

	<p align="center"><b>CATERPILLAR, INC.</b></p>	<p align="center"><b>EXECUTIVE ORDER U-R-001-0211</b> New Off-Road Compression-Ignition Engines</p>
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Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

**IT IS ORDERED AND RESOLVED:** That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2003	3CPXL12.0ESK	12.0	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Direct Diesel Injection, Turbocharger, Charge Air Cooler and Engine Control Module			Loader, Tractor and Industrial Equipment	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			HC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
130≤Kw<225	Tier 2	STD	N/A	N/A	6.6	3.5	0.20	20	15	50
225≤KW<450	Tier 2	STD	N/A	N/A	6.4	3.5	0.20	20	15	50
		CERT	--	--	5.9	1.7	0.17	8	2	14

**BE IT FURTHER RESOLVED:** That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

**This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.**

Executed at El Monte, California on this 25<sup>th</sup> day of November 2002.

  
 Allen Lyons, Chief  
 Mobile Source Operations Division

U-R-001-0211

Manufacturer: CATERPILLAR INC.  
 Engine category: Nonroad Over 50 hp  
 EPA Engine Family: 3CPXL12.0ESK  
 Mfr Family Name: N/A  
 Process Code: New Submission

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
Note: Peak HP and Peak torque fuel rates are nominal values. Due to production engine avgs. these fuel rates may change.								
1-Cert Engine	3196/C-12	515 @ 2100	252	177.6	1622 @ 1400	328	154.4	EM, DI, TC, ECM,
2	3196/C-12	370 @ 2100	175	123.5	1200 @ 1400	226	106.7	EM, DI, TC, ECM,
3	3196/C-12	400 @ 2100	190	134.5	1275 @ 1400	241	113.6	EM, DI, TC, ECM,
4	3196/C-12	425 @ 2100	206	145.5	1350 @ 1400	254	119.0	EM, DI, TC, ECM,
5	3196/C-12	455 @ 2100	217	153.0	1450 @ 1400	285	134.3	EM, DI, TC, ECM,
6	3196/C-12	500 @ 2100	242	170.6	1575 @ 1400	294	139.0	EM, DI, TC, ECM,
7	3196/C-12	425 @ 2100	204	143.8	1433 @ 1400	278	131.0	EM, DI, TC, ECM,
8	3196/C-12	340 @ 2100	165	116.6	1238 @ 1400	227	107.0	EM, DI, TC, ECM,
9	3196/C-12	380 @ 2100	185	131.0	1384 @ 1400	257	121.2	EM, DI, TC, ECM,
10	3196/C-12	305 @ 2200	150	111.2	1061 @ 1400	198	93.0	EM, DI, TC, ECM,
11	3196/C-12	405 @ 2000	211	142.2	1244 @ 1400	241	113.5	EM, DI, TC, ECM, CAC
12	3196/C-12	304 @ 2100	147	103.8	1058 @ 1400	203	95.6	EM, DI, TC, ECM,
13	3196/C-12	389 @ 1800	239	145.0	1560 @ 1400	287	135.0	EM, DI, TC, ECM,
14	3196/C-12	290 @ 1500	246	124.0	1580 @ 1200	311	125.0	EM, DI, TC, ECM,
15	3196/C-12	455 @ 2100	217	153.0	1450 @ 1400	285	134.0	EM, DI, TC, ECM,
16	3196/C-12	400 @ 2100	187	132.0	1354 @ 1400	256	120.0	EM, DI, TC, ECM,
17	3196/C-12	440 @ 2100	206	146.0	1489 @ 1400	283	133.0	EM, DI, TC, ECM,
18	3196/C-12	290 @ 2000	153	103.0	1160 @ 1000	225	76.0	EM, DI, TC, ECM,
19	3196/C-12	270 @ 2000	142	95.0	1081 @ 1000	209	70.0	EM, DI, TC, ECM,
20	3196/C-12	400 @ 2000	211	142.0	1302 @ 1400	242	114.0	EM, DI, TC, ECM,
21	3196/C-12	400 @ 2100	187	132.0	1354 @ 1400	256	120.0	EM, DI, TC, ECM,