California Environmental Protection Agency AIR RESOURCES BOARD	CATERPILLAR INC.	EXECUTIVE ORDER A-013-0187-1 New On-Road Heavy-Duty Engines

Pursuant to the authority vested in the Air Resources Board by Health and Safety Code Division 26, Part 5, Chapter 2; and pursuant to the authority vested in the undersigned by Health and Safety Code Sections 39515 and 39516 and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: The engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's GVWR over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	ENGINE SIZES (L)	FUEL TYPE [1]	STANDARDS & TEST PROCEDURE	INTENDED SERVICE CLASS [2]	ECS & SPECIAL FEATURES [3]
2007	7CPXH0442H1K	7.2	Diesel	Diesel	MHDD	DDI, TC(2), CAC, ECM, EGR, PTOX

ENGINE (L)	ENGINE MODELS / CODES (rated power, in hp)
7.2	See Attachments for additional models and ratings certified through running changes as submitted by the engine manufacturer.
*	*
*	*
*	*

* =not applicable; GVWR=gross vehicle weight rating; 13 CCR xyz=Title 13, California Code of Regulations, Section xyz; 40 CFR 86.abc=Title 40, Code of Federal Regulations, Section 86.abc; L=liter; hp=horsepower; kw=kilowatt;
[1] CNG/LNG=compressed/liquefied natural gas; LPG=liquefied petroleum gas; E85=85% ethanol fuel; MF=multi fuel a.k.a. BF=bi fuel; DF=dual fuel; FF=flexible fuel;
[2] L/MH HDD=light/medium/heavy heavy-duty diesel; UB=urban bus; HDO=heavy duty Otto;
[3] ECS=emission control system; TWC/OC=three-way/oxidizing catalyst; WU (prefix) =warm-up catalyst; DPF=diesel particulate filter; PTOX=Periodic Trap Oxidizer; HO2S/O2S=heated/oxygen sensor; HAFS/AFS=heated/air-fuel-ratio sensor (a.k.a., universal or linear oxygen sensor); TBI=throttle body fuel injection; SFI/MFI=sequential/multi port fuel injection; DGI=direct gasoline injection; GCARB=gaseous carburetor; IDI/DDI=indirect/direct diesel injection; TC/SC=turbo/super charger; CAC=charge air cooler; EGR=exhaust gas recirculation; PAIR/AIR=pulsed/secondary air injection; SPL=smoke puff limiter; ECM/PCM=engine/powertrain control module; EM=engine modification; 2 (prefix)=parallel; (2) (suffix)=in series (2007Jan06)

Following are: 1) the FTP exhaust emission standards, or family emission limit(s) as applicable, under 13 CCR 1956.1 (urban bus) or 13 CCR 1956.8 (other than urban bus); 2) the EURO and NTE limits under the applicable California exhaust emission standards and test procedures for heavy-duty diesel engines and vehicles (Test Procedures); and 3) the corresponding certification levels, in g/bhp-hr, for this engine family. "Diesel" CO, EURO and NTE certification compliance may have been demonstrated by the manufacturer as provided under the applicable Test Procedures in lieu of testing. (For flexible- and dual-fueled engines, the CERT values in brackets [ ] are those when tested on conventional test fuel. For multi-fueled engines, the STD and CERT values for default operation permitted in 13 CCR 1956.1 or 13 CCR 1956.8 are in parentheses.)[4]

	NMHC		NOx		NMHC+NOx		CO		PM		HCHO	
	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO
STD	0.14	0.14	*	*	*	*	15.5	15.5	0.01	0.01	*	*
FEL	*	*	1.16	1.16	1.3	1.3	*	*	*	*	*	*
CERT	0.1	0.1	0.8	1.0	0.9	0.9	0.8	0.04	0.001	0.002	*	*
NTE	0.21		1.74		2.0		19.4		0.02		*	

[4] g/bhp-hr=grams per brake horsepower-hour; FTP=Federal Test Procedure; EURO=Euro III European Steady-State Cycle; NTE=Not-to-Exceed; STD=standard or emission test cap; FEL=family emission limit; CERT=certification level; NMHC/HC=non-methane/hydrocarbon; NOx=oxides of nitrogen; CO=carbon monoxide; PM=particulate matter; HCHO=formaldehyde;

BE IT FURTHER RESOLVED: Certification to the FEL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

BE IT FURTHER RESOLVED: The listed engine models have been certified to the split engine family standards under 13 CCR 1956.8(b) [diesel engines] or 13 CCR 1956.8(d) [Otto engines] and the incorporated 40 CFR 86.007-15(m)(9).

BE IT FURTHER RESOLVED: For the listed engine models the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR 1965 (emission control labels) and 13 CCR 2035 et seq. (emission control warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

The Bureau of Automotive Repair will be notified by copy of this Executive Order.

This Executive Order hereby supersedes Executive Order A-013-0187, dated January 16, 2007.

Executed at El Monte, California on this 22 day of October 2007.

Annette Hebert, Chief
Mobile Source Operations Division

Engine Model Summary Template

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
7CPXH0442H1K	1	C7	300@2200	158	116.9	860@1440	180	87.3	DDI, EM, DI, TC,
7CPXH0442H1K	2	C7	207@2200	111	82.1	520@1440	109	52.6	TC(2), EM, DI, TC,
7CPXH0442H1K	3	C7	210@2200	111	82.1	520@1440	108	52.5	CAC, EM, DI TC,
7CPXH0442H1K	4	C7	210@2200	111	82.1	620@1440	129	62.7	ECM, EM, DI, TC,
7CPXH0442H1K	5	C7	230@2200	122	90.6	560@1440	117	56.6	EGR, EM, DI, TC,
7CPXH0442H1K	6	C7	230@2200	123	91.3	620@1440	131	63.6	PTOX EM, DI, TC,
7CPXH0442H1K	7	C7	230@2200	124	91.5	660@1440	139	67.3	EM, DI, TC,
7CPXH0442H1K	8	C7	275@2200	142	105.4	800@1440	166	80.3	EM, DI, TC,
7CPXH0442H1K	9	C7	250@2200	134	99.5	800@1440	170	82.5	EM, DI, TC,
7CPXH0442H1K	10	C7	250@2200	132	97.9	660@1440	137	66.3	EM, DI, TC,

ATTACHMENTS

RC # 1

A-013-01871

Engine Model Summary Template

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
7CPXH0442H1K	11	C7	330@2200	174	128.7	860@1440	176	85.2	DDI, ~~EM, DI, TC,~~
7CPXH0442H1K	12	C7	330@2200	174	128.7	860@1440	176	85.2	TC(2), ~~EM, DI, TC,~~
7CPXH0442H1K	13	C7	350@2200	183	135.7	860@1440	176	85.2	CAC, ~~EM, DI, TC,~~
7CPXH0442H1K	14	C7	350@2200	183	135.7	860@1440	176	85.2	ECM, ~~EM, DI, TC,~~ EGR, PTDX

ATTACHMENTS

A-013-0187-1

RC #2

Engine Model Summary Template

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
7CPXH0442H1K	15	C7	300@2200	158	116.9	860@1440	180	87.3	~~EM, DI, TC,~~
7CPXH0442H1K	16	C7	300@2200	158	116.9	860@1440	180	87.3	~~EM, DI, TC,~~

DDI, TC(2), ECM, CAC, EGR, PTOX

ATTACHMENTS

A-013-0187-1

RC#3

Engine Model Summary Template

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
7CPXH0442H1K	17	C7	360@2200	185	136.9	925@1440	187	90.5	~~EM, DI, TC~~, DDI, TC(2), CAC, ECM, EGR, PTOX

RC#4

ATTACHMENT

A-013-0187-1