acres. Removing logs from clearcut areas requires abundant roads and skid trails for removing the cut trees, which are even more damaging than the cuts themselves. Road reclamation is feasible and desirable but rarely happens on western public lands (Chapter 5). The roads open forests to off-road vehicles, which exacerbate the damage (Chapter 11).

Seed-tree harvesting, a less abrasive form of clearcutting, typically leaves five to 12 mature trees standing per acre to help re-seed logged areas. Other practices that encourage rapid forest regeneration include shelter wood harvesting, which removes only mature trees, and coppicing--a highly productive alternative to clearcutting, which leaves stumps behind to sprout new trunks

and regenerate another crop. (Figure 1.2) Coppicing is best for growing tree varieties with short rotation intervals (five to 10 years), but unfortunately it requires particularly intensive herbicide, insecticide, and synthetic fertilizer applications to suppress undesired plants and give the sun exposure to preferred tree species.³⁵

Various skidding alternatives depend upon costs and the slope of cut-over land. Dragging the logs out with motorized equipment, such as tractors, significantly disturbs the soil on low slopes of less than about 57 percent (30° angle). For dragging logs to loading points across steeper hillsides, loggers set poles and string metal cables between the poles and remaining trees--but even this technique can critically disturb soil. On steeply sloping land, some loggers use helicopters, and even balloons, to remove high value logs without damaging soils. In recent years, some environmentally concerned loggers have reverted to hauling logs with mules and horses, because they correctly perceive that animal haul paths are narrower and cause less soil disturbance than machine-made trails.³⁶

Tree roots hold the soil on slopes. As Myceneans discovered, tree roots help keep the rainwater runoff to a minimum, thereby minimizing erosion and soil losses that can choke streams, prevent fish from spawning, and bury farmlands in flood times (Chapter 2). Conversely, cutting trees severely diminishes the effect of living roots that hold soil on a slope against the pull of gravity. Wholesale root decay after intensive logging may take five to 10 years, depending upon climate, soil type, and the kinds of trees. But even if new plants can establish seedlings and restore some root systems in that time, the slope's strength still tends to decline.

Slopes held in place only by dead, weakening roots are open to severe mass wasting processes--everything from gullying to large scale landsliding--which deliver loads of displaced soil, called sediment, into streams (Chapter 13). Soil creep, the slow, gravity-induced, downslope movement of soil masses on steep slopes, is a very widespread phenomenon on both cleared and

uncleared forest slopes (Chapter 13). The sediment shed from clearcuts can far exceed what soil creep delivers in uncut forests, however. When trees are suddenly removed their evapotranspiration stops, letting soil moisture and shallow groundwater levels suddenly rise toward the surface, which further increases the slope's failure potential. Denuded slopes become particularly vulnerable to debris flows, which are thick slurries of mud and debris that surge downslope, picking up trees and other rubble, and flattening everything in their paths (Chapter 13).³⁷

Shallow landsliding increases dramatically on steep clearcut slopes, as much as two to three times normal rates.³⁸ Landslide inventories in Oregon's Cascade Range recorded significantly higher soil mass movement rates in clearcuts compared to surrounding forests.³⁹ In Siuslaw National Forest, Oregon, about three quarters of all rain-triggered landslides in one 1975 storm came from clearcuts.⁴⁰ A 1999 study on a part of the Humboldt County, California, Headwaters Forest, reported that the highest proportion of landslides occurred in areas that had been clearcut over the previous 15 years.⁴¹ Landslides move sediment and logging slash, plugging up road culverts and diverting streams and their tributaries. In some landscapes, the water diversions led to severe gully erosion in forests (Chapter 13).⁴² In wet years, the increased rainwater coming off clearcuts increases eroded sediment loads. Carried into fast-running streams, the sediments aid in lowering stream channels and undercutting stream banks, potentially inducing more landslides and reactivating old ones.⁴³

Many loggers formerly removed clearcutting residues and slash to reduce fires, leaving mountainsides bare and highly prone to erosion. The presently accepted best practice is to leave some deadwood and forest litter on the ground to trap moisture and provide nutrients for forest regrowth. But erosion levels still are high, especially on slopes. After cutting, many loggers burn the piles of slash left in clearcuts and even have dropped napalm on them from low-flying aircraft. The high heat of napalm sterilizes the remaining soil to depths of several centimeters, eradicating any surviving organic layers, and baking the surface to a hard crust that cannot absorb rain water. Compared to undisturbed forested hillsides on similar slopes with equivalent landslide potential, stormwater runoff is higher from the compacted, torn, mixed, and baked soils, yielding three to 30 times more eroded sediment.⁴⁴

Plant losses take away shade and increase evaporation, which dries out upper soil layers and reduce the internal cohesion between soil particles. Groundwater rising at deeper levels from evapotranspiration losses may not balance these soil drying effects. On slopes steeper than 10 percent (5° angle), non-cohesive dry soils release streams of loosened mineral bits and other soil matter, termed "dry ravel." In the first 24 hours after a 1980s fire in the Oregon Coast Range, clearcut areas on slopes steeper than 60 percent (31° angle) lost between two and 16 dump truck loads of eroded sediment per acre, more than half in the form of dry ravel.⁴⁵ Moister areas are much less likely to produce dry ravel. Even in Southern California, the less-sunny north facing slopes tend to retain more soil moisture than south facing ones, so burn scars in chaparral vegetation on north facing slopes release 10 times less dry ravel than the south facing slopes.⁴⁶

Hillside logging requires roads, but roads on slopes are highly destructive. National forests contain nearly 450,000 miles of roads, mostly in the western states, built at taxpayer expense for timber cutting and fire control (Chapter 5).⁴⁷ Even accounting for clearcuts, erosion from roads is ten times greater than the erosion directly associated with logging in the western U.S.⁴⁸ The study of a steeply sloping California clearcut showed a shocking increase of over 200 percent of eroded sediment in the first six years after the operation had constructed roads low on slopes or near watercourses, and used tractors for skidding logs downslope to haul-trails built in stream channels.⁴⁹ Clearcuts in northern Idaho yielded 770 times more eroded sediment over the six-year period following logging road construction compared to equivalent unlogged forest slopes.

Landslides produced 70 percent of the measured sediment.⁵⁰ More than half of all landslides in northern Idaho forests come from roads carved into slopes, and a further 30 percent originated in areas combining roads, fire burns, and (or) logging scars.⁵¹ An air photo study of Vancouver Island indicated that more than a third of all landslides in logged areas were somehow related to roads.⁵²

<3> Forest-water connections

The stream and spring water in natural forests is remarkably pure, and the streams coming from them transport very small sediment loads even in steep terrain. These services are provided by forest soils and leaf litter--the natural filters that remove suspended solids and dissolved compounds from rain runoff (Figure 1.3). The forest understory of branches and smaller undergrowth, plus litter on the ground, also cushion soils against pounding rain drops. Where water collects on the mat of forest litter, various chemical processes and animal activities create openings that let the water rapidly seep underground, replenishing the groundwater that many cities and rural dwellers depend upon (Chapter 13). Cutting forests, and stripping or paving over woodlands and other natural habitats, limit the areas where groundwater may be naturally replenished. Not surprisingly groundwater supplies are steadily diminishing all across the naturally-arid western U.S. (Chapter 9).

Flat lands are obviously less vulnerable to slope and soil stability problems than hilly country, although flat lands bear the flood burdens of extensive silt deposits and water pollution. But forested watersheds protect developed areas downstream from severe flooding in all but the worst storms. The leaves, branches, soil, and roots that obstruct and retain running water in a forest also capture and divert much of the precipitation falling on forests, and take up large amounts in plant evapotranspiration processes. These diversions lower the amount of rain that runs off and slow flow rates, particularly on slopes (Chapter 13). During a downpour, the flows coming out of

forests are much less intense than the drainage from poorly-vegetated or unvegetated areas. Streams from forested areas also take a much longer time to reach flood levels and fall back again, so produce less damaging floods than streams from less vegetated and developed areas (Figure 8.7).

Soils eroded from partly-logged to denuded slopes pollute water supplies, clog filtration systems, and promote heavy silt accumulations in downstream waterways. Removing that sediment is one of the most expensive treatments required for purifying municipal water supplies, both for reducing wear on pumps, and even more importantly removing toxic chemicals attached to sediment particles.⁵³ Water purification costs are high for municipalities and their ratepayers, but forests do it for free. New York City's former mayor, David Dinkins, understood these facts when he advanced a plan in July, 1993, to upgrade management and reinforce protection for 2,000 square miles of forests and farmlands around the city's 19 reservoirs. The protected areas, as far away as the Catskill Mountains, have provided New York with water since 1842. Dinkins's plan for improving and maintaining the system cost \$720 million--much cheaper than the billions it would cost to build new water treatment and purification systems, let alone find ways to dispose of treatment plant wastes.⁵⁴

<3> Gases for life

The normal respiration of trees, taking up CO_2 and releasing oxygen to the lower atmosphere, is a critical forest ecosystem function that supports human life and helps regulate greenhouse gases. The oxygen that trees release is the main source of the oxygen that people--and all other animals--have to breathe. Trees retain CO_2 in their wood and leaves, making forests a critical factor in regulating the global carbon cycle and worldwide atmospheric temperatures. Cutting forests eliminates their role in removing CO_2 from the atmosphere, so helping to warm the climate. Once the forest has been cut, soils degrade and release substantial amounts of CO_2 to the atmosphere further adding to climate warming.

<3> Sustaining diversity

The concept of biodiversity describes the wide variety of plants and animals in ecosystems, which maintain competitive or collaborative interactions of many kinds, providing food and shelter and performing the myriad of other services mutually necessary for all species' survival--- including humans. This diversity of plants and animals in ecosystems provides natural "factories" that break down wastes of all sorts, clean up polluted groundwater, and support complex food chains. A few of the life forms in any ecosystem perform vital keynote functions, meaning that many other animal or plant species could not survive without them.⁵⁵ The catastrophic biodiversity reductions worldwide since the end of WW II largely correspond to destroyed or degraded forests and tropical marine ecosystems.

Human clearings and roads subdivide habitat and reduce diversity. Such clearings, expecially logging clearcuts, are becoming more abundant and pervasive in forests than the gaps produced by natural fires and other natural clearing processes. And natural gaps also continue to open. The clearings constitute barriers, subdividing forests into many small segments and making some too small to function as ecosystems (Chapter 5). The effects of forest fragmentation have been especially devastating to habitat.⁵⁶ Unfortunately, current government policies are promoting roads across and vehicular recreation in unfragmented lands with wilderness values, while allowing many more clearings for development in national forests.

Forest fragmentation markedly increases the amount of edge habitat, transitional to clearings, at the expense of denser forest interior zones. This change reduces life support for many songbirds and other animals of the forest interior, exposing them to edge habitat predators, parasites, and disease, and so decreasing their survivability. A few small forest clearings in a large forest stand may impact biodiversity very little, while the same-size clearings could be devastating to ecosystems in a small forest. Adding numerous human clearings to natural openings--the deep canyons, sand dunes, and mesas or buttes--that already subdivide western forests can disrupt plant succession and disproportionately reduce biodiversity even in large forests.

Large predators and migratory herbivores, such as the red wolf, elk, and grizzly bear, need to roam across hundreds of square miles of unfragmented inner forest. As interior habitats decrease in size, the numbers of animal and plant species they support also decline: the species-area effect. A 90 percent reduction in habitat area can cut biodiversity by half.⁵⁷ Reduced biodiversity impedes future forest re-growth, especially from the loss of pollinating birds and insects, while obstructing the maintendance of balanced, healthy forest ecosystems. Heavy logging, with heavy soil losses, also opens a woodland to bioinvasion, letting non-native species take over and preventing native ecosystems from re-establishing themselves (Chapter 3).

Western forest fragmentation already has contributed to the disappearance of predatory animals that need extensive unfragmented forest habitat, while encouraging cowbirds, mockingbirds, hawks, ravens, and rats to expand into human residential areas.⁵⁷ All these species can easily adapt to rapidly changing conditions and thrive in unstable environments, supported by garbage dumps and open neighborhood dumpsters. Ravens have become the dominant bird species in woodlands adjoining many California and Arizona population centers.

<2> Burning Issues

What foresters regarded as a "healthy forest" in 1891 is far different from our understanding of healthy forests today. The federal mission to serve multiple public interests in managing public woodlands has become progressively more difficult to work out, given both the increase in population pressure and a much deeper scientific understanding of our negative impacts on forest ecosystems. Scientific studies show that healthy forests are diverse ecological systems, everchanging on extended time lines that greatly exceed ordinary human economic interest. To support diversity, and what may be regarded as a truly healthy forest condition, federal and state land managers must look beyond timber harvests and factor in the natural changes that modify forests, especially fires.⁵⁸

Ecological studies reveal that fires are natural and common in most ecosystems. Over millions of burning and re-growth cycles, most plants have adapted to fire, some developing a thick bark, rich in fire-retarding tannin, some storing food in roots to sustain themselves after a burn, and others re-sprouting vigorously. A number of fire-adapted trees, such as lodgepole pine, produce cones that can release seeds only after intense heating. Heat and flame return some nutrients to the soil even while depleting others, so that surviving plants and seedlings--especially pioneers--often thrive on burned ground.

Fire-prone plant communities are "self-reinforcing: The type of plants that grow on a burn determines the nature of the fuel complex ... [which] determines the intensity and frequency of [the next] fire and its future biological effects."⁵⁹ Thus, changing numbers and locations of plant communities influence future fire patterns, which influence future landscape development, the whole grand cycle operates in a time frame of thousands of years.

Experience also shows that human land-uses force such rapid changes on natural ecosystems that they cannot recover easily, and sometimes not at all. Natural processes never stop, and always amplify ecological disruptions. If the disruptions exceed natural thresholds, the ecological response can be devastating. These are serious challenges to agencies like the USFS and BLM, and are forcing significant changes to forest management.⁶⁰ Fire control is an area where natural thresholds have been crossed. On no issue of forest management have attitudes changed more. <3> Crowning blows

Woodlands burn in three different ways:

- ground fires burn root structures and oxygen-rich soil,
- surface fires burn in underbrush and downed dead logs and branches, called deadfall, and
- crown fires burn the forest canopy, its upper tree branches and leaves.

Although unspectacular and slow moving, from the human point of view ground fires are among the most destructive because they kill the roots of trees and are very difficult to control. Surface fires commonly move slowly enough for firefighters to contain them at the fire front, but may spread into forest canopy as they advance. Ground and surface fire are called "cool" fires because maximum temperatures remain between 500° and 1000° F. High summer temperatures, wind, and rising air drafts from the heat of a ground fire, can whip flames upward into the canopy,⁶¹ generating crown fires.

Crown fires move more or less independently of the surface but can set surface fires as they spread, moving as much as 10 miles per hour under gusty conditions. Temperatures may be as high as 2000° F, enough to melt glass and so soften steel beams that they bend like a pretzel. Far-spreading hot crown fires do more to destroy valuable stands of timber than smaller burns, and usually defy fire fighters' attempts to contain them until the weather changes to less windy or higher humidity conditions.

Prior to Lewis and Clark's western expedition, fires were relatively cool and did not often develop into crown fires that burned the larger trees. The fires were relatively frequent, cleaning out leaf litter and deadfall, and reducing the fuel available for subsequent burns. Frequent cool fires reduced the number of crown fires. Intervals between crown fires in pre-settlement times sometimes can be deduced from studying the number and appearance of growth rings in a tree's wood,⁶² which show its age. Prehistoric fire-charring in some Oregon tree ring records suggest that large crown fires might have swept a typical patch of western Oregon Douglas Fir forest an

average of once every 200 to 400 years.⁶³ Yellowstone National Park's major 1988 fire supports this conclusion, since the most recent previous conflagration of similar size occurred in the 1700s, an interval of about 200 years. Outside Yellowstone, several crown fires have occurred each decade since 1970--a disturbing trend.

Until the later 20th century, the U.S. government policy was to fight forest fires. The policy started with the "Peshtigo Blow Up" fire of October, 1871, the most destructive forest fire in North American history.⁶⁴ Ignoring the contribution of clearcut slash that the loggers had left on the ground, an influential 1899 U.S. Geological Survey report quite unscientifically concluded that wildfires are "always evil, without a single redeeming feature."⁶⁵ Propping up the "evil fires" notion, the 1910 Great Idaho fire, also partly fueled by clearcut slash, destroyed 3 million acres of pine woods in the Northern Rockies, killed over 80 people, and carried wood ash as far north as Greenland, about three thousand miles away.

Protected from burning for decades, forest litter and undergrowth began accumulating in both logged and re-grown woodlands, and in unlogged heritage forests. Cattle grazing on USFS and BLM meadows and clearings eliminated grasses and wildflowers, permitting the growth of small, weak trees in dense ("doghair"), thickets (Chapter 3). Historical photographs, especially in the substantial photo coverage of California's Sierra Nevada range, show general increases in forest density and cover throughout the west since the mid-19th century. Between 1866 and 1961, forest cover on the floor of Yosemite Valley increased approximately 20 percent. Photos of Lake Tahoe's Emerald Bay area show even greater increases between 1873 and 1994.⁶⁶

Post-1910 forest fire suppression may have helped reduce human-set fire frequencies for a time. But over the succeeding decades thick undergrowth and deadfall accumulations began to alarm fire specialists. As the densely crowded trees matured, insect infestations and plant diseases flourished. Weakend and dying timber stands covered thousands of acres and some whole

woodlands suddenly perished, greatly increasing the load of highly flammable dead wood. In 1970, the National Park Service faced rising fire-fighting costs, increasing numbers of hot crown fires, and rising losses of private property and human life in forest-fringe suburbs. To address these problems, NPS began allowing some natural fires to burn freely in remote parklands, and inaugurated controlled burning to remove deadfall and increase biodiversity.⁶⁷ Eventually the 6-million-acre 1988 Yellowstone fire, a literal backcountry firestorm in the national park's densely overgrown lodgepole pine forest, drew public attention to the problem. That fire underscored the new policy's wisdom, and added controlled burning to national forest management tools.

But NPS's burn policies came too late and accomplished too little. NPS and Bureau of Land Management had to continue fighting major fires on national forest lands to protect property interests. Meanwhile, studies of controlled burn areas showed that they can increase erosion,⁶⁸ and both environmental groups and forest-margin residents have challenged them for impairing air quality. Additional concerns attach to the use of fire retardants and foams, both to contain controlled burns and fight fires in remote areas. The chemicals can hurt native vegetation and encourage weed invasions, thereby reducing species diversity. Chemicals also are toxic to both terrestrial and aquatic animals and plants.⁶⁹

<3> Restoration or salvage

Trying to understand the density of pre-settlement forests, Arizona forestry researchers mapped the 1890 distribution of trees in northern Arizona's Ponderosa pine forests.⁷⁰ Their "restored forest" model is the expected mosaic of predominantly large, old pine trees and dead snags, punctuated by meadows and aspen groves. It suggests that the region's pre-settlement forests had supported 10 to 50 trees per acre (averaging 23 per acre), interspersed with patches of ground-level grasses and shrubs. By contrast, northern Arizona's human-managed forests today average 851 trees per acre. To determine whether the model forest can promote cooler fires, the researchers cut 50 to 90 percent of trees in overgrown experimental forests,⁷¹ and later burned the same areas to promote grassy growth. They planned to observe the experimental area over a decade or more to evaluate the restoration model.⁷²

Before restoration theories could be fully tested, extensive hot fires burned huge western woodland areas in the summers of 2000 through 2003, fulfilling decades-old fears. Excepting the costly 2000 Los Alamos, New Mexico, fire, which started as a controlled burn, the fires swept across lands where prescribed fires had been blocked for years. The 2000 fires utterly denuded some forest lands, wiping out their capacity to "recover" through natural processes--meaning produce harvestable timber--for more than a century to come. The 2002 wildfires covered more than 300,000 acres, and the 2003 fires consumed 400,000 acres of scrub and forest. In southern California alone, the 2003 fires took 1,500 houses and 13 lives.

The fires attracted political attention that promoted a version of the yet-unproved "forest restoration" model. Largely throwing science out the window, the untested idea of removing "excess fuel through controlled burning and thinning" suddenly became the favored approach to managing forests.

In 1995, President Clinton had anticipated something like forest restoration when he opened recently-burned national forest areas to two years of "emergency salvage" logging. Legislation promising thousands of new jobs and increased timber industry profits allowed commercial loggers to remove burned, diseased, and insect-infested trees, as well as trees downed by wind and other causes, ostensibly to prevent future fires. For convenience, the loggers also could remove some living trees. In the northern Rocky Mountains alone, 318 woodlands, including 25 heritage forests, were proposed for salvage logging.⁷³ The provisions required salvage projects to observe all applicable environmental laws, but suppressed public reviews and input.

Since 2001, the George W. Bush Administration has conflated "salvage logging" with "restoration" as "hazardous fuels reduction" measures, meant to mitigate future severe forest fires by removing "excess" dead, dry wood from re-growing forests. In 2003, the USFS supervised the Red Star Restoration Project to salvage still-usable trees from a fresh 2,000-acre burn 15 miles west of Lake Tahoe. Both the Clinton and Bush salvage logging operations failed to live up to promises that they would create jobs and produce greater financial return than the normal timber sales process. Meanwhile, they opened burned forests and heritage forest areas to logging of viable standing trees.

Paradoxically, salvage logging does not necessarily reduce fire hazards, and may actually *increase* them. Fires often begin when lightning strikes dead snags, but the main fuel that feeds a conflagration is the finer material on or near the forest floor, including brush, pine needles, small trees, and other debris of no value to logging operations--especially when partly burned. Removing larger snags and logs alters local conditions: wind, sun exposure, and evaporation all increase, along with the fire hazard. Large moisture-retaining logs are not left on the ground to slow advancing fires. Disturbances caused by salvage logging also reduce seedling regeneration by 71 percent.⁷⁴

<3> The law of healthy forests

Following the 2002 fires, Congress declared that "throwing billions at fire fighting is fruitless without sweeping restoration," and adopted the "Healthy Forests Initiative" (HFI), loosely based on the ideas behind forest restoration. Voted into law and signed by President George W. Bush in December, 2003, HFI mandates selective tree cutting and prescribed or carefully controlled low level burning, and deadfall log and underbrush removal. These measures aim to reduce the density of trees and tree debris in national forests to "pre-20th century status," ignoring both the lack of information on the pre-20th century status of any western forest ecosystems other than

Ponderosa pines in northern Arizona,⁷⁵ and the too-short time lapse for evaluating the restoration experiments. At the same time, the government proposed substantial changes to such mainstay environmental laws as the National Forest Management Act, the National Environmental Policy Act (NEPA), and the Appeals Reform Act.⁷⁶

HFI emphasizes cutting instead of burning for fire control, and would sanction forest cutting projects, including salvage logging, for "fuels reduction." At the same time, HFI allows the projects "categorical exclusions" from formal environmental impact studies under NEPA to assess potential adverse impacts. Not surprisingly, the amounts of proposed "restoration" cutting appear extreme in many cases.⁷⁷ HFI also reduces the time required for issuing forest clearing permits, in some cases by trimming or relaxing existing environmental regulations. In essence, the HFI puts the benefits of cutting trees for fire reduction far above threats to soil stability and endangered species.

The industry-friendly Bush administration previously had tried to streamline environmental reviews of logging proposals, easing the way for "approval to thin underbrush and small noncommercial trees as well as conduct some 'thinning out of commercial grade wood'"--that is, large trees--"in areas at high risk of fire." All the proposed regulations increase the difficulty of mounting citizen appeals against abusive logging plans, and extend the assault on forests into roadless natural areas. Many of the roadless areas include heritage forest stands, which potentially could obtain wilderness status.⁷⁸

Environmental groups understand the need to thin forests for emergency fire control but tend to view the HFI proposals as a rerun of the 1995 fire-salvage project, aimed at opening national forests and critical endangered species habitats to unregulated commercial cutting.⁷⁹ A proposal to apply HFI to Alaska's lush Tongass National Forest, too wet ever to have sustained a significant wildfire, only increased suspicions of government deceit and duplicity. The suspicions seemed

37

confirmed when USFS produced a brochure promoting HFI, which featured a 1909 photograph purporting to show sparse large trees in an unlogged part of California's Sierra Nevada. Environmental groups quickly discovered that the actual scene was a freshly logged forest in Montana.⁸⁰

<2> Cutting costs

USFS often has appeared willing to sell timber at any price, even in its normal timber harvest process. Salvage logging programs may be even less remunerative.⁸¹ Criticisms of the agency's financial performance generally focus on below-cost timber sales, common in Rocky Mountains states, which yield revenues lower than the costs of preparing and administering them.⁸² The yearly distribution depends on market strengths or weakness, and also varies with accounting methods. According to GAO, the 1992 through 1994 timber harvests eventually returned to the Treasury less than a quarter of the funds Congress had appropriated for USFS to use in preparing timber sales and administering harvests. The timber sale program often has required annual Congressional supplements to break even, using taxpayer subsidies to cover losses from below-cost sales. Clearly, below-cost timber sales are a drain on the public purse.

Environmentalist critics charge that the USFS's Emergency Salvage Logging Program actually costs the Federal Treasury over \$50 million,⁸³ representing yet another below-cost logging operation on federal lands. Revenue from the Red Star salvage contract, supposed to help sustain the commercial logging industry, proved insufficient even to support USFS's management operations or help pay for cleaning up the debris, which remained on the ground in highly flammable piles.⁸⁴ Part of the revenue shortfall has been blamed on the late 1990s drop in lumber prices, which sent the logging industry into decline--ostensibly from Canadian competition, imported lumber, and lumber mill closures in the western U.S.⁸⁵ But western Lumber Industry organization data indicate that the west's lumber oversupply from the late 1990s into 2003 was due more to increased plant efficiencies and the competetive globalized economy, than to strident conservationist lawsuits and the much maligned Endangered Species Act (ESA).⁸⁶

Timber sale proceeds tend to get kicked back to states and into USFS programs, rather than to the federal Treasury. The General Accounting Office (GAO) has reported that the Treasury only received 10 percent of total USFS timber sale receipts for fiscal years 1992 through 1994, the rest went to special payments and accounts.⁸⁷ For example, a 1908 law directed USFS to return 25 percent of receipts to the states for building county roads and schools in national forest areas, because they do not generate state tax revenues. These payments exceed cash timber sale receipts for many forests, making them extreme cases of below-cost sales.⁸⁸ To keep the revenue-sharing payments high, many western counties have become timber sale advocates, often ignoring potential adverse environmental impacts and negative economics.

Timber sales receipts feed so many special internal USFS accounts and trust funds that critics charge USFS managers can keep themselves and their staffs employed with timber sales funds. The sale revenues can be used for projects to mitigate logging damages to wildlife habitat, potentially providing some wildlife managers an incentive to support damaging logging projects.⁸⁹ One example is the so-called "K-V Fund,"⁹⁰ which can receive any amount from timber sale receipts for reforestation and timber stand improvement projects, as well as for timber sale activities. The economic calculus of salvage logging is similarly complicated by mandated payments to states, and deposits to special funds. Salvage profits are added to the Timber Salvage Sale Fund, another special account, instead of returning to the Treasury. But the Timber Salvage Sale Fund does not cover total costs of salvage logging, which must come from additional Congressional appropriations (taxpayer money). The USFS does not audit its own accounts, and the actual financial costs or benefits of lumber sales on national forest lands are unknown.⁹¹

Other financial fiascos are outright frauds, including timber theft and abusive logging practices that contribute to deteriorating forest health. Fraudulent bidding and related practices are long term problems, particularly in the Pacific Northwest.⁹² To ensure profitability, some bidders have pushed the plethora of USFS standards to the limits, or even beyond. The Forest Service has altered bidding practices to curb the worst of the abuses, but the public cannot scrutinize completed contracts and USFS does not compare actual harvest returns with estimated sale revenues, so the effectiveness of those alterations is unassessed and unknown.⁹³

<3> Real costs

Salvage logging is supposed to improve forest health,⁹⁴ but like other logging it actually exacts a steep environmental price. The machines and process of skidding overturn and destroy charred and vulnerable forest soils, remove nutrient-rich organic matter, and destroy ecological habitats that had survived earlier fires or insect infestations. Following a large fire, the salvaged forests are slower to re-grow because the soils recover more slowly than in unlogged burns. And both the economically marginal 1995 and Red Star salvage projects attracted bids by allowing loggers to extract large living trees.⁹⁵ USFS forest salvage proposals for watersheds that provide urban drinking water supplies to cities, such as Phoenix, Arizona, and Durango, Colorado, have raised concerns over the likelihood of increased erosion with increased sediment loading and turbidity in streams.

In addition to paying many costs of cutting forests, taxpayers bear the "externalized" costs of deteriorating forests. Possibly because loggers cut mostly the large pines in western forests, firs-and especially Douglas firs--have become dominant in the cut-over areas.⁹⁶ Firs are more easily damaged by drought, insects, and diseases, and contribute disproportionately to catastrophic wildfire risks. The many thousands of miles of roads, built and maintained for timber cutting and fire control at public expense, also degrade U.S. national forests (Chapter 5). USFS accounting makes timber sales appear more profitable by showing roads as assets, when in reality the roads on hillslopes generally contribute even more to erosion and flooding than logging clearcuts.

To regenerate cut woodlands, the Forest Service plants and seeds cut-over acreage, *also* at taxpayer expense. Regeneration costs from 1977 to 1994 added up to nearly \$2.5 billion,⁹⁷ which works out to \$314 per acre planted--a total of about 1.2 billion taxpayer dollars.⁹⁸ Only about half of the planted acres could be certified as successful, that is, they regenerated timber. But USFS accounts misleadingly lumps both successful and failed plantations into the single category of new plantings.

<2> Future Forests

In 2001 USFS reported that about half of U.S. timber is less than 50 years old, and two-thirds of the west's lumber volume resides in re-grown trees less than 21 inches in diameter. Only a fifth is in older trees with diameters of 29 inches or more. Only 16 percent of the western U.S.'s potential lumber volume is in 21 to 29 inch trees as much as 50 years old.⁹⁹ These statistics explain why re-grown woodlands yield less timber per acre than heritage forests, and why loggers so desire to cut the old forests. As a reminder, the remaining heritage forests grow on a mere seven percent of all wooded U.S. lands.

In the 1990s, environmentally aware citizen groups endorsed the Sierra Nevada Forest Plan Amendment, called the "Framework," for managing Sierra Nevada national forests on the bases of science-based ecological principles and region-wide concerns about fire and clean water supplies. By 2004, environmental groups were facing logging proposals under the "Healthy Forests Initiative," also supposedly based on healthy forests concepts, but likely to give commercial loggers access to large, old trees in roadless reserves and perhaps even in national parks. Matching science and environmental law against politics and economic interests, these struggles illuminate the contradictions inherent in trying to both preserve natural functions and allow multiple human uses.

A growing demand for lumber in developing countries, especially China, sent timber prices skyward in 2004, a trend that could revive the west's lumber industry. Increasing paper consumption and a turn toward alternative biofuels also will add demand for re-grown forest wood. New federal regulations to expedite tree cutting in national forests, while preventing public-interest lawsuits or appeals of logging permits under environmental laws, surely would fuel a logging revival targeted at the last of America's oldest trees. Agroforestry, cultivating and harvesting trees on plantations, might either add new lumber sources or supplant natural re-growth.¹⁰⁰

<3> Sierra Nevada heritage

The fiercest battles over managing and preserving heritage forests center on California's 400mile long Sierra Nevada range, the longest unbroken mountain belt in the lower 48 states. The Sierras and more northerly Modoc Plateau embrace 11.5 million acres of publicly owned forest, slightly over 10 percent of the state's territory, including two national parks and 11 of the 155 national forests under USFS management. Forest types vary from foothill oak woodland to alpine trees.

During the 1970s and 1980s, many acres of Sierra Nevada forest outside the national parks and other preserves had lost their oldest and largest trees to either clearcutting or selective logging. Habitat losses to logging, fire, grazing, and spreading human developments threatened many species, including several spotted owl species.¹⁰¹ In 1993 Congress acknowledged "growing recognition among scientists, land managers, conservationists, and other citizens that the Sierra Nevada was in deep ecological trouble." Both in 1995 and 1996, USFS tried to design a sound management plan based on a scientific review of the entire region, the Congressionally commissioned and funded Sierra Nevada Ecosystem Project (SNEP). These attempts proved futile and "In the end, both plans proposed doubling the amount of logging in the range while offering little in specific protection measures for the owl" and other threatened species.¹⁰²

By 1998 USFS recognized that forest management throughout the Sierra Nevada needed redirection, and had begun developing the Framework to both save habitat for endangered and threatened wildlife, and to reduce wildfire potential. When publicly unveiled in May, 2000, forest and biological scientists, lawmakers, business leaders, and citizens hailed the Framework for incorporating the best available science into forest planning. The Draft Environmental Impact Statement (DEIS) chose the most protective of eight proposed alternative regional management strategies for preserving wildlife and habitat. It combined strategies for defending habitat and research to improve protections for sensitive and endangered species with fire hazard reduction through selective tree cutting, log, and underbrush removal plus prescribed burning--all guided by likely impacts on wildlife. This scheme would have protected trees more than 20 inches in diameter from logging, thereby dropping timber production to as little as 200 million board feet from as much as 700 million board feet in the 1990s.¹⁰³

Assuming that balancing extremely different and intense forest uses is even possible, the Framework certainly seemed like the right way to go about it. But everyone could see that it would severely limit logging in heritage forests. Barbara Boyle, Sierra Club's regional representative, proclaimed, "Basically the [Sierra Nevada] commercial logging program is not going to exist."

The 2000 presidential election replaced the Framework's political sponsors in Washington. In 2001, Dale Bosworth, the new USFS Chief, received 276 appeals from land developers and commercial forest product interests. While praising the plan's sound foundation and "... the hard work and dedication of the interested citizens, government agencies and many others who came

together to help develop the Sierra Nevada Framework," Bosworth called for further review. The review and subsequent revision refocused plans for Sierran forests away from habitat and endangered species concerns and onto timber harvesting.¹⁰⁴

Bosworth's review elicited much skeptical commentary from district rangers, the officials directly charged with implementing land management policies.¹⁰⁵ Nearly two-thirds of rangers' comments labeled the Framework's standard goals and guidelines as top-down management, likely to limit their responses to a continuously changing, complex and dynamic forest environment. One wrote, "Place more emphasis on the desired condition over landscape and be very limited on prescribing how to achieve that desired condition ... leave it up to us in the field to achieve that condition ... Nothing in nature is exact and uniform and when you try to apply standard prescriptions across ... the Sierra Nevada, you are bound to run into problems."¹⁰⁶ An even higher proportion of rangers worried that agency funding would be too low to support the Framework's complex management system, and another third noted that the restriction on clearing to no more than 10 percent of total area per decade would conflict with the emerging Healthy Forests Initiative. A few fretted about the region's timber industry and revenue and job losses for some mountain communities, but most agreed entirely with the wildlife protection and fire mitigation objectives of the Framework, affirming "How to" rather than "Why" is the issue.¹⁰⁶

Inevitably, the Framework did collide with HFI. In 2004, Regional U.S. Forester Jack Blackwell set the maximum diameter of Sierra Nevada trees to be logged at 30 inches--10 inches higher than the Framework limit. This policy would allow cutting of large trees on all 11.5 million acres of the Sierra Nevada's national forests, tripling the Framework's allowance.¹⁰⁷ Despite protests that heavily logged forest areas are fire prone, Blackwell also announced that USFS would spend \$50 million to promote logging in heritage forests on 700,000 national forest acres, ostensibly to protect trees, wildlife, and human settlements against large, intense wildfires.¹⁰⁸ When Blackwell opined that the Sierra Nevada Framework "was overly cautious," former Chief Forester, Mike Dombeck retorted, "The original plan had input from our best scientists both inside and outside the Forest Service. Apparently now the efforts are due to commodity extraction."

The management policy for Sierra Nevada forests still is making feathers fly. Both HFI and the discarded Framework plan, plus similar state and local programs, have become lawsuit targets for massed environmental organizations. So far, political agitation has kept national and environmental laws in place, and courts have disallowed clearcutting in and near protected heritage groves. In 2006 a federal judge ruled that the USFS cannot allow commercial logging in California's Giant Sequoia National Monument, and a federal appeals court upheld the public right to review forest plans under NEPA. A 2005 suit against USFS for omitting essential scientific information from the Framework revision still was pending in early 2007.

Modern agroforestry generally grows just one hybrid tree species per plantation (monoculture), hybridized by traditional protocols to enhance lumber yields and reduce rotation intervals. Ideally, plantations would allow previously logged areas to re-grow throughout the west, but they they tend to replace rather than augment natural forest stands. Monocultures starkly reduce the biodiversity necessary to preserve forest habitat for animal species. Monocultures might not threaten biodiversity overall if the total plantation area remained small, but replacing too many natural forests would considerably impoverish regional ecosystems. Species monitoring, under ESA constraints, could govern the pace of conversions, however. This would become yet another land management challenge.

In addition to traditional hybrid trees, agroforestry researchers also are bioengineering tree varieties. Potlatch Forest Industries is experimenting with cloning poplars from hybrids that can

grow 60 feet tall in six years, and can be harvested every six years.¹⁰⁹ Plantations of the fast growing hybrids and clones take up water quickly, lowering water tables and potentially drying up springs and creeks. Compared to natural species' 15 to 20-year rotation, the anticipated six-year cutting intervals likely will cause greater erosion and greater sediment pollution, especially on slopes. Plantation road networks as dense as, or denser than, the clearcutting roads in natural forests inflict the severe erosional damage of all roads (Chapter 5).

Natural forests provide their own fertilizers, while fast growing plantation trees rapidly deplete soil nutrients, requiring large fertilizer applications. Erosion carries fertilizer nitrate and phosphate into streams and ponds, promoting algal blooms that consume all the oxygen, and kill fish and other animals (Chapter 9). Plantations of cloned monocultures eliminate the protection of tree species biodiversity. Since every tree contains the same genetic material, the artificial forests are highly susceptible to disease and insect attacks. They also require heavy pesticide and herbicide applications, which compound their pollution potential.

Plantations of genetically modified (GM) trees, created through directly altering a plant's cellular genetic material (DNA), pose many of the same threats as cloned hybrids. GM tree varieties under commercial development include Scotch pine, Norway spruce, silver birch, teak, apple, and cherry. GM Douglas fir plantations in western Washington State can grow to cutting sizes in half the time of wholly natural stands,¹¹⁰ and yield more useful wood and paper per tree than their natural counterparts. The downside is that they probably use up soil nutrients even faster than the clones and so will need even more fertilizer.¹¹¹ Some GM trees are designed to make harvesting less energy consumptive, although more frequent harvests could offset that advantage.

Agroforestry proponents suggest the fast growing cloned and GM trees might use even larger amounts of CO_2 than natural ones, helping to slow the rate of greenhouse warming. This is a

spurious argument: cutting forests releases large amounts of CO_2 from both soils and trees. And the CO_2 sequestered from re-growing them does not adequately compensate those losses.

Many environmentalists contend that agroforesters and government regulators have not adequately investigated potential bioengineering consequences, or taken them seriously, and that proposed safeguards are insufficient. GM poplars, pines, and fruit trees have a gene from the *Bacillus thuringiensis* (*Bt*) bacterium inserted into their cellular DNA, for example. They express insecticidal *Bt* toxin in all their tissues, including the edible parts,¹¹² which might be lethal to beneficial insects, such as bees and butterflies. *Bt* may kill the larvae of monarch butterflies, and could threaten other beneficial insects. Herbicide-resistant GM trees have the potential to become noxious weeds. The environmentalists contend that these genetic modifications could spread to the natural gene pool and disrupt entire ecosystems in wholly unpredictable ways--akin to past "good-willed disasters" from diseased foreign plants.¹¹³

Supposedly, the *Bt* trees are engineered to be sterile, but "sterile" aspens growing in field trials in Germany began to flower after three years.¹¹⁴ Pollen from *Bt* plantations could spread the genetic modification to natural trees, and their *Bt*-bearing seeds could directly threaten the future of natural forest habitat. Another issue is an aspen variety with low lignin content in wood, developed to need fewer chemicals for making paper. Lignin is the main strengthening agent in tree trunks, and no one knows how lignin-poor trees will withstand winds. The effect the low lignin characteristic might have on ecosystems if it spread to natural aspen and related species is unknown.

<3> Hot trees

North America's heritage forests endured the ice ages but today's forests must adapt to rapid global warming to survive. Rapid climate change seemed like a science fiction scenario until 2000, but the reality is showing up in extreme weather patterns, unusually warm winters and

broiling summers, melting ice caps, and rapidly eroding shorelines in far northern latitudes. Heat stress has visibly weakened the underpinnings of subarctic ecosystems, forcing both plants and animals to look for more comfortable conditions. Many climate scientists now believe that a new era of rapid climate change is already upon us, and that future human generations will face worsening problems.

North American forests have responded to environmental shocks many times in just the past 60 million years. Pollen samples from the last glacial interval in what is now New York State indicates rapid temperature fluctuations about 12,000 years ago, jumping as much as 7° F over only 50 years. The sudden shift nearly eradicated such cold-adapted trees as birch, fir, and spruce. Between 12,000 and 7,000 years ago, mean 5° to 9° F global temperature increases forced white spruce and lodgepole pine species to shift their ranges by hundreds of miles. Over time, oak and white pine replaced tree species that could not adjust, and which simply disappeared.¹¹⁵

Global warming models now predict a similar temperature increase within the next *hundred* years, and this time the radical temperature change adds to all the human stresses on our woodlands. In the face of rapid climate change, intensive forest fragmentation, soil depletion, and groundwater decline many or most forest ecosystems may not be able to re-establish themselves naturally (Chapters 9, 13). Under these kinds of pressures, the future may bring large scale die-offs of many tree species, and extinction of large stands of western temperate forest.

At advanced stages of global warming, severe, prolonged droughts are possible to likely. Droughts are fundamental causes of forest fires. A run of drought years is the immediate cause for the devastating 2000 to 2003 western fire seasons in southern California and the interior western U.S., among the worst on record (Table 1.2). Dried-out trees, bark beetle infestations in weakened trees, and withering summer heat fed the disastrous, record-setting fires. Globally, ninety-five percent of all wildfires are set by humans, and humans set about 50 percent of all fires even in lightly-populated western states (about 75 percent in crowded California).¹¹⁶ As the years grow increasingly warm, with human populations poised to rise another 50 percent over the next 50 years--perhaps far more in the tinder-dry, lightning-prone west--forest fires are likely to increase dramatically. Increased catastrophic crown fires will be the likely result of forest management practices that do not effectively thin forests because of funding issues, economic concerns, and political controversy.

Both historically and currently, forest managers resist letting ground and understory fires burn and spread for fear of losing timber crop and the public's demand that governments protect private residences. Nothing creates raging controversies more than limiting where Americans can build homes or find playgrounds. But in 2003 newspaper editors questioned the wisdom of building subdivisions in forests, in much the same way that frequent floods have raised questions over home and town sites on river floodplains and coastal barrier islands. As global warming and droughts increase fire dangers, insurance companies may impose limitations over public and politicians' objections.

<2> Forests in the Balance

A century and a half ago the geographer and explorer Alexander von Humboldt realized that human sustenance is inextricably tied to forests: "By felling the trees which cover the tops and sides of mountains, men in every climate prepare at once two calamities for future generations: want of fuel and scarcity of water."¹¹⁷ We have felled our mountain forests, opening them to soil erosion, adding habitat fragmentation from roads, urban developments, and recreational sites, and high fuel loads due to counterproductive fire suppression. Our high fossil fuel consumption has induced higher than natural global warming, which may be advancing too fast for either forest or human comfort (Chapter 12).¹¹⁸

Nobody knows how old forest remnants will respond to continual human fragmentation, extinctions, and inevitable natural disruptions from high winds, earthquakes, volcanoes, and fires. Many biologists and ecologists fear that old forests cannot survive rapid climate change without radical protection. Accumulating a few centuries of continued soil losses could, in addition, devastate even younger forests. The small, isolated forest remnants of the interior west will be especially challenged. Lending a sense of urgency, some ecologists warn that a variety of plant species could disappear across hundreds of thousands of square miles over the coming century.¹¹⁹ While the oldest individual trees will die off naturally as time goes on, preserving their dynamic ecosystems is the best hope for preserving and extending North America's biodiversity. Each of the American west's heritage forests will need their own ecological management plan, on the lines of the Sierra Framework.

Given the predominant national outlook and the anti-regulatory climate favoring commercial interests, there is no easy solution to the forest dilemma. Establishing forest management policies and practices that better preserve biodiversity will take a long time--longer than political cycles and climatic change allow. They would have to be sustained no matter what political party holds power. Any move toward better forest management must begin with widespread and fundamental changes in national and regional attitudes and awareness. Public pressure is needed to drive reforms from a broad-based consensus that ecosystem services are important natural capital, and that ignoring or compromising them too much will have disastrous consequences.

Achieving adequate USFS implementation would require strong political support for sound ecological management¹²⁰ and tough oversight of logging and replanting practices. Maintaining such a system requires public education and determined political leadership. State and federal forestry agencies would have to enforce laws conserving old trees, hill slope soils, and plant and animal species. Environmental organizations would have to finally reach agreement on goals. If

asked, the authors would advocate a shift away from absolute preservation or restoration of an assumed "natural" state, likely an unsustainable goal in any case, toward reducing the human footprint and preventing impacts on natural processes that maintain well-functioning ecosystems.

To reach such a national consensus for saving our western forests, Americans would have to concede that, after decades of experimentation with negative results, the best way to manage forsts may be to simply leave them alone, fires and all. Nature has been in the business far longer than we have, after all. We may need to accept a human retreat from forests on the basis that burgeoning residential and business developments are ultimately harmful.

We definitely will have to take conservation and consumption issues more seriously and more personally, and this is where individuals have the greatest power. Do we really need to consume so much wood? In addition to our very high lumber use, on average each American uses almost 900 pounds of paper per year, more than double western Europe's per capita paper consumption (351 pounds per year). The U.S. paper consumption far exceeds the minimum amount essential for literacy and communication (80 to 120 pounds per year).¹²¹ Much of the excess is in packaging and advertising.

We might need to accept some minor inconveniences to achieve big environmental payoffs. In many parts of Europe, shoppers routinely carry re-usable cloth bags to reduce the wood demand for paper bags, and so could we. To address climate change as individuals, we might lobby for urban community and school gardens, plant or "adopt" small teaching forests to offset carbon emissions, and revive National Arbor Day with a new and greater sense of purpose. Getting children involved in all such programs would help to build conserving practices into the body of American traditions.

Most of these ideas clearly are incompatible with our consumer culture and deep political disagreements on values. But should rapid climate changes severely limit the forests' ability to

51

adjust and re-grow, they also could limit the free oxygen producing, carbon-dioxide sequestering, water purifying, and other critical life support services that forests provide us. Water scarcities already occur throughout the arid west, jeopardizing the future for thirsty, rapidly growing populations and industries (Chapter 9). Drought conditions linked to climate warming are likely to increase the potential for destructive crown fires.

We may have a limited window of opportunity to forestall a future of severe and rapid climate alterations made even worse by the destruction of our forest ecosystems, which are a key element of the west's natural wealth. Julia Butterfly Hill's tree-sitting, and political movements to preserve all remaining old trees in California, may seem radical, but if we Americans cannot reduce our resource demands, future generations will face an impoverished and dangerous future.