STATE OF CALIFORNIAOFFICE OF ADMINISTRATI NOTICE PUBLICATION/I		MISSION R	Gerins r	ons on For	use by Secretary of State only	
OAL FILE NOTICE FILE NUMBER	REGULATORY ACT	ION NUMBER	EMERGENCY NUMBER			
NUMBERS Z _2015-1103-04		125-05FP	EMERGENOT HOMBER		ENDORSED - FILEI in the office of the Secretary of State	
		2016 JAN 25	₽ 4: 42		FEB <u>_3</u> 2016	
		OFFICE ADMINISTRA	OF TIVE LAW		2:04PM	
NOTICE			PECUI ATIONS	, a 23		
AGENCY WITH RULEMAKING AUTHORITY			REGULATIONS		AGENCY FILE NUMBER (If any)	
Air Resources Board				AGEN	THE NOMBER (II dily)	
1 1 1						
A. PUBLICATION OF NOTIC	E (Complete for pub	lication in Notice R	_		***************************************	
SUBJECT OF NOTICE Conflict of Interest Code	- 7 - 7 9 - 7 90 <u>- 7</u>	TITLE(S)	95000	No	QUESTED PUBLICATION DATE Ovember 13, 2015	
NOTICE TYPE Notice re Proposed Regulatory Action OALUSE ACTION ON PROPOSED	r Trini Balca	NTACT PERSON BZGI	916 445-9564	916	UMBER (Optional) 3 322-3928	
OAL USE ONLY ACTION ON PROPOSED Approved as Submitted	Approved as Modified	Disapproved/ Withdrawn	2016, 4		/13/2·15	
B. SUBMISSION OF REGULA	ATIONS (Complete w	hen submitting reg	ulations)			
a. SUBJECT OF REGULATION(S) Conflict of Interest Code			1b. ALL PREVIO Z-2015-110		ULATORY ACTION NUMBER(S)	
2. SPECIFY CALIFORNIA CODE OF REGULATIONS		title 26, if toxics related)	1.11.	. ,		
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	AMEND 95000					
TITLE(S)	REPEAL 95001, 95002, 95003, 95004, 95005, 95006, 95007					
3. TYPE OF FILING	117 117 11 11 11	Photo I go of a				
Regular Rulemaking (Gov. Code §11346) Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute. Emergency Readopt (Gov. Code, §11346.1(h)) Code, §11346.1(h)) File & Print Print Only					
Emergency (Gov. Code, §11346.1(b)) 4. ALL BEGINNING AND ENDING DATES OF AVAI	Resubmittal of disapprove emergency filing (Gov. Cod	de, §11346.1)		days after filing		
		THE PROPERTY OF THE PROPERTY O	E NOLLWANING FILE (Cal. Code	negs. title 1, 944 and Gov.		
5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ SEFFECTIVE January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a)) 6. CHECK IF THESE REGULATIONS REQUIRED TO SERVICE STORY STO	Effective on filing w Secretary of State	vith \$100 Changes With Regulatory Effect	other (Specify) 30 days aft HER AGENCY OR ENTI	er filing per 1000 acr	
Department of Finance (Form STD. 3	222) (2VIAI 20000)	TO MORE				
7. CONTACT PERSON Trini Balcazar, Regulations (Coordinator	916 445-9564	FAX NUMBER (0 916 322-3		L ADDRESS (Optional) dad.balcazar@arb.ca.gov	
I certify that the attache of the regulation(s) iden is true and correct, and to or a designee of the head	tified on this form, tha that I am the head of th	t the information spe e agency taking this a	cified on this form	7	of Administrative Law (OAL) onl	
SIGNATURE OF AGENCY HEAD OR DESIGNATURE		DATE	12016		FEB 03 2016	
TYPE NAME AND TITLE OF SIGNATORY Richard Corey, Executive Off	iker			Office	of Administrative Law	

PROPOSED AMENDED TEXT OF REGULATIONS: AIR RESOURCES BOARD CONFLICT OF INTEREST CODE

Title 17, California Code of Regulations
Division 3. Air Resources Board
Chapter 1. Air Resources Board
Subchapter 9. Conflict of Interest Code

(**Note:** The proposed amendments are shown in <u>underline</u> to indicate additions and <u>strikeout</u> to indicate deletions from the existing regulatory text.)

Amend Section 95000, Subchapter 9. Conflict of Interest Code, Chapter 1, Division 3, Title 17, California Code of Regulations, as follows:

Article 1. General Provisions

§ 95000. <u>General Provisions - Incorporation by Reference of Standard Conflict of Interest Code.</u>

(a) The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation at California Code of Regulations, title 2, section 18730, 2 Cal. Code of Regs. Section 18730, which that contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference into the Conflict of Interest Code of a state agency. The regulation may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code of Regs. Section 18730California Code of Regulations, title 2, section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated herein by reference and, along with the following Appendix in which-officials and employees are designated and disclosure categories are set forth, constitute the Conflict of Interest Code of the California Air Resources Board. the attached Appendices designating officials and employees and establishing disclosure categories, shall constitute the Conflict of Interest Code for the California Air Resources Board (ARB or Board).

Designated employees shall file statements of economic interests with the person designated to perform this function for the agency Board who shall make the statements available for public inspection and reproduction. (Gov. Code, §81008.) Upon receipt of the statements of from the Board Members and the Executive Officer of the Air Resources Board, said the designated person shall make and retain a copy and forward the original of these statements to the Fair Political Practices

Commission. <u>Original statements for all other designated employees will be retained by the Board.</u>

(b) All members of advisory groups or committees appointed by the Board pursuant to Health and Safety Code Section 39603, perform a solely advisory function, and hence do not hold "designated positions" within the meaning of this Code, and are therefore exempt from the requirements of this Code.

Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 82019, 87300 and 87306, Government Code. Reference: Sections 82019 and 87300, 87301, 87302 and 87500, Government Code; Section 18730 of Title 2, California Code of Regulations.

Appendix A List of Designated Positions

Persons holding the following job classifications are hereby required to file statements of economic interests (Form 700) in accordance with the disclosure categories indicated below. Each disclosure category is described in Appendix B.

List of Designated Positions (by Classification) Assigned Disclosure Categories **BOARD-WIDE** Chair 1 **Board Member** 1 All Exempt and Career Executive Assignment (CEA) positions, if not listed below 1 Consultants (as defined in Title 2 of the California Code of Regulations, section 18700.3) CHAIR'S OFFICE Air Pollution Specialist 2 Air Resources Engineer 2 Air Resources Supervisor (all levels) 2 Associate Governmental Program Analyst <u>2</u> Communications Director 1 Information Officer (all levels) 2 International Liaison 1 Legislative Director 1 Policy Advisor 1 Small Business Ombudsperson 1 Special Counsel 1 Staff Services Analyst

Staff Services Manager (all levels)	<u>2</u>		
EXECUTIVE OFFICE			
Air Pollution Specialist	<u>3,4</u>		
Assistant Chief Counsel			
Assistant Executive Officer	1		
Associate Governmental Program Analyst			
Attorney (all levels)	1		
Chief Counsel	1		
Chief, Fuel Cell Program	1		
Deputy Executive Officer	1		
Executive Officer	1		
Staff Air Pollution Specialist	1		
Staff Services Analyst	3,4		
Staff Services Manager (all levels)	<u>3,4</u>		
OFFICE OF INFORMATION SERVICES			
Air Pollution Specialist	7		
Air Resources Engineer	7		
Assistant Information Systems Analyst			
Associate Governmental Program Analyst			
Associate Information Systems Analyst (Specialist)			
Associate Programmer Analyst (Specialist)	<u>7</u>		
Chief Information Officer (Division Chief)			
<u>Data Processing Manager (II and lower)</u>			
<u>Data Processing Manager (III and IV)</u>			
Information Security Manager (Systems Software Specialist III)			
Programmer II	7		
Senior Information Systems Analyst (Specialist or Supervisor)	7		
Senior Programmer Analyst (Specialist or Supervisor)	7		
Staff Information Systems Analyst	7		
Staff Programmer Analyst (Specialist)	<u>7</u>		
Staff Services Analyst			
Systems Software Specialist (all levels)			
ADMINISTRATIVE SERVICES DIVISION			
<u>Division Chief</u>	1		
Accounting Branch			
Accounting Administrator III (Branch Chief)			
Business Management Branch			
Associate Business Management Analyst	<u>5</u>		
Associate Governmental Program Analyst	5		

Business Services Officer (all levels)	<u>5</u>			
Staff Services Analyst				
Staff Services Manager I and II				
Staff Services Manager I and II Staff Services Manager III (Branch Chief)				
Budgets/Contracts/Grants Branch				
Associate Budget Analyst				
Associate Budget Analyst Associate Governmental Program Analyst				
Staff Services Analyst				
Staff Services Manager I and II				
Staff Services Manager III (Branch Chief)				
Human Resources Branch				
Attorney				
Labor Relations Specialist				
Staff Services Manager I and II				
Staff Services Manager III (Branch Chief)	1			
The technical and regulatory program divisions:				
AIR QUALITY PLANNING & SCIENCE DIVISION (AQPSD);				
EMISSIONS COMPLIANCE, AUTOMOTIVE REGULATIONS AND SCIENCE DIVISION (ECARS);				
DIVISION (ECARS);				
ENFORCEMENT DIVISION (ED);				
INDUSTRIAL STRATEGIES DIVISION (ISD);				
MOBILE SOURCE CONTROL DIVISION (MSCD);				
MONITORING AND LABORATORY DIVISION (MLD);				
RESEARCH DIVISION (RD); and				
TRANSPORTATION AND TOXICS DIVISION (TTD) Air Pollution Specialist				
Air Pollution Specialist	<u>3,4</u>			
Air Resources Engineer	<u>3,4</u>			
Air Resources Field Representative (all levels)	<u>3,4</u>			
Air Resources Supervisor I, if in the following units:				
Incentives and Technology Advancement Branch of MSCD				
Innovative Strategies Branch of MSCD				
Climate Investments Branch of TTD				
Goods Movement Strategies Section, Freight Transport Branch of TTD				
Air Resources Supervisor I, all other units	<u>3,4</u>			
Air Resources Supervisor II, if in the following units:				
Incentives and Technology Advancement Branch of MSCD				
Innovative Strategies Branch of MSCD				
Climate Investments Branch of TTD				
Freight Transport Branch of TTD				

Air Resources Supervisor II, all other units		
Assistant Division Chief		
Assistant Information Systems Analyst		
Associate Governmental Program Analyst		
Auto Emissions Testing Specialist III	3,4	
Division Chief	1	
Materials and Stores Specialist	<u>3,4</u>	
Scientific Review Panel on Toxic Air Contaminants Member		
Spectroscopist	<u>3,4</u>	
Staff Air Pollution Specialist	<u>3,4</u>	
Staff Services Analyst	<u>3,4</u>	
Staff Services Manager (all levels)	<u>3,4</u>	
Staff Toxicologist Specialist		
Vehicle Program Specialist		

*Consultants (as defined in Title 2 of the California Code of Regulations, section 18700.3) are "designated positions" for the purposes of these provisions and shall disclose pursuant to category 1 subject to the following limitation:

The Executive Officer, or his or her designee, may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that are limited in scope and, thus, is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Officer's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Appendix B Disclosure Categories

Note: "Income" includes the receipt of gifts, loans, and travel payments.

Category 1

All investments, interests in real property, positions in business entities, and sources of income.

Category 2

All investments (excluding interests in real property), positions in business entities, and sources of income.

Category 3

All investments and positions in business entities, and sources of income, if the entity or source is subject to the regulatory authority of the Board or any local air pollution control district.

Category 4

All investments and positions in business entities, and sources of income, if the entity or source engages in any of the following types of activities: air pollution research; development of air pollution control strategies; environmental compliance consulting; preparation of environmental impact reports; climate change modeling; marketing of global warming emissions compliance credits; transportation planning; or any other activity related to air pollution.

Category 5

All investments and positions in business entities, and sources of income, if the entity or source provides goods, equipment, vehicles, machinery, leased facilities or services (including training or consulting services) of the type utilized by the Board.

Category 6

All investments and positions in business entities, and sources of income, if the entity or source is of the type to receive grants or other funding from or through the Board.

Category 7

All investments and positions in business entities, and sources of income, if the entity or source is of the type to provide information technology and telecommunications goods, products or services, including but not limited to, computer hardware or software companies, computer consultant services, training, data processing firms, and media services.

NOTE: Repeal Sections 95001, 95002, 95003, 95004, 95005, 95006, and 95007, Subchapter 9. Conflict of Interest Code, Chapter 1, Division 3, Title 17, California Code of Regulations, as follows:

Article 2. Appendix: Designated Employees and Disclosure Categories

-§-95001. Professional Employees. For purposes of the following disclosure categories, persons at all levels of the following employment classifications are deemed to be professional employees: **Analysts Biostatisticians Chemists Engineers** Field Representatives **Managers Meteorologists** Officers **Programmers** Spectroscopists **Specialists** Supervisors, excluding Auto Emissions Test Supervisors **Toxicologists Transportation-Planners** Writers Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 87300 and 87306, Government Code. Reference: Sections 82019 and 87302,

Government Code.

§ 95002. Category I.

- (a) This Category applies to: Air Resources Board-Members, the Advisors to the Board, members of the Scientific Review Panel on Toxic Air Contaminants, members of the Scientific Advisory Committee on Acid Deposition, members of the Research Screening Committee, Executive Officer, Chief Deputy Executive Officer, Deputy Executive Officers, all Special Office Chiefs, all Division Chiefs and Assistant Division Chiefs, all Administrative Law Judges, all Staff Attorneys, all professional employees, special assistants and special consultants* attached to the Chair's Office and to the Executive Office, Branch Chiefs of the Administrative Services Division, and the Training Section Manager, the Contracts Manager, Contracts Analysts, Procurement Officers, Business Services Officer Supervisors, and Business Management Analysts.
- (b) Every person in this Category must report: all investments, all interests in real property, all sources of income including gifts, loans, and travel payments, and his or her status as a director, officer, partner, trustee, employee, or holder of any position of management in any business entity.

*With respect to consultants, however, the Executive Officer may determine in writing that a particular consultant, although a "designated person," is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant's duties and, based upon the description, a statement of the extent of the disclosure requirements. A copy of the written determination shall be retained at the Offices of the Air Resources Board and made available for public inspection. Nothing herein excuses any consultant from any other provision of this Conflict of Interest Code. (This footnote applies to consultants in all disclosure categories, as indicated by the asterisks in the following sections.)

Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 82019, 87300 and 87306, Government Code. Reference: Sections 82019 and 87302, Government Code.

§-95003. Category II.

- (a) This Category applies to: Members of the Abrasive Blasting Committee and all professional employees in and special consultants* attached to the Stationary Source Division.
- (b) Every person in this Category must report: all investments in, income, including gifts, loans, and travel payments from, and his or her status as a director, officer, partner, trustee, employee, or holder of any position of management,

- -(1) in any business entity which is subject to any laws of the State of California, or regulations promulgated by the Air Resources Board, relating to the control of air pollution from nonvehicular sources, or subject to any rules or regulations promulgated by any local air pollution control district;
- (2) in any business-entity of the type which has contracted with the Board to provide services, supplies, materials, machinery, instrumentation, or equipment to the Board;
- (3) in any business-entity, including a construction company, which is regularly engaged in the development of or investment in real property in California; and
- (4) in any business entity which is regularly-engaged in the preparation of environmental impact reports or environmental impact statements.
- Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 87300 and 87306, Government Code. Reference: Sections 82019 and 87302, Government Code.
- § 95004. Category III.
- (a) This Category applies to: All professional employees in and special consultants* attached to the Research Division.
- (b) Every person in this Category must report: all investments in, income, including gifts, loans, and travel payments from, and his or her status as a director, officer, partner, trustee, employee, or holder of any position of management,
- (1) in any business entity which is subject to any laws of the State relating to the control of air pollution from vehicular or nonvehicular sources, or which is subject to any rules or regulations promulgated either by the Air Resources Board or by any local air pollution control district; and
- (2) in any business entity involved in activities relating to air pollution research, the development of air-pollution control strategies or any activity which for the past two years has been the subject of a board research proposal, bid or contract.
- -(c) In addition, employees in this category must report any income, including gifts, loans, and travel payments, from any non-profit institution involved in activities relating to air-pollution research, the development of air pollution control strategies or any activity which for the past two years has been the subject of a board research proposal, bid or contract.

Note: Authority-cited: Sections-39600-and-39601, Health and Safety Code; Sections 87300-and 87306, Government Code. Reference: Sections 82019 and 87302, Government Code.

§-95005. Category IV.

- (a) This Category applies to: All professional employees in and special consultants* attached to the Enforcement Division, the Planning and Technical Support Division, the Office of Information Services, and the Monitoring and Laboratory Division.
- (b) Every-person in this Category-must report: all investments in, income, including gifts, loans, and travel payments from, and his or her status as a director, officer, partner, trustee, employee, or holder of any position of management, in any business entity which is subject to any laws of the State of California relating to the control of air pollution from vehicular or nonvehicular sources, or which is subject to any rules or regulations promulgated either by the Air Resources Board or by any local-air pollution control district.

Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 87300 and 87306, Government Code. Reference: Sections 82019 and 87302, Government Code.

§ 95006. Category V.

- (a) This Category applies to: All professional employees in and special consultants* attached to the Mobile Source Operations Division and the Mobile Source Control Division.
- (b) Every person in this Category must report: all investments in, income, including gifts, loans, and travel payments from, and his or her status as a director, officer, partner, trustee, employee, or holder of any position of management,
- -(1) in any business entity associated with the manufacture, distribution, sale, leasing, repair, or (except for entities associated solely with the news media) the advertisement of motor vehicles, vehicular emission control devices or equipment, or vehicle after market parts or vehicle fuels or fuel additives which may affect emissions; and
- (2) in any business entity of the type which has contracted within the previous two years with the Board to provide services, supplies, materials, machinery, instrumentation, or equipment to the Board.

Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 87300 and 87306, Government Code. Reference: Sections 82019 and 87302, Government Code.

§ 95007. Advisory Committees.

The Board finds that all members of advisory groups or committees appointed by the Board pursuant to Health and Safety Code Section 39603, perform a solely advisory function, and hence are not "designated employees" within the meaning of this Code, and are therefore exempt from the requirements of this Code.

Note: Authority cited: Sections 39600 and 39601, Health and Safety Code; Sections 87300 and 87306; Government Code. Reference: Sections 82019 and 87302, Government Code.

This is the last page of the conflict of interest code for the Air Resources Board.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the Air Resources Board was approved on ____/_2/__2016.

Brian G. Lau

Senior Commission Counsel

Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: