State of California
AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-5-40
Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

KOMATSU LTD.

Pursuant to the authority vested in the Air Resources Board at Sections 43000.5, 43013, and 43018 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned at Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9; and

IT IS ORDERED AND RESOLVED: That the following diesel engines and the exhaust emission control systems produced by the manufacturer are certified as described below for use in heavy-duty off-road equipment:

Model Year: 2000

Typical Equipment Usage: Dozer, Generator

Engine Power Ratings Range: 175 – 750 horsepower, inclusive

Fuel Type: Diesel

Engine Family	Displacement Liters	Displacement Cubic Inches	Exhaust Emission Control Systems and Special Features
YKLXL15.2EC1 (SA6D140)	15.2	930	Turbocharger Charge Air Cooler

The engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The exhaust emission certification standards and certification values in grams per brake horsepower-hour (g/hp-h) for total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM), and the opacity-of-smoke certification standards and certification values in percent (%) during acceleration (Accel), lugging (Lug), and the peak-values from either mode (Peak) for this engine family are as follows (Title 13, California Code of Regulations, Section 2423):

	Exhaust Emissions (g/hp-h)				Smoke Opacity (%)		
	THC	CO	NOx	PM	Accel	Lug	Peak
Standard	1.0	8.5	6.9	0.4	20	15	50
Certification	0.2	0.3	5.7	0.1	15	3	38

BE IT FURTHER RESOLVED: That the listed engine models comply with "Exhaust Emission Standards and Test Procedures—Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model-year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with "Emission Control Labels—1996 and Later Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model-year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Sections 2425 *et seq.*).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this 2nd day of December 1999.

R. B. Summerfield, Chief
Mobile Source Operations Division

LARGE ENGINE MODEL SUMMARY

Manufacturer: **KOMATSU LTD.**

Process Code: **New Sub - continued**

EPA Engine Family: **YKLXL15.2EC1**

Manufacturer Family Name: **SA6D140E-2**

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
K4	SA6D140E-2	350@2100	183	127	1178@1300	232	99	EM TC CAC
K5	SA6D140E-2	330@1900	184	115	1090@1300	218	93	EM TC CAC
K9	SA6D140E-2	560@1800	340	202	--	--	--	EM TC CAC
K10	SA6D140E-2	375@2000	202	136	1295@1250	242	104	EM TC CAC
K11	SA6D140E-2	338@1900	189	120	1222@1250	238	100	EM TC CAC

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