



Air Resources Board



Matthew Rodriguez
Secretary for
Environmental Protection

Mary D. Nichols, Chair
9480 Telstar Avenue, Suite 4
El Monte, California 91731 • www.arb.ca.gov

Edmund G. Brown Jr.
Governor

March 02, 2016

Mail-Out #ECARS 16-02

TO: All Interested Parties

SUBJECT: ANNUAL WARRANTY REPORT FORMAT FOR INSTALLERS OF
DIESEL EMISSION CONTROL STRATEGIES

The *Verification Procedure, Warranty, and In-Use Compliance Requirements for the In-Use Strategies to Control Emissions from Diesel Engines, Title 13, California Code of Regulations, Sections 2700 through 2711* (Verification Procedure) specifies that authorized installers of diesel emission control strategies (DECS) must submit annual warranty reports to the Executive Officer. The installation warranty reports are due by March 1st of each calendar year. Authorized installers must provide DECS manufacturers a copy of the information that pertains to the manufacturer's products. These reports must contain information described in Section 2707(d) of the Verification Procedure. The consequences of failing to submit the annual warranty report or submitting an incomplete report may subject the authorized installer to civil penalties as specified in state law and regulations including, but not limited to, Health and Safety Code Sections 39674(a) and (b) that authorizes penalties for the violation of programs for the regulation of toxic air contaminants, such as diesel particulate matter.

The Verification Procedure requires that the installation warranty report be submitted in a format specified by the Executive Officer. In order to improve data integrity and accuracy, the Air Resources Board (ARB) has updated the Microsoft Excel (Excel) spreadsheet template. Because ARB received many questions regarding what information should be input into various fields, ARB staff revised the template to include several drop-down menus that provide options to select information. Additionally, several installers last year requested to receive notification that their installation warranty reports were due. Therefore, ARB staff will post this mail-out and mail a hardcopy to all authorized installers listed in the manufacturer's warranty reports from last year.

Attachment 1 contains an overview of the updated data file structure required for electronic submittal and provides descriptions of the data fields for the installation warranty report. The database field name and the corresponding definition are provided in these documents. Attachment 2 contains the updated installation warranty report template in Excel format.

The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our website: <http://www.arb.ca.gov>.

California Environmental Protection Agency

All Interested Parties
March 02, 2016
Page 2

The warranty reports (Attachment 2), with the exception of warranty reports for fuel additives or alternative diesel fuels, locomotive applications, stationary applications, transport refrigeration units (TRUs), rubber-tired gantry cranes (RTGs), and marine applications, should be submitted via email to:

CHIEF, IN-USE PROGRAMS BRANCH
AIR RESOURCES BOARD
9480 TELSTAR AVENUE, SUITE 4
EL MONTE, CALIFORNIA 91731

For installation warranty report, send to inst.warranty.rpt@arb.ca.gov.

All warranty reports for strategies utilizing any form of fuel additive or alternative diesel fuel, including any fuel additive or alternative diesel fuel intended for locomotives, must be submitted to:

CHIEF, OIL & GAS AND GHG MITIGATION BRANCH
AIR RESOURCES BOARD
1001 I STREET
SACRAMENTO, CALIFORNIA 95814

Attn. Ms. Susie Chung at schung@arb.ca.gov

All warranty reports for strategies intended for use with locomotive (except those utilizing any form of fuel additive or alternative diesel fuel), stationary, TRUs, RTGs, or marine applications must be submitted to:

CHIEF, EMISSIONS ASSESSMENT BRANCH
AIR RESOURCES BOARD
1001 I STREET

SACRAMENTO, CALIFORNIA 95814

Attn. Mr. John Lee (for stationary, marine, and RTGs) at jlee@arb.ca.gov

Attn. Mr. Rod Hill (for TRUs) at rhill@arb.ca.gov

Attn. Mr. Michael Jaczola (for locomotive) at mjaczola@arb.ca.gov

All Interested Parties
March 02, 2016
Page 3

The warranty report files are also available for download on the ARB website at <http://www.arb.ca.gov/diesel/verdev/vr/warranty.htm>. If you have questions regarding the warranty reports, please contact Mr. Keith A. Macias, Manager, at 626 575-6600 or by email at kmacias@arb.ca.gov or Mr. Doh-Won Lee, Air Resources Engineer, at (626) 350-6543 or by email at doh-won.lee@arb.ca.gov.

Sincerely,



Annette Hebert, Chief
Emissions Compliance, Automotive Regulations and Science Division

Attachments (2):

- 1) [Installation Warranty Report Field Definitions](#)
- 2) [Installation Warranty Report Template](#)

cc: Mr. Keith A. Macias, Manager
In-Use Compliance and Evaluation Section
Emissions Compliance, Automotive Regulations and Science Division

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Staff Air Pollution Specialist
Transportation and Toxics Division

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