| California Environmental Protection Agency **Air Resources Board** | JOHN DEERE POWER SYSTEMS | **EXECUTIVE ORDER U-R-004-0525-1** New Off-Road Compression-Ignition Engines |
|---|---|---|

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

**IT IS ORDERED AND RESOLVED:** That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

| MODEL YEAR | ENGINE FAMILY | DISPLACEMENT (liters) | FUEL TYPE | USEFUL LIFE (hours) |
|---|---|---|---|---|
| 2016 | GJDXL04.5311 | 4.5 | Diesel | 8000 |

| SPECIAL FEATURES & EMISSION CONTROL SYSTEMS | TYPICAL EQUIPMENT APPLICATION |
|---|---|
| Charge Air Cooler, Oxidation Catalyst, Electronic Direct Injection, Electronic Control Module, Exhaust Gas Recirculation, Turbocharger, Selective Catalytic Reduction-Urea, Ammonia Oxidation Catalyst | Pump, Compressor, Generator Set, Other Industrial Equipment |

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

| RATED POWER CLASS | EMISSION STANDARD CATEGORY | | EXHAUST (g/kw-hr) | | | | | OPACITY (%) | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | NMHC | NOx | NMHC+NOx | CO | PM | ACCEL | LUG | PEAK |
| 75 ≤ kW ≤ 560 | Tier 4 Final | STD | 0.19 | 0.40 | N/A | 3.5 | 0.02 | N/A | N/A | N/A |
| | | CERT | 0.001 | 0.11 | -- | 0.02 | 0.02 | -- | -- | -- |

**BE IT FURTHER RESOLVED:** That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

**BE IT FURTHER RESOLVED:** That for the listed engine models, the manufacturer has complied with the more stringent set of standards from the various power categories in conformance with Section 1039.230 (e) of the "California Exhaust Emission Standards and Test Procedures for New 2011 and Later Tier 4 Off-Road Compression Ignition Engines, Part I-D" adopted October 20, 2005 and last amended October 25, 2012.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

**This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.**

This Executive Order hereby supersedes Executive Order U-R-004-0525 dated March 22, 2016.

Executed at El Monte, California on this 2 day of June 2016.

Annette Hebert, Chief
Emissions Compliance, Automotive Regulations and Science Division

4-28-2016

EO#: U-R-004-0525-1

Attachment: Page 1 of 1

## Engine Model Summary Form

Manufacturer: John Deere Power Systems
Engine category: Nonroad CI
EPA Engine Family: GJDXL04.5311
Mfr Family Name: 350HCE
Process Code: Running Change

| 1. Engine code | 2. Engine Model | 3. kW@RPM (SAE Gross) | 4. Fuel Rate: mm/stroke@peak kW (for diesel only) | 5. Fuel Rate: (kg/hr)@peak kW (for diesels only) | 6. Torque (Nm) @RPM (SEA Gross) | 7. Fuel Rate: mm/stroke@peak torque | 8. Fuel Rate: (kW/hr)@peak torque | 9. Emission Control Device Per SAE J1930 |
|---|---|---|---|---|---|---|---|---|
| *4045HFG06A | 4045 | 128@1800 | 148.5@1800 | 27.2@1800 | | | | EGR OC SCRC NH3OC DFI TC CAC ECM |
| *4045HFG06B | 4045 | 110@1500 | 150.7@1500 | 23@1500 | | | | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HPRNT13 | 4045 | 134@1800 | 154@1800 | 28@1800 | | | | EGR OC SCRC NH3OC DFI TC CAC ECM |

* New ratings added for running change