Voucher Incentive Program

REDEEM AND CLEAN!

CARL MOYER PROGRAM FOR ON-ROAD HEAVY-DUTY VEHICLES

REVISED AND APPROVED: JANUARY 6, 2012

California Environmental Protection Agency

Air Resources Board

VOUCHER INCENTIVE PROGRAM GUIDELINES
CARL MOYER MEMORIAL AIR QUALITY STANDARDS ATTAINMENT PROGRAM
FOR ON-ROAD HEAVY-DUTY VEHICLES

These guidelines describe the minimum criteria and requirements for the Carl Moyer Memorial Air Quality Standards Attainment Program (CMP) On-Road Heavy-Duty Vehicles Voucher Incentive Program (VIP). VIP provides a streamlined approach to reduce emissions by replacing existing, high-polluting vehicles with newer, lower-emission vehicles or by installing a Verified Diesel Emission Control Strategy (VDECS or "retrofit"). The VIP provides real emission benefits by retiring or retrofitting the high-polluting vehicle earlier than would have been expected through normal attrition or by regulation. CMP funds for voucher projects are used to offset part of the cost of the retrofit device or replacement vehicle.

California air pollution control/air quality management districts (air districts) shall utilize these guidelines as a stand-alone document and need not refer to the current CMP guidelines in implementing this program. Air districts must follow all VIP guidelines in order to offer vouchers as a funding option. Air districts do not have the discretion to set more stringent requirements based upon local priorities. These guidelines provide implementation documents in the appendices section that must be used for funding voucher projects and may not be modified (unless otherwise noted).

A. Projects Eligible for Funding

The following heavy-duty vehicle VIP projects are eligible for funding:

New Replacement Vehicle Purchase: The purchase of a new 2007 model year or later vehicle with an engine certified to a Family Emissions Limit (FEL) or Standard (STD) level of 0.50 grams per brake horsepower hour (g/bhp-hr) Oxides of Nitrogen (NOx) and 0.01 g/bhp-hr Particulate Matter (PM) or cleaner to replace the existing vehicle that is to be scrapped.

Used Replacement Vehicle Purchase: The purchase of a used 2007 model year or later vehicle with an engine certified to a FEL or STD level of 1.20 g/bhp-hr NOx and 0.01 g/bhp-hr PM or cleaner to replace the existing vehicle that is to be scrapped.

Retrofit Purchase and Installation: The purchase and installation of a qualifying retrofit device that is verified by the California Air Resources Board (ARB) for the specific engine family in the existing vehicle.

B. Project Funding Amounts

The maximum total project funding amounts associated with reducing the eligible costs of a VIP project are pre-determined and shown in Appendix O for retrofits and Appendix P for replacements, to be updated annually.

C. Program Requirements

1. General Program Criteria

(A) Fleet Size Limitations: Determination of fleet size must be based on the definitions and criteria in the Statewide Truck & Bus Regulation at California Code of Regulations, title 13, section 2025.

(1) Fleets of 1 - 3 vehicles: Fleets that have three or fewer on-road heavy-duty diesel fueled vehicles with a gross vehicle weight rating (GVWR) greater than 14,000 pounds are eligible to participate in the VIP under the corresponding funding amounts specified in Appendix O and P.

(2) Fleets of 4 - 10 vehicles: Fleets that have 4 - 10 on-road heavy-duty diesel fueled vehicles with a GVWR greater than 14,000 pounds are eligible to participate in the VIP under the corresponding funding amounts specified in Appendix O and P.

(B) The following vehicles are not eligible for funding:

(1) Vehicles subject to the solid waste collection vehicle rule commencing with California Code of Regulations, title 13, section 2021;

(2) On-road diesel-fueled heavy-duty vehicles over 14,000 pounds owned or operated by a municipality that are subject to the fleet rule for public agencies and utilities commencing with California Code of Regulations, title 13, section 2022;

(3) Vehicles subject to the fleet rule for transit agencies commencing with California Code of Regulations, title 13, section 2023;

(4) Vehicles subject to the rule for mobile cargo handling equipment at ports and intermodal rail yards commencing with California Code of Regulations, title 13, section 2479;

(5) Off-road vehicles subject to California Code of Regulations, title 13, sections 2401, 2411, 2421, 2432, and 2449;

(6) Vehicles subject to the regulation for drayage trucks commencing with California Code of Regulations, title 13, section 2027; and

(7) Vehicles with a GVWR of 19,500 pounds or less.

(C) Vehicles No Longer Operating in Drayage Truck Operations: A vehicle that operated one or more times as a drayage truck, as defined in California Code of Regulations, title 13, section 2027(c)(15), in the

previous two (2) years, but no longer operates as a drayage truck, is eligible to participate. In order to ensure that the replacement or retrofitted vehicle does not operate as a drayage truck during the surplus emission reduction period, replacement and retrofitted vehicles will be added to the Drayage Truck Registry as non-compliant for the term specified on the Receipt of Replacement Voucher or Receipt of Retrofit Voucher (Appendix G and G1).

(D) Emission Reduction Technologies: Emission reduction technologies must be certified or verified by the California Air Resources Board (ARB) and must comply with durability and warranty requirements. For the purposes of the VIP, a technology granted a conditional certification or verification by ARB is considered certified or verified.

(E) Vehicle Leasing is Not Allowed: If financing is necessary, the vehicle purchase must be financed with a conventional purchase loan.

(F) Obtaining Financing: The participant may obtain public and/or private financing to assist in the purchase of a retrofit or replacement vehicle, but may not apply for additional public funded grants.

(G) Emission reductions obtained through VIP projects must not be required by any federal, State or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legally binding document.

(H) No emission reductions generated by the VIP shall be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity.

(I) No project funded by the VIP shall be used for credit under any federal or State emission averaging banking and trading program.

(J) Engines operating under a regulatory compliance extension granted by the ARB, a local air district, or the United States Environmental Protection Agency (U.S. EPA) are not eligible for funding.

(K) Projects funded by the VIP may not be used to generate a compliance extension or extra credit for determining regulatory compliance, and must be excluded when determining regulatory compliance.

(L) Projects funded by the VIP must be included when defining the size of the fleet to determine regulatory requirements.

2. Participant Requirements

(A) General Participant Requirements: All participants must meet the following requirements to be eligible for funding:

(1) Ownership Requirements: The participant must currently own and operate the existing vehicle, documented through providing a copy of the current existing vehicle title. If the existing vehicle title is not available at the time of application, all of the following may be used as alternative ownership documentation until a duplicate title is received from the California Department of Motor Vehicles (DMV): 1) copy of current and valid vehicle registration, and 2) copy of the DMV Vehicle Registration Inquiry Report, and 3) copy of the DMV receipt for duplicate title request. A copy of the duplicate title must be received by the air district before payment is issued to the dealership or retrofit installer.

(2) Prior Minimum Usage Requirement: The existing vehicle must have met the mileage or fuel usage requirements in Appendix O or P, as applicable, in each twelve (12) month period for the previous twenty four (24) months. Participants must submit documentation verifying usage for the previous twenty four (24) months for the existing vehicle. Examples of documentation may include, but are not limited to: logbooks, fuel records, maintenance records, and tax records.

(3) Operation in California: The applicant must certify on the application that the existing vehicle(s) has operated at least 75 percent of the time in California during each twelve (12) month period for the previous twenty four (24) months.

(4) Applying for Funding in a Participating Air District: Applicants may only apply to one participating air district at a time.

(5) Application Package: To be approved for VIP funds, the applicant must meet all guideline requirements, submit an application, and submit all of the documentation listed in the Application Package (Appendix A).

(B) Participant Requirements for Replacement Projects: All replacement project participants must meet the following requirements to be eligible for funding:

(1) Specified Participating Dealership: The participant must specify the participating dealership used for the purchase of the replacement vehicle. If the application is approved, the participant must purchase the replacement vehicle from the specified dealership. If the participant chooses to change dealerships, the participant must submit a new VIP application to the air district.

(2) Voucher Redemption Deadline: The dealer and owner must agree on a date in which the replacement vehicle will be delivered. The voucher will be redeemable within 30 days of issuance or by the delivery date agreed upon by the dealer and owner, whichever is later. Except as described below, the vehicle must be delivered in the same calendar year that funding was approved by the air district. If the delivery date occurs in the calendar year following the application approval date, the air district must determine the eligible funding amount based on the funding tables in Appendix O or P for the year in which delivery occurs.

If the owner is unable to purchase the replacement vehicle after a voucher has been issued, the owner must notify the dealer and air district immediately so that funds can be reallocated.

(3) Two-for-One Option: Owners may replace two existing vehicles with one replacement vehicle as long as all VIP requirements are met. The two existing vehicles must have cumulatively met the mileage or fuel usage requirements in Appendix O or P. If the two existing vehicles have different engine model years, then the newest engine model year shall be used to determine the funding amount. Participants who utilize this option must submit a complete application for each existing vehicle. The applicant should specify on each application that the applicant is choosing this option by checking the Two-for-One Option box.

(4) The owner must agree to the following terms after being approved for a replacement voucher:

a. Register the replacement vehicle with DMV.

b. Maintain insurance as required by law.

c. Own and operate the replacement vehicle at least 75 percent of the time within California for the term specified on the Receipt of Replacement Voucher or Receipt of Retrofit Voucher (Appendix G and G1).

d. Return annual usage surveys as requested by the air district.

e. Do not make any modifications to the emission control system on the replacement vehicle engine.

f. Be available for a follow up inspection, if requested by the air district or ARB.

g. Allow ARB to verify the replacement vehicle registration with DMV.

h. Repay the voucher funding amount if the owner does not follow one or more terms as specified in the Application or Receipt of Replacement Voucher. ARB and/or the air district will specify repayment terms.

(C) Participant Requirements for Retrofit Projects: All retrofit project participants must meet the following requirements to be eligible for funding:

(1) Specified Participating Installer: The participant must specify the participating retrofit installer used for the purchase and installation of the retrofit device. If the participant chooses to change installers, the participant must submit a new VIP application to the air district.

(2) Voucher Redemption Deadline: The installer and owner must agree on a date in which the retrofit will be installed. The voucher will be redeemable within 30 days of issuance or by the installation date agreed upon by the installer and owner, whichever is later.

a. For retrofit vouchers issued during calendar year 2011, the voucher redemption and installation deadline cannot be extended beyond December 31, 2011 because of surplus emission benefit requirements.

b. If the owner is unable to have the retrofit installed after a voucher has been issued, the owner must notify the installer and air district immediately so that funds can be reallocated.

(3) The owner must agree to the following terms after being approved for a retrofit voucher:

a. Register the replacement vehicle with DMV.

b. Maintain insurance as required by law.

c. Own and operate the retrofitted vehicle at least 75 percent of the time within California for the term specified on the Receipt of Replacement Voucher or Receipt of Retrofit Voucher (Appendix G and G1).

d. Return annual usage surveys as requested by the air district.

e. Do not make any modifications to the emission control system on the vehicle engine.

f. Be available for a follow up inspection, if requested by the air district or ARB.

g. Allow ARB to verify the retrofitted vehicle registration with DMV.

h. Repay the incentive amount if the owner does not follow one or more terms as specified in the Application or the Receipt of Retrofit Voucher. ARB and/or the air district will specify repayment terms.

3. Existing Vehicle Requirements: Replacement Projects

For replacement projects, all existing vehicles must meet the following conditions before a VIP application will be approved and awarded a voucher.

(A) Eligible Model Years for the Existing Engine: The model year of the existing engine must be 2002 or older. Verification of the model year must be submitted in the application package. Verification can include a picture of the model year on the engine plate or written documentation from the manufacturer or participating dealer that matches the engine serial number to a particular model year.

(B) Diesel-fueled Vehicles: The existing vehicle must currently operate on diesel fuel.

(C) Weight Class: The existing vehicle must meet the criteria for either a medium heavy-duty (MHD) vehicle or a heavy heavy-duty (HHD) vehicle, as defined below.

(1) MHD funding levels, eligible vehicles must have a manufacturer GVWR of 19,501 through 33,000 pounds.

(2) To qualify for HHD funding levels, eligible vehicles must have a manufacturer GVWR of 33,001 pounds or greater.

(3) GVWR may be documented with a photo of the vehicle manufacturer tag or a copy of the manufacturer build sheet.

(D) Vehicle Title: A copy of the existing vehicle title must be submitted with the application package. The owner must be listed on the title as the current sole owner of the vehicle, and must have owned the vehicle during the previous twenty four (24) months. If the existing vehicle title is not available at the time of application, all of the following may be used as alternative ownership documentation until a duplicate title is received from DMV: 1) copy of current and valid vehicle registration, and 2) copy of the DMV Vehicle Registration Inquiry Report, and 3) copy of the DMV receipt for duplicate title request. If the existing vehicle title or alternative ownership documentation shows an active lien-holder, then the vehicle does not qualify to participate in the VIP. A copy of the duplicate title must be received by the air district before payment is issued to the dealership.

(E) California Registration: The existing vehicle must either be 1) currently registered and have been registered in California for the past twenty four (24) months; or 2) must have been registered in California for the previous eight (8) consecutive months supplemented by alternate documentation showing California operation for the past twenty four (24) months. The application package must include copies of the DMV registration for the term specified above, and proof of insurance and California operation for the previous twenty four (24) months. The existing vehicle must be based in California. Applicants may provide proof of California registration by providing copies of California International Registration Plan (IRP) documents. Out-of-state registration and out-of-state IRP do not qualify for the VIP.

Partial-Year California Registration Exception: If the existing vehicle operates seasonally, then the existing vehicle may be eligible to participate in the voucher incentive program if it has been registered in California for at least three (3) months per twelve (12) month period for the previous twenty four (24) months. DMV registration documentation for each month the vehicle was registered must be included in the application package. Trucks that are registered seasonally must meet the minimum annual usage requirements in Appendix P during the registered months.

(F) Operational Condition Verification: The existing vehicle must be in operational condition to qualify for funding. Operating condition must be determined through an inspection by a participating dealership prior to submitting the application or by air district staff upon air district request. An operational vehicle must be able to start, move in all directions, and have all operational parts.

(G) Engine Verification: If the existing vehicle engine tag is missing, then verification of the engine information can be done with the engine serial number. The participant will be required to provide verification of the engine make, model, model year, engine serial number, and horsepower from the manufacturer. Verification can include a letter or a printout from an engine manufacturer or participating dealer.

(H) Glider Kits: Existing glider kit vehicles are eligible to participate in the voucher program. The replacement vehicle has to be a complete Original Equipment Manufacturer vehicle; i.e., the replacement vehicle cannot be a glider kit. Glider kits are replacement chassis and cab for on-road heavy-duty vehicles. Glider kits are identified with a vehicle identification number (VIN) starting with the letters “GL”. In situations where the model years of the glider kit vehicle’s chassis and engine differ, approval determination shall be made using the model year of the engine.

(I) Existing Vehicle Body Components: The body of the existing vehicle does not play a part in the participation in the program. Program funds can only be used to purchase the new vehicle, not external body components or parts used for a particular vocation (e.g., dump body). Also, when destroying the existing vehicle, body components do not need to be destroyed.

(J) Operation of Existing Vehicle After Approved for a Voucher: If the existing vehicle is in an accident or has an engine failure AFTER receiving approval for a voucher from the air district but prior to replacement, then the existing vehicle will still be eligible for receiving funds from the program as long as all other VIP requirements have been met.

(K) Delivering the Existing Vehicle to the Dealer Prior to Taking Ownership of the Replacement Vehicle: The participant must deliver the existing vehicle in similar condition as it was in the pre-inspection. The air district or dealer can reject the condition of the existing vehicle if it is deemed unroadworthy or if parts were stripped from the existing vehicle. Reimbursement of the voucher will be withheld until the dealer or air district approve the condition of the existing vehicle.

4. Existing Vehicle Requirements: Retrofit Projects

For retrofit projects, all existing vehicles must meet the following conditions before a VIP application will be approved and awarded a voucher.

(A) Eligible Engine Model Years: The model year of the engine must be 1994 or newer. Verification of the model year must be submitted in the application package. Verification can include a picture of the model year on the engine plate or written documentation from the manufacturer or participating dealer that matches the engine serial number to a particular model year.

(B) Vehicles with an engine certified with a diesel particulate filter by the engine manufacturer are not eligible for funding.

(C) Diesel-fueled Vehicles: The vehicle must operate on diesel fuel.

(D) Weight Class: The vehicle must meet the criteria for either a medium heavy-duty vehicle or a heavy heavy-duty vehicle, as defined below.

(1) To qualify for MHD funding levels, eligible vehicles must have a manufacturer GVWR of 19,501 through 33,000 pounds.

(2) To qualify for heavy heavy-duty (HHD) funding levels, eligible vehicles must have a manufacturer GVWR of 33,001 pounds or greater.

(3) GVWR may be documented with a photo of the vehicle manufacturer tag or a copy of the manufacturer build sheet.

(E) Vehicle Title: A copy of the existing vehicle title must be submitted with the application package. The owner must be listed on the title as the owner of the vehicle and must have owned the vehicle during the previous twenty four (24) months. Retrofit project vehicles may, or may not, have an active lien-holder. If the vehicle title is not available at the time of application, all of the following may be used as alternative ownership documentation until a duplicate title is received from DMV: 1) copy of current and valid vehicle registration, and 2) copy of DMV Vehicle Registration Inquiry Report, and 3) copy of DMV receipt for duplicate title request. A copy of the duplicate title must be received by the air district before payment is issued to the dealership.

(F) California Registration: The existing vehicle must either be 1) currently registered and have been registered in California for the past twenty four (24) months; or 2) must have been registered in California for the previous eight (8) consecutive months supplemented by alternate documentation showing California operation for the past twenty four (24) months. The application package must include copies of the DMV registration for the term specified above, and proof of insurance and California operation for the previous twenty four (24) months. The existing vehicle must be based in California. Applicants may provide proof of California registration by providing copies of California IRP (International Registration Plan) documents. Out-of-state registration and out-of-state IRP do not qualify for the VIP.

Partial-Year California Registration Exception: If the existing vehicle operates seasonally, then it may be eligible to participate in the voucher incentive program if it has been registered in California for at least three (3) months per twelve (12) month period for the previous twenty four (24) months. DMV registration documentation for each month the vehicle was registered must be included in the application package. Trucks that are registered seasonally must meet the minimum annual usage requirements selected in Appendix O during the registered months.

(G) Operational Condition Verification: The vehicle must be in operational condition to qualify for funding. Operating condition must be determined through an inspection by a participating retrofit installer prior to submitting the application or by air district staff upon air district request.

(H) Engine Verification: If the existing vehicle engine tag is missing, then verification of the engine information can be done with the engine serial number. The participant will be required to provide verification of the engine make, model, model year, engine serial number, and horsepower from the manufacturer. Verification can include a letter or a printout from an engine manufacturer or participating dealer.

5. Replacement Vehicle Requirements (Replacement Projects Only)

For replacement projects, all replacement vehicles must meet the following requirements before funding is awarded to the participant:

(A) Purchased from a Participating Dealership: The replacement vehicle must be purchased from an air district-approved participating dealership.

(B) Title: The replacement vehicle must have a clean title prior to purchase. The replacement vehicle must not have a salvage title and must not have been in an accident, repaired, and became available for resale.

(C) California Registration: The replacement vehicle must be registered in California or in the California IRP.

(D) Model Year: The replacement vehicle must have a 2007 model year or newer engine.

(E) New or Used: The replacement vehicle can be new or used. Used vehicles with a manufacturer GVWR of 33,001 or greater must have less than 500,000 miles of operation, and used vehicles with a manufacturer GVWR of 19,501 through 33,000 must have less than 250,000 miles of operation.

(F) Engine Emission Standards: New and used replacement vehicles qualify for funding based on engine emissions standards, as specified below. The corresponding funding levels for each emissions standard are found in Appendix P.

(1) New replacement vehicles qualify for funding under the 0.20 g/bhp-hr NOx funding levels if the engine is certified to an emissions standard of 0.20 g/bhp-hr of NOx and 0.01 g/bhp-hr of PM or cleaner. FEL engines are not eligible for funding under the 0.20 g/bhp-hr NOx emissions standards.
(2) New replacement vehicles qualify for funding under the 0.50 g/bhp-hr NOx funding levels if the engine is certified to an emissions standard or FEL level of 0.50 g/bhp-hr of NOx and 0.01 g/bhp-hr of PM or cleaner.
(3) Used replacement vehicles qualify for funding if the engine is certified to an emissions standard or FEL level of 1.20 g/bhp-hr of NOx and 0.01 g/bhp-hr of PM or cleaner.

(4) An ARB Executive Order certifying that the engine meets the selected emissions standard must be included with the application package.

(G) Weight Class: The replacement vehicle must be in the same weight class as the existing vehicle (either MHD or HHD).

(H) Warranty Requirements: All replacement vehicles must have a minimum of a one-year / 100,000-mile major component engine warranty. The warranty must cover parts and labor. It is recommended that the highest-grade warranty be purchased in order to avoid expensive repairs in the future. No CMP funds will be issued for maintenance or repairs related to the operation of the vehicle. The participant takes sole responsibility for ensuring that the vehicle is in operational condition.

(I) Engine and Emission Control Modifications: Emission controls on the replacement vehicle engine cannot be modified in any manner. Unauthorized modification to engine performance (including changes in horsepower), emission characteristics, engine emission components (not including repairs with like-original equipment manufacturers replacement parts), or any other modifications to the engine's emission control function is not allowed.

6. Retrofit Device Requirements (Retrofit Projects Only)

(A) Only ARB-verified retrofits are eligible for funding.

(B) Retrofit projects must use the highest level technically feasible technology verified for the engine being retrofitted. The retrofit device that achieves the highest level of PM reductions (Level 3: 85 percent reduction) and the highest level of NOx reductions is the highest level retrofit.

(C) VIP funded retrofit devices may not be redesignated (i.e., removed from one vehicle and installed on another).

(D) More information on retrofits, including a list of currently verified retrofits, is available at http://www.arb.ca.gov/diesel/verdev/verdev.htm. A searchable database of verified retrofits is available at http://arb.ca.gov/diesel/verdev/vdb/vdb.php.

7. Air District Requirements

Air districts must implement the following program requirements:

(A) The VIP guidelines and attachments provide all requirements for the program, therefore air districts/dealers/grantees are not required to refer to the CMP Guidelines for Moyer funded VIP projects.

(B) Each air district must have a resolution of their Governing Board that commits the air district to comply with all VIP requirements. If an air district Governing Board granted broad authority to adopt a program like VIP, the granted authority must be sufficient to comply with all VIP requirements.

(C) Air districts may not add limitations to project criteria or make changes to the VIP Guidelines.

(D) An air district may request CMP funds if the air district chooses to use those funds to implement the VIP as these funds become available. In order for an air district to be approved for a funding request to implement the VIP, the air district must provide verification of board approval to implement the VIP, a copy of at least one active dealer agreement, and a copy of at least one active dismantler agreement (as mentioned in Section (4)(e)(9)).

(E) Air districts must reject VIP applications if funds are not currently available. Air districts cannot create a list of pre-approved VIP projects to receive funding in a future date.

(F) Air districts must create an addendum to their current CMP Policies and Procedures using the air district VIP Policies and Procedures (Appendix B) within two (2) months after they begin implementation of the VIP. Air districts are not required to submit this addendum to ARB but it must be available upon ARB request.

(G) Air districts must use the program documents provided in the appendices. The documents may only be modified to include air district logos and air district contact information. The documents will be provided electronically to the participating air districts.

(H) Air districts must work to contract with vehicle dealerships or retrofit installers located in their air district before contracting with dealerships or retrofit installers located outside of their air district. Air districts that do not have dealerships or retrofit installers within their air district can proceed directly to contract with dealerships or retrofit installers located outside of their air basin. Air districts can contract with dismantlers located within or outside of their air district.

(I) Air districts must contract with vehicle dealerships, retrofit installers, and dismantler yards. Dealership and dismantler yard agreements must

contain the language provided in Appendix C, C1, and D, respectively. If a dealership or retrofit installer has multiple locations, then the air district either needs to have one agreement for each location or list each location in one agreement. The process for selection of dealerships, retrofit installers, and dismantler yards must be specified by the air district in the air district's VIP Policies and Procedures.

(J) Air districts must ensure participating dealerships, retrofit installers, and dismantlers meet all VIP requirements. Air districts must receive all requested documents from the dealership, retrofit installer, or dismantler prior to signing an agreement. Air districts must maintain records verifying their participating dealerships, retrofit installers, and dismantlers meet the VIP requirements.

(K) Air districts must provide participating dealership, retrofit installer, and dismantler contact information to ARB within ten business days of entering into agreement.

(L) Air districts must ensure at least one representative from each participating dealership or retrofit installer is trained on the terms, conditions and requirements of the Program. Air districts must describe how this will be accomplished in the air district's VIP Policies and Procedures.

(M) Air districts must work in coordination with ARB in outreach efforts to increase participation of vehicle owners, dealerships, retrofit installers, and dismantler yards. Air districts must specify outreach efforts in the air district's VIP Policies Procedures.

(N) Air districts must provide all applicants, dealerships, and retrofit installers with application packages, inspection forms, and any other applicable VIP materials.

(O) Air districts cannot restrict where participating dealers and retrofit installers can send completed applications.

(P) Air districts must review and approve applications on a first-come, first-served basis, based on the date of receipt of the application by the air district.

(Q) Air districts must approve or reject applications within five (5) business days of receipt.

(R) Air districts must review an application package and approve a project once all program requirements have been met. To facilitate the review of the application package, the air district must confirm that all requirements

on the Air District Review Checklist: Initial Review have been met (Appendix E). The following application form fields in Table 1 are mandatory and must be filled in completely before the application may be approved:

Table 1
Mandatory Application Form Fields

<table>
<tr><td>Applicant Information:
• Owner Name
• Mailing Address (including City, State, Zip)
• Physical Address (if different from mailing)
• Owner Phone</td><td>Third Party Information:
• Third-Party Name
• Mailing address (including City, State, Zip)
• Physical Address (if different from mailing)
• Phone
• Third Party Signature & Date
Only required if a paid party other than the dealership completes the application</td></tr>
<tr><td rowspan="2">Existing Vehicle and Engine Information:
• Vehicle Make
• Vehicle Model
• Vehicle Model Year
• Vehicle Identification Number (VIN)
• License Plate Number
• Odometer Reading
• Vehicle Operational
• GVWR
• Engine Make
• Engine Model
• Engine Model Year
• Serial Number
• Engine Family Number
• Engine operational
• Fuel used</td><td>Replacement Vehicle and Engine Information:
(Replacement Projects Only)
• Vehicle Make
• Vehicle Model
• Vehicle Model Year
• Odometer Reading
• GVWR
• Engine Make
• Engine Model
• Engine Model Year
• Engine Family Number
• Engine operational
• Fuel used
• CARB Executive Order Number</td></tr>
<tr><td rowspan="2">Retrofit Device Information:
(Retrofit Projects Only)
• Retrofit Device Make
• Retrofit Device Model
• Retrofit Device ARB Executive Order #
• ARB-verified PM reduction percent
• ARB-verified NOx reduction percent
• Retrofit device cost
• Cost of retrofit device with installation</td></tr>
<tr><td>Dealership/Retrofit Installer Information:
All fields must be filled out or a business card must be attached</td></tr>
<tr><td>Inspection Forms: all applicable forms must be filled in based on the information required above for the existing and replacement vehicles.</td><td>Applicant Recitals
Owner must sign and date application</td></tr>
</table>

(S) Air districts must enter the Vehicle Identification Number (VIN) into the CARL database as part of the initial review of the application to ensure that the existing vehicle has not already applied for funding. If the VIN has already been entered into the CARL database, then the air district must reject the application.

(T) Air districts may enter into a contract, written agreement, or memorandum of understanding with a 1) participating dealership or retrofit installer to

perform pre-inspections and/or post-inspections, or 2) with a participating dismantler to perform dismantle inspections. If an air district chooses to use dealerships, retrofit installers, or dismantlers to perform inspections, air district staff must conduct and document at least one inspection on each project without the use of a contractor. Air districts must ensure all inspection requirements are met and shall retain legal responsibility for full compliance with the inspection provisions of these Guidelines. If the air district decides to conduct the inspections, the inspections must be scheduled and completed within the following timeframes:

(1) Pre-inspection of the existing vehicle must be completed within five (5) business days of the receipt of the application or request by the applicant or dealership.

(2) Post-inspection of the replacement vehicle or retrofit device must be completed within three (3) business days of being notified by the dealership or installer.

(3) Pre-dismantle inspection of the existing vehicle must be completed within three (3) business days of being notified by the dealership. The pre-dismantle inspection is to verify the existing vehicle is in similar operating condition as in the pre-inspection. If the existing vehicle has been stripped of major parts or accessories, the air district may withhold payment until the existing vehicle is restored to its previous state.

(U) For approved projects, the air district must provide an Approved Voucher Package to the dealership or retrofit installer, as applicable. An approved voucher package includes the following documents:

(1) Voucher (Appendix F)

(2) Receipt of Voucher (Appendix G)

(3) Dealer Reimbursement Invoice (for immediate signature and return to the air district – Appendix H)

(4) Post-inspection (replacement vehicle) and Pre-dismantle (existing vehicle) Inspection Forms (Appendix I)

(5) Dealer Reimbursement Package Checklist (Appendix J)

(V) If a submitted application is incomplete, illegible, or has any unclear or missing documentation, the air district must reject the application immediately and return it to the applicant.

(W) Rejected projects: Air districts must mail or email an application rejection letter and the application package to the applicant if their application has been rejected. This letter must be issued by the end of the five-day (5) review period. The air district must state the reason(s) for the rejection on the rejection letter. If an application is rejected because the VIN is already in the CARL database, then the air district should note this is the reason for rejection on the rejection letter and keep it in the project folder. The air district must also notify the dealer or installer by mailing or emailing a copy of the rejection letter. A template of the rejection letter is in Appendix K.

(X) Payment Goal: A goal of the program is to ensure payment can occur when the existing vehicle is turned into the dealership and the replacement vehicle is ready for purchase, or when the retrofit installation is complete. The air district shall work towards achieving this goal and should notify the dealership or installer of any delays in issuing payment.

(Y) Project Payment Options: In order to achieve the payment goal, air districts have two project payment options. The first option is to immediately begin the disbursement process for the approved voucher project after receiving a signed Reimbursement Invoice (Appendix H). Payment must not be distributed until an air district-approved Reimbursement Package has been submitted by the dealership or installer. The second option is to begin the disbursement process and issue payment within ten (10) business days of receipt of a completed reimbursement package. Air districts must include in the air district's VIP Policies and Procedures the option that is implemented.

(Z) Air District Payment Checklist: Air districts should use the Air District Payment Review Checklist to help review if a complete reimbursement package has been submitted and payment can be issued (Appendix L). Air districts must keep a copy of the check with all project documents.

(AA) If the process of payment is delayed, the air district must notify the dealership or installer by phone or email at the earliest possible time of such delay.

(BB) If the reimbursement package is not complete, the air district will mail or email a reimbursement package rejection letter explaining the discrepancy to the dealership or installer (Appendix M) and keep a copy of this letter.

(CC) Air districts must enter all project data information into the CARL database within five (5) business days of approving an application for a voucher. Payment information should be submitted within five (5) business days after payment is issued.

(DD) Air district staff or a designated contractor must conduct the dismantle inspection of the existing vehicle at the dismantler within 60 calendar days of being notified the existing vehicle has been delivered to the dismantler yard. Inspection forms are in Appendix I. The dismantle inspection should include verification of engine destruction, vehicle frame rails are completely severed, and verification the dismantler yard has filed a Notice of Acquisition/Report of Vehicle To Be Dismantled (REG 42) with DMV. Verification can include a copy of the form filed with DMV. Air districts must also receive official verification from the dismantler that the REG 42 form has been accepted by DMV. This verification may occur after the dismantle inspection.

(EE) Air districts must mail out annual Usage Surveys (Appendix N) to participants each year for three years after voucher redemption. The air district must also input data from the returned surveys into CARL. ARB will ensure all other participant voucher requirements are met.

(FF) Records Retention: Air districts must retain all records of approved voucher projects for a minimum of five (5) years from the date of issuing the voucher. For rejected projects, air districts must maintain a copy of the application, the rejection letter, and method of notification for five (5) years from the date the application was received.

(GG) Audit and Monitoring: Air districts must allow ARB to monitor their voucher program, which includes audits of the air district's implementation of the program. Most ARB VIP audits will occur during a regular CMP audit; however, ARB may request project information on an as-needed basis.

(HH) Audit of Participating Dealers and Retrofit Installers: Air districts that do not conduct 100 percent of required inspections themselves must audit 5 percent of each type of inspection (pre, post, pre-dismantle, and dismantle). Audits should be done randomly and occur throughout the implementation timeline of the air district. Table 2 includes the audit requirements for the air districts to follow.

Table 2
Air District Audit Requirements

Type of Audit Inspection	Purpose(s)	Timing of Audit Inspection
Pre-inspection	• Verify existing truck is in operational condition • Verify existing truck application information	After application is submitted to air district but prior to approving the application.
Post-inspection	• Verify replacement truck meets emission standard: or • Verify that retrofit device as installed matches executive	After replacement truck is delivered or retrofit installation, and prior to payment being issued

	order verification • Verify application information	
Replacement Projects Only:		
Pre-dismantle inspection	• Verify existing truck is in operational condition and has not been stripped of parts • Verify existing truck application information	After existing truck is delivered to dealership and payment is issued. Existing truck is at dealership location
Dismantle inspection	• Verify engine destruction • Verify that frame rails are completely severed • Obtain copy of REG 42 form filed with DMV	After engine and frame rail destruction.

(II) Meeting Environmental Justice Requirements: Air districts with environmental justice (EJ) requirements shall not apply the requirements to VIP application review. After each year of implementation, the air district may review each funded project to determine if it helps to meet air district EJ requirements. If those requirements have not been met, other CMP funded projects will need to be used to fulfill the EJ requirement.

8. Dealership Requirements

Dealership participation is an important component of the VIP. Dealers are responsible for providing participants with assistance in the application process. This includes ensuring compliance with all program requirements.

(A) Participating vehicle dealers are required to:

(1) Enter into an agreement with at least one air district in order to be a participating dealer in the VIP. Dealerships may choose to enter into agreements with more than one air district. Template language that will be included in this agreement can be found in Appendix C; the air district will provide the dealer with exact language.

(2) Warrant that it meets the following minimum qualifications, and will continue to meet these qualifications throughout its participation in the Program:

a. Dealership has had a valid business license issued in California for a minimum of the last two years. Dealerships may meet this requirement by providing documentation of being registered with DMV as a licensed vehicle dealership.

b. Dealership maintains a minimum of one (1) employee that has successfully completed the training by the air district regarding the terms, conditions and requirements of the Program. If a participating dealership maintains more than one location for truck sales, then each location must have at least one employee trained on the VIP.

c. Dealership agrees to allow the air district or ARB to inspect vehicles or audit program records covered under this Agreement during normal business hours.

(3) Provide accurate information about the VIP to applicants.

(4) Help participants complete the VIP application package. It is important to make sure that all information is filled out correctly and that the participant understands the VIP requirements.

(5) Show the voucher amount on the replacement vehicle invoice. The voucher does not reduce the purchase price of the truck, but is an incentive to the truck owner that will result in a lower price paid by the participant. The receipt of voucher funds does not lower the base price nor does it reduce the tax basis of the truck.

(6) Submit the completed application package to the air district. ARB recommends that dealers submit applications to the air district closest to an applicant's physical address. Incomplete application packages will be rejected and returned to the applicant. The dealer will also be notified of the reasons for rejection (Appendix K).

(7) Ensure the title for the replacement vehicle is registered to the participant in California. If the replacement vehicle is not registered in California, the voucher will be invalid and not be paid.

(8) Ensure the replacement vehicle is registered with a declared CGW or GVW greater than 60,000 pounds (for HHD replacements only; does not apply to MHD replacements).

(9) Ensure any used vehicles with a manufacturer GVWR of 33,001 or greater must have less than 500,000 miles of operation, and used vehicles with a manufacturer GVWR of 19,501 through 33,000 must have less than 250,000 miles of operation.

(10) Ensure the existing vehicle is delivered to the dealership prior to releasing the replacement vehicle.

(11) Ensure the existing vehicle is in similar condition as found in the pre-inspection. The dealer should reject the condition of the existing vehicle

if it is deemed unroadworthy or if parts were stripped from the existing vehicle. Reimbursement of the voucher will be withheld until the dealer (or air district) approves of the condition of the existing vehicle and is delivered to the dealership.

(12) Ensure the existing vehicle and its original, signed title remains in dealership custody and is sent to a participating dismantler yard for destruction within 30 business days of dealership taking truck possession. Immediately notify the air district of the location and date of delivery of the existing vehicle to the dismantler.

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(B) Application Package: To ensure that an application package is complete, the dealer must ensure that all the following items are complete and included in the participant's submission to the air district. An applicant checklist is available for participants and dealerships to use (Appendix A). The following must be completed before a voucher will be issued:

(1) Submit a signed and complete application.

(2) Submit all other applicant-required documentation as listed in these guidelines and on the application checklist

(3) If required by the air district, inspect and provide documentation showing that the existing vehicle is operational and roadworthy. The air district may decide to conduct the inspections and will notify the dealership of this.

(4) Submit digital photographs of the existing vehicle to the air district. The air district will specify the required format of digital photos as listed on the inspection form in appendix C. Before submitting photographs to the air district, dealers must verify that photographs are clear with a minimum capture resolution of 640X480. Dealers can submit photos of more than one truck inspection on a disk or other media as long as the pictures are clearly labeled. All Vehicle Identification Numbers (VIN) and engine serial numbers (ESN) must be legible.

(C) Dealer Reimbursement Package: Prior to receiving reimbursement, the dealer must submit a reimbursement package to the air district. A checklist is available for the dealers (Appendix J). The following documents should be included in the reimbursement package:

(1) Reimbursement Invoice (Appendix H) should be signed and returned to the air district upon receipt.

(2) Final dealership invoice signed by the applicant that shows the final purchase price less the voucher award.

(3) Receipt of Voucher signed by the participant.

(4) The original Voucher.

(5) Copy of DMV registration paperwork showing vehicle registered to the participant in California.

(6) Copy of finance documentation (if applicable).

(7) Copy of replacement vehicle warranty.

(8) Copy of title of existing vehicle signed and dated by applicant.

(9) Inspection forms and pictures of the existing vehicle after it is turned in to the dealership as specified in appendix I.

(10) Inspection forms and pictures of the replacement vehicle as specified in Appendix I.

(11) Location of the dismantler yard that the existing vehicle will be destroyed.

(12) Date the existing vehicle is delivered to or picked up by a participating dismantler.

9. Retrofit Installer Requirements

Retrofit installer participation is an important component of the VIP. Retrofit installers are responsible for providing participants with assistance in the application process. This includes ensuring compliance with all program requirements.

(A) Participating retrofit installers must do the following:

(1) Enter into an agreement with at least one air district in order to be a participating installer in the VIP. Language that will be included in this agreement can be found in Appendix C (1). The air district will provide the installer with the exact contract language once the installer has expressed interest in participating.

(2) Installers may choose to enter into an agreement with more than one air district.

(3) Installer Qualifications: Installer warrants that it meets the following minimum qualifications for participation in the Program, and will continue to meet these qualifications throughout its participation in the Program.

a. Installer has had a valid business license issued in California for a minimum of the last two years.

b. Installer maintains a minimum of one (1) employee that has successfully completed the training by the air district regarding the terms, conditions and requirements of the Program. If a participating installer maintains more than one location, then each location must have at least one employee trained on the VIP.

c. Installer agrees to allow the air district or ARB to inspect vehicles or audit program records covered under this Agreement during normal business hours.

(4) Provide accurate information about the VIP to applicants.

(5) Help the participants complete the VIP application package. It is important to make sure that all information is filled out correctly and that the participant understands the VIP requirements.

(6) Installers must show the voucher amount on the retrofit device invoice. The voucher does not reduce the purchase price of the retrofit, but is an incentive to the truck owner that will result in a lower price paid by the participant. The receipt of voucher funds does not lower the base price of the retrofit nor does it reduce the tax basis of the retrofit.

(7) Once the application package is complete, submit the application package to the air district. Incomplete application packages will be rejected and returned to the applicant. The installer will also be notified of the reasons for rejection (Appendix K).

(8) Application Submittal Recommendation: ARB recommends that installers submit applications to contracted air districts that are closest to an applicant's physical address.

(B) Application Package: To ensure that an application package is complete, the installer must ensure that all the following items are complete and included in the participant's submission to the air district. An Application Checklist is available (Appendix A). The following must be completed before a voucher will be issued:

(1) Submit a signed and complete application.

(2) Submit all other applicant-required documentation as listed in these guidelines and on the application checklist

(3) If required by the air district, inspect and provide documentation showing that the existing vehicle is operational and roadworthy. The air district may decide to conduct the inspections and will notify the installer of this.

(4) Submit digital photographs of the existing vehicle to the air district. The air district will specify the required format of digital photos as listed on the inspection form in appendix C. Before submitting photographs to the air district, installers must verify that photographs are clear with a minimum capture resolution of 640X480. Installers can submit photos of more than one truck inspection on a disk or other media as long as the pictures are clearly labeled. All Vehicle Identification Numbers (VIN) and engine serial numbers (ESN) must be legible.

(C) Reimbursement Package: Prior to receiving reimbursement, the installer must submit a Reimbursement Package to the air district. A checklist is available (Appendix J). The following documents should be included in the reimbursement package:

(1) Reimbursement Invoice (appendix H) should be signed and returned to the air district upon receipt

(2) Final invoice signed by the applicant that shows the final purchase price less the voucher award

(3) Receipt of Voucher signed by the participant

(4) The original Voucher

(5) Copy of DMV registration paperwork showing vehicle registered to the participant in California.

(6) Copy of finance documentation (if applicable)

(7) Copy of retrofit warranty

(8) Copy of vehicle title

(9) Inspection forms and pictures of the vehicle before it is retrofitted as specified in Appendix I.

(10) Inspection forms and pictures of the vehicle after retrofit is complete as specified in Appendix I.

10. Dismantler Requirements

For replacement projects, ARB requires that the existing vehicle is destroyed. Destruction of the existing vehicle chassis and engine permanently removes the old, high-emitting vehicles from operation. This requirement has been established to ensure that emission reductions are real. It prevents the existing vehicles from being moved into another locale to continue emitting high levels of pollutants. Air districts will establish a list of participating dismantlers that existing vehicles can be delivered to or picked up for destruction.

A dismantler must enter into an agreement with at least one air district to participate in VIP. Appendix D lists the terms that must be included in the air district/dismantler agreement. The following are requirements for participating dismantlers.

(A) Participating vehicle dismantlers are required to meet the following requirements in order to participate in the VIP and provide verification to the air district:

(1) Be licensed by DMV as a Dismantler;

(2) Have a current, valid California Environmental Protection Agency (Cal/EPA) Hazardous Materials Generators Permit;

(3) Comply with all local, state and federal laws and regulations;

(4) Owner must have a minimum of one (1) active employee who received training by the air district on the requirements of the Program. If a dismantler has more than one location, then the dismantler must have at least one (1) active employee trained by the air district at each location that will be accepting VIP trucks; and

(5) Have had a valid business license issued in California for a minimum of the last two years.

(B) Funding is not available for the dismantling of any existing vehicle.

(C) The dismantler must agree to do the following:

(1) Destroy the existing vehicle within 60 days of receipt. Destruction of the vehicle includes completely severing the frame rails so that the frame is no longer capable of being used in a vehicle.

(2) The existing vehicle's engine must be destroyed and rendered useless. A minimum 3-inch diameter hole must be put into the engine block.

(3) The dismantler must notify the air district that a vehicle is destroyed and ready for inspection.

(4) The destruction of the engine and vehicle must be documented by the air district. The air district must verify in-person the vehicle identification numbers and engine serial number.

(5) The dismantler must provide verification that the existing vehicle is registered with DMV as non-repairable. Verification of filing DMV Form 488C or REG 42 must be provided to the air district at the dismantle inspection. Within 90 calendar days of the dismantle inspection date, the dismantler must also provide verification to the air district from DMV that the existing vehicle has been registered with DMV as non-repairable (non-revivable) with a transaction code L10 (non-original Junk Non-Revivable) or C26 (Junk Non-Revivable Original).

B. Definitions

For the purposes of the VIP, definitions are as follows:

Body Components: Any components of a vehicle specific to the actual vocation of the vehicle. This does not include any components that are directly related to the propulsion of the vehicle, common component of a vehicle (e.g., steering wheel, seats, etc.), or related to the rigid structure of the tractor.

Dismantler: A place of business whose purpose is to destroy heavy-duty vehicles and engines that also meet the requirements of section 10.

Drayage Truck: Drayage trucks are defined in California Code of Regulations, title 13, section 2027. More information on trucks that must comply with the Drayage Truck Regulation can be found at:
http://www.arb.ca.gov/msprog/onroad/porttruck/porttruck.htm

Existing Vehicle: For replacement projects, the existing vehicle is the vehicle that will be turned in by the applicant for dismantling and destruction. For retrofit projects, the existing vehicle is the vehicle that will have a retrofit device installed.

Gross Vehicle Weight Rating (GVWR): The maximum allowable total weight of a road vehicle and a loaded trailer as established by the vehicle manufacturer. The GVWR is typically found on an information tag or plate permanently affixed to the vehicle.

Heavy-duty vehicle (HDV): Defined as a vehicle with a Gross Vehicle Weight Rating (GVWR) greater than 14,001 pounds.

Heavy Heavy-Duty Vehicle (HHD): A vehicle with a manufacturer GVWR of 33,001 pounds or greater.

Medium Heavy-Duty Vehicle (MHD): A vehicle with a manufacturer GVWR of 14,001 through 33,000 pounds. Note: the minimum GVWR for participation in VIP is 19,501 pounds.

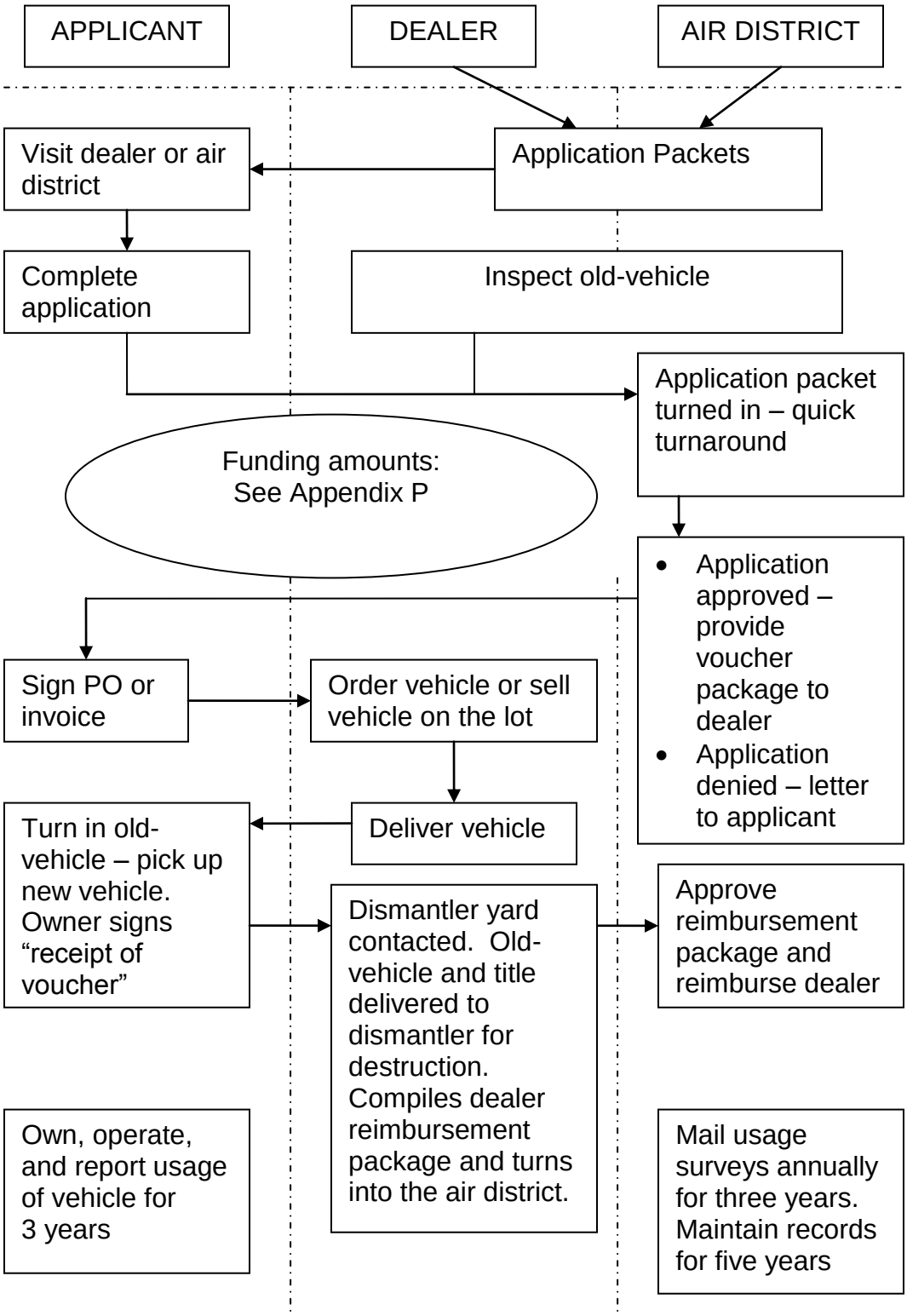
Participating Dealership: A dealership that has an agreement with an air district to participate in the VIP.

Participating Dismantler: A dismantler that has an agreement with an air district to participate in the VIP.

Small Fleets: Has the same meaning as defined in the Statewide Truck & Bus Regulation at California Code of Regulations, title 13, section 2025(d)(34)(F): "'Small Fleet' means a fleet with three or fewer vehicles. When determining fleet size, all of the vehicles under common ownership and control must be counted."

Verified Diesel Emission Control Strategy (VDECS): an emission control strategy (retrofit device) that has been verified pursuant to the “Verification Procedure, Warranty and In-Use Compliance Requirements for In-Use Strategies to Control Emissions from Diesel Engines” in California Code of Regulations, title 13, section 2700.

Voucher Incentive Program Truck Replacement Flow Chart



Appendix A

VOUCHER INCENTIVE PROGRAM
Application Package

- Please print clearly or type all requested information on this application.
- Submit all supporting documentation listed on the application checklist on page 2.
- Complete one application for each heavy-duty on-road vehicle.
- **If the submitted application is incomplete, illegible, or any documentation is missing or unclear, the application will be rejected immediately and returned to the applicant.**

Eligibility Criteria

To be eligible for funding in the Voucher Incentive Program, projects must meet the criteria described in the Voucher Incentive Program Guidelines. These criteria include, but are not limited to, the following:

- Fleet Size: Owner/ Applicant may not own more than ten (10) on-road heavy-duty diesel-fueled vehicles with a Gross Vehicle Weight Rating (GVWR) greater than 14,000 lbs. Owners of on-road vehicle fleets with more than 10 vehicles are not eligible to participate.

- Regulations: The purchase and use of this low-emission vehicle or retrofit device is not required by any local, state, and/or federal rule or regulation, including the Drayage Truck Regulation. **Note:** VIN numbers will be added to the Drayage Truck Registry as "non-compliant" for up to three years after replacement truck delivery or retrofit installation.

- Compliance Extensions: Applicant may not use VIP funded projects to generate a compliance extension or extra credit for determining regulatory compliance.

- Existing Engine Model Year: For replacement projects, the applicant must prove that the existing vehicle is equipped with a model year 2002 or older engine. For retrofit projects, the applicant must document that the existing vehicle is equipped with a model year 1994 through 2006 engine.

- Weight Range: Applicant must document that the existing vehicle meets the criteria for either a medium heavy-duty vehicle or a heavy heavy-duty vehicle as defined below:

- To qualify for medium heavy-duty (MHD) funding levels, eligible vehicles must have a manufacturer Gross Vehicle Weight Rating (GVWR) of 19,501 through 33,000 pounds.

OR-

- To qualify for heavy heavy-duty (HHD) funding levels, eligible vehicles must have a manufacturer Gross Vehicle Weight Rating (GVWR) of 33,001 or greater.

- Vehicle Title: Applicant must prove ownership of the existing vehicle for the previous twenty four (24) months. For truck replacement projects, the title must show that there is no lien holder.

- Registration: Applicant must prove that the existing vehicle has been registered in California for the previous twenty four (24) months, or for the previous eight (8) continuous months with twenty four 24 months of California operation documentation.

- Insurance: Applicant must prove that the existing vehicle has been insured for the previous twenty four (24) months.

- Usage: Applicant must provide the previous twenty four (24) months of vehicle usage documentation (fuel consumption or miles driven) in California. The existing vehicle must have met the selected minimum annual mileage or fuel usage requirements in Appendix O or P, as applicable, in each twelve (12) month period over the previous twenty four (24) months.

- Applying for Funds: Applicant may only apply for funds through the Voucher Incentive Program to one air district at a time. Applicant cannot apply for any other grant funds to replace or retrofit this vehicle.

- Two-for-One Vehicle Replacements: If an applicant is applying to replace two existing vehicles with one replacement vehicle, then two applications and supporting documentation must be submitted. Please specify this on the application by checking the Two-for-One Option.

VOUCHER INCENTIVE PROGRAM
Application Checklist

Applicant Information
Company:
Owner:
Phone:
FAX:
Email:
Option: attach business card

Dealer Information
Dealership:
Salesperson:
Phone:
FAX:
Email:
Option: attach business card

√	**Applicant Requirements**
☐	Completed application (signed & dated in ink)
☐	Copy of existing vehicle title (no lien holder for replacement projects)
☐	Vehicle usage documentation (for previous twenty four (24) months) ☐Fuel records ☐ Mileage records
☐	Vehicle usage documentation for the existing vehicle must prove : ☐ selected mileage level per year for previous twenty four (24) months **OR** ☐ selected gallons per year consumed for previous twenty four (24) months
☐	Copy of existing vehicle DMV registration for the previous twenty four (24) months – if existing vehicle is registered for part of a year, provide proof of registration for all months registered; or DMV registration for previous eight (8) consecutive months with 24 months of California operation documentation. **AND** Copy of existing vehicle insurance cards (for the previous twenty four (24) months)
☐	Inspection Form for the existing vehicle signed by a participating dealership, retrofit installer, or air district
☐	Digital photos of the existing vehicle
☐	Verification of existing engine model year from the manufacturer or dealership
☐	Quote and specification sheet for the replacement vehicle or retrofit device signed and dated by the dealership or retrofit installer
☐	ARB Executive Order for replacement vehicle engine or retrofit device
☐	If replacing two existing vehicles with one replacement vehicle, submit an application and the above information for each existing vehicle. Check the box on the application marked “Two-for-One Option”.

VOUCHER INCENTIVE PROGRAM Application

Date Received:
(For office use only)

Applicant Information

Owner Name:	Company Name:	
Mailing address:		Fleet Size:
City:	State:	Zip Code:
Physical address:		
City:	State:	Zip Code:
Owner Email:	Owner Phone:	

Third Party Information

This box needs to be filled out if application is completed by anyone being paid to complete the application on the owner's behalf. Dealers do not need to complete this section.		
Third-Party Name:	Company Name:	
Mailing address:		
City:	State:	Zip Code:
Physical address:		
City:	State:	Zip Code:
Phone:	Email:	
Third Party Signature:	**Date:**	

Existing Vehicle and Engine Information

☐ **Two-for-One Option**

VEHICLE INFORMATION:			
Vehicle Make:	Vehicle Model:		Vehicle Model Year:
Vehicle Identification Number:	License Plate Number:		Manufacture Date:
Odometer Reading:	Vehicle operational? ☐ Yes ☐ No		
DOT Number (if interstate):	CHP number (if applicable):		Fleet ID (optional):
Cab Style: ☐ Conventional ☐ Cab-over	Manufacturer GVWR:		
Engine Information:			
Engine Make:	Engine Model:	Engine Model Year:	Manufacture Date:
Serial Number:	Engine Family Number:	Horsepower:	
Engine operational? ☐ Yes ☐ No	Fuel used? ☐ Diesel ☐Other:_____		

Replacement Vehicle and Engine Information (If Applicable)

VEHICLE INFORMATION:			
Vehicle Make:	Vehicle Model:		Vehicle Model Year:
Vehicle Identification Number (if available):	License Plate Number (if available):		Manufacture Date:
Odometer Reading:	Vehicle operational? ☐ Yes ☐ No		
DOT Number (if interstate):	CHP number (if applicable):		Delivery Date:
Cab Style: ☐ Conventional ☐ Cab-over	Manufacturer GVWR:		
Engine Information:			
Engine Make:	Engine Model:	Engine Model Year:	Manufacture Date:
Serial Number (if available):	Engine Family Number:	Horsepower:	
Engine operational? ☐ Yes ☐ No	Fuel used? ☐ Diesel ☐Other:_____	CARB Executive Order Number:	

Retrofit Device Information (If Applicable)

Retrofit device make:		Retrofit device model:
Retrofit device ARB executive order #:		Retrofit device serial # (if available):
ARB-verified PM reduction (percent):		ARB-verified NOx reduction (percent):
Retrofit device cost:	Installation date:	Cost of retrofit device with installation:

Dealership/Retrofit Installer Information (or attach business card)

Contact Person:	Business Name:	
Phone:	Address:	
City:	State:	Zip Code:

Operational Area

Using the map below, estimate the percentage of your annual mileage or usage that will occur in each area. *Usage distribution within California will NOT affect your eligibility or potential funding amount.*	
North Coast:	Northeast Plateau:
Lake County:	Sacramento Valley:
San Francisco Bay:	Mountain Counties:
North Central Coast:	Lake Tahoe:
South Central Coast:	San Joaquin Valley:
South Coast:	Great Basin Valleys:
San Diego County:	Mojave Desert:
Outside California:	Salton Sea:
Note: The total of all percentages must equal 100.	

By submitting this application, I certify under penalty of perjury that the information on this application is accurate and true:

- I am the owner of the existing vehicle(s);
- The existing vehicle is part of a fleet with no more than ten on-road heavy-duty diesel-fueled vehicles;
- The existing vehicle(s) has operated at least 75 percent of the time in California during each twelve (12) month period for the previous twenty four (24) months;
- I have not and will not apply for additional grant funds from any other entities or programs for this vehicle;
- I understand and agree that, if my application is approved, my existing vehicle(s) identified on this application will be destroyed;
- The purchase of this low-emission vehicle or retrofit device is NOT required by any local, state, and/or federal rule or regulation, including the Drayage Truck Regulation;
- I understand that for a period of three years from delivery or installation date, I will not engage in any activities that are subject to the Drayage Truck Regulation, including accessing any regulated port or intermodal rail facility;
- I understand that I must be in compliance and remain in compliance with all applicable federal, state, and local air quality rules and regulations;
- I understand that an incomplete or illegible application, or if any required documentation is missing, this application will be immediately rejected and returned to me;
- I understand that I can reapply for project funding if this application is rejected because it was incomplete, illegible, or missing required documentation;
- I understand as an applicant that incentive programs have limited funds and shall terminate upon depletion of program funding;
- I have the legal authority to apply for incentive funding for the entity described in this application;
- I understand that ARB, as an intended third party beneficiary, reserves the right to enforce the terms of the VIP at any time during the three year voucher term to ensure emission reductions are obtained.
- The information provided in this application and all supporting documentation are true and correct and meet the minimum requirements of the Voucher Incentive Program; and
- I agree to the above statements by signing below.

Owner Signature:	Date:
Printed Name:	Title:

Please attach all documentation listed on the application checklist

Please submit this application to the air district below. If you have any questions in completing your application, please contact:

<Air District Contact Information>

Appendix B
VOUCHER INCENTIVE PROGRAM
Air District VIP Policies and Procedures

NOTE to AIR DISTRICT:
The program elements listed do NOT constitute an air district's entire Policies and Procedures. This is an addendum to the air district's already existing Policies and Procedures in order to explain how to administer the Voucher Incentive Program. The terms have been prepared to facilitate the air districts preparation of their Voucher Incentive Program Policies and Procedures. The air district must ensure the intent of the VIP Guidelines are met and include the program elements listed below into the air district's VIP Policies and Procedures.

1. **PROGRAM APPROVAL**
   How the air district is obtaining approval to implement the Carl Moyer On-Road Heavy-Duty Vehicle Voucher Incentive Program.

2. **SELECTION OF DEALERSHIP OR RETROFIT INSTALLER**
   The processes the air district goes through to solicit and select dealership or retrofit installer to go into agreement with.

3. **DISMANTLER SELECTION**
   The processes the air district goes through to solicit and select dismantlers to go into agreement with.

4. **PAYMENT PROCESS**
   The option in which the air district chooses to reimburse and issue payment to the dealership or retrofit installer. There are two options:

   a.) To start the disbursement process as soon as they receive a Reimbursement Invoice, OR

   b.) To start the disbursement process and issue a check within ten (10) business days of receiving a completed Reimbursement Package.

5. **DOCUMENTATION**
   The method in which the air district chooses to document the activities and progress of the Voucher Incentive Program.

6. **AUDITS**
   How the air district chooses to audit and inspect the projects. There are two options:

   a.) The air district can choose to do all inspections.

   b.) If the air district chooses to have dealerships, retrofit installers, and/or dismantlers conduct inspections, the air district must audit 5 percent of each inspection (pre-inspection, post-inspection, pre-dismantle, and dismantle inspection). The audits should be done randomly. The air district must outline how they will conduct audits in the VIP Policies and Procedures.

7. **DEALER & RETROFIT INSTALLER TRAINING**
   The air district's method for working with ARB and setting up training for the participating dealers and retrofit installers on the Voucher Incentive Program. If the air district chooses to have dealers, retrofit installers, and/or dismantlers conduct the inspections, the air district must specify the media in which the inspection photographs will be submitted to the air district. The air district must work with ARB in coordinating training and materials.

8. **DISMANTLER TRAINING**
   The air district's method for working with ARB and setting up training for the participating dismantlers on the Voucher Incentive Program.

9. **OUTREACH**
   The air district's plan on outreach and their method of collaborating with ARB in the outreach goal for the Voucher Incentive Program.

Appendix C
VOUCHER INCENTIVE PROGRAM
Dealership Agreement Terms to be included in Master Agreement
Between the Air District and Dealer

NOTE to AIR DISTRICT:
The terms listed do NOT constitute the Air District's entire Agreement; standard Air District Agreement language (such as, confidentiality, termination, indemnification, insurance, etc.) must be included in conjunction with the items below. The terms have been prepared to facilitate the Air District's preparation of Agreement. The Air District must include the terms listed below and supersede any additional terms included by the Air District.

1. The Air District has not reviewed the Dealership's operations or reached any conclusion on the quality of the Dealership's operations. The Air District is permitting the Dealership to enter into this Agreement solely because Dealership has represented to the Air District that it is aware of the California Air Resources Board Voucher Incentive Program Guidelines (Guidelines) goals, and agrees to abide by the Program requirements and Guidelines.

2. The Air District and Dealership agree that if the California Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the Program, this Agreement shall be of no further force and effect. In this event the State shall have no liability to pay any funds whatsoever to the Air District and Dealership or to furnish any other considerations under this Agreement.

3. Payment: The Air District will not pay or otherwise directly reimburse or compensate in any way the Dealership for its services rendered in keeping with this Agreement, but the benefit received by Dealership under this Agreement is the opportunity to participate in the Program, which includes the corresponding opportunity for the Dealership to profit from the sale of vehicles to Program Participants.

4. Reduction in Vehicle Purchase Price: The dealership should show the voucher amount on the replacement truck invoice. This amount should not reduce the sales price of the truck but should reduce the amount the participant will pay for the truck. The receipt of voucher funds does not lower the base price of the truck and does not reduce the tax basis of the truck.

5. Voucher Payment: The Dealership will be reimbursed by the Air District for the voucher amount once the Dealership submits a complete Reimbursement Package to Air District as outlined in the Guidelines and in this Agreement.

6. Dealership Obligations: The Dealership agrees to provide general information to Program participants about the Program. The Air District will provide training to Dealership staff. The Dealership agrees to assist Program participants to correctly

complete and submit the Application Package to the Air District. It is important verify that all information is filled out correctly and that the participant understands the Program.

a. Before the Air District may approve a voucher, an Application Package must be completed and submitted to the Air District. The Application Package must include all required documentation as outlined in the Program Guidelines.

b. After the Air District notifies the Dealership of application approval, the Dealership will order the replacement vehicle with an engine that meets the emissions standards corresponding to the project funding level as specified in Appendix P and have the replacement vehicle delivered to the Dealership.

c. Ensure that the existing vehicle and its original, signed title remains in dealership custody and is sent to a participating dismantler yard for destruction within 30 business days of dealership taking truck possession. Immediately notify the Air District of the location and date of delivery of the existing vehicle to the dismantler. The Air District will provide a list of participating dismantlers to the Dealership.

7. Dealership Qualifications: Dealership warrants that it meets the following minimum qualifications for participation in the Program, and will continue to meet these qualifications throughout its participation in the Program.

a. Dealership has had a valid business license issued in California for a minimum of the last two years.

b. Dealership maintains a minimum of one (1) employee that has successfully completed the training by the Air District regarding the terms, conditions and requirements of the Program. If a participating dealership maintains more than one location for truck sales, then each location must have at least one employee trained on the VIP. Language must be included in the dealership agreement requiring each location have at least one employee is trained on the VIP.

c. Dealership agrees to allow the Air District or California Air Resources Board (ARB) to inspect vehicles or audit program records covered under this Agreement during normal business hours.

8. Inspections: Inspections described below require inspection forms be completed and photographs taken to document vehicle and engine. Specific requirements are outlined in the Guidelines.

a. The Air District may enter into a contract, written agreement, or memorandum of understanding with a participating dealership to perform pre-inspections and/or post-inspections. If the Air District chooses to use dealerships to perform inspections, Air District staff must conduct and document at least one inspection on each project without the use of a contractor. The Air District must ensure all inspection requirements are met and shall retain legal responsibility for full compliance with the inspection provisions of these Guidelines. If the Air District requests the Dealership to conduct an inspection, then the inspection must be conducted as follows:

    1. Pre-Inspection: Submit completed inspection form and photos to the Air District with the application

    2. Post-Inspection: Submit completed inspection form and photos to the Air District with Dealer Reimbursement Package (Appendix J)

    3. Pre-Dismantle: Submit completed inspection form and photos to the Air District with Dealer Reimbursement Package (Appendix J)

b. If the Air District conducts inspections, then inspections must be conducted in accordance with the VIP Guidelines.

9. Dealership Reimbursement: Dealership must submit a Reimbursement Package to the Air District. A description of documents in the Reimbursement Package is listed in the Guidelines. The Reimbursement Package must include:

    a. Reimbursement Invoice (Appendix H) should be signed and returned to the Air District upon receipt
    b. Final dealership invoice signed by the applicant that shows the final purchase price less the voucher award
    c. Receipt of Voucher signed by the participant
    d. The original Voucher
    e. Copy of DMV registration
    f. Copy of finance documentation (if applicable)
    g. Copy of replacement vehicle warranty information
    h. Copy of existing vehicle title signed and dated by participant
    i. Copy of title for used replacement vehicles to show vehicle is not salvaged
    j. Inspection forms and pictures of the existing vehicle after it is turned in to the dealership as specified in Appendix I.
    k. Inspection forms and pictures of the replacement vehicle as specified in Appendix I.
    l. Location of the dismantler yard where the existing vehicle will be destroyed.
    m. Date the existing vehicle is delivered to or picked up by a participating dismantler.

10. Noncompliance: Noncompliance with this Agreement or Guidelines may result in the cancellation of the Agreement, recapturing of voucher funds, or any other remedy available under law. ARB and the Air District may disqualify Dealership from Program participation and seek other remedies as available under the law for noncompliance with this Agreement or Program requirements.

Appendix C(1)
VOUCHER INCENTIVE PROGRAM
Terms to be included in Master Agreement
Between the Air District and Retrofit Installer

NOTE to AIR DISTRICT:
The terms listed do NOT constitute Air District's entire Agreement; standard Air District Agreement language (such as, confidentiality, termination, indemnification, insurance, etc.) must be included in conjunction with the items below. The terms have been prepared to facilitate the Air District's preparation of Agreement. The Air District must include the terms listed below and supersede any additional terms included by the Air District.

1. The Air District has not reviewed the Retrofit Installer's operations or reached any conclusion on the quality of their products, service, or operations. The Air District is permitting the Retrofit Installer to enter into this Agreement solely because Retrofit Installer has represented to the Air District that it is aware of the California Air Resources Board Voucher Incentive Program Guidelines (Guidelines) goals, and agrees to abide by the Program requirements and Guidelines.

2. The Air District and Retrofit Installer agree that if the California Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the Program, this Agreement shall be of no further force and effect. In this event the State shall have no liability to pay any funds whatsoever to the Air District and Retrofit Installer or to furnish any other considerations under this Agreement.

3. Payment: The Air District will not pay or otherwise directly reimburse or compensate in any way the Retrofit Installer for its services (other than actual and necessary professional installation services as authorized by the retrofit manufacturer) rendered in keeping with this Agreement, but the benefit received by Retrofit Installer under this Agreement is the opportunity to participate in the Program, which includes the corresponding opportunity to profit from the sale of ARB verified retrofit devices to Program Participants.

4. Reduction in Retrofit Purchase Price: The Retrofit Installer should show the voucher amount on the retrofit invoice. This amount should not reduce the sales price of the retrofit but should reduce the amount the participant will pay for the retrofit. The receipt of voucher funds does not lower the base price of the retrofit nor does not reduce the tax basis of the retrofit.

5. Voucher Payment: The Retrofit Installer will be reimbursed by the Air District for the voucher amount once the Retrofit Installer submits a complete Reimbursement Package to the Air District as outlined in the Guidelines and in this Agreement.

6. Retrofit Installer Obligations: The Retrofit Installer agrees to provide general information to Program participants about the Program. The Air District will provide training to Retrofit Installer staff. The Retrofit Installer agrees to assist Program participants to correctly complete and submit the Application Package to the Air District. Retrofit Installer agrees that it is important to verify that all information is filled out correctly and that the participant understands the Program.

    a. Before the Air District may approve a voucher, an Application Package must be completed and submitted to the Air District. The Application Package must include all required documentation as outlined in the Program Guidelines.

    b. After the Air District notifies the Retrofit Installer of application approval, the Retrofit Installer will order the ARB verified retrofit device (if not already in stock), and install the device according to manufacturer specifications and all conditions described in the ARB verification executive order.

7. Retrofit Installer Qualifications: Retrofit Installer warrants that it meets the following minimum qualifications for participation in the Program, and will continue to meet these qualifications throughout its participation in the Program.

    a. Retrofit Installer maintains a valid business license issued in California for a minimum of the last two years.

    b. Retrofit Installer maintains a minimum of one (1) employee that has successfully completed the training by the Air District regarding the terms, conditions and requirements of the Program. If a participating retrofit installer maintains more than one location, then each location must have at least one employee trained on the VIP.

    c. Retrofit Installer agrees to allow the Air District or California Air Resources Board (ARB) to inspect vehicles and retrofit devices, or audit program records covered under this Agreement during normal business hours.

8. Inspections: Inspections described below require inspection forms be completed and photographs taken to document vehicle and engine. Specific requirements are outlined in the Guidelines.

    a. The Air District may enter into a contract, written agreement, or memorandum of understanding with a retrofit installer to perform pre-inspections and/or post-inspections. If the Air District chooses to use retrofit installers to perform inspections, Air District staff must conduct and document at least one inspection on each project without the use of a contractor. The Air District must ensure all inspection requirements are met and shall retain legal responsibility for full compliance with the

inspection provisions of these Guidelines. If the Air District requests that the Retrofit Installer conduct an inspection, then the inspection must be conducted as follows:

1. Pre-Inspection: Submit completed inspection form and photos to the Air District with the application

2. Post-Inspection: Submit completed inspection form and photos to the Air District with Reimbursement Package (Appendix J)

b. If the Air District conducts inspections, then inspections must be conducted in accordance with the VIP Guidelines.

9. Retrofit Installer Reimbursement: Retrofit Installer must submit a Reimbursement Package to the Air District. A description of documents in the Reimbursement Package is listed in the Guidelines. The Reimbursement Package must include:

   a. Reimbursement Invoice (Appendix H) should be signed and returned to the Air District upon receipt
   b. Final invoice signed by the applicant that shows the final purchase price less the voucher award
   c. Receipt of Voucher signed by the participant
   d. The original Voucher
   e. Copy of DMV registration
   f. Copy of finance documentation (if applicable)
   g. Copy of retrofit device warranty information
   h. Copy of title of existing vehicle
   i. Inspection forms and pictures of the vehicle after it is turned in to the Retrofit Installer prior to retrofit installation as specified in Appendix I.
   j. Inspection forms and pictures of the vehicle after retrofit installation is complete, as specified in Appendix I.

10. Noncompliance: Noncompliance with this Agreement or Guidelines may result in the cancellation of the Agreement, recapturing of voucher funds, or any other remedy available under law. ARB and the Air District may disqualify Retrofit Installer from Program participation and seek other remedies as available under the law for noncompliance with this Agreement or Program requirements.

Appendix D
VOUCHER INCENTIVE PROGRAM
Dismantler Agreement Terms to be Included in Master Agreement
Between the Air District and Dismantler Owner

NOTE to AIR DISTRICT:
The terms listed do NOT constitute Air District's entire Agreement; standard Air District Agreement language (such as, confidentiality, termination, indemnification, insurance, etc.) must be included in conjunction with the items below. The terms have been prepared to facilitate the Air District's preparation of Agreement. The Air District must include the terms listed below that would supersede any additional terms included by the Air District.

1. The Air District has not reviewed the Owner's operations or reached any conclusion on the quality of the operations. The Air District is allowing the Owner to enter into this Agreement solely because the Owner has represented to the Air District that it is aware of the California Air Resources Board Voucher Incentive Program Guidelines (Guidelines) goals, and agrees to abide by the Program requirements and Guidelines.

2. The Air District and Dismantler agree that if the California Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the Program, this Agreement shall be of no further force and effect. In this event the State shall have no liability to pay any funds whatsoever to the Air District and Dismantler or to furnish any other considerations under this Agreement.

3. Payment: Owner will not be paid or otherwise reimbursed directly by the Air District or ARB. Rather, the benefit received by Owner under this Agreement is the opportunity to participate in the Program, which carries the corresponding opportunity to profit through the receipt – for cash or free – of materials that Owner will dismantler with the intent to make a profit. Funding is not available from the Air District through this Agreement for any dismantler or material costs, including hazardous waste abatement fees, labor costs, fines, permits, or other charges resulting from destruction or disposal.

4. Owner Obligation: The Owner must comply with the following requirements in accordance with the Guidelines, and submit certification to the Air District verifying that the requirements have been met. Within 60 days of receiving vehicles under this Agreement, Owner will:

    a. Destroy vehicle and render it physically useless; and

    b. Notify the Air District when the vehicle (chassis and engine) has been properly destroyed and schedule a destruction-inspection with the Air District; and

c. The dismantler must provide verification that the vehicle is registered with DMV as non-repairable. Verification of filing the form with DMV must be provided to the Air District at the dismantle inspection. Within 90 calendar days of the dismantle inspection date, the dismantler must also provide verification to the Air District from DMV that the replaced vehicle has been registered with DMV as non-repairable (non-revivable) with a transaction code L10 (Junk Non-Revivable) or C26 (Junk Non-Revivable Original).

5. Owner Qualifications: Owner warrants that it meets the following minimum qualifications for participating in the Program, and will continue to meet these qualifications throughout its participation in the Program. Owner must provide written proof that it meets the following qualifications within 48 hours if requested by Air District staff.

    a. Owner must have a current, valid Dismantler's license issued by the California Department of Motor Vehicles.

    b. Owner has a current, valid California Environmental Protection Agency Hazardous Material Generator and Storage Permit.

    c. Owner must be in compliance with all local, State, and federal regulations, permits and requirements.

    d. Owner must have a minimum of one (1) active employee who received training by the Air District on the requirements of the Program. If a dismantler has more than one location, then the dismantler must have at least one (1) active employee trained by the Air District at each location that will be accepting VIP trucks.

    e. Dismantler has had a valid business license issued in California for a minimum of the last two years.

6. Dismantler Inspection: Once the Air District is notified, a dismantler-inspection will be scheduled and photos documenting the destruction of the engine will be taken in accordance with the Guidelines. Owner shall not move the vehicle off of their property or part out a vehicle until a dismantler inspection by the Air District or a designated contractor has been performed and given approval by the Air District.

7. Use of Engine or Vehicle Pending Destruction: Owner may not use or permit the use of, the engines or vehicles, except use necessary to move it for destruction or storage.

8. Compliance: Because the prompt destruction of vehicles and engines is one of the critical components of the Program, and the parties agree that it will be

difficult to determine the monetary damages arising from Owner's breach of the requirement to destroy the dismantler vehicle within 60 days, Owner agrees that if it fails to destroy the engine and vehicle within the 60-day period, it will pay the Air District up to $500 per day until the engine and vehicle is destroyed and provide verification that the vehicle has been registered as non-repairable with DMV. Owner may request that the Air District extend the 60-day period, and the Air District will not unreasonably withhold its approval of the extension request. Only written extensions are effective. Owner will not generally be eligible for an extension if the cause of the delay was within its control.

9. Noncompliance: Noncompliance with this Agreement or Guidelines may result in the cancellation of the Agreement, recapturing of voucher funds, or any other remedy available under law. ARB and the Air District may disqualify Dismantler from Program participation and seek other remedies as available under the law for noncompliance with this Agreement or Program requirements.

Appendix E

VOUCHER INCENTIVE PROGRAM
Air District Review Checklist: Initial Review

Owner: _____ Company: _____ Dealership/Installer:_____ Submittal Date: _____	☐ Approved ☐ Rejected Voucher # _____ Voucher Amount: $_____ Expiration date:_____

Verify that the following information/documents are included in the application. Use back side of checklist for additional comments if necessary.

Existing Vehicle Requirements

- ❑ Replacement Projects: Verification that engine model year is 2002 or older:
- ❑ Retrofit Projects: Verification that the engine model year is 1994-2006
- ❑ Vehicle is diesel fueled
- ❑ Photo of vehicle manufacturer tag or copy of manufacturer build sheet shows that existing vehicle meets the criteria for either a medium heavy-duty vehicle or a heavy heavy-duty vehicle as defined below:

  - ❑ To qualify for medium heavy-duty (MHD) funding levels, eligible vehicles must have a manufacturer Gross Vehicle Weight Rating (GVWR) of 19,501 through 33,000 pounds.

  OR-

  - ❑ To qualify for heavy heavy-duty (HHD) funding levels, eligible vehicles must have a manufacturer Gross Vehicle Weight Rating (GVWR) of 33,001 pounds or greater.

- ❑ CARL VIN – cross check to ensure applicant has not applied for or already received funding for the existing vehicle
- ❑ If the applicant chose the two-for-one option, both existing vehicles meet all requirements of the Program (replacement projects only)

Replacement Vehicle Requirements (if applicable)

- ❑ Replacement vehicle is not a glider kit (the VIN does not start with the letters “GL”)
- ❑ DMV registration showing declared Gross/Combined Gross Vehicle Weight Range of the replacement vehicle is in the same weight class (MHD or HHD) as the existing vehicle
- ❑ Replacement vehicle with a manufacturer GVWR of 33,001+ has less than 500,000 miles; or
- ❑ Replacement vehicle with a manufacturer GVWR of 19,501-33,000 has less than 250,000 miles

Retrofit Requirements (if applicable)

- ❑ Retrofit is highest level (PM + NOx) verified by ARB for the specific engine family

Documentation Requirements

- ❑ Application complete, signed and dated – original application (with wet signature)
- ❑ Copy of the existing vehicle title proving ownership for the previous twenty four (24) months (titles with lien holders are not eligible for replacement funding)
- ❑ Documentation of a minimum of twenty four (24) months of existing vehicle usage. Specific vehicle is identified in documentation.
  - ❑ meets the selected annual mileage in Appendix O or P for previous 24 months, **OR**
  - ❑ meets the selected annual fuel usage in Appendix O or P for previous 24 months
- ❑ Copy of DMV registration
  - previous 24 months of CA registration, or
  - eight consecutive months of CA registration, with 24 months of California operation documentation
- ❑ Copy of Vehicle Insurance Cards (previous twenty four (24) months)
- ❑ Quote and specification sheet for the replacement vehicle or retrofit (with date and price) signed by the dealership or retrofit installer
  - Delivery or installation date is listed and included on the voucher
  - Voucher amount is reduced from quote
  - Owner is listed as buyer
- ❑ Replacement Projects: ARB Executive Order for the replacement engine, which demonstrates the engine meets Guideline requirements of ≤ 0.01g/bhp-hr PM and is at or below the following standard (STD) or family emissions limit (FEL):
  - ❑ STD or FEL level of 1.20 g/bhp-hr NOx; OR
  - ❑ STD or FEL level of 0.50 g/bhp-hr NOx; OR
  - ❑ STD of 0.20 g/bhp-hr NOx

Existing Vehicle Inspection Requirements

- ❑ Signed inspection form of the existing vehicle from either the dealer or the Air District
  - Existing vehicle is operational – clear evidence has been provided
- ❑ Digital photos taken at the inspection of the existing vehicle are legible
- ❑ Digital photo information for existing vehicle/engine make, model year, VIN, serial number <u>matches</u> application information. The photos of the engine should help verify application information. If no engine tag is available, a print out of the engine specifications will suffice.

Air District Requirements

- ❑ Issue voucher for
  - Funding year: _____
  - Existing engine model year: _____
  - Annual mileage: _____; OR fuel use: _____
  - Replacements - new or used: _____
  - MHD or HHD: _____

- 1.20, 0.50, or 0.20 NOx standard:_____
- VOUCHER AMOUNT: _____

❑ The following application form fields are mandatory and must be filled in completely before the application may be approved.

Applicant Information: • Owner Name • Mailing Address (including City, State, Zip) • Physical Address (if different from mailing) • Owner Phone	**Third Party Information:** • Third-Party Name • Mailing address (including City, State, Zip) • Physical Address (if different from mailing) • Phone • Third Party Signature & Date Only required if a paid party other than the dealership completes the application
Existing Vehicle and Engine Information: • Vehicle Make • Vehicle Model • Vehicle Model Year • Vehicle Identification Number (VIN) • License Plate Number • Odometer Reading • Vehicle Operational • GVWR • Engine Make • Engine Model • Engine Model Year • Serial Number • Engine Family Number • Engine operational • Fuel used	**Replacement Vehicle and Engine Information:** ***(Replacement Projects Only)*** • Vehicle Make • Vehicle Model • Vehicle Model Year • Odometer Reading • GVWR • Engine Make • Engine Model • Engine Model Year • Engine Family Number • Engine operational • Fuel used • CARB Executive Order Number
Dealership/Retrofit Installer Information: All fields must be filled out or a business card must be attached	**Retrofit Device Information:** ***(Retrofit Projects Only)*** • Retrofit Device Make • Retrofit Device Model • Retrofit Device ARB Executive Order # • ARB-verified PM reduction percent • ARB-verified NOx reduction percent • Retrofit device cost • Cost of retrofit device with installation
Inspection Forms: all applicable forms must be filled in based on the information required above for the existing and replacement vehicles.	**Applicant Recitals** Owner must sign and date application

❑ If rejected, letter sent to applicant and the dealer or installer was cc'd
Reason(s) for rejection:
_____

❑ If approved, voucher package sent to dealer or installer on (date):_____

Air District Staff (print name): _____ Date Reviewed: _____

Appendix F

VOUCHER INCENTIVE PROGRAM
Replacement Voucher

Congratulations! You have been approved to receive funding through the Voucher Incentive Program. This voucher is redeemable for the purchase of the replacement vehicle listed below. Redemption of this voucher must be completed at the dealership listed below.

Owner: please confirm the following information and sign in the first section.

Air District: _____
Voucher Number: _____
Voucher Amount: $_____
ARB, as an intended third party beneficiary, reserves the right to enforce the terms of the VIP at any time during the three year voucher term to ensure emission reductions are obtained.

Recipient Information	**Dealership Information**
Owner:	Dealership Name:
Address:	Address:
City, State, Zip:	City, State, Zip:
Phone:	Phone:
Accept: ☐ **Decline:** ☐	
Owner Signature	Date:

Replacement Vehicle Information	**Engine Information**
Make:	Make:
Model:	Model:
Model Year:	Model Year:
VIN:	Engine Family Number:

To be eligible to redeem this voucher, the participant must purchase and take delivery of the replacement vehicle no later than <Date>. The participant must also deliver the existing vehicle in similar condition to the pre-inspection to the dealership prior to taking ownership of the replacement vehicle.

By signing, I validate the issuance of this voucher in accordance with the Voucher Incentive Program.

Signature of Air District Representative: _____ Date: _____
Printed Name: _____
Address: _____
City, State, Zip: _____
Phone Number: _____

Appendix F(1)

VOUCHER INCENTIVE PROGRAM
Retrofit Voucher

Congratulations! You have been approved to receive funding through the Voucher Incentive Program. This voucher is redeemable for the purchase of the ARB verified retrofit device shown below. Redemption of this voucher must be completed at the retrofit installer listed below.

Vehicle Owner: please confirm the following information and sign in the first section.

Air District: _____
Voucher Number: _____
Voucher Amount: $_____
ARB, as an intended third party beneficiary, reserves the right to enforce the terms of the VIP at any time during the three year voucher term to ensure emission reductions are obtained.

Recipient Information	**Retrofit Installer Information**
Owner:	Business Name:
Address:	Address:
City, State, Zip:	City, State, Zip:
Phone:	Phone:
Accept: ☐ **Decline:** ☐	
Vehicle Owner Signature	Date:

Retrofit Device Information	**Engine Information**
Make:	Make:
Model:	Model:
ARB Executive Order #:	Model Year:
Serial # (if available):	Engine Family #:

To be eligible to redeem this voucher, the participant must take delivery of the completed retrofitted vehicle no later than <Date>.

By signing, I validate the issuance of this voucher in accordance with the Voucher Incentive Program.

Signature of Air District Representative: _____ Date: _____
Printed Name: _____
Address: _____
City, State, Zip: _____
Phone Number: _____

Appendix G

VOUCHER INCENTIVE PROGRAM
Receipt of Replacement Voucher

Voucher Number:	**Voucher Amount: $**

Replacement Vehicle	Dealership
Make:	Dealership Name:
Model:	Address:
Model Year:	City, State, Zip:
VIN:	Phone:

Voucher Recipient	Air District
Owner Name:	Air District:
Address:	Address:
City, State, ZIP:	City, State, Zip:
Phone:	Phone:

As an applicant of the Carl Moyer On-Road Voucher Incentive Program (VIP), I agree to the following:

- Register the replacement vehicle in California with the Department of Motor Vehicles (DMV).
- Maintain insurance as required by law.
- Own and operate this vehicle at least 75 percent of the time within California for a minimum of 36 months from the delivery date.
- For a period of 36 months from the delivery date, I will not engage in any activities that are subject to the Drayage Truck Regulation, including accessing any regulated port or intermodal rail facility. During this 36 month period, I understand and agree that the Air Resources Board (ARB) will enter my truck in the Drayage Truck Registry as “non-compliant”.
- NOT use VIP funded projects to generate a compliance extension or extra credit for determining compliance with any regulation.
- Return annual usage surveys, as requested by the Air District.
- Never modify the emission control system or the engine.
- Be available for a follow up inspection by the Air District or ARB, if requested.
- Allow ARB to verify registration with the Department of Motor Vehicles
- Pay back all incentive funds if one or more of the above terms are not met
- ARB, as an intended third party beneficiary, reserves the right to enforce the terms of the VIP at any time during the three year voucher term to ensure emission reductions are obtained.

I certify under penalty of perjury that the information provided is accurate

Signature of Participant: _____ Date: _____
Name of Participant: _____

Original to Air District, Copy to Participant

Appendix G(1)

VOUCHER INCENTIVE PROGRAM
Receipt of Retrofit Voucher

Voucher Number:	**Voucher Amount: $**

Voucher Recipient	**Air District**
Owner Name:	Air District:
Address:	Address:
City, State, ZIP:	City, State, Zip:
Phone:	Phone:

Retrofit Device Information	**Retrofit Installer Information**
Make:	Business Name:
Model:	Address:
ARB Executive Order #:	City, State, Zip:
Serial # (if available):	Phone:

As an applicant of the Carl Moyer On-Road Voucher Incentive Program (VIP), I agree to the following:

- Register the vehicle in California with the Department of Motor Vehicles (DMV).
- Maintain insurance as required by law.
- Own and operate this vehicle at least 75 percent of the time within California for a minimum of 36 months from the delivery date.
- For a period of 36 months from the delivery date, I will not engage in any activities that are subject to the Drayage Truck Regulation, including accessing any regulated port or intermodal rail facility. During this 36 month period, I understand and agree that the Air Resources Board (ARB) will enter my truck in the Drayage Truck Registry as “non-compliant”.
- NOT use VIP funded projects to generate a compliance extension or extra credit for determining compliance with any regulation.
- Return annual usage surveys, as requested by the Air District.
- Never modify the emission control system or the engine.
- Be available for a follow up inspection by the air District or ARB, if requested.
- Allow ARB to verify registration with the Department of Motor Vehicles.
- Pay back all incentive funds if one or more of the above terms are not met.
- ARB, as an intended third party beneficiary, reserves the right to enforce the terms of the VIP at any time during the three year voucher term to ensure emission reductions are obtained.

I certify under penalty of perjury that the information provided is accurate

Signature of Participant: _____ Date: _____
Name of Participant: _____

Original to Air District, Copy to Participant

Appendix H

VOUCHER INCENTIVE PROGRAM
Reimbursement Invoice

Date:

Dealership/Retrofit Installer Information
Business Name:
Address:
City, State, Zip:
Phone:
Federal Tax ID Number:

Please reimburse Dealer/Installer Name for $0.00 for the voucher number _____.

A complete reimbursement package will be turned in at the time of payment.

Thank you.

Name: _____
Signature: _____
Date: _____

Appendix I

VOUCHER INCENTIVE PROGRAM
Inspection Form

Type of Inspection:

Existing Vehicle: ☐ Pre-Inspection ☐ Pre-Dismantle ☐ Dismantle	
Post-Inspection:	☐ Replacement Vehicle Post-Inspection ☐ Retrofit Device Post-Inspection
Legible Pictures: ☐ Yes ☐ No	

Applicant Information

COMPANY NAME:	INSPECTION LOCATION:
Owner Name:	
Address:	
City, State, Zip:	
Phone No:	

Vehicle and Engine Information

☐ **EXISTING VEHICLE** ☐ **REPLACEMENT VEHICLE** ☐ **RETROFIT DEVICE**

VEHICLE INFORMATION:			
Vehicle Make:	Vehicle Model:		Vehicle Model Year:
Vehicle Identification Number:		License Plate Number:	Date of Manufacture:
Odometer Reading:	Hour meter Reading:	Vehicle operational? ☐ Yes ☐ No	
DOT Number (if interstate):		CHP number:	Fleet ID:
Cab Style: ☐ Conventional ☐ Cab-over		Manufacturer GVWR:	
Engine Information:			
Engine Make:	Engine Model:	Engine Model Year:	Date of Manufacture:
Serial Number:	Engine Family Number:	Horsepower:	
Engine operational? ☐ Yes ☐ No	Fuel used? ☐ Diesel ☐ Other:		
Retrofit Device Information (retrofit projects only):			
Retrofit Make:	Retrofit Model:	Retrofit Serial Number:	

For *Pre-Dismantler* Inspection ONLY, Specify

DISMANTLER:	CONTACT NAME:	PHONE:
DMV title delivered and signed by owner? ☐ Yes ☐ No		Engine operational? ☐ Yes ☐ No

For *Dismantler* Inspection ONLY, Specify

DISMANTLER:	CONTACT NAME:	PHONE:
Non-Repairable Vehicle Certificate Filed with DMV? ☐ Yes ☐ No		Frame Rails Cut? ☐ Yes ☐ No
		Engine Destroyed? ☐ Yes ☐ No

Comments:

I certify under penalty of perjury that: (1) the information provided above is accurate, (2) the pictures are of the inspected vehicle (3) the pictures clearly depict the inspected vehicle, and (4) that I understand that this inspection form is incorporated in the agreement with the <air district>.

Signature:	Date:
Authorized Name:	
Air District / Dealership / Installer:	
Address:	
City, State, Zip:	
Phone No:	

Required Photographs

- Digital photos should be clear images with a minimum of 640x480 capture resolution. The Air District will specify the digital media required to save the pictures on.

(check the boxes/circles of pictures taken)

Pre-inspection of existing vehicle	Post inspection of replacement vehicle
❑ Vehicle from left side ❑ Vehicle from right side ❑ Vehicle from front ❑ Vehicle from back ❑ Vehicle Identification Number (VIN) ❑ Gross Vehicle Weight Rating (GVWR) ❑ Odometer reading ❑ Engine from drivers side ❑ Engine tag (if available)* ○ Engine make ○ Engine model ○ Engine serial number (ESN) ○ Engine family number ❑ DOT / CHP Numbers	❑ Vehicle from left side ❑ Vehicle from right side ❑ Vehicle from front ❑ Vehicle from back ❑ Vehicle Identification Number (VIN) ❑ Gross Vehicle Weight Rating (GVWR) ❑ Odometer Reading ❑ Engine from drivers side ❑ Engine tag ○ Engine make ○ Engine model ○ Engine serial number (ESN) ○ Engine family number ❑ DOT / CHP Numbers
Pre-Dismantler inspection of existing vehicle	**Dismantler inspection of existing vehicle**
❑ Vehicle from left side ❑ Vehicle from right side ❑ Vehicle from front ❑ Vehicle from back ❑ Vehicle Identification Number (VIN) ❑ Gross Vehicle Weight Rating (GVWR) ❑ Odometer Reading ❑ Engine from drivers side ❑ Engine tag (if available)* ○ Engine make ○ Engine model ○ Engine serial number (ESN) ○ Engine family number ❑ DOT / CHP Numbers	❑ Vehicle from left side ❑ Vehicle from right side ❑ Vehicle from front ❑ Vehicle from back ❑ Vehicle Identification Number (VIN) ❑ Odometer Reading ❑ Engine from drivers side ❑ Engine tag (if available)* ○ Engine make ○ Engine model ○ Engine serial number (ESN) ○ Engine family number ❑ Cut in frame rails ❑ Hole in engine block (at least 3 inches wide)
Post inspection of retrofit device (retrofit projects only)	
❑ Retrofit device ❑ Retrofit device tag ○ Retrofit make ○ Retrofit model ○ Retrofit serial number	

**If engine tag is missing, a picture of the ESN stamped on the engine block must be submitted*

Appendix J

VOUCHER INCENTIVE PROGRAM
Reimbursement Package Checklist

Participant Name: _____ Date: _____
Voucher Number: _____ Voucher Amount: _____

Checklist for Replacement Projects

√	**Documents included in Dealer Reimbursement Package**
☐	Dealer Reimbursement Invoice (original) – signed by dealership
☐	Final replacement vehicle purchase invoice
☐	Receipt of Voucher (original) – signed by the participant
☐	Voucher (original)
☐	Copy of DMV registration paperwork showing vehicle registered to applicant
☐	Copy of replacement vehicle financing documentation (if applicable)
☐	Copy of the replacement vehicle warranty
☐	Copy of existing vehicle title signed and dated by applicant
☐	Copy of current title for used replacement vehicles (for non-salvage status only)
☐	Inspection forms and digital photos for existing vehicle ready for dismantler
☐	Inspection forms and digital photos for replacement vehicle
☐	Location of dismantler yard where the existing vehicle will be destroyed
☐	Date the existing vehicle is delivered to or picked up by a dismantler

Checklist for Retrofit Projects

√	**Documents included in Retrofit Reimbursement Package**
☐	Retrofit Reimbursement Invoice (original) – signed by retrofit installer
☐	Final retrofit purchase and installation invoice
☐	Receipt of Voucher (original) – signed by the participant
☐	Voucher (original)
☐	Copy of DMV registration paperwork showing vehicle registered to applicant
☐	Copy of retrofit financing documentation (if applicable)
☐	Copy of the retrofit manufacturer warranty
☐	Copy of vehicle title
☐	Inspection forms and digital photos for vehicle and retrofit device

Dealership/Installer Business Name: _____
Contact Person: _____
Phone Number: _____

Appendix K

Date

Name
Company
Address
City, State, Zip

RE: Application Rejected: On-Road Voucher Incentive Program

Dear Name:

Thank you for submitting an application to the <Air District's> On-Road Voucher Incentive Program. Your application was reviewed and found to be incomplete and/or not meeting the requirements of the program. The application was rejected because:

☐ The applicant did not meet the following Voucher Incentive Program criteria listed in the guidelines

-

☐ The application package was incomplete. The following information was not included in the application:

-

☐ The application package was voluntarily withdrawn.

If your application was voluntarily withdrawn or incomplete, you can re-apply by submitting a new completed application.

If you have any questions, please contact <insert contact> at <insert phone> or <insert email>.

Sincerely,

<Name>
<Title>

cc: Dealership/Retrofit Installer

Attachment: Application Package

Appendix L

VOUCHER INCENTIVE PROGRAM
Air District Payment Review Checklist

Applicant:	Company (if any):	Dealer / Retrofit Installer:
Voucher Number:	Voucher Amount:	Submittal Date:

Verify the following information in the reimbursement package:

- ❑ Applicant information matches application
- ❑ Voucher number is correct
- ❑ Voucher amount is correct
- ❑ Replacement vehicle or retrofit information matches application, quote information, and VIP requirements.
- ❑ Invoice correctly shows the final price less the voucher amount

Verify that the following documents are included in the reimbursement package:

- ❑ Reimbursement invoice
- ❑ Receipt of voucher signed by the applicant
- ❑ Original voucher
- ❑ Financing information, if vehicle or retrofit was financed
- ❑ Warranty information
- ❑ Completed final invoice, signed and dated by the applicant
- ❑ DMV paperwork showing California registration with owner's name
- ❑ DMV paperwork showing that the replacement vehicle or retrofitted vehicle has been registered
- ❑ Inspection forms and photos of the replacement vehicle or retrofitted vehicle

Replacement Projects Only:

- ❑ Inspection forms and photos of the replaced vehicle in dealership custody
- ❑ Copy of Title of the existing (replaced) vehicle, signed and dated by the applicant
- ❑ Used replacement vehicle title does not show salvage status (if applicable)

Reimbursement approved? ❑ Yes ❑ No

Comments (Use back side of checklist for additional comments if necessary):

Funding Date: _____ Check Number:_____
Copy of check in project folder

Air District Staff (print name):_____ Date Reviewed:_____

Appendix M

Date

Salesperson
Dealership
Address
City, State, Zip

RE: Reimbursement Package Rejection Letter: Voucher Number #_____

Dear Salesperson:

Thank you for your participation in the Voucher Incentive Program. Unfortunately, the reimbursement package submitted for Voucher Number #_____ was reviewed and found to be incomplete and/or not meeting the requirements of the program. The package was rejected because:

☐ The reimbursement package did not meet the following Voucher Incentive Program criteria listed in the guidelines

-

☐ The reimbursement package was incomplete. The following information was not included in the package:

-

If your reimbursement package was incomplete, please re-submit the entire reimbursement package including the missing documentation. If your reimbursement package did not meet the criteria of the program, please contact air district staff immediately for further explanation.

If you have any questions, please contact <insert contact> at <insert phone> or <insert email>.

Sincerely,

Name
Title
Air District
Phone Number

Attachment: Reimbursement Package

Appendix N

VOUCHER INCENTIVE PROGRAM
Usage Survey

Thank you for participating in the Carl Moyer Voucher Incentive Program. Please fill out this usage survey and return to the address below within 5 business days.

Participant Information:
Name: _____
Address:_____
_____

Vehicle Information:
Make: _____
Model/Year: _____
VIN: _____

I still own the vehicle and have (please print legibly):

- driven _____ miles in California in 20__; **AND/OR**
- consumed _____gallons of fuel in California in 20__.

The odometer reading is: | | | | | | | . | | |

I operated the vehicle about _____percent of the time in California in 20__.

Using the map at right, estimate the percentage of your annual mileage or fuel usage that occurred in each area.	
North Coast:	Northeast Plateau:
Lake County:	Sacramento Valley:
San Francisco Bay:	Mountain Counties:
North Central Coast:	Lake Tahoe:
South Central Coast:	San Joaquin Valley:
South Coast:	Great Basin Valleys:
San Diego County:	Mojave Desert:
Outside California:	Salton Sea:
Note: The total of all percentages must equal 100.	

I certify under penalty of perjury that the Information provided above is accurate and true.

Signature: _____
Name: _____
Date: _____

Return survey to:
_____
_____
_____

Appendix O

On-Road VIP Funding Matrix for Retrofits

Table O-1 **Eligibility:** • **Fleets of 1-3 Trucks** • **Retrofits in operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount
	Miles	Gallons		Miles	Gallons		Miles	Gallons	
1994-1995	51,000	5,000	$5,000	Not eligible for retrofit funding					
	62,000	6,100	$6,000						
	72,000	7,100	$7,000						
	82,000	8,000	$8,000						
	92,000	9,000	$9,000						
1996-1997	35,000	3,500	$5,000						
	42,000	4,100	$6,000						
	49,000	4,800	$7,000						
	55,000	5,400	$8,000						
	62,000	6,100	$9,000						
	69,000	6,800	$10,000						
1998-2002	52,000	5,100	$5,000						
	62,000	6,100	$6,000						
	73,000	7,200	$7,000						
	83,000	8,100	$8,000						
	93,000	9,100	$9,000						
2003-2006	35,000	3,500	$5,000						
	42,000	4,100	$6,000						
	49,000	4,800	$7,000						
	55,000	5,400	$8,000						
	62,000	6,100	$9,000						
	69,000	6,800	$10,000						

Table O-2 **Eligibility:** • **Fleets of 4-10 Trucks** • **Retrofits in operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**
	Miles	**Gallons**		**Miles**	**Gallons**		**Miles**	**Gallons**	
1996-1997	35,000	3,500	$5,000	Not eligible for retrofit funding					
	42,000	4,100	$6,000						
	49,000	4,800	$7,000						
	55,000	5,400	$8,000						
	62,000	6,100	$9,000						
	69,000	6,800	$10,000						
1998-2002	52,000	5,100	$5,000						
	62,000	6,100	$6,000						
	73,000	7,200	$7,000						
	83,000	8,100	$8,000						
	93,000	9,100	$9,000						
2003-2006	35,000	3,500	$5,000						
	42,000	4,100	$6,000						
	49,000	4,800	$7,000						
	55,000	5,400	$8,000						
	62,000	6,100	$9,000						
	69,000	6,800	$10,000						

Appendix P

On-Road VIP Funding Matrix for Truck Replacements

Table P-1

Eligibility:
- **Fleets of 1-3 Trucks**
- **New Replacement Vehicles**
- **New Engine Meets 0.20 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount
	Miles	Gallons		Miles	Gallons		Miles	Gallons	
<= 1990	16,000	1,700	$10,000	18,000	1,900	$10,000	15,000	2,600	$10,000
	24,000	2,500	$15,000	27,000	2,800	$15,000	19,000	3,200	$15,000
	32,000	3,300	$20,000	36,000	3,700	$20,000	25,000	4,200	$20,000
	40,000	4,200	$25,000	45,000	4,700	$25,000	31,000	5,200	$25,000
	48,000	5,000	$30,000	54,000	5,600	$30,000	37,000	6,200	$30,000
	55,000	5,700	$35,000	62,000	6,400	$35,000	43,000	7,300	$35,000
							49,000	8,300	$40,000
							55,000	9,300	$45,000
1991 - 1993	22,000	2,200	$10,000	22,000	2,200	$10,000	15,000	2,500	$10,000
	33,000	3,300	$15,000	33,000	3,300	$15,000	22,000	3,600	$15,000
	44,000	4,300	$20,000	43,000	4,200	$20,000	29,000	4,800	$20,000
	55,000	5,400	$25,000	54,000	5,300	$25,000	36,000	5,900	$25,000
	66,000	6,500	$30,000	65,000	6,400	$30,000	44,000	7,200	$30,000
	77,000	7,500	$35,000	75,000	7,300	$35,000	51,000	8,300	$35,000
							58,000	9,500	$40,000
							65,000	10,600	$45,000
1994 - 1995	27,000	2,700	$10,000	28,000	2,800	$10,000	15,000	2,400	$10,000
	40,000	3,900	$15,000	41,000	4,000	$15,000	23,000	3,700	$15,000
	54,000	5,300	$20,000	55,000	5,400	$20,000	30,000	4,800	$20,000
	67,000	6,600	$25,000	68,000	6,700	$25,000	38,000	6,000	$25,000
	80,000	7,800	$30,000	82,000	8,000	$30,000	45,000	7,100	$30,000
	93,000	9,100	$35,000	95,000	9,300	$35,000	53,000	8,400	$35,000
							60,000	9,500	$40,000
							68,000	10,700	$45,000
1996 - 2002	22,000	2,200	$10,000	28,000	2,800	$10,000	15,000	2,400	$10,000
	33,000	3,300	$15,000	41,000	4,000	$15,000	23,000	3,700	$15,000
	44,000	4,300	$20,000	55,000	5,400	$20,000	30,000	4,800	$20,000
	54,000	5,300	$25,000	68,000	6,700	$25,000	38,000	6,000	$25,000
	65,000	6,400	$30,000	82,000	8,000	$30,000	45,000	7,100	$30,000
	76,000	7,400	$35,000	95,000	9,300	$35,000	53,000	8,400	$35,000
							60,000	9,500	$40,000
							68,000	10,700	$45,000

Table P-2

Eligibility:
- **Fleets of 1-3 Trucks**
- **New Replacement Vehicles**
- **New Engine Meets 0.50 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**
	Miles	**Gallons**		**Miles**	**Gallons**		**Miles**	**Gallons**	
<= 1990	17,000	1,800	$10,000	19,000	2,000	$10,000	15,000	2,600	$10,000
	25,000	2,600	$15,000	28,000	2,900	$15,000	20,000	3,400	$15,000
	33,000	3,400	$20,000	38,000	4,000	$20,000	27,000	4,600	$20,000
	41,000	4,300	$25,000	47,000	4,900	$25,000	34,000	5,700	$25,000
							40,000	6,800	$30,000
							47,000	7,900	$35,000
							53,000	8,900	$40,000
							60,000	10,100	$45,000
1991 - 1993	23,000	2,300	$10,000	23,000	2,300	$10,000	16,000	2,600	$10,000
	35,000	3,500	$15,000	35,000	3,500	$15,000	24,000	3,900	$15,000
	46,000	4,500	$20,000	46,000	4,500	$20,000	32,000	5,200	$20,000
	58,000	5,700	$25,000	57,000	5,600	$25,000	40,000	6,500	$25,000
							48,000	7,800	$30,000
							56,000	9,100	$35,000
							64,000	10,400	$40,000
							72,000	11,700	$45,000
1994 - 1995	28,000	2,800	$10,000	30,000	3,000	$10,000	17,000	2,700	$10,000
	42,000	4,100	$15,000	44,000	4,300	$15,000	25,000	4,000	$15,000
	56,000	5,500	$20,000	59,000	5,800	$20,000	34,000	5,400	$20,000
	70,000	6,900	$25,000	74,000	7,200	$25,000	42,000	6,600	$25,000
							50,000	7,900	$30,000
							58,000	9,100	$35,000
							67,000	10,600	$40,000
							75,000	11,800	$45,000
1996 - 2002	23,000	2,300	$10,000	30,000	3,000	$10,000	17,000	2,700	$10,000
	35,000	3,500	$15,000	44,000	4,300	$15,000	25,000	4,000	$15,000
	46,000	4,500	$20,000	59,000	5,800	$20,000	34,000	5,400	$20,000
	58,000	5,700	$25,000	74,000	7,200	$25,000	42,000	6,600	$25,000
							50,000	7,900	$30,000
							58,000	9,100	$35,000
							67,000	10,600	$40,000
							75,000	11,800	$45,000

Table P-3

Eligibility:
- **Fleets of 1-3 Trucks**
- **Used Replacement Vehicles**
- **Replacement Engine Meets 1.20 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount	Minimum Annual Usage		Funding Amount
	Miles	Gallons		Miles	Gallons		Miles	Gallons	
<= 1990	18,000	1,900	$10,000	22,000	2,300	$10,000	17,000	2,900	$10,000
	27,000	2,800	$15,000	32,000	3,300	$15,000	25,000	4,200	$15,000
	35,000	3,600	$20,000	43,000	4,500	$20,000	33,000	5,600	$20,000
							42,000	7,100	$25,000
							50,000	8,400	$30,000
							58,000	9,800	$35,000
1991 - 1993	26,000	2,600	$10,000	27,000	2,700	$10,000	21,000	3,500	$10,000
	38,000	3,700	$15,000	40,000	3,900	$15,000	32,000	5,200	$15,000
	51,000	5,000	$20,000	54,000	5,300	$20,000	42,000	6,900	$20,000
							52,000	8,500	$25,000
							63,000	10,300	$30,000
							73,000	11,900	$35,000
1994 - 1995	32,000	3,200	$10,000	36,000	3,600	$10,000	22,000	3,500	$10,000
	48,000	4,700	$15,000	54,000	5,300	$15,000	33,000	5,200	$15,000
	64,000	6,300	$20,000	72,000	7,100	$20,000	44,000	6,900	$20,000
							55,000	8,700	$25,000
							66,000	10,400	$30,000
							77,000	12,100	$35,000
1996 - 2002	27,000	2,700	$10,000	36,000	3,600	$10,000	22,000	3,500	$10,000
	41,000	4,000	$15,000	54,000	5,300	$15,000	33,000	5,200	$15,000
	54,000	5,300	$20,000	72,000	7,100	$20,000	44,000	6,900	$20,000
							55,000	8,700	$25,000
							66,000	10,400	$30,000
							77,000	12,100	$35,000

Table P-4

Eligibility:
- **Fleets of 4 - 10 Trucks**
- **New Replacement Vehicles**
- **New Engine Meets 0.20 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**
	Miles	**Gallons**		**Miles**	**Gallons**		**Miles**	**Gallons**	
1994 - 1995	Not eligible for replacement funding			18,000	1,800	$10,000	15,000	2,500	$10,000
				27,000	2,700	$15,000	16,000	2,600	$15,000
				36,000	3,600	$20,000	22,000	3,600	$20,000
				45,000	4,400	$25,000	27,000	4,400	$25,000
				54,000	5,300	$30,000	32,000	5,200	$30,000
				63,000	6,200	$35,000	37,000	6,000	$35,000
							43,000	7,000	$40,000
							48,000	7,800	$45,000
1996 - 2002	22,000	2,200	$10,000	28,000	2,800	$10,000	15,000	2,400	$10,000
	33,000	3,300	$15,000	41,000	4,000	$15,000	23,000	3,700	$15,000
	44,000	4,300	$20,000	55,000	5,400	$20,000	30,000	4,800	$20,000
	54,000	5,300	$25,000	68,000	6,700	$25,000	38,000	6,000	$25,000
	65,000	6,400	$30,000	82,000	8,000	$30,000	45,000	7,100	$30,000
	76,000	7,400	$35,000	95,000	9,300	$35,000	53,000	8,400	$35,000
							60,000	9,500	$40,000
							68,000	10,700	$45,000

Table P-5

Eligibility:
- **Fleets of 4 - 10 Trucks**
- **New Replacement Vehicles**
- **New Engine Meets 0.50 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**
	Miles	**Gallons**		**Miles**	**Gallons**		**Miles**	**Gallons**	
1994 - 1995	Not eligible for replacement funding			19,000	1,900	$10,000	17,000	2,800	$15,000
				29,000	2,900	$15,000	23,000	3,800	$20,000
				38,000	3,700	$20,000	29,000	4,800	$25,000
				47,000	4,600	$25,000	34,000	5,600	$30,000
							40,000	6,500	$35,000
							46,000	7,500	$40,000
							51,000	8,300	$45,000
1996 - 2002	23,000	2,300	$10,000	30,000	3,000	$10,000	17,000	2,700	$10,000
	35,000	3,500	$15,000	44,000	4,300	$15,000	25,000	4,000	$15,000
	46,000	4,500	$20,000	59,000	5,800	$20,000	34,000	5,400	$20,000
	58,000	5,700	$25,000	74,000	7,200	$25,000	42,000	6,600	$25,000
							50,000	7,900	$30,000
							58,000	9,100	$35,000
							67,000	10,600	$40,000
							75,000	11,800	$45,000

Table P-6

Eligibility:
- **Fleets of 4 - 10 Trucks**
- **Used Replacement Vehicles**
- **Replacement Engine Meets 1.20 g/bhp-hr NOx Standard or Cleaner**
- **In operation by December 31, 2012**

	MHD GVWR = 19,501 to 26,000 lbs			MHD GVWR = 26,001 to 33,000 lbs			HHD GVWR = 33,001 lbs or more		
Engine Model Year	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**	**Minimum Annual Usage**		**Funding Amount**
	Miles	**Gallons**		**Miles**	**Gallons**		**Miles**	**Gallons**	
1994 - 1995	Not eligible for replacement funding			22,000	2,200	$10,000	15,000	2,500	$10,000
				33,000	3,300	$15,000	21,000	3,500	$15,000
				43,000	4,200	$20,000	28,000	4,600	$20,000
							35,000	5,700	$25,000
							41,000	6,700	$30,000
							48,000	7,800	$35,000
1996 - 2002	27,000	2,700	$10,000	36,000	3,600	$10,000	22,000	3,500	$10,000
	41,000	4,000	$15,000	54,000	5,300	$15,000	33,000	5,200	$15,000
	54,000	5,300	$20,000	72,000	7,100	$20,000	44,000	6,900	$20,000
							55,000	8,700	$25,000
							66,000	10,400	$30,000
							77,000	12,100	$35,000