

State of California
AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-158
Relating to Certification of New Off-Road Compression-Ignition Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board (Board) by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and exhaust emission control system produced by the manufacturer are certified as described below for use in off-road equipment:

Model Year: 2001

Typical Equipment Usage: Loader and Other Industrial Equipment

Fuel Type: Diesel

<u>Engine Family</u>	<u>Engine Displacement (liters)</u>	<u>Useful Life (hours)</u>	<u>Exhaust Emission Control Systems and Special Features</u>
1CPXL27.0HRP	27.0	8000	Direct Diesel Injection Turbocharger Charge Air Cooler Engine Control Module

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The exhaust emission certification standards and certification values for total hydrocarbons (THC), carbon monoxide (CO), oxides of nitrogen (NOx), and particulate matter (PM) (units are expressed in grams per kilowatt-hour (g/kw-hr)), and the opacity-of-smoke certification standards and certification values in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family are as follows (Title 13, California Code of Regulations, Section 2423, as amended by Board approval on January 28, 2000):

<u>Engine Power Rating (kw)</u>	<u>Emission Standard Category</u>		<u>Exhaust Emissions (g/kw-hr)</u>				<u>Smoke Opacity (%)</u>		
			<u>THC</u>	<u>CO</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>
450≤KW≤560	Tier 1	Standard	1.3	11.4	9.2	0.54	20	15	50
560<KW	Tier 1	Standard	1.3	11.4	9.2	0.54	20	15	50
All above		Certification	0.1	1.0	8.7	0.09	16	3	30

BE IT FURTHER RESOLVED: That, at the request of the manufacturer, the listed engine models are **conditionally certified** to, and shall be required to comply with, all amendments to Title 13, California Code of Regulations, Sections 2420 through 2427 adopted by the Board on January 28, 2000 at its hearing "TO CONSIDER AMENDMENTS TO OFF-ROAD COMPRESSION-IGNITION ENGINE REGULATIONS: 2000 AND LATER EMISSION STANDARDS, COMPLIANCE REQUIREMENTS AND TEST PROCEDURES." The listed engine models comply with all such amendments, including, but not limited to:

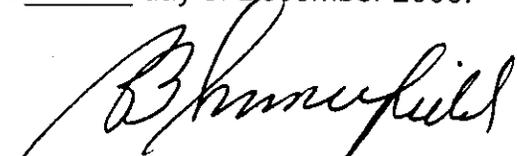
- the amended "Emission Control Labels—1996 and Later Off-Road Compression-Ignition Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year;
- the Board's amended emission control system warranty provisions (Title 13, California Code of Regulations, Sections 2425 and 2426) for the listed engine models, as demonstrated by materials submitted by the manufacturer; and
- new California requirements for the Selective Enforcement Audit (SEA) for the listed engine models, as demonstrated by the manufacturer's submission of materials.

BE IT FURTHER RESOLVED: That the conditional certification described in the paragraph above is conditioned on the amendments being approved by the California Office of Administrative Law (OAL) pursuant to Government Code Section 11349.3, and where necessary, authorized by the Administrator of the U. S. Environmental Protection Agency (U.S. EPA) pursuant to Section 209(e)(2) of the Federal Clean Air Act. In the event that the OAL disapproves the amendments or the U.S. EPA decides not to authorize them, the ARB shall notify the manufacturer that the listed engine models must comply with the "California Exhaust Emission Standards and Test Procedures for 1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Sections 2420 through 2427) adopted on May 12, 1993, as applicable. Failure to demonstrate compliance within 45 days after notification by the Air Resources Board shall be cause for the Board to revoke the Executive Order and deem the listed engine models uncertified.

The conditional certification described herein is not conditioned on further U.S. EPA action on amendments determined by the Board to be within the scope of an existing U.S. EPA authorization.

Engines certified under this Executive Order must conform to the above requirements under Title 13, California Code of Regulations, Chapter 9, Article 4, and all other applicable California emission laws and regulations.

Executed at El Monte, California this 21st day of December 2000.


R. B. Summerfield, Chief
Mobile Source Operations Division

ATTACHMENT

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.
 Engine category: Nonroad Over 50 Hp
 EPA Engine Family: 1CPXL27.0HRP
 Mfr Family Name: NA
 Process Code: New Submission

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1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
Note: Peak HP and Peak Torque fuel rates are nominal values. Due to production engine avgs. these fuel rates may change.								
1 - Cert Engine	3412	1082 @ 2100	276	389.7	3245 @ 1400	317	298.5	EM, DI, TC, ECM,
2	3412	654 @ 1800	186	224.9	2089 @ 1200	208	167.6	EM, DI, TC , ECM,
3	3412	682 @ 2000	180	242.9	2087 @ 1200	204	164.7	EM, DI, TC , ECM,
4	3412	682 @ 2000	180	242.9	2087 @ 1200	204	164.7	EM, DI, TC , ECM,
5	3412	704 @ 2000	185	248.8	2155 @ 1200	216	174.7	EM, DI, TC , ECM,
6	3412	692 @ 1800	194	235.3	2227 @ 1200	222	179.5	EM, DI, TC , ECM,
7	3412	725 @ 2000	193	259.1	2227 @ 1200	220	177.4	EM, DI, TC , ECM,
8	3412	675 @ 2000	175	235.9	2227 @ 1200	224	180.8	EM, DI, TC , ECM,
9	3412	650 @ 1800	187	226.7	2278 @ 1200	230	185.6	EM, DI, TC , ECM,
10	3412	700 @ 1800	203	246.3	2452 @ 1200	250	201.9	EM, DI, TC, ECM,
11	3412	735 @ 1800	215	259.8	2573 @ 1200	264	213.4	EM, DI, TC , ECM,
12	3412	700 @ 2000	186	250.9	2206 @ 1400	215	202.8	EM, DI, TC , ECM,
13	3412	750 @ 2000	202	272.4	2362 @ 1400	233	219.7	EM, DI, TC , ECM,
14	3412	750 @ 1800	218	263.8	2625 @ 1200	271	218.8	EM, DI, TC , ECM,
15	3412	700 @ 2100	190	268.9	2101 @ 1400	205	193.5	EM, DI, TC , ECM,
16	3412	750 @ 2100	195	276.1	2250 @ 1400	220	207.0	EM, DI, TC , ECM,
17	3412	725 @ 2000	193	259.1	2227 @ 1200	220	177.4	EM, DI, TC , ECM,
18	3412	625 @ 1400	224	210.9	2742 @ 1000	279	187.7	EM, DI, TC , ECM,
19	3412	760 @ 2100	196	276.5	2250 @ 1400	224	211.0	EM, DI, TC , ECM,
20	3412	800 @ 2100	204	288.4	2401 @ 1400	238	224.1	EM, DI, TC , ECM,
21	3412	860 @ 2100	221	312.0	2584 @ 1400	258	242.7	EM, DI, TC , ECM,
22	3412	900 @ 2100	227	320.1	2701 @ 1400	266	250.5	EM, DI, TC , ECM,
23	3412	950 @ 2100	241	340.1	2852 @ 1400	282	265.2	EM, DI, TC , ECM,
24	3412	1000 @ 2100	258	364.7	3003 @ 1400	298	280.8	EM, DI, TC , ECM,
25	3412	1050 @ 2100	272	383.6	3148 @ 1400	315	296.7	EM, DI, TC , ECM,

CAC
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 DI, TC, CAC, ECM