

SYMPOSIUM:

ESTIMATING PREMATURE DEATHS FROM LONG-TERM

EXPOSURE TO PM2.5

STATE OF CALIFORNIA

AIR RESOURCES BOARD

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PROCEEDINGS

1

2 AIR RESOURCES BOARD CHAIRPERSON NICHOLS: Good
3 morning, everybody. We've got a great attendance here
4 today and a terrific panel.

5 My name is Mary Nichols. I'm the Chairman of the
6 California Air Resources Board. We invited you all here
7 today for this symposium, and we're delighted that so many
8 people accepted our invitation.

9 The purpose of the symposium from the Board's
10 perspective is to have a frank and robust conversation
11 about the science underlying regulatory efforts to deal
12 with long-term exposures to PM2.5. And because this is a
13 topic which has been so extensively covered and written
14 about, we're focusing on the issue of premature death,
15 although we recognize there were many other health effects
16 associated with PM2.5 as well.

17 I'm going to turn the podium over in a second to
18 our Research Division staff to talk about the logistics of
19 the day. But I did want to let you know that I and
20 several other Board members are here in person and other
21 Board members are going to be here by video or watching
22 the recorded version of this later, because there is great
23 interest on the part of all of my Board members in the
24 outcome of today's discussion.

25 So with that, once again, thanks. Welcome to

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1 Sacramento, especially those of you who had to struggle
2 through snow storms and other adversities to get here. We
3 really appreciate your willingness to spend this day with
4 us. Thank you.

5 HEALTH AND EXPOSURE ASSESSMENT BRANCH CHIEF

6 SMITH: Good morning. I'm Linda Smith. I'm going to go
7 over a few of the logistics of the meeting.

8 First of all, thank you all for attending. We're
9 just very, very happy to see you all here and looking
10 forward to your discussion.

11 And I'll read the statement. Please look around
12 you now and identify two exits closest to you. In some
13 cases, the exit may be behind you. In the event of a fire
14 alarm, we are required to evacuate the room. Please take
15 your valuables with you. Do not use the elevators. While
16 staff will endeavor to assist you to the nearest exit, you
17 should also know that you may find an exit door by
18 following the ceiling-mounted exit signs. This also goes
19 for our overflow room, by the way, if any of you are in
20 the Byron Sher auditorium.

21 Evacuees will exit down the stairways possibly to
22 a relocation site across the street. If you cannot use
23 the stairs, you will be directed to a protective vestibule
24 inside the stairwell.

25 The rest rooms are in the hallway on either side.

1 And in the Byron Sher, they're outside and to the left and
2 around the corner.

3 We do have lunch forms for our panel members.

4 And we'd like you to fill them out and enclose payment in
5 the envelope by 10:00. And staff will be coming around to
6 collect the payment.

7 And one final note, we do encourage the audience
8 to ask questions. We have question cards. And staff will
9 be picking up those question cards around the break period
10 and the lunchtime as well.

11 It is being webcast. We ask when you speak that
12 your mike is on. On is red, which means it is a hot mike,
13 so it can be turned on and off. And we do have a clock up
14 there, so we'll try to keep to our schedule as best we
15 can.

16 And with that, I thank you very much and turn it
17 over to the participants. Thank you.

18 DR. SAMET: Good morning. I'm John Samet from
19 the University of Southern California. And I guess my
20 official title this morning and this afternoon is
21 facilitator. I'm going to try and keep us on track and on
22 schedule and make sure that we have time to engage in a
23 discussion and to answer everyone's questions.

24 Just as a reminder, I think particularly to those
25 of us sitting around the table, this is a meeting for the

1 public. And while many of us are used to discussing the
2 matters amongst us in highly technical terms, I think it
3 would be important for us all to remember that we want to
4 be communicating about this issue in a way that will be
5 understood by all. So as you use technical terms, if
6 they're important concepts and they need explanation, do
7 take a moment to make that explanation.

8 We have a tight timetable, and I think we'll just
9 turn to our first speaker, Dan Greenbaum from the Health
10 Effects Institute who's going to provide a historical
11 perspective on the topic. Dan.

12 PANEL MEMBER GREENBAUM: Thanks, John. And I see
13 my slide will be there, and I can just move this around.
14 Will I have the cursor as well?

15 (Thereupon an overhead presentation was
16 presented as follows.)

17 PANEL MEMBER GREENBAUM: Well, thank you very
18 much. I'm really glad to be here. I'm looking forward to
19 a set of very interesting discussions among all of us and
20 hopefully providing for the broader audience both here in
21 the room and elsewhere a better understanding of what we
22 do and obviously what we don't know because science by
23 design should be somewhat messy. We always know some
24 things and still need to know more.

25 I'm going to give you a brief historical

1 perspective.

2 --o0o--

3 PANEL MEMBER GREENBAUM: So we've known for some
4 time that high levels of exposure to particulate matter
5 and the larger mixture -- we're talking greater than 500
6 micrograms per cubic meter -- are known to cause premature
7 death. What started a lot of the current debate is in the
8 1990s some new short-term studies and a couple of
9 long-term studies came forward that were suggesting
10 associations of premature mortality with exposure at much
11 lower levels, lower than 50 micrograms per cubic meter.
12 And my institution, HEI, and others have done a
13 substantial amount of work since then to try and test and
14 extend these short-term and long-term results.

15 --o0o--

16 PANEL MEMBER GREENBAUM: This is not a new
17 problem on one level, although we should be lucky we're
18 not living in 18th century London when this quote was
19 made --

20 --o0o--

21 PANEL MEMBER GREENBAUM: -- or in mid 20th
22 century settings where we had very, very serious high
23 levels of pollution and fairly well determined increases
24 in mortality in the 1930s in the Meuse Valley and 1948 in
25 Pennsylvania and probably best known in 1952 in the

1 so-called Fog of London.

2 --o0o--

3 PANEL MEMBER GREENBAUM: Those events set off a
4 series of research activities over the last 50 -- really
5 almost 60 years now of varying levels of sophistication,
6 improving levels of monitoring of air pollution, improving
7 statistical analyses, and leading us to the kinds of
8 studies we're going to talk about a lot today.

9 --o0o--

10 PANEL MEMBER GREENBAUM: In the 1990s, we saw
11 first a series of new studies of individual cities
12 tracking daily changes in air pollution with daily changes
13 in health, mortality or other markers of health, like
14 hospitalization. These are so-called time-series studies,
15 and you're going to hear that term a lot during the day.

16 There was some 40 studies in Europe and the U.S.
17 They showed a relatively consistent small increase in
18 mortality and hospitalizations, something like .5 percent
19 increase to one percent increase for 10 micrograms per
20 cubic meter.

21 And for those of you that are less familiar with
22 these kinds of graphs, I wanted to spend a second in the
23 first one to illustrate because you're going to see a lot
24 of these during the day. And some of them are going to be
25 vertical and some are going to be horizontal. But the

1 Air Pollution Study, or NMMAPS. And then most recently,
2 we actually with joint funding between HEI and the
3 European Union brought together investigators from both of
4 the earlier sets as well as Canadian investigators to
5 conduct what we called the APHENA study. And those
6 results were published late last year.

7 --o0o--

8 PANEL MEMBER GREENBAUM: So NMMAPS, which covered
9 90 cities in the United States, was originally reported in
10 2000 about a .4 percent increase per ten micrograms of
11 PM10. These were smaller results than the previous
12 studies suggesting that perhaps there are studies now
13 being included that had been left out of earlier analyses,
14 although overall our Review Committee, intensive peer
15 Review Committee, found enhanced confidence in the results
16 as a result of this.

17 --o0o--

18 PANEL MEMBER GREENBAUM: In 2002, investigators
19 at Hopkins as well as at Health Canada realized that the
20 statistics used in these studies had some serious
21 problems, and that caused a revised set of analyses for
22 over 36 studies that EPA identified and which were
23 suggested to a separate review by an expert panel of the
24 Health Effects Institute. Most of the estimates of
25 effects for these studies actually went down, although our

1 review panel felt bottom line that the effects were still
2 positive, even when controlling for other pollutants. But
3 revised analyses -- these revised analyses also raised
4 some new questions. And there was a need for continuous
5 improvement. And quickly, to point out --

6 --o0o--

7 PANEL MEMBER GREENBAUM: -- .4 percent was the
8 result in the original NMMAPS. .2 percent vary, but still
9 relatively significant was the result in the new revised
10 one.

11 --o0o--

12 PANEL MEMBER GREENBAUM: One of the things that
13 NMMAPS investigators always did was present the full set,
14 all the 90 cities. What you'll see here is a large number
15 here. Remember that line is now horizontal, but the same
16 kind of concept. A large number of cities -- and those
17 cities are for the most part showing positive estimates of
18 effect. But the 95 percent confidence intervals do in
19 most cases extend below that line, because of the small
20 number of days that were available for each of those days.
21 The most consistent results were actually in southern
22 California and in the northeast, with the northeast having
23 somewhat higher results.

24 --o0o--

25 PANEL MEMBER GREENBAUM: And part of the analysis

1 showed there were statistically significant pooled results
2 in the industrial midwest.

3 --o0o--

4 PANEL MEMBER GREENBAUM: The other kind of study
5 and what started my role in 1990s was the so-called cohort
6 study. We're going to spend most of our time today on
7 that.

8 The American Cancer Society study was published
9 first by Dr. Arden Pope and colleagues in 1995. Prior to
10 that, an analysis of the Harvard Six Cities was published
11 in 1993. These are from that. It suggests that risk of
12 premature mortality in Steubenville, Ohio, the most
13 polluted city, were higher -- substantially higher in
14 Portage, Wisconsin, the least polluted city by about 26
15 percent higher.

16 These became the basis of every major estimate of
17 benefits during the '95, '96, '97 time frame from supposed
18 potential reductions in particles. These showed higher
19 risks, four to six percent increase in mortality, for ten
20 micrograms than the time-series studies.

21 In 1996, there was a lot of controversy about
22 these studies, about access to the data. Given there are
23 only two of them, could others get access in order to
24 conduct reanalyses of these.

25 --o0o--

1 PANEL MEMBER GREENBAUM: We then -- and this is
2 true of every HEI study -- had an independent peer review
3 panel, all the same subject matter experts who had had
4 nothing to do with the reanalysis. They basically take
5 apart the results of the first study, see a comprehensive
6 report, and put it back together and prepare a commentary.

7 --o0o--

8 PANEL MEMBER GREENBAUM: And given a wide
9 interest in this, we had an advisory committee made up of
10 key stakeholders, prominent critics, and scientists with
11 relevant skills. I noticed a number of names on this list
12 who are in the room here today. And their job was really
13 to help us make sure that the expert panel make sure they
14 had as much as possible the right questions being asked
15 and thrown at the original studies.

16 --o0o--

17 PANEL MEMBER GREENBAUM: So the audit did
18 extensive random testing of individual data files. The
19 team did detailed duplicate analyses. There were over a
20 dozen different statistical models tried. Nearly 30
21 individual variables from the original databases were now
22 applied. And there were a number of efforts to try and
23 assess differences between cities, income levels, and a
24 number of other factors that might have influenced the
25 results.

1 --o0o--

2 PANEL MEMBER GREENBAUM: And overall, the
3 reanalysis was able to replicate the original results and
4 to assure the quality of the data. It then tested those
5 results against alternate explanations. And this is just
6 quoting from our review, "without substantially altering
7 the original findings of an association between indicators
8 of particles and mortality."

9 The reanalyses also identified new interesting
10 areas of education as a modifier of these effects, the
11 potential effects "of SO2 because our Review Committee
12 didn't think SO2 itself was having these effects, but
13 fundamentally found that the studies were reasonably
14 sound."

15 --o0o--

16 PANEL MEMBER GREENBAUM: Subsequent to that --
17 and we'll hear more about this today -- both Dr. Pope and
18 Dr. Krewski and others did continuing analyses. They
19 extended the follow-up of the mortality in that cohort to
20 more years, 16 years for studies published in 2002 and
21 2004 and then to 18 years in the most recent HEI follow-up
22 which was published last year and which I know Dan Krewski
23 will go into in some substantial detail. That study was
24 also subjected to detailed review by the HEI Committee.

25 --o0o--

1 PANEL MEMBER GREENBAUM: And very briefly, that
2 study found about a four to six percent increase in
3 nationwide premature mortality for all-cause mortality for
4 ten micrograms per cubic meter, found substantially larger
5 estimates of effect for ischemic heart disease, a form of
6 heart disease that can lead to ischemic cardio or heart
7 attacks.

8 --o0o--

9 PANEL MEMBER GREENBAUM: They did inter-urban
10 analyses in Los Angeles and New York with much, much more
11 refined exposure analyses. Of great interest to us, found
12 substantially higher risk than the national levels in Los
13 Angeles but not of substantially higher risk of ischemic
14 heart disease in New York, causing our panel to suggest
15 that you need to be cautious. Yes, you might find
16 something in Los Angeles as they did, but you shouldn't
17 extrapolate that necessarily to other parts of the
18 country.

19 --o0o--

20 PANEL MEMBER GREENBAUM: So just to briefly wrap
21 up, we've had 20 years of investigation, analysis,
22 reanalysis, and have built confidence in the basic finding
23 of a relationship between PM exposure and premature
24 mortality. Even at these relatively low concentrations
25 have been some additional national cohorts analyzed, the

1 Medicare cohort, the Veteran's cohort Dr. Lipfert has been
2 working with, the Women's Health Initiative.

3 Science, of course, has further questions to
4 answer. It always does even as decisions are made. And
5 looking forward, we still need to think of continuous
6 improvement in the epidemiology in the models used, in
7 dealing with the regional variability, in looking for more
8 and younger cohorts, because these cohorts are getting
9 older. And it gets harder and harder to make conclusions
10 as that happens. And we have better mechanistic
11 understanding than we have and we need to improve that
12 further, and we need to systematically look as HEI and
13 others are doing at the toxicity across PM components and
14 sources to better understand that.

15 Thank you very much.

16 DR. SAMET: Thank you, Dan.

17 For those of you that have looked at the agenda,
18 you recognize we're already behind. But I think we
19 have -- I don't want to chew too much into our discussion
20 time, so just ask the next set of speakers, all of whom
21 have too many slides, to remember to try and stick to the
22 time.

23 So next we're going to move on. Mary Ross from
24 the U.S. EPA who will tell us about the integrated science
25 assessment for particulate matter. Mary.

1 completed has conclusions and causal judgments for both
2 health and ecological effects. It includes fine
3 particles, coarse particles, ultra fine particles to the
4 extent possible.

5 For this one, I note at the bottom for this
6 particular symposium, I'm focusing on the effects of fine
7 particles, but we have conclusions about other types of
8 particles and other types of effects in the integrated
9 science assessment.

10 --o0o--

11 MS. ROSS: Briefly, the next slide shows the
12 causal framework that we have developed in the past few
13 years. We've always drawn causal conclusions, but
14 actually Dr. Samet has been at the front end of driving us
15 to use more consistence, terminology, more of a standard
16 approach to how we draw these conclusions so that we don't
17 use scattershot language, which happens in many cases.

18 So for all of our reviews now, we determine
19 whether or not we believe there is causal relationship
20 between the pollutant and the health outcome or ecological
21 outcome, and these five categories with causal of course
22 being the highest and not causal at the lowest.

23 --o0o--

24 MS. ROSS: The next slide I won't even read over.
25 It shows an example -- we won't spend any time on it. It

1 just shows an example of the language that's used for
2 this. So we have some sort of standard language so as
3 we're drawing these, we look to our language to make sure
4 we're building on these and let's not spend any more time
5 on that slide.

6 Let's move to the next one.

7 --o0o--

8 MS. ROSS: But that's just an example. And all
9 of our integrated science assessments include that table
10 and all of our decisions are drawn in that framework.

11 This shows just a highlight that this is not new
12 to this review. We've drawn conclusions in previous
13 reviews, including the last two reviews. In 1997 --
14 stepping back for a minute. There is a slide at the back
15 of the presentation that shows the history of the reviews.
16 We established PM standards in 1971 based on total
17 suspended particulate matter. And we've continued to
18 review those standards as we've gone through.

19 In 1997, we added a standard for fine particles
20 for PM2.5. At that point, based on the evidence that Mr.
21 Greenbaum just went through, there were quite a few new
22 epidemiological studies. And we usually don't count
23 studies. But in this case, I remember there were only
24 nine studies that measured particles. There was a lot of
25 evidence from toxicological studies of components of fine

1 MS. ROSS: We just completed an integrated
2 science assessment last year. The review of the standards
3 is underway and is anticipated we'll have a final decision
4 on whether to retain the current standard or change them
5 in 2011. The integrated science assessment now, the
6 evidence is continuing to build.

7 Now there are hundreds of ecologic studies, many
8 including PM2.5 and the coarse fraction particles. There
9 is a greatly expanded body of evidence, experimental
10 evidence that indicate mode of action, specifically for
11 cardiovascular effects. And there is a growing body of
12 evidence on the constituents of particles, which is
13 something that is of great interest, the different sources
14 of particles.

15 And the next two slides summarize the first one
16 is our causal determinations for PM2.5. And in this case,
17 we determined that the relationships were causal for
18 cardiovascular effects and mortality, likely causal for
19 respiratory effects, and it was difficult to determine for
20 central nervous system effects.

21 So this growing body of evidence has now drawn a
22 conclusion of causal. When I say cardiovascular effects,
23 that includes cardiovascular mortality. There are a lot
24 of studies that look at mortality. Many of them look at
25 mortality all together. But cardiovascular mortality, of

1 course, is at the most severe end of the spectrum of
2 effects for cardiovascular effects.

3 --o0o--

4 MS. ROSS: So the next slide shows our
5 association for long-term exposures. That was short-term
6 exposures, such as Dan Greenbaum was talking about the
7 studies that look at acute changes. These are long-term
8 exposures. Means exposures over years of your life what
9 kinds of effects does that have. And we again have drawn
10 conclusions that PM2.5 relationship between long-term
11 exposure to PM2.5 and cardiovascular effects, including
12 mortality. And mortality is the broader category. Again
13 is causal, likely causal for respiratory effects and less
14 evidence for reproductive and cancer relationships.

15 --o0o--

16 MS. ROSS: So if you move on to the next slide, a
17 real brief overview of the long-term exposure studies for
18 PM2.5 that are going to be discussed in a lot more detail
19 by Dr. Pope, Dr. Krewski, a whole range of speakers.

20 But looking over it all, we concluded causal
21 relationships -- there were consistent associations with
22 the mortality in a broad range of studies now. And I
23 highlight the Harvard Six Cities, American Cancer Society,
24 the Women's Health Initiative, the really large cohorts.
25 There are quite a few other cohorts for which associations

1 some of the lowest concentration areas there.

2 --o0o--

3 MS. ROSS: And the next slide briefly recaps the
4 short-term exposures to PM2.5. Again, we determined
5 causal relationships. Here this is based on a pattern of
6 consistent associations and epidemiological studies for a
7 broad range of cardiovascular effects, hospital
8 admissions, and more subtle effects, like heart attacks
9 and also cardiovascular mortality.

10 Looking across epidemiology, toxicology, and
11 human studies, human experimental studies, you saw
12 myocardial ischemia, which is like heart attacks.
13 Evidence for that in all different types of studies.

14 There was evidence in the experimental studies
15 for changes in basal motor function, which is consistent
16 with the effects you see in epidemiological studies. I
17 won't belabor these points, because they get into
18 technical details that probably isn't needed. The body of
19 evidence all together in this 2,000-page document that we
20 produced really came together to suggest causal
21 relationships and the Clean Air Scientific Advisory
22 Committee supported that.

23 --o0o--

24 MS. ROSS: I threw in this slide. These often
25 show an example of the types of relationships and the

1 subtle effects you can see that lead up to those. And the
2 more subtle effects of the oxidated type stress and
3 inflammation are things you can often see in animal
4 studies. This shows the pattern of coherence and
5 plausibility of the underlying potential mechanisms that
6 lead to things like hospital emissions or mortality that
7 you measure in the epidemiological studies.

8 --o0o--

9 MS. ROSS: And the last couple slides focus --
10 this is on particle constituents. This is something we've
11 been paying more and more attention to. In this document,
12 we focused on studies that looked at source relationships
13 and ambient particulate matter. There's different types
14 of approaches of source apportionment or comparing effects
15 across studies. It was different components and ambient
16 particles.

17 Overall, the conclusion was many components are
18 linked with various health outcomes. But it's not
19 sufficient to be able to differentiate effects of
20 different constituents on different health effects. It is
21 logical to believe that different constituents could have
22 different effects. Some could be due to irritants effect.
23 Some can be due to inflammation so that you could have
24 different types of particles being more associated with
25 different types of outcomes.

--o0o--

1

2 MS. ROSS: I'll just draw conclusions on the last
3 slide. There is a tremendous body of research. EPA
4 itself is spending billions of dollars funding grants and
5 some intramural research into the effects of fine
6 particles and that's really building and coming to
7 fruition now. There's increasing coherence between the
8 disciplines, between the epidemiological and the animal
9 studies on the types of effects that you see.

10 We conclude causal relationships now especially
11 for cardiovascular types of effects for fine particles for
12 both long-term and short-term exposures. And there is a
13 growing body of effects that you can see with the
14 different constituents. At the moment, it's really we
15 can't rule any constituent in or out. It seems like all
16 of them seem to have some effect. And we still don't
17 identify a bright line at which health effects begin to
18 occur.

19 And that concludes it.

20 DR. SAMET: Thanks, Mary.

21 I would just point out these documents are
22 available on the EPA website if you want them. This is a
23 very quick tour of a very large document, as Mary
24 suggested.

25 We're going to move on to our next set of

1 speakers. Leading off, Arden Pope from Brigham Young
2 University. Arden.

3 (Thereupon an overhead presentation was
4 presented as follows.)

5 PANEL MEMBER POPE: Well, thank you. I
6 appreciate the invite to come and share some of this
7 research. Basically what I've been asked to do is give an
8 overview of the PM related mortality studies in 15
9 minutes. So that's all right.

10 --o0o--

11 PANEL MEMBER POPE: The reality is it's
12 impossible to do. Mary has already discussed this a bit.
13 She showed a little figure. But the reality is that we
14 now know from a huge amount of research, most of which has
15 been reviewed by the EPA -- but others, myself and others,
16 we know that inhaling fine particulate pollution into the
17 lungs results in all sorts of impacts on the lungs
18 outlined by many, many studies.

19 We also are now beginning to learn that there is
20 a systemic effect. The whole body is affected really by
21 what originated sometimes in the lungs, but essentially as
22 a result of this inhalation of particle pollution. And as
23 a result, we have numerous studies that have looked at the
24 effects of exposure to air pollution on the blood, on the
25 vasculature, on the heart, and even on the brain.

1 Naturally, we cannot speak about all of this
2 today.

3 --o0o--

4 PANEL MEMBER POPE: But it's already been
5 mentioned, for example, there have been a huge number of
6 daily time-series studies. Dan mentioned this. I won't
7 go through these studies, except for to say that here's
8 another forest plot that Dan has described what we mean.

9 You can see essentially the percent increase risk
10 in mortality associated with exposure or short-term
11 exposure to particulate pollution is fairly consistent
12 across either meta analyses of many, many studies for
13 these multi-city studies that have been conducted. And
14 now the daily time-series studies really includes studies
15 of over 200 cities, and many of these cities have been
16 studied multiple times. The results are we have small but
17 remarkably consistent associations across the meta
18 analyses.

19 --o0o--

20 PANEL MEMBER POPE: At any rate, I'm trying to
21 make a point. The overall literature is now far too
22 massive to review in any short presentation. So the
23 objective of this presentation is to focus on the most
24 relevant studies to estimate overall mortalities effects.
25 These are the cohort studies of long-term exposure that

1 have already been mentioned.

2 --o0o--

3 PANEL MEMBER POPE: Now, it's hard to review
4 these studies, even this narrow focused set of studies,
5 these perspective cohort studies in any one slide. But
6 here you can see again as a forest plot you have the
7 various estimate of the studies. You have the 95 percent
8 confidence intervals. What we have here is the percent
9 increase in mortality per ten micrograms per cubic meter
10 of PM2.5 across a whole host of studies. And I've got the
11 results broken out in all-cause mortality,
12 cardiopulmonary, cardiovascular mortalities, and ischemic
13 heart disease mortality. Now, some of these studies are
14 going to be covered in more detail throughout the day, but
15 I want to give a brief overview of what's going on.

16 --o0o--

17 PANEL MEMBER POPE: So first, let's start with
18 the -- would you back up, please?

19 --o0o--

20 PANEL MEMBER POPE: Let's start looking at the
21 Harvard Six Cities Study briefly. It's the maroon colors.
22 We get estimates here, here, here for all-cause
23 cardiopulmonary and cardiovascular disease mortality. The
24 Harvard Six Cities Study --

25 --o0o--

1 air pollution. But in the Six City study, we observed
2 there was a statistically significant increase in the risk
3 of mortality associated with fine particulate air
4 pollution as sulfates.

5 --o0o--

6 PANEL MEMBER POPE: As Mary already mentioned,
7 these results tended to suggest a near linear
8 exposure-response relationship.

9 --o0o--

10 PANEL MEMBER POPE: There was a lot of
11 controversy with regards to this study and its sister
12 study, the ACS Study, we'll talk about in just a minute.

13 As Dan has already mentioned, the Health Effects
14 Institute oversaw a very large reanalyses of the Harvard
15 Six Cities Study and the ACS Study. Dan Krewski who's
16 here, Rick Bernette, Mark Goldberg, and 28 others over a
17 period of about three years reanalyzed these data and, as
18 Dan mentioned, got essentially the same results. There
19 have been now two publications doing extended analyses of
20 the Harvard Six Cities Study.

21 --o0o--

22 PANEL MEMBER POPE: So now if you look at that
23 forest plot I showed at the beginning, you can see the
24 Harvard Six Cities Study gets these results. Basically,
25 about a 15 percent increase in all-cause mortality

1 associated with ten micrograms per cubic meter, and bigger
2 increases if you focus in on cardiopulmonary inventory or
3 cardiovascular disease. This was a well-designed study
4 that's undergone extensive peer review and reanalysis, and
5 the results are robust and reproducible.

6 --o0o--

7 PANEL MEMBER POPE: Motivated by the Harvard Six
8 Cities Study, we conducted analyses using data from the
9 Cancer Society Cancer Prevention II Cohort. This was data
10 using air pollution data for up to 151 cities and risk
11 factor data for over half a million adults enrolled in
12 this cohort.

13 --o0o--

14 PANEL MEMBER POPE: Again, the results were
15 similar to what we saw in the Harvard Six Cities Study.
16 The biggest risk factor was cigarette smoking. But there
17 was a significant effect of both fine particles and/or
18 sulfur oxide pollution.

19 --o0o--

20 PANEL MEMBER POPE: This study, along with the
21 Harvard Six Cities Study, was reanalyzed as part of the
22 HEI analysis project.

23 --o0o--

24 PANEL MEMBER POPE: We did an extended analyses
25 published in 2002 in the Journal of the American Medical

1 Association. Again, a number of the people. George is
2 here. Dan is here. I'm here. A number of us that were
3 involved in this study.

4 --o0o--

5 PANEL MEMBER POPE: Bottom line is again we
6 observed this near linear exposure/response relationship
7 between exposure to fine particles and all-cause
8 mortality. But the biggest effect still was with
9 cardiopulmonary mortality.

10 --o0o--

11 PANEL MEMBER POPE: Another reanalysis focusing
12 on the general physiologic pathway of disease or at least
13 trying to get a feel of what's going on. Again, we
14 observed that most of the results were actually being
15 driven by ischemic heart disease and related
16 cardiovascular disease.

17 --o0o--

18 PANEL MEMBER POPE: This study -- I'm sure that
19 Dr. Jerrett is here. He was very involved in this
20 reanalyses at the L.A. area. Interesting for those of you
21 in California. Again, we use the ACS data to look more
22 specifically at --

23 --o0o--

24 PANEL MEMBER POPE: -- metro area, differences in
25 exposure in L.A.

1 --o0o--

2 PANEL MEMBER POPE: And it's already been
3 mentioned, but this more recently HEI funded analyses that
4 was conducted was the most extended follow-up in spacial
5 analysis of the ACS Study.

6 --o0o--

7 PANEL MEMBER POPE: Now the point, of course,
8 here is when you look at the Harvard Six Cities Study and
9 the ACS Study, we're talking decades and decades of work
10 and reanalysis and refining the results. But in the end,
11 these studies -- that Harvard Six Cities and the ACS
12 studies provide robust and reproducible results. You can
13 see the ACS results are somewhat smaller, except for the
14 L.A. area, than the Six Cities study, but qualitatively
15 similar with bigger effects for cardiovascular disease.

16 --o0o--

17 PANEL MEMBER POPE: There is this interesting
18 study or actually a couple of studies done recently I'll
19 refer to as the U.S. Medicare Cohort studies. John Samet
20 is here. He was one of the principals in that --
21 investigators in that study or both of these studies.
22 Basically what they did is established cohorts of Medicare
23 participants for Six Cities, the same Six Cities as the
24 Harvard Six Cities Study, cities of the ACS Study. And
25 they also had analyses of the entire U.S. stratified by

1 east, central, and west regions and by age. And they
2 actually got somewhat similar or somewhat larger excess
3 risk estimates for the Six Cities and ACS cities and for
4 the entire U.S. The significant excess risk was not
5 observed for the west region or for the oldest age group.

6 --o0o--

7 PANEL MEMBER POPE: There is a series of studies
8 of California Seventh Day Adventist, often referred to as
9 the AHGMOG studies, again showing the effect estimates
10 here, somewhat larger for cardiopulmonary disease.

11 --o0o--

12 PANEL MEMBER POPE: Fred Lipfert, Dr. Lipfert is
13 here. He's been involved with this Veterans'
14 Administration hypertensive male study. Basically excess
15 risks were most strongly associated with traffic source
16 pollution, primarily traffic density. In single pollutant
17 models, PM2.5 was associated with mortality risk. It was
18 significant for the first follow-up, '89 to '96, but it
19 was not statistically significant. Still positive, but
20 not statistically significant for the second follow-up.

21 --o0o--

22 PANEL MEMBER POPE: James Enstrom is here. I'm
23 sure he'll talk more about this study. This is the eleven
24 California county elderly study, a cohort that was
25 enrolled in 1959. These are just the California part of

1 the cohort of the American Cancer Society Cancer
2 Prevention I Cohort. They were recontacted in '72. There
3 was an initial follow-up from '73 to '82 and a subsequent
4 follow-up from '83 to '02. And basically what they found
5 is for the initial follow-up there was a significant PM2.5
6 association right here, but it was not significant for the
7 subsequent follow-up.

8 --o0o--

9 PANEL MEMBER POPE: The Women's Health Initiative
10 Study has been mentioned already. Basically, they focused
11 on cardiovascular events, fatal and non-fatal. And they
12 got large pollution effects on both fatal and non-fatal
13 events as illustrated in the figure.

14 --o0o--

15 PANEL MEMBER POPE: There's a Nurses' Health
16 Study that's recently been reported. Again, they got
17 stronger associations with cardiovascular disease than
18 all-cause.

19 --o0o--

20 PANEL MEMBER POPE: Oslo, Norway, this
21 Intra-Metro Study published. They gave estimates for men
22 and women for two different age groups and found
23 significant excess risk, but they were smaller for the
24 older age group than for the younger age group.

25 --o0o--

1 PANEL MEMBER POPE: A number of other studies
2 have been conducted in Europe and the Netherlands and
3 France and Germany. These have been reviewed by Burt
4 Bruacrev (phonetic) and others. Again, you can see these
5 effects. We just don't have time to talk about them in
6 detail.

7 --o0o--

8 PANEL MEMBER POPE: And then one study that is of
9 particular interest here in California, the California
10 Teachers' Study, Art Ostro. It's not in print yet, but
11 it's published online. It's a cohort of about 45,000
12 former public -- all female public school professionals
13 followed up from 2003 to '07. And they get exceptionally
14 large associations. And again, they're larger for
15 cardiovascular disease than all others.

16 --o0o--

17 PANEL MEMBER POPE: So that's a quick run
18 through.

19 How do we decide what's the right answer? Well,
20 first off, it's hard to do that. There's been one
21 attempt, this expert judgment of mortality of the impact
22 of changes. You can see that there are multiple things
23 here, but there are 12 experts as a matter of full
24 disclosure. "J" is me. I know I'm not supposed to tell
25 you that, but now you know.

1 follow-up.

2 Thank you.

3 DR. SAMET: Thank you, Arden, for that more than
4 fast tour of a lot of information. Nicely summarized.

5 Next we're going to move on to Dan Krewski from
6 the University of Ottawa. Dan.

7 (Thereupon an overhead presentation was
8 presented as follows.)

9 PANEL MEMBER KREWSKI: Thanks very much, John.

10 It's a pleasure to be here this morning and talk
11 about work that we've done on the American Cancer
12 Society's CPS II cohort extending back to 1998, 2008.
13 That's 12 years we've been following this cohort.

14 Next slide.

15 --o0o--

16 PANEL MEMBER KREWSKI: Do I do the slides?

17 --o0o--

18 PANEL MEMBER KREWSKI: The objectives of the
19 study were three-fold. Dan Greenbaum has given a very
20 brief overview. But the detailed objectives were to look
21 at an assessment of confounding and modifying effects,
22 ecologic covariate, spacial auto coloration and how they
23 might affect risk estimates. Look at more refined
24 measures of air pollution exposure getting down to the
25 intra-urban scale ZIP codes in particular, and to see if

1 we can find a critical period of exposure that was most
2 strongly related to mortality or attributable to air
3 pollution.

4 --o0o--

5 PANEL MEMBER KREWSKI: We did have updated air
6 pollution data for fine particles, PM2.5. Our previous
7 analysis had focused on particulate exposure in 1980. So
8 those data correspond to about 20 years later, circa 2000.

9 --o0o--

10 PANEL MEMBER KREWSKI: More cities were included.
11 The main results are shown in this slide.

12 If you could just touch the button once.

13 You can see here that PM2.5 is related to
14 all-cause mortality, cardiopulmonary mortality, ischemic
15 heart disease, and lung cancer in this study but not in
16 the previous study, because we had a smaller number of
17 lung cancer cases and not a significantly elevated result.
18 But this was found in this particular study. These are
19 for 1998.

20 1980 data, if I go one more --

21 DR. SAMET: Dan, can you take a moment and just
22 explain what a couple of the numbers are for those who may
23 not be -- they're small. And I'm not sure everyone maybe
24 knows what an HR is.

25 PANEL MEMBER KREWSKI: Let's take a look at the

1 we go to the more contemporaneous exposure data, risk
2 estimates are again comparable.

3 --o0o--

4 PANEL MEMBER KREWSKI: I'll just point out on
5 this slide that ozone did show -- summertime ozone did
6 show an association with all-cause cardiopulmonary and
7 ischemic heart disease mortality. Not quite significant
8 here. So it's mainly cardiopulmonary and all-cause we
9 picked up in this analysis.

10 --o0o--

11 PANEL MEMBER KREWSKI: In this analysis, we
12 wanted to do a careful assessment of the potential for
13 impacts by other variables confounding. And we looked at
14 a whole series of ecologic covariates, the percentage of
15 households that had air conditioning, educational
16 attainment. There is a strong correlation between
17 socioeconomic status as indexed by education and
18 population health -- we wanted to adjust for that --
19 ethnicity, employment, and/or variables. We had
20 information on these variables right down to the ZIP code
21 level and also at the metropolitan area level. We
22 adjusted for both.

23 And to make a long story short, these are
24 literally hundreds and hundreds of analyses. We basically
25 found relatively little impact of the ecologic covariates,

1 if there was any impact tended to increase the risk
2 estimate slightly.

3 --o0o--

4 PANEL MEMBER KREWSKI: We also looked at the
5 possibility that spacial auto correlation could impact
6 upon the results. If we look at two individuals in close
7 proximity in space, they might be impacted by their common
8 environment more than two individuals much further
9 separated. So we actually had a model which looked at
10 spacial auto correlation at the metropolitan area level
11 looking at different metropolitan areas around the country
12 at the ZIP code level and using a random effects Cox
13 model, which is one of the things that our group pioneered
14 in the original reanalyses. We found some evidence of
15 spacial clustering which the effect of increasing the
16 uncertainty in the data as expressed by other increased
17 variants or effects or widened confidence limits on the
18 PM2.5 risk estimates. Widened slightly, not enormously.

19 Next slide.

20 --o0o--

21 PANEL MEMBER KREWSKI: This was one part of the
22 reanalysis that I was particularly fond of. I thought
23 with detailed information over a 20-year period year by
24 year exposure data, people moving around the country, we'd
25 have lots of variation in individual exposure patterns.

1 increases in most causes of death, all-cause pulmonary
2 lung cancer. We did find significant effects in increased
3 ischemic heart disease.

4 --o0o--

5 PANEL MEMBER KREWSKI: Looking at the entire ACS
6 cohort versus the cohort members in Los Angeles and New
7 York City, there didn't seem to be any meaningful
8 differences in the attributes of those cohort members. So
9 we can't contribute it to the differences in socioeconomic
10 status vis-a-vis the national study. But the topography
11 and geography between L.A. and New York are quite
12 different. And our thinking is that's probably
13 responsible for the differences in risk that we see
14 between these two large cities.

15 Next slide.

16 --o0o--

17 PANEL MEMBER KREWSKI: Well, having worked on
18 this for -- I think I calculated 12 years previously, it's
19 kind of nice to sit back and reflect on what have we
20 learned over that decade of research.

21 I read a paper in the New England Journal of
22 Medicine last year which said, well, when we followed the
23 cohort through to 1989 as part of a reanalysis that Arden
24 Pope had mentioned, we ended up with the following risk
25 estimates for these different causes of death.

1 So the way to read this is for I think an
2 increase of -- I've cut off the footnote here -- 10
3 micrograms per cubic meter, we get a 10.8 percent increase
4 in all-cause mortality. Confidence limit going from 2.2
5 to 7.6 percent. We get a ten percent increase in
6 cardiopulmonary mortality, 12 percent increase in ischemic
7 heart disease, 5 percent increase in lung cancer, not
8 significant in the original analysis because the number of
9 lung cancer deaths was modest. No impact on other causes
10 of mortality.

11 If we look at Arden Pope's reanalysis, which
12 extended the follow-up period from 1989 through to 1998
13 and used two different exposure metrics, circa 1980 and
14 circa 2000, we get similar risk estimates regardless of
15 which exposure data set we used. I showed that earlier
16 today. But we also find that original analysis of
17 follow-up through to 1989 roughly comparable to what we
18 got with the extended follow-up through the 1998.

19 If we look at the most recent results in the
20 report that I was describing in detail, we see again
21 significant findings/risk estimates which are more or also
22 in the same ballpark as we go from follow-up through to
23 1989, right through to the year 2000. So consistency and
24 reproducibility over a series of analyses with more and
25 more follow up with the cohort as indicated in this slide.

1 Next slide.

2 --o0o--

3 PANEL MEMBER KREWSKI: The last thing I want to
4 mention -- this is my second to last slide, John -- is a
5 paper that's just come out which a number of us were
6 involved in, which was looking at greenhouse gases. I
7 could want to show in the next slide just one result --

8 --o0o--

9 PANEL MEMBER KREWSKI: -- where we were able to
10 look at elemental carbon as a risk factor for the general
11 population. George Thurston is doing work on a whole
12 series of individual constituents of air pollution that
13 may spread further light on this question.

14 But of the constituents we looked at, elemental
15 carbon was the most potent in terms of resulting in the
16 greatest increase in risk in all-cause and cardiopulmonary
17 mortality, although there was some sensitivity to the
18 inclusion of other pollutants in the risk model. But if
19 we look at the actual levels of air pollution across the
20 U.S., not just sort of a fixed increase, but the increase
21 in the fifth to 95th percentile, because sulfate is much
22 more prevalent, it actually results in a much larger
23 population health impact in terms of attributable risk due
24 to that exposure. And it's also much more robust with
25 respect to multi-pollutant adjustment.

1 I think that is my last slide. Thank you.

2 DR. SAMET: Okay. Thank you, Dan. I assume
3 you're going back to the Olympic curling team.

4 Just again for those of you who may want to find
5 out more about the analyses, on the Health Effects
6 Institute website, the original analysis, reanalysis
7 report, and then an extended report, the more recent
8 analysis, the Health Institute reports are available.
9 They summarize much of this.

10 And now move on to Aaron Cohen from the Health
11 Effects Institute.

12 (Thereupon an overhead presentation was
13 presented as follows.)

14 PANEL MEMBER COHEN: Hello. Good morning. I
15 want to talk to you this morning about an effort that I've
16 been engaged in now for almost ten years to estimate the
17 Global Burden of Disease attributable to air pollution.

18 Next slide, please.

19 --o0o--

20 PANEL MEMBER COHEN: This is an ongoing effort.
21 Well, actually, let me step back a second.

22 The reason I think I'm here is that we have
23 evaluated as part of this effort much of the same evidence
24 that is of interest to the Air Resources Board in their
25 work. And there was some interest in seeing how we had

1 base from cohort studies which has been very nicely
2 described by Arden Pope earlier. We're beginning to use a
3 much more extensive evidence base to estimate burden of
4 disease attributable to outdoor air pollution.

5 Next slide.

6 --o0o--

7 PANEL MEMBER COHEN: A little bit on the GBD
8 process. It begins in the sort of light colored box on
9 the left with a systematic review of the evidence on the
10 health effects of each risk factor. In our case, air
11 pollution. By systematic review, this means essentially
12 applying established methods of meta analysis to ascertain
13 what work has been done and to use that information to
14 decide first whether there is evidence to support a causal
15 association with a particular risk factor and exposure --
16 in our case, air pollution -- and then to try and use that
17 information to derive a concentration-response function
18 for estimating burden of disease.

19 And the key thing here is that all the risk
20 factors do it the same. So all of the 35 risk factors,
21 air pollution included, follow a methodology for doing
22 this. And this ensures, we hope at the end, that we can
23 make the kinds of comparisons that I talked about on a
24 couple of slides, a couple of slides ago. And it's
25 designed to try to eliminate special pleading on behalf of

1 the years of life that you lose due to the disease. And
2 the sum of that is a disability adjusted life year for any
3 particular cause. So we have two metrics of impact of air
4 pollution that we're going to quantify, and one is death
5 which is fairly commonly done and the other is disability
6 adjusted life years.

7 As I said, I'm not going to talk in detail about
8 how we're estimating exposure. It's a combination of
9 methods based on remote sensing, satellite data, and
10 chemical transport models.

11 One of the challenges that we face that
12 fortunately you don't is that annual average exposures,
13 level of exposure, and levels of PM around the world are
14 much, much higher than they are unfortunately in the
15 United States. So we have to develop a
16 concentration-response function that deals with annual
17 average concentrations that can be as high as 100
18 micrograms per cubic meter PM2.5. I won't talk about
19 that, but you should know that's consumed a lot of our
20 effort.

21 And then finally, we are going to estimate --
22 we're going to provide our estimates of the overall
23 uncertainty in attributable burden of air pollution. And
24 these will not only include and quantify our uncertainty
25 in the epidemiology we're using, it will also include the

1 uncertainty in our estimates of exposure as to the best of
2 our ability to quantify that and our uncertainty in the
3 baseline mortality rates to which we are applying them.
4 So this is an important feature of our work.

5 Next, please.

6 --o0o--

7 PANEL MEMBER COHEN: Well, this graph is very
8 similar to ones that you've seen all morning. On the X
9 axis are the different cohort studies that have been done.
10 These are all natural cause mortality and relative risks
11 for ten microgram per cubic meter PM2.5. That's on the Y
12 axis. And we have beginning at the left the American
13 Cancer Society Study and ending on the right with Dr.
14 Enstrom's work. This is indicative of the studies that we
15 reviewed as part of our systematic review. And we looked
16 at these studies and we looked at other people's reviews
17 as well, reviews that have been conducted by WHO, by Konep
18 (phonetic) in the UK. We reviewed all cohorts of studies
19 of long-term exposure through 2009. And we focused for
20 reasons I told you before on nine cohort studies that
21 looked at the association between long-term PM exposure
22 and mortality.

23 Next, please.

24 --o0o--

25 DR. SAMET: Roger, hold questions. We're really

1 tight on time. Go ahead.

2 PANEL MEMBER COHEN: Now, the first bullet here
3 gives the bottom line of the review that we did. And in
4 view of our expert group, the evidence is most consistent
5 with the causal association or causal effect of long-term
6 exposure on cardiovascular disease and lung cancer.

7 And specifically how we came to that conclusion
8 is by considering whether the competing explanations that
9 might account for the evidence presented to you today,
10 what are the competing explanations for that other than
11 causality in terms of confounding, the influence of other
12 uncontrolled risk factors or other biases that are endemic
13 in epidemiological research, broad consistency with other
14 related exposures, particularly combustion source air
15 pollution exposures in other than general population
16 settings and evidence for biologic mechanisms. And Mary
17 went through some of that evidence with you earlier.

18 And in light of that, we concluded that the most
19 reasonable explanation for this evidence is a causal
20 relationship. We concluded that the shape of the
21 concentration-response function at least up to 30 are
22 linear or best treated as linear. And as you can see from
23 this slide, which presents the results for ischemic heart
24 disease mortality, which would be important in our
25 estimates, the relative risk estimates both in terms of

1 the percent increase in mortality and in terms of the
2 uncertainty around them as reflected in the confidence
3 intervals do vary.

4 Next, please.

5 --o0o--

6 PANEL MEMBER COHEN: We focused on six out of
7 nine studies that reported risk by selected causes of
8 death that we're most interested in for reasons that I
9 said earlier, and particularly on five studies that used
10 actually measured PM2.5 concentrations as opposed to
11 estimates converted from other PM metrics.

12 And what this slide is showing is the
13 relationship between the risk estimates, the relative risk
14 estimates for cardiovascular disease, ischemic heart
15 disease, cerebral heart disease, respiratory disease, and
16 mortality and lung cancer mortality from the ACS Study,
17 the most recent follow-up of the ACS Study, and the other
18 studies that provided estimates for the cause-specific
19 outcomes. And we saw a broad consistency here, although
20 the estimates for the American Cancer Society study appear
21 higher for ischemic heart disease.

22 Next.

23 --o0o--

24 PANEL MEMBER COHEN: So bottom line here: What
25 are we going to do and how are we going to use this in our

1 a particular study, but rather all important sources that
2 we can quantify. So thank you very much.

3 --o0o--

4 PANEL MEMBER COHEN: If you want more
5 information, you can go to this website to learn about the
6 Global Burden of Disease project. And I'd be happy to
7 answer any questions in detail people have.

8 DR. SAMET: Thank you, Aaron.

9 Maybe a few quick summary remarks. Just
10 remember, of course, everyone dies. Our chance of dying
11 is 100 percent. What these studies are about is does
12 particulate air pollution lead to some people dying
13 earlier than they would have otherwise?

14 And the discussion about causation has to do
15 overall with can a conclusion be reached that particles
16 and inhaling particles in the air increase risk of dying?
17 And we heard from EPA about their methods. We heard from
18 Aaron about a set of methods that are being used by this
19 Global Burden of Disease group.

20 The second question is: If you say, yes,
21 particles are leading to premature death, then how much is
22 that increment? And what you heard about is studies that
23 are used -- Arden provided a review. Dan touched on a
24 number of. And you heard from the speakers about
25 different studies and how they have tried to say, well,

1 how much is that increase and how much is that increase in
2 relationship to some increment, some increase in the
3 concentration of particles in the air? And conveniently,
4 most people do this for an increment of ten micrograms per
5 cubic meter.

6 So you saw this question, well, how much is that
7 increment? And what Aaron described, which I think gets
8 to this question of quantifying overall how much extra
9 there is, when the global burden does this, they're saying
10 around the world, how many deaths are accelerated by this?
11 And these are not people who would be immortal if air
12 pollution didn't exist or cigarette smoking didn't exist.
13 It's some advancement and that's what these estimates are
14 about. And you saw some of the statistical machinery
15 that's used to make these calculations sort of laid out in
16 a lot of technical words. But that's what is being done
17 to say how much is this increment.

18 So we're about 20 minutes behind. If we can try
19 to get back around quarter of, no later than ten of to get
20 started again. Thanks.

21 (Thereupon a recess was taken.)

22 DR. SAMET: The next presentation is James
23 Enstrom from UCLA.

24 (Thereupon an overhead presentation was
25 presented as follows.)

1 Lester Breslow, who's widely known in California as Mr.
2 Public Health.

3 --o0o--

4 PANEL MEMBER ENSTROM: Because of the research I
5 did in the 1970s, I became a Fellow of the American
6 College of Epidemiology the first year the college was
7 organized. And I have a cert here signed by Abraham
8 Lilienfeld from 1981.

9 --o0o--

10 PANEL MEMBER ENSTROM: I've tried to examine the
11 qualifications of people associated with CARB because of
12 my involvement with the report that has led to this
13 symposium. And unfortunately, I can't find any employees
14 of this organization that really belong to the American
15 College of Epidemiology, the Society for Epidemiological
16 Research, or the American Statistical Association. And I
17 think it's appropriate they should have some
18 epidemiologists and statisticians. I'm not sure if they
19 have a single Ph.D. epidemiologist employed in 1300
20 employees. I think it's really important that this be
21 kept in mind when evaluating some of the reports that come
22 from the organization.

23 --o0o--

24 PANEL MEMBER ENSTROM: Because of my background
25 in two areas of science, I've sort of ranked the what I

1 entire editorial. And all the statements that are in it
2 are very, very important.

3 Next.

4 --o0o--

5 PANEL MEMBER ENSTROM: Another aspect directly
6 relevant today is a policy by the Health Effects Institute
7 which applies to studies that we've published, a number of
8 which have been mentioned today. It says, "Access to data
9 underlying studies of the health effects of air pollution
10 is an important element of ensuring credibility,
11 especially when the studies are used in controversial
12 public policy debates. It is the policy of the Health
13 Effects Institute to provide access expeditiously to data
14 for studies that it has funded and to provide that data in
15 a manner that facilitates review and validation of the
16 work."

17 --o0o--

18 PANEL MEMBER ENSTROM: Now, my primary concern
19 today is not the air pollution epidemiology that's been
20 discussed, but how this has been turned into regulations
21 that effect diesel trucks and other diesel engines in the
22 state of California. And so in my research going back on
23 this entire process, I believe it started with something
24 that's known as the Scientific Review Panel on Toxic Air
25 Contaminants.

1 Next slide.

2 --o0o--

3 PANEL MEMBER ENSTROM: This started with a
4 Legislative Bill AB 1807. It was the Tanner Act of 1983.
5 It set up a nine-member scientific review panel, and the
6 members were to be highly qualified and appointed for a
7 term of three years. And the members were to be nominated
8 by the president of the University of California, and the
9 pool is to include three nominees for each discipline.

10 Now, it turns out this process has not been
11 followed as I interpret the code sections.

12 --o0o--

13 PANEL MEMBER ENSTROM: The panel has been
14 dominated by three individuals for the entire 26 years
15 that it's existed. And they're toxicologist John Froines
16 of UCLA, statistician Stanton Glantz of U.C.
17 San Francisco, and epidemiologist Gary Friedman of
18 Stanford and Kaiser. And these men have received
19 reappointments, but apparently not with additional
20 nominations by the president of the University of
21 California.

22 And most troubling to me as an epidemiologist is
23 the fact that Gary Friedman has served on the panel now
24 for 16 years without a formal appointment. It includes
25 the period in 1998 when diesel exhaust was declared a

1 toxic air contaminant. In doing so, he's prohibited any
2 other epidemiologist, such as myself, from serving on this
3 panel. I don't think this is appropriate. So in my mind,
4 this next document --

5 --o0o--

6 PANEL MEMBER ENSTROM: -- is a very key element
7 of why we're in the situation we are. This is the last
8 appointment letter of Gary Friedman showing his term
9 expired January 1st, 1994. And how this has happened I
10 would really like an explanation, because that's in my
11 mind totally inappropriate. And it is a sign that there
12 really are some things in this agency that really need to
13 be addressed.

14 Next.

15 --o0o--

16 PANEL MEMBER ENSTROM: The decision to declare
17 diesel exhaust a toxic air contaminant was made April
18 22nd, 1998. And going back and reviewing the transcript
19 for that meeting reveals that 38 percent of the transcript
20 lines were by John Froines, 19 percent by Stanton Glantz,
21 and only 3 percent by Friedman. And this was mainly a
22 discussion of Epidemiologic discussions on railroad
23 workers and truck drivers. And it's in my mind very
24 unfortunate he wasn't discussing issues like the actual
25 exposure levels of these subjects to diesel. It wasn't

1 determining the relevance to the California population and
2 wasn't really addressing the criteria for causal
3 relationships as he should have been. And so this is also
4 disturbing to me.

5 --o0o--

6 PANEL MEMBER ENSTROM: What I'd like to do now is
7 to go over evidence. This is switching back to the
8 subject of the symposium today. And it's evidence
9 specific to California that I don't think has been
10 properly addressed. And it's evidence I believe that
11 shows no current relationship between PM2.5 and premature
12 deaths in California.

13 --o0o--

14 PANEL MEMBER ENSTROM: This is a map that's
15 published in the 2000 Krewski HEI report showing PM2.5
16 concentration levels from the 1980s. Shows the highest
17 concentrations were back east. California's actually at
18 the low end for most of the state, sort of middle range
19 for the L.A. area.

20 Next.

21 --o0o--

22 PANEL MEMBER ENSTROM: Now, turning this into
23 risk, there's a map, Figure 21 in that publication showing
24 the relationship between PM2.5 and mortality across the
25 nation during the period 1982 to 1989. And this is the

1 reanalysis of the Pope 1995 study again showing the risk
2 is predominantly in the east. And there's no real excess
3 at least according to the legend in the figure. The risk
4 was actually listed as being below 1.0. So to me it looks
5 like there is a clear geographic variation here.

6 Next.

7 --o0o--

8 PANEL MEMBER ENSTROM: Now, this geographic
9 variation was presented at an EPA meeting in 2001. This
10 was a public comment sent in by John Heuss, July 11th,
11 2008, showing the map broken up into four regions of the
12 United States.

13 In the west region, there is a -9 percent excess
14 risk for PM2.5. And mortality again supporting the notion
15 there's no current effect in California as of -- this
16 would be as of 1982.

17 Next.

18 --o0o--

19 PANEL MEMBER ENSTROM: My study came out at the
20 end of 2005 using the original CPS I cohort for California
21 subjects. And I found a small effect from '73 to '82, but
22 no risk at all, 1.00, from 1983 to 2002. And so this
23 again is showing no effect in California.

24 --o0o--

25 PANEL MEMBER ENSTROM: Next is a paper published

1 at the end of 2008 -- actually one online August 12th of
2 2008 by Professor Zeger and Samet from Johns Hopkins. And
3 it again found no effect in the western part of the
4 United States.

5 In that study, the western part of the
6 United States was defined as California, Oregon, and
7 Washington state. And this is an extremely large cohort
8 of Medicare enrollees. And shows that there again is
9 geographic variation because they found a substantial
10 effect east of the western United States.

11 --o0o--

12 PANEL MEMBER ENSTROM: The next piece of evidence
13 is the 2009 HEI report by Krewski and others that are in
14 this room, particularly Michael Jerrett and Arden Pope.
15 And what I did here was divide their results into time
16 periods. And it looks to me, if I'm interpreting this
17 correctly, that the major effect is in the 1980s, '82 to
18 '89. And not in the 1990s. If you break it down by
19 decade, and then if you break it down by the last
20 two years that are available, 1999 to 2000 down to an
21 effect of 1.014, and that's not statistically significant.

22 Now, there are a lot of numbers in that table.
23 These numbers came out of Table 33. But again, I believe
24 the effect is diminished from the 80s to 90s. And since
25 we're now in the 21st century, we need to look at data as

1 recently as possible, because of these declines.

2 --o0o--

3 PANEL MEMBER ENSTROM: Next bit of evidence comes
4 from my own letter that I submitted to the New England
5 Journal after a paper came out by Professor Pope in
6 January of 2009 showing there's some relationship between
7 declines in PM2.5 and increases in life expectancy in the
8 state of California. And this letter was not published,
9 but it is published as a comment on the CARB website.

10 --o0o--

11 PANEL MEMBER ENSTROM: This leads me to another
12 aspect of this that I think is troubling, and that is
13 points that are not really represented well -- go ahead.

14 --o0o--

15 PANEL MEMBER ENSTROM: The report I don't believe
16 from the 2009 HEI report properly addressed my paper or
17 the Zeger/Samet paper. The risk map is actually missing
18 in this paper. Instead of clarifying the request that I
19 made for geographic variation, there's no map in the 2009
20 report. And there's no discussion of California-specific
21 results.

22 --o0o--

23 PANEL MEMBER ENSTROM: This is a Table 4 from
24 Pope's paper showing life expectancy increases with
25 declines in PM2.5. Just a week ago, there was a

1 presentation made by Dr. Stan Young showing if you divide
2 this into the east and the west, you get the eastern
3 portion supports the findings in the Pope paper but the
4 west portion shows the line goes in the other direction.
5 And again, this is something that I think needs to be
6 addressed in fully presenting evidence.

7 I've got a number of other slides which are part
8 of my presentation. I really don't have time to go
9 through them right now. But I'd like to make some
10 concluding points. I'd like people to look over these
11 points, because they're all critical to assessing the Tran
12 report and so forth. But skip forward several --

13 --o0o--

14 PANEL MEMBER ENSTROM: Keep going.

15 --o0o--

16 PANEL MEMBER ENSTROM: This is about California's
17 actually a very healthy state. If you look at the data
18 from the CDC, you find that it ranks fourth from lowest in
19 total age-adjusted death rate as of 2005. And it's sixth
20 in life expectancy at birth. And this is I think an
21 incredible indication that California is really a very
22 healthy state. And you have to put priorities on all of
23 this. And I think we're doing quite well in terms of our
24 overall health.

25 --o0o--

1 leave them at the desk when we take the lunch break, just
2 leave your written questions.

3 And move on now to Fred Lipfert.

4 (Thereupon an overhead presentation was
5 presented as follows.)

6 PANEL MEMBER LIPFERT: Good morning. And thank
7 you. And I wanted to thank CARB for the opportunity to be
8 here and Jim for providing me a slot to deal with the
9 overflow in my afternoon presentation.

10 Let's have the first slide, please.

11 --o0o--

12 PANEL MEMBER LIPFERT: Let me just say while
13 that's coming up, for those of us from the outside who are
14 essentially without portfolio as I am -- I'm waiting for
15 that slide to come -- not that one.

16 --o0o--

17 PANEL MEMBER LIPFERT: Jim showed you his
18 credentials which are very impressive. Mine, not so much.

19 I would just have to say I've been doing this
20 stuff for 40 years. I'm probably the oldest guy in the
21 room and maybe the baldest. So why don't we let it go at
22 that. If you really want to know, I can tell you at the
23 break.

24 Next, please.

25 --o0o--

1 out of all three of these studies, you have to weight by
2 the number of deaths. And the slides that show Six
3 Cities, the Women's Health Study don't take into account
4 of that. So if when one wanted to come up with an overall
5 estimate, you really have to have this number.

6 The Ostro study was mentioned earlier. I'll have
7 more on that this afternoon. I'm trying to whet your
8 appetite to stay tuned.

9 Let's go on, please, to slide number 18.

10 --o0o--

11 PANEL MEMBER LIPFERT: And no fair reading ahead.
12 That's it.

13 Now, the other problem with the kinds of air
14 pollution epidemiology we've all done is that we have to
15 rely on the locations that EPA chooses to take data. And
16 this slide, which is from our 2006 paper on traffic, shows
17 counties that happen to have NO2 measurements on this
18 line. The ones that don't are over here. That's a factor
19 of ten difference in terms of traffic density and in terms
20 of many other pollutants. So we have gone to great pains
21 to try and make studies that do involve all counties. And
22 I'm going to talk about that this afternoon. And I think
23 that's it.

24 --o0o--

25 PANEL MEMBER LIPFERT: The last slide has to do

1 with whether we really know what the emissions are. There
2 are people, including here in California, who are making
3 on-road measurements of vehicle pollution. And what's
4 being found over and over again is that in 2000 here in
5 Los Angeles almost 70 percent of the total fleet emissions
6 are coming from ten percent of the vehicles.

7 Now that's a huge problem, and it has to do with
8 smog checking. And I'm not going to go there. I don't
9 know anything about the California regulatory system. But
10 it tells us two things. It tells us we have some
11 low-hanging fruit to pick which are the high emitters and
12 there was some fruit picking going on earlier today on
13 some of the slides. I'm talking about a different kind of
14 fruit picking here.

15 And furthermore, we don't know what the emissions
16 are. If 70 percent are not from the regulated vehicles
17 from which all the models are based on, we don't know
18 what's out there. We've done studies as if we did, but
19 I'm pointing this out as a major problem in trying to get
20 to the root of the matter.

21 That's it for now. Stay tuned, and I'll talk to
22 you again this afternoon. Thank you.

23 DR. SAMET: Thanks. And next to present is Rob
24 Phalen from the University of California Irvine.

25 (Thereupon an overhead presentation was

1 presented as follows.)

2 PANEL MEMBER PHALEN: Thank you. Thank you to
3 the Air Resources Board. I've lived in California a long
4 time, and you all have done a fabulous job in cleaning up
5 the air. And I just want to say thanks. And thanks for
6 holding this meeting.

7 I was once introduced at a meeting by, "We
8 invited him to cause trouble." I'll try to live up to
9 that.

10 I do think causing trouble is a good thing.
11 Because without that, we make simple -- we make
12 conclusions that are too simple and not warranted.

13 So I'd like to comment on seven items.

14 Where we are in terms of the toxicology
15 understanding of air pollution is what chemistry was
16 before the periodic table. That's pretty bad. We're
17 using, for example, mass as an indicator of hazard. And
18 it would make as much sense to use mass as an indicator of
19 hazard in the air as it would to evaporate water samples
20 and residue and use that as an indicator of hazard for the
21 water samples.

22 There are probably 30 other properties other than
23 mass proposed as to what's driving the health effects.
24 High on the list are metals such as vanadium. So it might
25 be wise to establish whether or not that's what's driving

1 health effects or some other properties and then control
2 that, because it will upset the economy a lot less.

3 The second comment that I have, we're stuck with
4 an antiquated system. The U.S. EPA is a phenomenal
5 organization, really a lot of talented people. But
6 they're stuck with having to use indicators such as mass
7 that are not chemically specific and also to set national
8 standards that have to apply to absolutely everywhere in
9 the United States.

10 And there's a real problem, especially for us in
11 California, where a lot of PM2.5 is related to our dry
12 climate and dust. Now, we can't control the dust. So if
13 we have to meet an EPA standard for PM2.5, we have to go
14 after things like diesel trucks, because we can't control
15 natural dust. And that does significant economic harm, I
16 believe. And if you really want to hurt people's health,
17 you could put them below the poverty level. That's one of
18 the highest risk categories you can be in the
19 United States.

20 The next comment is that I'm concerned about the
21 philosophy that we need to go ever lower, lower, lower in
22 terms of our standards or ever more stringent in terms of
23 our standards. I think the microbiologists have taught us
24 if we sterilize everything in the environment, we'll lose
25 our ability to handle microbes in the environment.

1 And in fact, another way if I wanted to harm all
2 of your respiratory health that I would consider would be
3 putting you in a clean room for about two weeks, because
4 your respiratory tract is not going to maintain its
5 defense for the lifetime of its cells. And some of those
6 are a matter of a few days. So when you would come out of
7 this clean room, you wouldn't be able to handle being near
8 other people, because we shed seven million skin scales
9 per minute into the air.

10 Another comment -- and I've only got about three
11 more -- is the way we address risks. We address them one
12 at a time. And I think Dr. McClellan has said there is a
13 magnifying glass effect. So when you are looking at one
14 risk and one potential cause, that's the whole world to
15 you. And we don't consider offsetting risks. For
16 example, if you drive up the cost of electricity -- one
17 and a half minutes, John, thank you for the reminder --
18 people are going to die because they don't have air
19 conditioning. They don't have adequate heat. If you
20 drive up the cost of goods, they're going to die because
21 they don't have adequate nutrition, et cetera.

22 The National Academy of Sciences was asked by EPA
23 in 2006 to evaluate risk assessment and how it's done
24 today. And among the recommendations, they said you have
25 to look at all the alternatives of a decision, not just

1 one decision and one end point. You have to look at all
2 of the alternatives and balance them. And most
3 importantly, you have to let the public know what all the
4 alternatives are. You can make a standard tighter. You
5 can make a standard more lax. You cannot change a
6 standard. What are the consequences of those three
7 decisions in terms of also the indirect health effects,
8 the effects caused by the cost of goods and services.

9 Lastly, I think we've let the public down in
10 terms of public information. And I think us toxicologists
11 have been guilty of that as well. It benefits us
12 personally to have the public be afraid even if these
13 risks are trivial. If I wanted to see heart disease
14 reduced, I'd ask everybody to lose one pound of weight
15 rather than tighten a PM2.5 standard. I think it would do
16 more good and it would upset the economy less. You would
17 also get some benefits in terms of cancer risks.

18 So we haven't really implemented the National
19 Academy of Sciences philosophy yet, partially because it
20 requires expertise that we don't really have incorporated
21 into our agencies.

22 So in sum, I believe that from the point of view
23 of a toxicologist for 35 years, who started trying to
24 integrate things over the last ten years, that the science
25 isn't strong enough to do significant regulation of PM2.5.

1 Thanks very much.

2 DR. SAMET: Thanks, Bob.

3 Now moving on to Michael Jerrett from the
4 University of California Berkeley. Mike.

5 (Thereupon an overhead presentation was
6 presented as follows.)

7 PANEL MEMBER JERRETT: Thanks very much.

8 Can I have the next slide, please?

9 --o0o--

10 PANEL MEMBER JERRETT: I'd like to cover three
11 important studies that are California specific. Give you
12 a little bit more flavor for the detail and the rigor that
13 goes into these studies to give you some idea of how much
14 you can trust the results.

15 The first is the American Cancer Society Study
16 which we heard a number of speakers discuss already. The
17 next is the California Teachers' Cohort, and then finally
18 is the Seventh Day Adventist so-called ASMMOG study from
19 Loma Linda University.

20 Next, please.

21 --o0o--

22 PANEL MEMBER JERRETT: So for the American Cancer
23 Society Studies, we have two studies I'll present. Both
24 have long-term exposure estimates for California wide and
25 Los Angeles studies. They're both based on the American

1 Cancer Society Cohort Cancer Prevention II Survey. And
2 one of the real strengths of this study is we have the
3 capacity to control for an extensive array of confounders.
4 So we have 20 individual confounders as well as seven
5 indicators of neighborhood poverty and income. And other
6 things have been widely associated with health outcomes
7 and mortality in the United States.

8 Next slide.

9 --o0o--

10 PANEL MEMBER JERRETT: This just gives you a list
11 of our smoking variables, 13 in every single model.

12 Next, please.

13 --o0o--

14 PANEL MEMBER JERRETT: We include educational
15 variable, marital status, body mass index, alcohol
16 consumption which have all been associated with health
17 outcomes.

18 Next.

19 --o0o--

20 PANEL MEMBER JERRETT: Occupational exposures
21 were extensively assessed by Mark Goldberg and Jack
22 Siemiatycki, two leading occupational epidemiologists,
23 with a dirtiness index based on over 150 options. So we
24 have an indicator variable that measures occupational
25 exposures to particulate matter and carcinogenic risk

1 I can say from spending months developing this
2 model that it fits the data very well and can reproduce
3 predictions at sites that have a high degree of accuracy.
4 We see a pattern in California that's important for
5 interpretation and while known higher levels in Los
6 Angeles and in the central valley and to some lesser
7 extent in the San Diego region and in the lower levels of
8 central California.

9 --o0o--

10 PANEL MEMBER JERRETT: The L.A. study used ZIP
11 code level data which is what we were permitted to use at
12 that time for nearly 23,000 subjects. For the statewide
13 analysis for the first time, we've actually gone back and
14 bio-coded their home address. So we have a much greater
15 level of precision about where they live. And in both
16 cohorts, we followed them up from 1982 to 2000. And in
17 the statewide we have about 22,000 deaths. So fairly
18 substantial number of deaths to deal with for statistical
19 analysis.

20 --o0o--

21 PANEL MEMBER JERRETT: We used a Cox survival
22 model with allowance for clustering at the ZIP code area.
23 One of the key things about statistics is we're assuming
24 our observations are independent. If they're not
25 independent, they can't trust our significance test. So

1 --o0o--

2 PANEL MEMBER JERRETT: And this is a map. You
3 can think of this as the mortality that we weren't able to
4 predict with our individual level variables like smoking
5 and alcohol consumption. What we see is that after we
6 apply all those individual variables, there isn't much
7 residual variation left in the cancer outcome where we
8 have the most pollution. So our model is predicting these
9 outcomes very well where we have a lot of pollution.

10 We haven't honed our statistical models to look
11 at cancer outcomes because we've been focused on
12 cardiovascular mortality. I think we probably need --
13 these are preliminary results. We need to go back and to
14 include things like family history of cancer and other
15 variables to get a better assessment of why we're seeing
16 this negative association with cancer. But we do
17 understand why we're getting a null result for all-cause
18 now, and it's because we see this negative association
19 with all cancers.

20 --o0o--

21 PANEL MEMBER JERRETT: So the next study I'd like
22 to discuss is the California Teachers' Cohort.

23 I'd like to thank Bart Ostro for sharing his
24 slides with me on this.

25 It's a statewide cohort -- very impressive

1 slide -- 133,000 women that were part of the State
2 Teachers' Retirement System. It was established by Leslie
3 Bernstein and others and Peggy Reynolds to look at breast
4 cancer risk factors. But it's been used for many other
5 health outcomes. There's annual re-contact since 1995.
6 There's follow-up to 2005. And there's linkage to
7 hospitalization as well as mortality through statistical
8 databases.

9 --o0o--

10 PANEL MEMBER JERRETT: There is a monthly
11 residential history that is a great strength, so we can
12 see when people are moving and whether that has an impact
13 on their exposure.

14 There is low active smoking prevalence, highly
15 educated group of women, only five percent at baseline.
16 It's an aging cohort that is experiencing some mortality.
17 And there's little likelihood of significant occupational
18 exposures. There are socioeconomic differences, because
19 they're all teachers.

20 --o0o--

21 PANEL MEMBER JERRETT: This author's examined
22 all-cause cardiopulmonary, ischemic heart disease, and
23 pulmonary mortality.

24 --o0o--

25 PANEL MEMBER JERRETT: And they were particularly

1 interested in this initial study looking at different
2 species of particles. And we've heard some criticism we
3 shouldn't just look at particles in their aggregate. So
4 they took eight counties where there was speciated data,
5 so data on different types of particulate matter
6 available. And they measure the PM mass but also
7 elemental carbon, sulfates, nitrates, and a variety of
8 other elements that Dr. Ostro found in time-series studies
9 were associated with mortality.

10 Next, please.

11 --o0o--

12 PANEL MEMBER JERRETT: So the monthly residential
13 histories were bio-coded, and they summed up the long-term
14 exposure by their person months at the pollution sites so
15 they had monthly estimates of pollution. And then they
16 looked at two sizes of rings or buffers around the
17 monitoring sites, eight kilometers and 30 kilometers.
18 Those were designed to simulate the Harvard Six Cities
19 counties, the smaller rings, or the ACS Study to show the
20 average metropolitan area size covers a wide swath of
21 California and a wide variety of exposures.

22 --o0o--

23 PANEL MEMBER JERRETT: In Los Angeles, this is
24 what it would look like. There is the inner ring and the
25 central area of the city, and the larger buffer would

1 cover most of the Los Angeles County.

2 --o0o--

3 PANEL MEMBER JERRETT: So all of the models were
4 fit with the same type of modeling structure we've been
5 using in all of our studies, Cox proportionate hazards.
6 So we're looking at time of how long someone survives
7 compared to others at the same time and comparing their
8 pollution levels. And what we have included here is
9 basically the same grouping of variables plus some that
10 are particular to women to control for confounding.

11 Next, please.

12 --o0o--

13 PANEL MEMBER JERRETT: The study -- I'll show you
14 the results. The slide seems to have been omitted. I'm
15 not sure if it didn't come up. There is a summary slide.

16 Suffice to say they found very large risks in the
17 range of 1.8 hazard ratio for the teachers' cohort for
18 all-cause mortality and going up to about 2.8 for ischemic
19 heart disease. So much larger risks than what we've
20 observed in other studies. And perhaps we can discuss
21 some of the reasons for that later.

22 Let me go on to the Adventist Study, and I'll
23 focus on the Chen paper, the most recent work.

24 --o0o--

25 PANEL MEMBER JERRETT: And this study had a

1 smaller sample, but it was non-smoking, non-Hispanic
2 Seventh Day Adventist, so people that would not have the
3 major confounders for smoking in their lifestyles. They
4 had to have lived in the same location for ten years or
5 longer, within five miles of their residence at the time
6 of enrollment. Most came from San Francisco, the South
7 Coast, and the San Diego air basin where there is a 13
8 percent statewide random sample.

9 --o0o--

10 PANEL MEMBER JERRETT: And PM2.5 in this study
11 was imputed, because it wasn't available historically for
12 eleven air sheds based on the visibility that was
13 available at airports. So there is a long history of
14 trying to impute air pollution exposures from other
15 indicators.

16 The authors were able to show fairly convincingly
17 they could replicate PM 2.5 values at later time periods
18 by using airport data.

19 By the time they had followed up to 1998, they
20 had something like 3200 subjects available. So it's a
21 much smaller study. But because it's a non-smokers, I
22 think it's an important study. And they had the vital
23 status for coronary heart disease assessed by death
24 certificates and verified by social contacts through
25 family. They included roughly the same list of

1 California-specific studies are producing results that are
2 very similar to what we see in the broader body of
3 literature. But I think when we're looking at those
4 responses, we would never want to rely on a small group of
5 studies. We want to rely on the other studies, the
6 national studies, some studies that are coming out of
7 other countries as well.

8 --o0o--

9 PANEL MEMBER JERRETT: I'd like to acknowledge my
10 funders, the Air Resources Board, the Health Effects
11 Institute, and the National Institute of Environment
12 Health Science.

13 I'd like to thank you for your time today.

14 DR. SAMET: Well, we're not doing too badly.
15 What I'm going to suggest is that we consider going up to
16 12:30, which would still leave an hour before lunch for
17 discussion.

18 Let me just first get a sense from the panel how
19 many of you have comments that you'd like to make? I just
20 want to make sure we get everything fitted in. And
21 looking this way -- okay.

22 So what I would suggest is that we start at the
23 top and work our way down. I think if there is a
24 particular point that needs follow-up as we move from
25 speaker to speaker, so we can maintain the thread, maybe

1 we can try to do that, rather than go one by one. So we
2 have some coherence if there's particular things that need
3 follow-up.

4 So Jim, go ahead and lead off.

5 PANEL MEMBER ENSTROM: I think that presentation
6 by Professor Jerrett was quite interesting.

7 I'm a little confused by the way it was
8 summarized because in terms of total deaths, which are
9 what are used to calculate premature deaths by the Air
10 Resources Board, if I didn't misinterpret what he said,
11 there was no effect. Very consistent with my findings.
12 So that would make my study and his study by far the two
13 largest studies in California. And so I'm confused as to
14 why he could say that's consistent with the national
15 result.

16 Can I get a response to that?

17 PANEL MEMBER JERRETT: I think we find very
18 significant an elevated risk for cardiopulmonary,
19 cardiovascular, and ischemic heart disease which account
20 for over half the deaths.

21 So I think what this is suggesting is that there
22 are a number of causes of death which, expectedly so, are
23 not necessarily associated with air pollution. And we
24 have in our previous studies included all causes, all
25 causes of death. So when we look at that, it's even

1 including traffic accidents and outcomes that we know are
2 not related to air pollution.

3 Once we go to these more specific indicators from
4 the toxicological evidence, from animal experiments and
5 from human chamber studies, it's highly suggestive of the
6 effects of the cardiorespiratory system, we begin to see
7 highly significant results.

8 So I would suggest in summary that we see
9 significant effects in California. I think the Los
10 Angeles study as well demonstrates there might be
11 heterogeneities by region in the state, and we see very
12 large effects there for all-cause mortality. And that
13 probably accounts for roughly half the population of the
14 state. So there is ample evidence to suggest consistency
15 between the studies at the national level and the studies
16 in California.

17 And then if we look at the Teachers' cohort, the
18 risks there are very large and elevated. And although
19 there can always be the chance finding from one study, the
20 strength of that association is one indication of a
21 potentially real effect that's at work between air
22 pollution and mortality.

23 DR. SAMET: I think just to interject, two
24 comments on this thread.

25 One is that it is unusual to have an elevation in

1 cardiovascular disease mortality and not to see that carry
2 through. And it sounds like you're exploring what within
3 the data set may be leading to this overall null finding
4 for total mortality. But I think it does need further
5 exploration and understanding. So it sounds like you're
6 on that track.

7 PANEL MEMBER JERRETT: We're working very hard on
8 that and have not finished that analysis. And I want to
9 emphasize these are preliminary findings, but I felt we
10 wanted to give the most recent science possible to inform
11 the Air Resources Board.

12 DR. SAMET: To the same point then --

13 PANEL MEMBER ENSTROM: If I could just follow-up.

14 If you include the Zeger and Samet study and you
15 limit it to California, which hasn't been specifically
16 presented, but if that data was presented, then I'd think
17 you'd have the three by far largest studies showing no
18 effect on total mortality in California. So I think that
19 should be addressed fairly quickly, because it's important
20 in terms of the relationship to premature deaths overall.

21 I'm not questioning any variation in specific
22 causes that you found. But in terms of total mortality
23 which has been used to estimate premature deaths, I think
24 you've got three very large studies now indicating no
25 effect in California.

1 PANEL MEMBER JERRETT: I think that Dr. Cohen's
2 presentation, which is based on a large group of experts,
3 shows that they have decided not to use total mortality in
4 the burden of illness estimates.

5 DR. SAMET: I think there's two issues --

6 PANEL MEMBER JERRETT: I think the focus is on
7 cause-specific mortality, and I think that's something
8 that we need to do when we look at these burden estimates.

9 But Dr. Samet is right that we need to
10 investigate further why we're seeing these results. I
11 don't think we can generalize anything from the Zeger
12 study at this point until the estimates are made
13 specifically.

14 DR. SAMET: Well, I think two points we ought to
15 take away for the discussion.

16 One is total versus cause-specific mortality and
17 what is more important.

18 And second, and I think this is a point for the
19 Air Resources Board, is whether there should be explicit
20 reliance on evidence generated within the state or how
21 evidence generated within the state is interpreted in the
22 broader context, which is what I think you were alluding
23 to, Mike. And I think those are important points for
24 discussion.

25 Aaron, to this point?

1 PANEL MEMBER COHEN: To this point on total
2 versus cause-specific mortality, I'm not sure what is the
3 most appropriate thing for California to take in this
4 regard. But I am sure that for the Global Burden of
5 Disease comparative risk assessment we have to focus on
6 cause-specific mortality for the reasons I gave, which is
7 the aggregate of all natural cause mortality means
8 different things in different places of the world and
9 relates differently to the evidence on the health effects
10 of air pollution in different places. And in general, in
11 the Global Burden of Disease project, for that reason,
12 what's called highly aggregated health end points, like
13 all-natural cause mortality, is to be avoided.

14 DR. SAMET: Good. I think, Tom, did you have
15 comments?

16 PANEL MEMBER HESTERBERG: I'm Tom Hesterberg,
17 toxicologist with Navistar, Inc.

18 The question I had -- I'm a toxicologist. I'm
19 not an epidemiologist. But I have read and there is a
20 publication by Boffetta Paolo, who's the head of
21 epidemiology at the International Agency for Research on
22 Cancer. In that publication, he indicated in these types
23 of ecological studies that it's plausible -- very
24 plausible with all the uncertainties and potential
25 confounders that if you have a relative risk less than 1.5

1 to this range that could be very well be residual
2 confounding or unknown confounding.

3 And kind of a follow-up on that and along those
4 lines, I know that the studies that have been presented
5 today have addressed other copollutants. But those are
6 only the copollutants that are measured at the monitoring
7 sites. There are many other copollutants that go up and
8 down with PM that are known toxics and known carcinogens
9 that have not been taken into account. How would you take
10 those into account if they're not being measured?

11 DR. SAMET: Let me ask, because you raise a
12 number of issues that I know many people around the room
13 have been thinking about. EPA has certainly considered
14 these as well in their document. So would someone like to
15 respond?

16 Dan, are you shifting forward?

17 PANEL MEMBER KREWSKI: That's a really important
18 point. And Palo's results do indicate that if you have
19 ecologic variables -- it's a really important point that
20 you're raising about the potential for confounding by the
21 different variables that may be related to air pollution.
22 And if you have ecologic indicators of those variables,
23 which means not at the individual level but for a group of
24 individuals in a common area, the problem becomes even
25 more important.

1 I think the results that Palo was describing are
2 probably more for when the variables are primarily
3 ecologic. But in the ACS cohort, we have several hundred
4 individual variables: Smoking, diet, BMI, occupation.
5 Those are all for each individual. And the only ecologic
6 covariates are largely the pollution measures and also
7 some of the socioeconomic and demographic variables that
8 we include as extra assurances for avoiding confounding.

9 In our first reanalysis, we went to great pains.
10 We looked at hundreds of variables. We looked at I think
11 it was 20-plus ecologic covariates. We looked at every
12 pollutant we could get our hands on. We constructed
13 occupational exposure indices, put together whole suites
14 of individual compounds that could be lung carcinogens,
15 respiratory irritants adjusted for everything under the
16 sun.

17 And by and large, after -- I hate to use the
18 phrase torturing the data that way -- you know, we found
19 that most of those adjustments didn't have a big impact
20 largely because the original data are with our baseline
21 model adjusted for over 40 individual covariates.

22 So a good point. But because we have a
23 semi-ecologic study with many of the variables being
24 individualized, we can do a much better job for adjusting
25 for residual confounding.

1 Hope that helps.

2 DR. SAMET: Suresh.

3 PANEL MEMBER MOOLGAVKAR: On the same point, I
4 think that's a very good point you made.

5 And I would point out to you, Dan, that when you
6 looked at your 2000 study that the strongest association
7 was found not with fine PM, not with sulfate, but with
8 sulfur dioxide. And that when you did a joint pollutant
9 analyses, the PM2.5 and the sulfate signal became
10 statistically insignificant and the sulfur dioxide signal
11 remained robust and strong.

12 And the repeated analysis of the ACS II data both
13 by you and by Arden simply did not acknowledge that fact
14 and did not do any more joint pollutant analyses. And I
15 heard various explanation given for this, namely that
16 sulfur dioxide is a precursor to sulfate and so on. But I
17 don't understand why it would be a better marker for
18 sulfate exposure than sulfate itself.

19 And so that finding is an embarrassment to the PM
20 mortality hypothesis, but it needs to be explained and has
21 not been explained. And your 2009 HEI report was also
22 criticized I think. One of the critical comments was you
23 didn't try any multi-pollutant analyses in that report.
24 So I think that point really needs to be addressed.

25 PANEL MEMBER KREWSKI: We haven't yet published

1 the results yet, Suresh. But one of my graduate students,
2 Roxanne Lewis, did her thesis where what I challenged her
3 to do was take the six criteria air pollutants and say to
4 herself, well, suppose PM2.5 is the primary pollutant.
5 And let's adjust PM2.5 for each of the other five criteria
6 pollutants and go through an exhaustive model building
7 process to bring in all of the other individuals and
8 ecologic covariates. And then I said, let's put that
9 aside. That's chapter one.

10 And write chapter two, assuming that ozone is the
11 primary pollutant. And then bring in one at a time and
12 then multiply the other copollutants and other variables
13 and sequentially work her way through carbon monoxide and
14 SO2, SOX. And she's done that. And actually I think
15 that's exactly what you're wondering. If you'd like to
16 see those results, they are available in her thesis. We
17 have a draft paper. It's quite an exhaustive analysis.
18 And it's a little too complex for me to summarize here,
19 and I don't have the paper in front of me.

20 PANEL MEMBER MOOLGAVKAR: Yeah, well, I trust
21 your analyses, Dan.

22 But by and large, I don't like this trust me
23 science. This is one of the reasons that data on which
24 published peer reviewed publications are based. And
25 specifically those peer reviewed publications are then

1 used for regulation. Those data should be freely
2 available to all stakeholders. And these data sets have
3 not been made available. That I think is an important
4 consideration.

5 PANEL MEMBER JERRETT: Could I go back to the
6 sulfur dioxide issue, if I may?

7 DR. SAMET: Go quickly. I sort of promised Roger
8 the next here.

9 PANEL MEMBER JERRETT: Basically, we did explain
10 in the reanalysis report that the robustness of the sulfur
11 dioxide effect is probably because it's an indicator of
12 near-source industrial emissions, many of which would be
13 particles with a high sulfur content and that I think when
14 people are in closer proximity has a much tighter range of
15 variation. And it's a much better marker of individual
16 industrial emissions. So it doesn't necessarily discredit
17 the PM hypothesis. It strengthens it and shows a
18 different type of exposure metrics is giving us more
19 robust results.

20 DR. SAMET: And maybe just as a road map for the
21 public, the discussion here is really about how do we know
22 which of the different pollutants that people are
23 breathing in is the important one. And we use these
24 statistical tools, are they helping us and to what extent
25 helping sort this out.

1 Roger.

2 PANEL MEMBER MC CLELLAN: I have some specific
3 comments and I have more general ones.

4 I want to do the specific, because they relate to
5 the ACS, which let me say I marvel at the richness of the
6 data set, but I find it deplorable, despicable that the
7 ACS limits its use.

8 Having said that, I no longer make contributions
9 to the ACS because I do not find that acceptable.

10 I think, Dan, going to your comments about -- I
11 don't know when it becomes ecologic, semi-ecologic.

12 But I'd like for you to say more about what you
13 said were some of the individual level variables which I
14 think are available only in a portion of the population
15 and are pretty skimpy.

16 I'd ask people here do you remember how much
17 lettuce you ate in 1980? How about 1990? You take any
18 other variable like that -- do you, in fact, even recall
19 your income in 1980 or 1970? Do you recall your parents'
20 income? All of those are important factors.

21 But Dan, could you say more about the ACS cohort,
22 which it was assembled not for this purpose at all but
23 fortuitous for us it was assembled for our purposes and
24 carry it forward. Because I think there's a key
25 information in there that sometimes we overstate in terms

1 of just how strong that data is in terms of smoking,
2 income, place of residence, so on.

3 So with that as an opening, maybe you can
4 respond.

5 PANEL MEMBER KREWSKI: Thanks, Roger.

6 It's probably a good idea to characterize what
7 the ACS cohort was originally set out to do and what types
8 of information was included in the original design. The
9 cohort was enrolled just around 1980, I think between 1979
10 and 1982 to be precise, over a period of several years.
11 There are over 1.1 million people in the cohort.

12 The questionnaire for the ACS cohort -- if you
13 were a cohort member, Roger, we could invite you to an
14 interview and ask you all kinds of questions about how
15 much lettuce you ate and those sorts of things that you're
16 imagining.

17 PANEL MEMBER MC CLELLAN: But it was self
18 enrolled; right?

19 PANEL MEMBER KREWSKI: Yeah, self selected.

20 So let me come to the representativeness as a
21 separate issue.

22 So if you look in the first report we did for HEI
23 in 2000, you'll see the entire questionnaire right there.
24 That's all of the data you have on each of the
25 individuals.

1 Now, there are some limitations. Smoking data is
2 largely at baseline. There is some data that is gained by
3 follow-up, particularly residents' histories on a
4 sub-cohort of approximately 200,000 individuals where we
5 know the residence in 1980. Follow up in 1990, a decade
6 later, where you lived and every few years through the
7 present time. We have a house that you may have moved
8 into. That's good for getting residents' histories and
9 getting retrospective profiles that might be
10 time-dependent. So that's one strength of that
11 sub-cohort.

12 PANEL MEMBER MC CLELLAN: There's about 20
13 percent of the total?

14 PANEL MEMBER KREWSKI: It's about 200,000 people
15 in round figures out of a total of 1.1 million. So that's
16 roughly what the cohort is designed to do.

17 There are at least 140 individual covariates that
18 we've been working with. So it's fairly rich in terms of
19 individual data.

20 Is that enough, just to get the discussion going?

21 DR. SAMET: Roger, back to the general comment
22 level.

23 Fred, did you have comments you wanted to make?

24 PANEL MEMBER LIPFERT: Yeah, Dan, very quick
25 question. Is your student working at the SMSA level?

1 PANEL MEMBER KREWSKI: I think Roxanne's thesis
2 was based on the SMSA level analysis as opposed to the ZIP
3 code level.

4 PANEL MEMBER LIPFERT: I strongly urge you to
5 look at the local level. SO2 and CO2 pollutants looking
6 at them or multi counties is doomed to failure right from
7 the beginning. It's a waste of time.

8 It's amazing given that that SO2 showed as
9 strongly as it did, as Suresh pointed out. In one of my
10 slides this afternoon, I will show you how we have
11 determined that SO2 is highly correlated with elemental
12 carbon when you look at the whole country, and it's likely
13 coming from traffic or perhaps from heating sources. So
14 maybe it's not so strange. The scale is important, number
15 one.

16 On torturing the data, you haven't Water Boarded
17 it yet. There are two variables I can tell you about,
18 that we have a veterans' study, both of which are highly
19 significant. One is latitude which we represent by degree
20 days. You probably read in the paper about vitamin B
21 being important. That's a latitude phenomenon. So
22 specifically in California with respect to Michael
23 Jerrett's study when you're contrasting northern
24 California with southern California, you need that
25 variable. It's highly important in the veterans.

1 And the other one I'm not so sure is important,
2 but we threw it in because we had it, is individual height
3 which as you know is a predictor of lung function. That
4 is very important. So we have more torturing to do.

5 There were two instances today of a veterans'
6 study appearing on a slide, which I'm grateful for. That
7 doesn't happen very often. But it's not appearing
8 correctly. The quote is correct. There is a paper that
9 looked at two periods, 1989 and later, but there are three
10 or four papers that look at the whole period from 1976 to
11 2001 in which PM2.5 is strongly negative. It's
12 significantly negative in some cases and depends on which
13 data you use.

14 But in our first paper, we use the same data that
15 Art did, because he got it from me. And so that was a
16 nice comparison. The reason it's negative is because
17 sulfate is negative. And we have been asking ourselves
18 why do our veterans thrive in high sulfate communities in
19 the northeast? Well, they do and I don't know why. But
20 to characterize the veterans' study as supporting PM2.5 as
21 a cause of mortality is incorrect.

22 Finally, on the question of all causes -- and
23 Michael, I appreciate what you've done. You've shown the
24 ways to a lot of us on many of these analyses.

25 But I have to disagree with what I perceived as

1 not being blind to the source of funding. What I heard
2 you say -- and I hope you'll tell me it's wrong -- that
3 when you got a negative result, you said, well, we have to
4 find out what's wrong with this. You didn't say that
5 about the positive result. And that's something that I
6 find inappropriate.

7 Why would you think finding something negative is
8 more out of line than finding some large positive result?
9 You have to look at everything as carefully as you can.

10 And when I see results as in the Oster study,
11 which I don't know much about which seems to imply -- and
12 some of yours do, too -- that air pollution is just as
13 harmful as smoking, I have a lot of problems with that.

14 So those of us that are working with industrial
15 money are acutely aware of this problem. When we find
16 that sulfate result or SO2 result for nickel, we report
17 it, even though some of our funding is coming from people
18 who emit those pollutants.

19 So I think people who take government money have
20 an equal opportunity and equal responsibility to look at
21 the high spots as well as the low spots.

22 End of sermon. Thank you.

23 PANEL MEMBER JERRETT: Well, we look equally hard
24 at negative and positive findings. I didn't mean to infer
25 that because this was negative we weren't going to -- we

1 were going to give it some extra level of scrutiny.

2 But it was puzzling to us why when we were seeing
3 causes of death that account for about half of the total
4 deaths having such significantly elevated rates why we
5 would not see that reflected in all-cause mortality. So
6 it had nothing to do with it being a negative result.

7 I think the fact I've shown it here today even in
8 a preliminary form has suggested I'm going to always
9 present the results I have as honestly as possible. And
10 you're going to get the negative and positive ones as
11 well, regardless of funding source.

12 PANEL MEMBER LIPFERT: I'm very happy to hear
13 that. But yours is not the only one that's done that. In
14 the literature, there are other examples where all cause
15 is essentially nil and some cause is high, what you hear
16 about is the high cause and what you don't hear about is
17 the negative that's lurking out there somewhere that has
18 to be there to make the all cause come out as low as it
19 does. So we all know that cause of death data is not very
20 good, especially in older people. And I think this is a
21 philosophical point that we all need to wrestle with.

22 DR. SAMET: Couple of points. Just to put a name
23 on what Fred is talking about, we're well aware of the
24 phenomenon of publication bias, which is the greater
25 likelihood that what is in the literature is both

1 statistically significant and positive.

2 I just want to make a comment to maybe Dan who's
3 going to talk at the end. I think one other issue that
4 has surfaced in this suggestion and probably merits some
5 thought by the group is time periods. I mean, we talk
6 about studies that go back into the 70s and 80s. It is
7 2010, as was pointed out, and we know the air pollution
8 source mixture in the United States and presumably in
9 California has changed.

10 So I think we've talked about spacial differences
11 and their relevance to interpreting findings elsewhere for
12 California. I think we should talk about time as well and
13 think about to what extent these studies can provide more
14 recent looks at what the risks may be. I think Arden got
15 to that a little bit in some of his studies.

16 Michael.

17 PANEL MEMBER LIPSETT: My name is Michael
18 Lipsett. I'm with the California Department of Public
19 Health.

20 I'm a co-author with Bart Ostro on the California
21 Teachers' Study. And I want to say a little more about
22 this because Bart's analysis in that was a really small
23 sort of sub-analysis just trying to look at which of the
24 components of PM2.5 might be associated with higher
25 estimates of risk. And it was I think from that

1 standpoint it was meant to be sort of a semi-qualitative
2 look at, well, if you see organic carbon and sulfates
3 showing up more strongly associated with outcomes than,
4 say, some of the other elements, that was the main purpose
5 of that. The larger study is actually under review and
6 journal right now. But I'll tell you about it and what
7 some of the results are.

8 As Mike Jerrett said, the California Teachers'
9 Study was initiated in 1995. It was a consortium of
10 university and what was at that time part of the
11 California Department of Health Services. The main data
12 center is at USC where Dr. Samet is the chair of the
13 department. And the cohort was established initially to
14 look at breast cancer incidents.

15 And a number of years ago, Dr. Ostro and I made a
16 proposal to the Steering Committee of this group to try
17 and construct long-term air pollution exposure estimates
18 at the teachers' residences, which have been geocoded.
19 And we developed monthly estimates using pollutant
20 surfaces for the state of California that were generated
21 by staff here at the Air Resources Board I think using
22 inverse distance weighting. So what we had in this is
23 estimates going back really to 1995, except for PM2.5.
24 PM2.5 throughout California was not measured really on a
25 statewide basis until 1999.

1 Okay. So we have these monthly pollutant
2 estimates, and we have a lot of those individual
3 covariates as they have in the ACS studies. And we've
4 also included neighborhood variables or contextual
5 variables like in the neighborhoods that these teachers
6 live, looking at income, unemployment, this sort of thing.
7 And overall, what we end up with -- and I'm not going to
8 give the detailed results, because I didn't come here
9 intending to make a presentation about this.

10 But the overall PM2.5 results are very close to
11 those of the Women's Health Initiative. And they're not
12 as high as in the sub-analysis that Bart had published,
13 but they're very consistent with those.

14 And also wanted to mention the Chen study, too,
15 which is only looking at effects in women. The Women's
16 Health Initiative for our study, which is only women, and
17 the Chen study, which is only women, do tend to be higher.

18 And to get back to John's point -- I'm sorry to
19 go on so long about this, John -- was that these data that
20 we have for PM2.5 in this analysis go from -- we started
21 the exposure estimates in 1999 going through 2005 and the
22 event follow-up starting in 2000 to 2005. And we ended up
23 with -- but these are monthly estimates. They're not like
24 a lot of these other studies, the Harvard Six Cities
25 Study, the American Cancer Society Study. You're looking

1 at SMSA, the monitor. You're looking at California's
2 entire monitoring network to develop these estimates.

3 And the people were limited as to whether they
4 could be included in the analysis depending on the scale
5 designation of the monitor. So say for things like NO2
6 and CO, they were really narrow areas. They were not
7 included if they lived beyond five kilometers. For PM2.5,
8 it was like 20 kilometers.

9 DR. SAMET: We'll look forward to the paper.
10 Let's continue with general comments I think.

11 Roger, we're back to you, I think.

12 PANEL MEMBER MC CLELLAN: Well, just one bit on
13 that.

14 In terms of this time period on it, I appreciate
15 the precision and the efforts that are going into that
16 current study. But I think we -- at least my personal
17 view is the disease burden we're talking about has now
18 manifested in terms of -- as one of the individuals noted
19 very late in life. That is a disease burden associated
20 with a lifetime of experience. And so I think it's very
21 important when we look at these indicators as in the ACS
22 Study of exposure for a particular time period we
23 recognize that perhaps we're not even looking at the most
24 significant time period there, that that occurred before
25 they even enrolled in the study, recognizing as I recall

1 the age of that.

2 I want to just make a couple of general points.
3 And John's already noted life is risky. And the
4 probability of death for all of us is one for population.
5 The question is not if people die, it's when they die and
6 what they die of or what is associated with death. And I
7 think we need to recognize that that's a pretty soft
8 statistic. When they die is not. But the associated
9 indices in terms of the death are very soft.

10 In general, individuals and societies value life
11 span. The value then becomes a relative role of the
12 multiple factors that impact life span for individuals and
13 populations. Those that have a negative impact -- and I'm
14 always pleased to see Arden Pope's presentation and see
15 where cigarette smoke fits into that. That gives a
16 benchmark to somebody looking at that data and especially
17 to members of the public. But we also know others in
18 terms of alcohol, being poor. We know those that have
19 positive impacts: Education, income. And then also a
20 host of factors. And quite frankly, I'll say most of the
21 time they're in the noise level. And that's what we're
22 talking about here.

23 But we as a group tend to put a magnifying glass
24 on and focus on not just air pollution, we're now talking
25 about PM2.5, one constituent within it. I think we do a

1 disservice to society with that. And the problem we have
2 is, you know, we're talking at a discussion today that's
3 the interface of science and public policy. That's a very
4 uncomfortable piece of turf. Uncomfortable for
5 scientists. They don't want to get too far over into the
6 policy side. It's very uncomfortable for policy makers
7 and politicians. But we need to recognize that's the turf
8 we're talking about. And quite frankly, we need to have a
9 more robust discussion there in terms of my view of
10 emphasizing that science needs to inform these policy and
11 political decisions.

12 At the end of the day, they are judgments that
13 end up being made by policy makers and politicians. If
14 they're going to make judgments to the public good, they
15 need to see the total landscape, not just looking with the
16 magnification lens on the issue.

17 So I urge CARB to take a harder look at what it
18 is we're talking about and all the studies in terms of
19 what were the covariates that were examined, whether we
20 want to call them confounders or other risk factors, what
21 were the magnitude of those, both on the positive and on
22 the negative.

23 I find it interesting when I see a data set
24 analyzed and ozone is way up at the top, but in fact when
25 I see the data set examined later another pollutant is

1 there. But most importantly, I think we have to start
2 looking at these in a broader context if politicians and
3 policy makers are going to make decisions and going to
4 have a positive impact on the public good.

5 And I'll admit my views are somewhat emotional
6 and shaded. But quite frankly, today, the most important
7 thing that I see for people is having a job and income.

8 DR. SAMET: So what office are you running for?

9 (Laughter)

10 PANEL MEMBER MC CLELLAN: I recognize that curve
11 in terms of survival, so I've elected to not run for
12 long-term office.

13 DR. SAMET: There was an appeal that we all turn
14 off cell phones. The mikes are periodically beeping. If
15 you could do that, I just set the example.

16 Bob, do you have comments?

17 PANEL MEMBER PHALEN: Probably if I was smart,
18 I'd just yield to Aaron Cohen, but I'd like to just share
19 another piece of information.

20 If we take the current PM standard and we have a
21 person breathing it at that standard 24 hours a day for 80
22 years, an autopsy, you would probably find somewhere
23 between two-tenths and two-and-a-half grams of material in
24 the lung. The lung has a surface area of about the same
25 as this room we're in, the floor we're in.

1 So as a toxicologist, I'm just confounded by some
2 of these positive associations. And you see them over and
3 over in hundreds of studies.

4 That was the comment I wanted to make.

5 DR. SAMET: Thanks. I'm just going to keep going
6 around to see for general comments.

7 PANEL MEMBER MOOLGAVKAR: I see we're almost
8 about to break for lunch, and I do have one general
9 comment. And that is about the use of the Cox
10 proportionate hazards model and the statistical analysis.
11 This has become ubiquitous. Most epidemiologists or all
12 epidemiologists use this model, but I believe that when
13 looking at long-term epidemiological studies, the kind of
14 studies that we are looking at in air pollution studies,
15 basic assumptions of the model often do not hold. They
16 essentially do not hold for potentially strong confounders
17 such as cigarette smoking and possibly for other
18 confounders or potential confounders like BMI.

19 So I think we need to look for other methods for
20 analysis of data. I don't care whether it's random effect
21 in Cox modeling or whatever. The basic assumptions of the
22 Cox model do not hold.

23 DR. SAMET: Just going back for a minute to
24 Melanie.

25 PANEL MEMBER MARTY: Just in response to the

1 comment about what you see in the lung is not what you've
2 received over your entire lifetime. It's a constant
3 exposure. Not everything is going to sit there and stay
4 there for the rest of your life. So we've heard the same
5 argument about asbestos as well. We don't see that as
6 well. Actually, you see quite a bit. But it's not -- the
7 particles, particle load you see at autopsy is not
8 representative of everything that's gone into your lung,
9 because there's clearance mechanisms.

10 DR. SAMET: Otherwise, our lungs would fill up.
11 How's that -- to this point?

12 PANEL MEMBER LIPFERT: I agree with that, and I
13 just wanted to point out that I alluded to earlier that we
14 looked at four separate sub-periods within the Veterans'
15 study, about eight years each. You can't slice it much
16 thinner than that.

17 And to support what you're saying, if we
18 calculate enough of air pollution deaths in each period
19 and sum them, we get numbers that are different to what
20 you get when you run all 26 years at once, which supports
21 exactly what you're saying.

22 Now, we also find there are substantial
23 differences by age and that the biggest long-term impacts
24 are in the middle ages.

25 PANEL MEMBER MOOLGAVKAR: Well, Arden also points

1 out the difference with age that the risks go down.

2 PANEL MEMBER LIPSETT: And there are also
3 differences by race. Caucasians are sensitive to
4 different pollutants than African Americans.

5 And we are finding that -- I had one other point
6 which just left me. I'll let it go at that.

7 PANEL MEMBER MOOLGAVKAR: Jim Enstrom's findings
8 also support that point of view, because he finds
9 different relative risks in the different periods. But
10 I'm not talking just about the pollution effect. I'm
11 talking also about how you control strong confounders.
12 Just because you put in 25 tons for a confounder doesn't
13 mean that you controlled it adequately.

14 PANEL MEMBER LIPSETT: There's right. And our
15 original model in the Veterans' study had 206 interactions
16 in it. We had age interactions, body mass, blood
17 pressure, and a few other things. And those terms are
18 significant. So we think we've addressed that concern.

19 I also have to say that because we were running
20 so many analyses, we ran some short ones and took the
21 interactions out and it didn't make a whole lot of
22 difference. But the time factors do. And the reason they
23 do in my opinion is because all of these variables were
24 measured in entry to the study. And 20 years later, they
25 are different.

1 The amazing thing that we find if we use AIC as a
2 measure of model, it improves over time. So when we get
3 down to the survivors in 1997, those guys fit the model
4 much better than the earlier ones did. But then they're
5 survivors. So perhaps there's less variation in their
6 personal characteristics. But we have considered that and
7 I wish others had as well.

8 DR. SAMET: Jim.

9 PANEL MEMBER ENSTROM: I'd like to amplify on the
10 point that Roger McClellan made and that I pointed out in
11 one of my slides regarding HEI policy, that I really think
12 the time has come for HEI to force the American Cancer
13 Society to produce some type of a redacted data set that
14 is sort of the same model the National Center for Health
15 Statistics uses so this data set can be independently
16 analyzed by as many people that legitimately should be
17 able to analyze it.

18 And until that day comes, I think people should
19 stop supporting the American Cancer Society. I completely
20 agree with Roger on that point. This is a travesty in my
21 mind, given the importance and the economic consequences
22 that are associated with this database.

23 Thank you.

24 DR. SAMET: Could you please address this, Dr.
25 Greenbaum?

1 PANEL MEMBER GREENBAUM: Sure.

2 You put up a part of our long-standing policy of
3 providing access to underlying data which actually
4 predates the Shelby amendment which was passed by Congress
5 in the wake of the 1997 air quality decisions.

6 Two things.

7 One, it may surprise everybody in the room, but
8 despite that long-standing policy, we have only in the
9 last month received the first request ever for us to
10 provide access to that data, to any data for an HI study.
11 I'd like to think that's partly because our studies are
12 reported in a very comprehensive fashion. But we had not
13 been asked to provide this kind of access for some
14 extended period of time.

15 Having said that, we treat the issue of data
16 access extremely seriously. We have already replied to
17 the people requesting that data that we intended to pursue
18 whatever means we can to do that. We do not own the data
19 and we don't have statutory power over the American Cancer
20 Society.

21 And there are -- I would definitely agree with
22 you there are different levels of aggregation of the data
23 that might or might not satisfy different people and might
24 allow for different levels, but might or might not be
25 allowed permission by the Cancer Society. But I don't

1 disagree that it would be valuable to try to make access
2 to data available, and we're going to be making our best
3 efforts to do that. And we haven't done that before
4 because we had never been asked for the data.

5 I do have another general comment, but I don't
6 know if you --

7 DR. SAMET: Just to amplify on one point.
8 Actually, HEI did support distribution of the NMMAPS data
9 through a website. Last time I looked, I think over 20
10 peer-reviewed publications had resulted from people
11 accessing those data. And even before we did that, we had
12 made data available -- albeit these are national data sets
13 that we had done the work of reducing them -- Dan,
14 continue with your other.

15 PANEL MEMBER GREENBAUM: That's a good point.

16 The IHAPS database, which many people have used,
17 obviously has -- did provide the opportunity to do this.
18 And we've actually made data sets available on exposure
19 and other things on the web. So we're very committed to
20 trying to do that.

21 I will say even that data set, however, has now
22 hit a wall in terms of how it can be updated for
23 mortality, because the National Center for Health
24 Statistics can no longer make that data available without
25 the specific approval of each individual state that

1 provides the data. And so even investigators who want to
2 get the data from what was a central public source are
3 having more difficulty doing it. We've been working
4 actually with EPA and investigators to gain/regain access
5 to that data for newer years, because it is very
6 affordable to have that.

7 I wanted to make a broader point following up on
8 a couple of things that were said earlier. And it has to
9 do with the good news and the bad news of whether people
10 in the ACS cohort ate lettuce in 1980. The good news is
11 that actually -- and I don't think you intended this
12 Roger, but it may have been interpreted by those who are
13 less familiar with this -- nobody has been asking now of
14 those people whether they were eating lettuce in 1980,
15 because nobody would suggest that they could remember
16 that. But those questions were asked in 1982. So
17 theoretically people knew what they were eating in 1982 at
18 that time.

19 The bad news and the challenge for all of us
20 and -- it's a broad challenge -- is 1982 was a long time
21 ago. And you made this point. I think it falls into the
22 category that as these cohorts that we're dealing with
23 age, their utility for doing these kinds of analysis
24 declines. And we've made some of those points in the
25 Medicare analysis, which Scott Zeger and others at

1 Hopkins, including John Samet, reported. There was no
2 evidence -- an association in the over 85 group. You
3 could interpret some of the further follow-up in the CPS I
4 data that Jim Enstrom did as suggesting that. And it's
5 because we all do die of something eventually.

6 And even in the most recent ACS analysis,
7 interesting enough, education which seemed to play a very
8 important modifying role in the early reanalysis with six
9 years of follow-up no longer played that kind of role.
10 And that may be also a function of this.

11 So I think the one point interpretation I wanted
12 to say is we need to be a little careful if we try to say,
13 gee, the most recent follow-up in these cohorts is not
14 showing an effect. So there is no longer an effect. It
15 may be true there is no longer an effect in that
16 population in that cohort, but it's not quite the same
17 thing as saying there's no longer effect in the general
18 population. We can argue over whether there is an effect.

19 But I think it's important for us -- and I made
20 that point that what it needs going forward is to figure
21 out how to get a younger cohort, because this kind of data
22 can only be tortured, Water Boarded, or whatever for so
23 long before it's not cohesive.

24 DR. SAMET: Let me just check. How many
25 commenters -- we've heard a lot from the left and on the

1 right, I just --

2 (Laughter)

3 DR. SAMET: On the right, I think Mike and Arden.

4 So Arden, go ahead.

5 PANEL MEMBER POPE: Mine's quick. I just want to
6 amplify what Dan said there.

7 This is critically important. And one of the
8 reasons when I presented the results is try to show what I
9 could where they looked at longer follow-ups and older
10 aged individuals. It's important we understand that as
11 individuals age and as you follow-up the cohort, for a
12 number of reasons -- one is because of susceptibility.

13 The other is because the baseline risk is right,
14 so the relative risk will be going down or could be.

15 The other is the reason that Dan has discussed
16 briefly is now we're having more and more exposure
17 measurement as we move away from the time when we actually
18 enrolled them. So this is critically important.

19 And with regards to cigarette smoking, you see
20 precisely the same thing happening. In fact, the relative
21 risks drop fairly substantially after about 40 or 50 years
22 of age for cigarette smokers. And many of you know this.
23 I know John knows this very well. Suresh knows this very
24 well. The relative risk of smoking drops as you age.

25 So I just want to make that point, and it's

1 important to understand that just following the ACS cohort
2 isn't going to be good enough. In fact, we maybe followed
3 them maybe even too much.

4 The other point I want to make quickly, and that
5 is there have been no hiding of results in these studies.
6 There's no embarrassment about SO₂, for example, from the
7 ACS Study. The reason that that is pointed out is because
8 it's very well reported in the reports. In the 2002 JAMA
9 paper, the figures show SO₂, SO₄. I'll just read very
10 briefly that the results section -- I'll just read the
11 first line of results. "Fine particulate and sulfur oxide
12 related pollution are associated with all-cause lung
13 cancer and cardiopulmonary." There's no question that
14 there's correlation between sulfur oxide pollution and
15 PM_{2.5}. We know that. We report that. And we'll continue
16 to do so.

17 I will admit right up front I don't fully
18 understand which constituents of these combustion-related
19 pollutants -- which constituents are most responsible for
20 the health effects. We just don't know that yet. But we
21 do know over and over and over again if you analyze
22 cardiopulmonary or cardiovascular disease mortality with
23 these indices of disease-related pollution, you see the
24 effects.

25 Thanks.

1 PANEL MEMBER MC CLELLAN: I want to address one
2 point Arden just made, and that is you said relative risk.
3 I think we've implied this -- Suresh implied this, but
4 we've become married to risk. My God, don't we have any
5 other ways to look at this data and present it? I think
6 we've really become so wrapped up in the model that it
7 becomes the message. And we need to be taking a broader
8 view of it.

9 DR. SAMET: Hold that as a general point. I
10 think Mike and then George and then lunch.

11 PANEL MEMBER JERRETT: I'll try to be as quick as
12 I can.

13 I guess first a response to Roger on the issue of
14 why air pollution is important compared to other risks.
15 Air pollution effects everyone in our society. Smoking
16 does not. So very large relative risk on smoking. If the
17 prevalence in California is 13 or 14 percent, may have a
18 lower burden of illness across the population than a
19 smaller risk that effects the entire population.

20 PANEL MEMBER MC CLELLAN: I fully understand
21 that. One of my parents died of COPD. One died of lung
22 cancer. You probably have no more ardent anti-smoking
23 spokesperson than I am.

24 You're missing the big picture, Michael. The big
25 picture. I'm not talking about smoking. I'm talking

1 about all these other risk factors that fit in. And most
2 importantly, income.

3 PANEL MEMBER JERRETT: With all due respect,
4 Roger, I think nobody has been more comprehensive in
5 looking at relative contribution of all those risk factors
6 in all those air pollution studies than I have. So --

7 PANEL MEMBER MC CLELLAN: Unfortunately, you
8 don't always report them in your paper. You said, "I
9 analyze for them." Put them out in front so people can
10 see them and understand them.

11 PANEL MEMBER JERRETT: They're there but --

12 PANEL MEMBER MC CLELLAN: Talk about how
13 important they are.

14 PANEL MEMBER JERRETT: I have an important
15 statement that was sent to me by the American Cancer
16 Society. And that is that the American Cancer Society
17 appreciates and fully supports the value and independent
18 analysis, particularly when it comes to issues of public
19 health for which there are political and commercial
20 interests. This is why such examination was undertaken
21 regarding the American Cancer Society's CPS II analysis of
22 air pollution, the most influential study of small
23 particular air pollution, particularly the Pope study.

24 Because the geographical location is a key
25 component of the analysis, there is no way to de-identify

1 the data. The geographic address is the key to the
2 analysis. Releasing the data publicly would expose the
3 American Cancer Society to charges of unethical practice.
4 It's hard to imagine an institutional review board that
5 would sanction the release of data in which names and
6 addresses are included.

7 Instead, in order to remove potential bias from
8 those with special interest, the Health Effects Institute
9 in Boston, an independent nonprofit organization that
10 deals with scientific research on air pollution, was
11 chosen to oversee an independent analysis. The group's
12 solicited applications to reanalyze the Pope, et al, study
13 as well as the Harvard Six Cities Study. The university
14 of Ottawa led by Dan Krewski was selected. The
15 replication project closely examined the data quality and
16 independently replicated the analysis really to the third
17 decimal place in almost every single analysis. It was
18 amazing to be part of that to see the incredible job that
19 was done by Harvard University and by Dr. Pope of Brigham
20 Young.

21 So there have been a number of publications based
22 on these reanalyses. And I think if we look at federal
23 data standards for the release of micro data, the last
24 time I looked it's in aggregation of 60,000 or more per
25 geographic unit. Many of the metropolitan areas in the

1 ACS have far less than 1500 people represented. So you
2 would redact probably somewhere on the order of half the
3 data before you even could get access to it.

4 So my major point is that these are very delicate
5 data that could affect the lives of over a million people.
6 They cannot be released publicly without a great deal of
7 scrutiny and through the proper review processes. And
8 that's for the ACS to decide I think, because they're the
9 ones that have gone to the trouble of collecting the data.

10 DR. SAMET: So let me just comment that there's
11 certainly many people in the world worrying about sharing
12 of data, access to data, privacy, confidentiality. And I
13 think we'll have to see what happens, where ACS moves.

14 They're starting a third study that may be very
15 important. And I think these are big broad issues.

16 George.

17 PANEL MEMBER THURSTON: Just a quick comment.

18 Dr. Moolgavkar raised the issue of the Cox
19 proportional hazards model and its fit of smoking as a
20 concern in these analysis. But the biggest effects and
21 the most significant effects we see are no non-smokers
22 where that is really a non-issue.

23 Thank you.

24 DR. SAMET: So let me just -- housekeeping for
25 questions. If you have questions, fill out a purple card,

1 symposium question card, and turn it in at the desk.

2 Let me ask, for those of you who know the area,
3 for those that need to go out and find lunch, does
4 everybody need a full hour as opposed to -- yeah. Okay.
5 So what about if we try to get back by quarter of 2:00?
6 So that's an hour and five minutes or so and everybody
7 will find a spot to eat. So quarter of 2:00 to reconvene.

8 (Thereupon a lunch recess was taken.)

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1 AFTERNOON SESSION

2 DR. SAMET: We are back in session.

3 So we're now having a set of discussions for
4 which we have lead-off remarks. And what we're going to
5 do is roughly spend 45 minutes or so on each of these.
6 The lead-off discussion, we're supposed to have about five
7 minutes of some introductory comments.

8 And I think, Arden, do you want to go ahead,
9 please?

10 PANEL MEMBER POPE: Thanks.

11 (Thereupon an overhead presentation was
12 presented as follows.)

13 PANEL MEMBER POPE: I'm not going to spend very
14 much time here. Just to make a couple of points.

15 I'm sorry. Back up one more time.

16 --o0o--

17 PANEL MEMBER POPE: I've been asked to talk about
18 which studies are appropriate to use to estimate the
19 PM2.5-related mortality associations in California.

20 And so -- next.

21 --o0o--

22 PANEL MEMBER POPE: I'm going to start with this
23 slide of a study that was done in New York. These are --
24 this is a study in hyperlipidemic mice. Basically, they
25 exposed half of their mice to normal chow or a normal diet

1 Utah's Wasatch Front.

2 Bottom line -- next slide.

3 --o0o--

4 PANEL MEMBER POPE: In fact, let's go straight to
5 the next one.

6 --o0o--

7 PANEL MEMBER POPE: The bottom line was that
8 there was a significant increased risk of these acute
9 coronary events in this group of patients, but especially
10 in those that already had existing coronary artery
11 disease.

12 You might say, what does this have to do with
13 California? Well, it's the right species, but we have to
14 move a thousand miles closer.

15 Next slide.

16 --o0o--

17 PANEL MEMBER POPE: It turns out that you can
18 look at subclinical arteriosclerosis using carotid
19 ultrasound to measure this CIMT. This is a safe
20 non-invasive technique that evaluates the burden of
21 subclinical vascular -- basically arteriosclerotic
22 disease.

23 Next slide.

24 --o0o--

25 PANEL MEMBER POPE: And then there is some very

1 interesting study done by Nino Künzli and his colleagues.
2 Basically, what they see is this sort of measure of
3 subclinical atherosclerosis was associated with increased
4 exposure to PM2.5 in the L.A. area.

5 Next slide.

6 --o0o--

7 PANEL MEMBER POPE: Of course, in California,
8 there have been a number of really high quality studies
9 have been conducted: Southern California Children's
10 Health Study, a study of the air pollution effects on
11 children's health focused primarily on lung function.

12 --o0o--

13 PANEL MEMBER POPE: But this study shows that
14 deficits in lung function growth amongst children living
15 in cities or communities with different pollution levels.
16 What you see is the deficits. That is, the reductions in
17 lung function growth is higher in those cities where the
18 children are exposed to more air pollution.

19 Next slide.

20 --o0o--

21 PANEL MEMBER POPE: Another study -- this is just
22 using basically the same panels of children. But instead
23 of looking across communities with higher pollution,
24 they're looking at children that are exposed to traffic
25 pollution primarily based on distance to freeway. And

1 again, deficits in lung function growth are observed for
2 those children that are exposed to more air pollution by
3 living near the roads.

4 Next slide.

5 --o0o--

6 PANEL MEMBER POPE: Now, this goes back to what I
7 was showing earlier this morning. We have now a whole
8 bunch of studies looking at mortality and long-term
9 exposure. These are the results often emphasized by James
10 Enstrom which are unquestionably some of the lowest
11 results that we see across these studies. They are
12 California specific.

13 Then we have -- there's the California Teachers'
14 Study, unquestionably amongst the highest that we see in
15 terms of effect estimates. I'm not -- you know, I guess I
16 don't actually emphasize either one of them. I think they
17 give us some evidence as to what range of results you get
18 from some reasonable efforts to analyze data in
19 California.

20 Next slide, please.

21 --o0o--

22 PANEL MEMBER POPE: There have been a whole bunch
23 of effect estimates from the California. So basically of
24 these estimates that I showed earlier this morning, these
25 are the published estimates using California-based data.

1 Michael's already done a nice job of talking more about
2 the details of these studies.

3 Next slide.

4 --o0o--

5 PANEL MEMBER POPE: So where do I come down in
6 terms of what study should we use? I guess the question
7 are hyperlipic mice in California less susceptible than
8 those in New York? Probably not.

9 Are Californians' children's lungs and adult
10 cardiovascular systems less susceptible to fine particular
11 pollution than those elsewhere? The answer is probably
12 not.

13 Is pollution from California cars, trucks, and
14 other sources less toxic to humans than elsewhere? It's
15 not as clear, but probably not.

16 I mean, the sources of fuel, the types of
17 automobiles and trucks that we use in California are
18 similar to those elsewhere.

19 Which health studies are relevant to California?
20 Well, it's clear that some of the highest quality research
21 on the health effects of air pollution has been conducted
22 right here in California. And the results are roughly
23 similar to those from elsewhere.

24 Again, we don't have time to talk about all the
25 toxicology that's been done here, the panel studies done

1 here. But the reality is if you look at the overall
2 evidence with regards to California studies relative to
3 other studies, it's just makes you think that the
4 hyperlipidemic mice here, the children here, the cars and
5 trucks here are not that much different than those
6 elsewhere.

7 So it's evident to me at least that well
8 conducted epidemiological, clinical, and toxicological
9 studies conducted both in California and elsewhere are
10 relevant.

11 Thanks.

12 DR. SAMET: Thanks, Arden.

13 And Fred, you're up.

14 PANEL MEMBER LIPFERT: Hello, again.

15 And as I was saying this morning -- we don't have
16 the slide, okay.

17 (Thereupon an overhead presentation was
18 presented as follows.)

19 PANEL MEMBER LIPFERT: As I was saying, these are
20 the data from the EHP study on the California teachers.
21 And what I'm going to talk about this afternoon is how do
22 we know which pollutant is real and which are not, which
23 is a very tough question and not all studies even ask that
24 question.

25 So first of all, you have to put all the

1 has background levels so perhaps that might be separate.
2 Manganese goes very much with traffic. Arsenic does not.
3 So I'm pointing out to you that these are -- there are 58
4 data points on each one of those plots, one for every
5 county. So when you go to this kind of a database, you're
6 not limited to EPA monitoring any more.

7 Now one can argue, well, how accurate are they?
8 We don't really -- we haven't really checked that. We
9 find good correlation with measurements.

10 But the point here and the important point is
11 whatever errors are in this database, they're pretty much
12 the same on all the pollutants, if you believe the
13 emissions, because they all come from the same model.
14 Whereas, when you look at measurements, you have one set
15 of problems with measuring PM2.5. You have another one
16 measuring CO. They're in different locations at different
17 times. This I highly recommend as a way of getting around
18 that problem.

19 One more, please.

20 --o0o--

21 PANEL MEMBER LIPFERT: Now this is from the
22 speciated transnetwork nationally across the country. The
23 same kind of thing, elemental carbon. We find zinc is
24 highly correlated. Zinc comes from tire dust. Say hey,
25 that relates. Silicon comes from road dust. That does

1 not relate. PM2.5, not so much.

2 --o0o--

3 PANEL MEMBER LIPFERT: Okay. Next, please.

4 --o0o--

5 PANEL MEMBER LIPFERT: I'm going to skip the
6 words. You can read those for yourself and go to the next
7 pictures.

8 One more.

9 --o0o--

10 PANEL MEMBER LIPFERT: Now we're looking at stuff
11 from the Veterans Administration study that I just
12 mentioned was published in April of 2009. And what we've
13 done is look at all the species that we considered and
14 played some word games, saying, all right. What if
15 benzene is the true bad actor? What if that? This is for
16 all subject mortality, all counties for which we have data
17 is about 200 counties. And we find here that no pollutant
18 for all subjects has a higher risk than benzene after you
19 account for the correlation. So we conclude from that,
20 okay, sounds like benzene is a bad guy.

21 Next slide.

22 --o0o--

23 PANEL MEMBER LIPFERT: If we play another game
24 and say, all right, I'm not sure. I think maybe NOx is
25 the one that's more important. We find that these guys

1 are sticking up above the curve. So that hypothesis is
2 rejected, and where we are is that this group of
3 pollutants here are all highly correlated. Look at the
4 values of correlation. And these are subject weighted
5 correlation, which is very important. You have to go
6 where the people live. And when you have more people in a
7 big city, you get a higher correlation. If you just look
8 at the raw data, you get something quite different. But
9 if people don't live in those counties, it doesn't matter.

10 Next, please.

11 --o0o--

12 PANEL MEMBER LIPFERT: Now, we also found in this
13 study that, whereas, the risk for all subjects were up to
14 .1 , if we divide the data set in half according to
15 traffic density and take the highest traffic density
16 location, we get risks up to .2 that says all the risk is
17 coming from the high traffic location. Lo and behold, we
18 found a threshold. We didn't find it very accurately, but
19 we showed it's not the same everywhere.

20 And what we have here is that the story is
21 different. NOx and EC are sticking out against benzene.
22 PM2.5 is not on this slide because we can't calculate
23 PM2.5. There's too much water in it. But here's diesel.
24 Diesel is no worse than anything else on this slide.

25 Next, please.

1 --o0o--

2 PANEL MEMBER LIPFERT: And if we then do it again
3 and plot it against NOx, we find benzene and formaldehyde
4 are high. Diesel SO2, nickel are not particularly. So
5 I'm just pointing this out to you is that there are ways
6 to get around the limitations of ambient air quality data.
7 And finally, we found some thresholds.

8 Next, please.

9 --o0o--

10 PANEL MEMBER LIPFERT: These are two pollutant
11 models where the second pollutant -- one is traffic
12 against a bunch of other things one at a time. And you
13 can see that benzene, formaldehyde, diesel, and NOx over
14 here all with high risk combined. The traffic itself is
15 not doing much. But when we come to SO2 and SO4, traffic
16 is more important. So there are things you can do with
17 two pollutant models.

18 Let's go on. Next.

19 --o0o--

20 PANEL MEMBER LIPFERT: Next after that.

21 --o0o--

22 PANEL MEMBER LIPFERT: Now, statistical
23 significance is often used as an arbiter in
24 epidemiological studies. You will read that we tested the
25 hypothesis that PM2.5 was related to X, Y, Z, and

1 inter-correlated with each other, we find benzene, diesel
2 PM, and formaldehyde are pretty much correlated with a lot
3 of stuff. Things like nickel are not.

4 So I would just try to advocate for the fact that
5 we need to look at a lot of pollutants and not just the
6 one that happens to be of interest.

7 Thank you very much.

8 DR. SAMET: Thank you, Fred.

9 Fred, just so I can make sure that we all have a
10 clear grasp of what it is that you were showing us, when
11 you went to the multi-pollutant data, you were trying to
12 get at the issue of how would one know what component of
13 the mixture might be important. And what you were showing
14 us was a set of effect estimates based on the assumption
15 initially that you put in a -- selected an A starting
16 point pollutant and then put in others, estimated their
17 effects. And one at a time there was --

18 PANEL MEMBER LIPFERT: John, the graphs with the
19 diagonal lines are one pollutant at a time. So the
20 hypothesis there is that each of those pollutants might be
21 the one. Okay. The bar graphs are two pollutants at a
22 time.

23 DR. SAMET: Okay. That's what I assumed.

24 Okay. Just to remind everybody, this session is
25 entitled, "Which studies are appropriate to use to

1 estimate PM related mortality in California." And this is
2 an issue, of course, that we were touching on before
3 lunch.

4 And the question of whether a nationally based
5 study, internationally based set of data, an amalgamation
6 of data, California-specific data, et cetera, what should
7 be used. And I think that's where we should focus our
8 attention over the next 20 minutes or so as we continue
9 the discussion. I think we've seen in a number of
10 presentations the data that are available for different
11 studies in California.

12 So let me open up for discussion on this point.

13 Roger.

14 PANEL MEMBER MC CLELLAN: For Arden, you seem to
15 try to make the case that whatever kind of national data
16 there was appropriate to California, the California data
17 was rich and strong and kind of fit with the national.

18 I guess I come away with more a general view that
19 says the signals that we're seeing in terms of what we
20 look at the national data clearly there seems to be things
21 that are very different in the industrial midwest and the
22 northeast. And that causes me to -- I accept the notion
23 that there's some things that are happening there. I'm
24 not certain how much we should ascribe to PM2.5 or to
25 sulfate or any individual pollutant. I tend to look at it

1 as saying there is a very clear air pollution effect in
2 those areas.

3 I come out to California, and in my
4 understanding, we've got a considerably different
5 situation with regard to the kind of mix of air pollutants
6 we have out here. Doesn't that cause you -- why aren't
7 you a little bit more cautious in terms of taking an
8 extrapolation from that national data out to California?
9 I certainly think we should be rather cautious.

10 PANEL MEMBER POPE: Well, it's a pretty loaded
11 question, frankly. I wasn't making an argument about how
12 cautious you should be. I was making the argument that
13 the human lungs, the human cardiovascular system, humans
14 in California are similar to those elsewhere. I mean,
15 there is no evidence in the overall data that --

16 PANEL MEMBER MC CLELLAN: (Inaudible).

17 PANEL MEMBER POPE: So I made that point.

18 The other point I made is that the sources of
19 pollution, while somewhat different, they used to be a lot
20 more different back when there were more steel mills and
21 more coal-fired power plants. Admittedly, you don't have
22 as much sulfate here. But the traffic-related pollutants,
23 the consistencies are basically the same throughout the
24 United States. And they're coming from the same fuel.
25 They're coming from the same automobiles, the same trucks.

1 And furthermore, if you actually were to do sort
2 of more -- sort of an overall empirical, the overall
3 empirical evidence as I see it suggests that in California
4 what you have is a small -- now, again, I'm perfectly
5 willing to accept the understanding or accept the argument
6 that pollution effects are smaller than many other risk
7 factors. I mean, there are other risk factors bigger.
8 But because we're focused on pollution right now, that the
9 small relatively coherent effects of higher
10 cardiopulmonary cardiovascular effects of air pollution
11 and that general pattern existed in California just like
12 they exist in Utah, just like they exist in Ohio River
13 Valley.

14 And I've admitted right up front that I don't
15 know which specific constituents of these pollutants are
16 causing the primary effect or if it's a combination of the
17 group. Right now, it seems to be more a combination
18 frankly. But if you go to San Palo and do these
19 time-series studies, in San Palo, you get similar results
20 as you get in L.A., as you get in Steubenville.

21 And it's my judgment -- not an issue of being
22 cautious. It's just my judgment that the studies that are
23 being conducted elsewhere in the United States
24 particularly but probably most of them in western Europe
25 and some of them even in other parts of the world, those

1 studies are relevant to understanding what's going on in
2 terms of the health effects in California, just like they
3 are in Chung-ching, China or Pittsburgh, Pennsylvania.

4 PANEL MEMBER MC CLELLAN: You really wandered off
5 wide. I mean, you spent time in China. I've spent time
6 there. Anybody that wants to talk about air pollution in
7 China as having relevance to California, I'd say, come on.
8 Let's focus on the USA.

9 And I'm not as sanguine as you are in terms of
10 saying, well, let's take the Midwest and upper northeast
11 and relate it out here. You showed on the air pollution
12 there in terms of (inaudible) made a strong argument for
13 nickel coming down out of Canada as being part of that.

14 DR. SAMET: I want to draw the scientists sitting
15 around the table into the discussion. And this is a
16 question of what we might know about the air mixture
17 sources in California and are there reasons -- so putting
18 aside sort of whether -- because I think you're asking a
19 very tough question if you want to say can we estimate
20 epidemiologically whether risks are different in
21 California from the nation as a whole and other parts.
22 That's a real tough question. But there is an awful lot
23 of atmospheric measurements that have been made in
24 California. There is a huge amount of atmosphere the
25 science is on. So what about those data and how they

1 might help us.

2 PANEL MEMBER LIU: The issue talking about the
3 correlation between sulfate and sulfur dioxide and PM,
4 talked this morning a bit. Not only the SO₂ is gaseous
5 monitoring, so it has much longer history, so it has
6 better data and longer data, that's no doubt.

7 But also when you do this kind of analysis always
8 a match population based data to concentration data is
9 same basis geographical areas. And particulates tends to
10 vary a lot from place to place. And SO₂ is more
11 homogeneous mix. So that might -- I would suggest that
12 might be a reason SO₂ could come out, have a much stronger
13 relationship simply because that.

14 I think you're right. I mean, there are two
15 pieces. One is the concentration. One is the health
16 data. It's always difficult to really think about they
17 actually talking about same areas. That concept has to be
18 implanted. And a lot of the information that this morning
19 presented east/west, you really have to look at, you know,
20 how you correlate those data. I think that is probably
21 the reason cause variation in different studies I would
22 suggest.

23 Nowadays, in southern California or in California
24 in general, most of the risks we're talking about is
25 really relate to transportation and mobile source type of

1 emissions which is in urbanized areas.

2 DR. SAMET: Yes.

3 PANEL MEMBER MOOLGAVKAR: I wanted to come back
4 to a statement that Arden made a couple of times.

5 This morning, he presented results from some of
6 his studies and indicated that the smoking risks were
7 higher than those associated with ten microgram change in
8 fine PM, which is what one would expect. And he made that
9 same comment here today.

10 But the game has changed now, because the Miller,
11 et al, study and the Ostro study clearly show risks that
12 are larger than the risks associated with smoking. When
13 you come across studies of that type, then I have to
14 disagree with Michael.

15 I don't think Rothman will say look at all the
16 studies and the pattern of results. I think Rothman would
17 say, well, I mean, eliminate the studies that clearly show
18 evidence of some kind of bias. You don't want to take
19 these into account. And if an air pollution study shows
20 that air pollution at current levels in the United States
21 is more dangerous or increases the risk of cardiovascular
22 disease more than smoking 20 pack years or 40 pack years,
23 I'm sorry, I can't take those results seriously because
24 they are biologically totally implausible.

25 PANEL MEMBER POPE: The nice thing is I just

1 recently published a paper with my best estimates of the
2 cardiovascular disease of the relationship with smoking
3 versus environmental tobacco smoke versus particle
4 pollution. Anybody can read it. It's in circulation of a
5 few months ago. I forget the exact months.

6 PANEL MEMBER MOOLGAVKAR: I've seen the study.

7 PANEL MEMBER POPE: So that's sort of my best
8 guess. And I -- not just best guess. Dan was involved
9 with that paper as well. This was our best estimate based
10 on the available data. And basically when you read that,
11 you see that we largely agree with you. We think that
12 probably the effects from air pollution are higher than
13 what we think are reasonable in some of the studies and
14 there's some studies where they're lower.

15 But we think the central estimate is relatively
16 consistent across the environmental tobacco smoke data,
17 the air pollution data, and with the cigarette smoke data.
18 Although clearly in order to make that link, you can't
19 assume a linear response relationship. It has to be a
20 response relationship that is quite steep early on and
21 levels off. But again, that's consistent with the smoking
22 literature as well. I'll leave it at that.

23 PANEL MEMBER MOOLGAVKAR: Can I respond to that,
24 John?

25 PANEL MEMBER ENSTROM: I'd like to disagree with

1 the way Dr. Pope summarized the California data. If you
2 now look at the CPS I data that I have, the CPS II data
3 that's been reported in the HEI 2000 report and what
4 Professor Jerrett's reported today and you look at the
5 data from the MCAPS cohort, there's clear variation
6 between California and the rest of the country. I don't
7 think there is any doubt about it.

8 The other two studies, the Adventist cohort and
9 the Teachers' study are -- as Suresh pointed out with the
10 Teachers' study, they're anomalous, but they're also very
11 small relative to these three very large cohorts. So I
12 think you would have to say that the overwhelming amount
13 of available evidence at the current time shows a very
14 clear pattern of no current effect in California. I just
15 don't see how you can avoid that.

16 DR. SAMET: I'm going to make a suggestion.
17 Perhaps this is a comment to the ARB that we've seen
18 slides that summarize point estimates that have a
19 substantial amount of work, analysis, and data behind
20 them. Some of the distinct differences in data collection
21 analysis may be leading the differences. And perhaps a
22 very detailed assessment of each study, an attempt to
23 understand why they're similar or different would be a
24 good thing to do and to try and lay all this out.

25 I mean, I understand these points are different

1 heights on the graph and that's what we're all saying.
2 There's many reasons why they may be different. I would
3 also point out just one comment, because the Medicare
4 study of necessity overlaps with the ACS Study. And the
5 same people are dying and being collected in different
6 ways in these studies. And they're not exactly
7 independent. So there's some subtleties here in
8 interpretation. But I think there ought to be an attempt
9 to make deeper sense out of this.

10 One thing you can do when you go to one workshop
11 is recommend another one. And I don't know whether that's
12 the right approach here or not. But I think there's some
13 roll up the sleeves kind of stuff here that perhaps needs
14 to be done to get into this a little deeper.

15 Tom.

16 PANEL MEMBER HESTERBERG: Yeah, just another
17 comment on Arden's presentation.

18 I was very encouraged, Arden, that you're now
19 going into and looking at some of the human clinical
20 studies and animal studies. Because from what I read on
21 the ecological, you get associations. They're not
22 necessarily that large. You know, 2 percent may go up to
23 20 percent. And what's recommended is you need to go in
24 and find biological plausibility and confirm that.

25 The study that you mention I'm not that familiar

1 with. I need to look at the details of that.

2 But the same group did a study here recently
3 where I strongly disagree with the model they used, the
4 way they generated the end points they were looking at and
5 the dose. That one was on diesel exhaust. In that
6 particular model, I think they used the same mouse strain
7 susceptible to cardiovascular disease. They tied off the
8 femoral artery to the hind legs to generate anoxia. And
9 then they exposed the animals to 1,000 micrograms per
10 cubic meter of diesel exhaust.

11 Reading that study, it sounds like almost half
12 the animals died. Anoxia generates angiogenesis. That's
13 a well known phenomenon. Diesel exhaust at that level
14 increased the angiogenesis.

15 Now, the bottom line conclusions of those
16 researchers was that because you get angiogenesis in
17 cancer late stage, we all know it's a totally different
18 mechanism on diesel caused angiogenesis. Therefore, a
19 two-month diesel exposure to diesel exhaust will cause
20 cancer. And that's in the press. I mean, those types of
21 studies don't demonstrate biological plausibility. And I
22 think it causes issues for all of us.

23 Now, the specific study you mentioned, I would
24 like to dig into that. And maybe the dose of the PM they
25 used was appropriate. But the devil is really in the

1 details of these studies. And I would like to look into
2 that. And I think a lot of them cause more problems than
3 they solve.

4 PANEL MEMBER POPE: Well, I respect you and I'm
5 not a toxicologist. Your judgment on that's essentially
6 better than mine. I don't know. And in fact that study,
7 even though I can read and understand it -- I don't know.
8 I like it. I think it's a pretty neat study, frankly.
9 And I think it makes a pretty neat slide, too.

10 DR. SAMET: Aaron -- (inaudible)

11 PANEL MEMBER POPE: Let me just say something so
12 I don't have to go over this anymore.

13 My point isn't that any one study is better than
14 the other, that or anything else. My point is I would
15 consider it a mistake for the state of California to not
16 use the richness of data that exists out there and try to
17 focus on their own studies alone, because there is a
18 substantial body of literature that's relevant to
19 California that goes beyond its borders. It's a very
20 simple statement.

21 DR. SAMET: Melanie.

22 PANEL MEMBER MARTY: Just a couple things.

23 With regards to the toxicology for particulate
24 matter, there is a humongous amount of literature now,
25 lots of it showing biological plausibility to affects on

1 the lung and the heart.

2 So you're talking about one APO mouse study.

3 There's more than one study. There's other studies that
4 did not use the crush injury model that you do get in fact
5 increased atherosclerotic areas in the vasculature.

6 That's one thing.

7 I think we're arguing about angels dancing on the
8 head of a pin a little bit here. And I would just like to
9 point out there is a lot of studies on the cardiovascular
10 effects on particulate matter done across the world with
11 different mixes of pollutants, different geographies,
12 different lifestyle, different genetics, all kinds of
13 stuff. And they're all pointing in the same direction.
14 That is one of the reasons U.S. EPA has determined there
15 is a causal association between PM2.5 and cardiovascular
16 effects on mortality. It is biologically implausible to
17 think that Californians would somehow not be susceptible
18 to these same biological insults.

19 DR. SAMET: Actually, I thought Californians were
20 just so much healthier than everyone else.

21 PANEL MEMBER HESTERBERG: Can I respond to that?
22 I've looked at a lot of these studies, and dose is an
23 issue in a lot of them. They go to very high doses. And
24 the dose determines the poison and the mechanism
25 oftentimes. Some people rationalize doing mechanistic

1 studies at high dose because we get an effect, but the
2 mechanism may very well be different. So dose is very
3 critical.

4 DR. SAMET: I don't know if you want to comment
5 on some of the compilation of evidence and the
6 plausibility as developed in the ISA.

7 PANEL MEMBER ROSS: Dose is very important. And
8 one of the things I would mention is we deliberately focus
9 on toxicology studies that use lower doses. And we
10 generally say within an order of magnitude of ambient is
11 the lower end. It's not in the thousand parts per million
12 that you're talking about. So in the plausibility -- in
13 the discussion itself in the integrated science, we don't
14 talk about high concentration studies. We only focus on
15 the reasonable concentrations given their animal studies,
16 yeah.

17 DR. SAMET: Roger.

18 PANEL MEMBER MC CLELLAN: I'm concerned that we
19 are leaving -- left on the table a view that air pollution
20 in California is just like it is in the rest of the
21 country. I'm sorry, but if that's your conclusion, you're
22 not reading the literature that I am. And I'm shocked
23 that people like Dan aren't standing up and saying, "I've
24 looked in detail and it's very different."

25 And you, Arden. It is different. Why are you so

1 silent?

2 DR. SAMET: Actually --

3 PANEL MEMBER POPE: I think I've been talking too
4 much, frankly, although --

5 PANEL MEMBER MC CLELLAN: John --

6 DR. SAMET: I would say that the community that
7 really needs to speak to this are those who are carefully
8 studying the atmospheres in California, those who are
9 making comparisons elsewhere.

10 With all due respect to my epidemiological
11 colleagues, they are not atmospheric scientists. And I
12 think the question of whether there are important
13 differences that figure into the health risks need to be
14 adjusted.

15 Susan --

16 PANEL MEMBER MC CLELLAN: And you're separating
17 the world into epidemiologists versus aerosol scientists.
18 Come on. You as an epidemiologist understand the
19 exposure.

20 DR. SAMET: Roger, I think you and I have set
21 around the same multi-disciplinary tables for years
22 discussing these issues with colleagues because we know we
23 need the depth of scientists represented.

24 Go ahead.

25 PANEL MEMBER PAULSON: I don't think that anyone

1 would argue that any particular location is exactly like
2 any other. There is a whole -- we all know there is a
3 whole spectrum of pollutants that are present in different
4 proportions in every different location. But their main
5 features that are similar in California as they are in
6 many other places in the country and other features that
7 are slightly different -- like there's more sea salt in
8 Los Angeles than there is in a lot of inland areas.
9 Nobody thinks sea salt is a big project. There's huge
10 amounts of diesel exhaust and gasoline vehicle exhaust.
11 That's very similar obviously than lots of other places.
12 There's generally a little bit less sulfate. There's a
13 higher proportion of some types of secondary organics
14 aerosol, lowers of others, higher anthropogenic, lower
15 biogenic. Slightly different proportions of inorganic
16 salts. But the general features are, you know, in
17 slightly different proportions played out pretty much
18 everywhere in the country.

19 And also in some locations, like New York, there
20 are more wintertime pollution problems. Those have
21 somewhat more similarity with some wintertime pollution
22 areas in California. And then the photochemical smog
23 problems that we have in the summertime in southern
24 California are more similar with other parts of the
25 country.

1 PANEL MEMBER PHALEN: I think that's a good
2 summary.

3 But the thing that to me seems most relevant
4 about the difference in California is the higher
5 contribution of fine soil to our PM2.5 that you don't see
6 in many of the large open centers back east. We have more
7 in the L.A. basin for sure and other areas in California,
8 too, the agricultural areas. We just have a lot more soil
9 in the PM2.5. And that creates a little bit of a problem
10 if you are trying to reduce the concentration of PM2.5 and
11 you go after something like diesel emissions. It's more
12 difficult here to get a lowered level because we can't
13 really effect the soil levels that much.

14 In fact, one industrial hygienist was complaining
15 to me in California that at least some of the samplers
16 that he was familiar with were near fields that generated
17 lots of dust. And he was quite concerned about
18 exceedances when the wind was blowing the wrong way.

19 So I think the low humidity, it doesn't change
20 everything, but it just makes our PM2.5 more difficult to
21 understand and control visive anthropogenic emissions.

22 PANEL MEMBER PAULSON: Without belaboring it, I
23 think you're really overstating the contribution of soil
24 dust to PM2.5 in the major urban areas in California.

25 PANEL MEMBER LIU: On that subject, I think

1 there's always something that describes southern
2 California as the same. We have a chart of the future
3 path put on the maps. You're going to see color varies
4 from place to place. So obviously our central urbanized
5 areas is pitch black. It's not soil.

6 DR. SAMET: Dan, I see you're roused up here.

7 PANEL MEMBER KREWSKI: I don't know if I'm
8 roused, but I have something I can offer for the
9 discussion, John.

10 The discussion that's taking place over the last
11 several commentors may fall under the general rubric of
12 one of the ten research priorities for my NRC Committee.
13 There's I think -- is there more than three of us here?
14 Roger, John, myself, Dan. Who else? Lots of us.

15 One of the ten research priorities was defining
16 the toxic constituents of the complex mix of air
17 pollutants to which we're exposed. If we look at air
18 pollution in California, air pollution in the midwest, air
19 pollution in Europe, there is going to be quite a bit of
20 variation in what that's comprised of. We can observe
21 different population health impacts from those different
22 air sheds. But then we can also dig deeper and try to
23 identify the toxic constituents.

24 And if you think it's difficult, Suresh, trying
25 to disentangle the six different criteria pollutants, if

1 you give me 20, 30, 40 elemental fractions and ask me to
2 sort those out and then if we start looking at different
3 sources and trying to get the relative contribution of
4 those, it becomes a difficult problem.

5 I don't have a solution, but I think that's the
6 problem that maybe we're discussing a little bit. What
7 are the components of the air pollution mix that we need
8 to be concerned about.

9 A partial solution, John, might be something -- I
10 think it was you even that could -- we take some of the
11 major population-based studies, the cohort studies, not
12 just across the U.S. but internationally, Europe and Asia,
13 because the more heterogeneity that we have in the
14 pollution exposures, the greater the opportunity to try to
15 sort out the effects of the different types of mixtures.
16 So that might be something we can think of for your next
17 workshop and look at the international pooling of cohorts
18 of air pollution studies.

19 DR. SAMET: Fred.

20 PANEL MEMBER LIPSETT: I'm going to put my
21 atmospheric analysis hat on for a moment and ask a
22 question and then make a comment.

23 My question is: As I understand California air
24 quality, nitrate is a big contributor, especially in
25 places like Riverside and places where the PM standard is

1 not met. Is there someone here that believes that
2 ammonium nitrate is toxic? Show of hands. One. Okay.

3 Question. We've also I think reached some
4 agreement that traffic problems are pretty much
5 ubiquitous. If you read the European literature, that's
6 all they talk about. That's where it's at. And to a
7 certain extent, Asia. And the fact that we have uniform
8 emission control standards in the U.S. and the same number
9 of violators seem to be in different parts of the country
10 says traffic is ubiquitous.

11 So my question to ARB is when are you going to
12 study traffic? Let's go to the source and stop getting
13 confused with all the different ambient measurements that
14 can be made.

15 Thanks.

16 DR. SAMET: That's possibly a rhetorical question
17 I think, but we'll see if it's answered later.

18 George.

19 PANEL MEMBER THURSTON: I just wanted to follow
20 up on Dr. Paulson's remarks.

21 I really agree with what you said. The
22 differences across the country are -- there's not a
23 dramatic difference in the aerosol. It's a quantitative,
24 not a qualitative, difference. In other words, the same
25 sources pretty much are present around the country, but to

1 different degrees. And coal essentially is concentrated
2 in the east. But fossil fuel combustion is present
3 throughout the whole country to a different degree. In
4 some places, more oil. In some places, more natural gas
5 and coal. But fossil fuel is combustion.

6 And that's really what the studies that we've
7 done with the ACS and the other studies have sort of been
8 pointing towards is there is a problem with fossil fuel
9 combustion. And it seems like the pollutants coming from
10 fossil fuel combustion are associated with the -- most
11 associated with these health effects.

12 And so it's really more a matter of degree of the
13 various sources than some great very contrast that could
14 say oh, well, the particles in California would be
15 non-toxic. That's dramatically different. I think the
16 remark was made, well, the people in California, yes,
17 they're healthier than the country. But, you know, people
18 don't think that suddenly they're invulnerable to air
19 pollution. And in fact, I was thinking part of the reason
20 probably they're healthier is a smaller percentage of
21 smoke in California.

22 And, of course, the biggest relative risks we
23 have that we found in the study are for non-smokers. So
24 that means non-smokers have a higher relative risk.
25 There's more of them. And California might be a place

1 they want to worry about air pollution. That's a bigger
2 share of their risk. But by the same analogy, the
3 aerosols in California are not sufficiently different you
4 would say they're suddenly non-toxic.

5 PANEL MEMBER MC CLELLAN: I never said they're
6 non-toxic. But, George, you have to take a hard look at
7 the changing composition and levels of air pollution
8 across the United States going from our earliest data in
9 the 1960s, 1970s up to today. And I can tell you
10 California is not like the Ohio River Valley,
11 Pennsylvania, New York. And for to you suggest that
12 somehow there is a great similarity is wrong.

13 DR. SAMET: Okay. George, let's not debate. I
14 think we actually need to move on.

15 I just want to remind everybody that we were
16 talking about the selection of studies to estimate PM
17 mortality in California. I think there were two elements
18 to this. I think a lot of our discussion about the nature
19 of air pollution in California air quality, the database,
20 probably exists to make a more detailed comparison. There
21 have been countless dissertations generated at Cal Tec on
22 air quality in southern California. And I think there is
23 substantial data to look at.

24 I think this question of why the estimates are
25 different and the different studies could merit some

1 additional scrutiny and review by ARB or by ARB and
2 bringing in some of the investigators to really sit down
3 and look at that.

4 Let's move on to our next topic, which is how
5 should uncertainties in the concentration-response
6 function and exposure assessment be addressed in
7 developing a methodology, presumably a mortality
8 estimation methodology.

9 So this is Zack first.

10 PANEL MEMBER PEKAR: So I'm Zack Pekar, and I
11 work for EPA, the Office of Air Quality Planning and
12 Standards.

13 (Thereupon an overhead presentation was
14 presented as follows.)

15 PANEL MEMBER PEKAR: I'm in charge of designing
16 the risk assessment for PM, NAAQS along with the folks I
17 work with.

18 And what I was asked to do -- I guess I should
19 talk into this, shouldn't I? I have a booming voice. I
20 count on that sometimes.

21 I was asked to provide a little background for
22 the basis for our selection of the concentration-response
23 function use in the national scale risk assessment. And
24 then to talk a little bit about the way we attempted to
25 characterize or assess our confidence in the risk

1 what we've done here is looking at the literature -- and
2 it's in all the literature we've been talking about today,
3 we identify the set of studies or these studies that we
4 think we have the greatest confidence in. That's the
5 qualitative assessment that the EPA staff makes.

6 Then we bring that assessment before KSAC, which
7 is a peer review group with a number of members here, and
8 we get feedback from the public on this. And based on
9 that, we generate -- we extract concentration-response
10 functions, which I'll briefly talk about, from that core
11 study. And we use those to simulate or estimate long-term
12 mortality risk for a number of different health end
13 points. That's our core estimate. So if you imagine a
14 series of risk estimates here going from low risk to high
15 risk, several of these -- the two red dots represent the
16 core risk estimates that we generate.

17 The other risks we generate, we conduct a
18 sensitivity analysis to generate a set of additional
19 analyses. While we may -- and I'll talk about this in a
20 second. We may have greater confidence in one of the U.S.
21 EPA studies for reasons I can explain. Obviously, there
22 is merit. A number of other studies have support in the
23 community and the literature. So we use additional
24 epidemiology studies and extract concentration-response
25 functions from those studies and generate an additional

1 analyses that were used in the sensitivity assessment.

2 So for the core, for selecting the core study, we
3 went with the Krewski 2009 extension of the ACS Study
4 which has been discussed a lot here. Our reasons for that
5 are points that have been made earlier. And once again,
6 I'll just emphasize the fact we're conducting a national
7 analysis. We're considering this in the broader context
8 of the nation. So just to be clear about that.

9 So it included extended air quality analysis up
10 to 18 years. It had rigorous examination of a range of
11 concentration-response functions. A lot of that stuff
12 gets fairly technical. But there were some new things in
13 terms of looking at random effects, the clustering of data
14 as we were talking about that that I think was done to a
15 certain extent for the first time in this particular
16 version of the analysis, a range of ecological variable
17 controls, consideration of exposure time windows. The
18 fact that study attempted to look at that didn't find a
19 lot of differences between those windows, but the look at
20 that we thought was valuable.

21 And then finally the fact that as the basis or
22 sort of the sub-analyses of this larger national scale
23 analysis we included -- they included the study design.
24 Study authors included a look at L.A. in detail and New
25 York City in detail. Also provided us with additional

1 support that that data set and a comprehensive way
2 included some dimensions that we really liked. And
3 finally, it's a very large data set, which is just
4 described here.

5 --o0o--

6 PANEL MEMBER PEKAR: So then now that we've
7 selected the Krewski 2009 study, anybody who's looked at
8 that study knows it's 150 pages, 160 pages. Very detailed
9 analysis. There are many, many concentration-response
10 functions presented in that analysis. I think it's one of
11 the strengths.

12 So we spent a fair amount of time looking at
13 which of the concentration-response functions we should
14 really use from that analysis. Ultimately, we went -- and
15 this gets techy, but just to put it out there. We went
16 with the Cox model with adjustment for the ecological
17 covariate. That's something that we've talked about a
18 little bit here. The reason we went for that
19 concentration-response function was it allows us to define
20 that concentration-response function based on two air
21 quality periods.

22 I think a point was made earlier that the effect
23 estimates were not that different from these two exposure
24 periods. And I would say if you run instances -- numbers
25 for a large city like New York to effect estimates, you

1 But the point that I wanted to make was generally
2 we selected a corset of estimates; generated it. Then we
3 had additional sensitivity analysis estimates around that.

4 What we found was that most of those sensitivity
5 analysis results where we used other viable models ended
6 up giving us actually higher risk estimates than what our
7 core estimates were. So it's just I think a point to
8 make.

9 There were a number of studies that we mentioned
10 here that we couldn't use in the risk assessment, because
11 we don't have baseline incidents data or other things you
12 need to run risk estimates for. But those will be
13 considered as part of the evidence analysis which is a
14 very critical part of this whole contribution which is a
15 second part of the review that I'm not going to talk
16 about.

17 So anyway, that's it.

18 DR. SAMET: Thanks, Zack.

19 Suresh.

20 (Thereupon an overhead presentation was
21 presented as follows.)

22 PANEL MEMBER MOOLGAVKAR: I thought what I'd do
23 is take the set of slides that Arden showed and find out
24 why they don't support his conclusions. Just kidding.
25 Just kidding.

1 PANEL MEMBER POPE: No, you're not.

2 PANEL MEMBER MOOLGAVKAR: But in any case, the
3 point I want to make is if you start out with the
4 assumption that fine PM is killing people and you make
5 that your central assumption, then any observation can be
6 fit to that or explained on the basis of that assumption.
7 And it seems to me that a lot of members of this panel
8 have gone beyond the issue of asking whether that
9 assumption is true or not. In other words, a number of
10 members of this panel are making the assumption that there
11 is a causal relationship between exposure to fine PM and
12 mortality, particularly cardiovascular mortality.

13 I want to talk about the uncertainties in the
14 original studies that led a number of people in the panel
15 to that conclusion.

16 So if I might have the next slide, please.

17 --o0o--

18 PANEL MEMBER MOOLGAVKAR: So first of all, we
19 have only a meager understanding of factors that effect
20 health and human population. In particular, the role of
21 socioeconomic status is very poorly understood. And if
22 you look at Steenland's paper in 2004, one can see how
23 poorly we understood the role of SES in determining
24 mortality.

25 Talked about the inadequacy of the currently used

1 both in independently on both intensity and duration of
2 smoking.

3 Next slide, please.

4 --o0o--

5 PANEL MEMBER MOOLGAVKAR: So now the second point
6 that I made is that results depend on modeled choice. And
7 here again I've chosen an example that I already talked
8 about this morning.

9 Let's look at the long-term studies of the
10 reanalysis of CPS II as reported in Krewski, et al, in
11 2000. This is taken from Table 37. If you look at single
12 pollutant analysis, the fine PM relative risk is 1.20.
13 Highly significant. The SO2 relative risk is 1.49.
14 Again, highly significant. If you look at two pollutant
15 analysis, the fine PM relative risk falls 1.03. Becomes
16 highly insignificant. That for sulfur dioxide remains
17 robust and significant.

18 I don't think this finding and such a strong
19 result can be explained on the basis that sulfur dioxide
20 is simply a precursor for sulfate and therefore should not
21 be included in the analysis. I mean, that is just
22 sweeping the problem under the rug. This observation
23 needs to be explained and has not been addressed in all
24 these studies of ACS II that have taken place after this
25 one.

1 Next slide, please.

2 --o0o--

3 PANEL MEMBER MOOLGAVKAR: There are inconsistent
4 results. I've just chosen a few. But I can point to many
5 more. Krewski, et al, 2000 finds a much lower fine PM
6 mortality effect in California than in the northeastern
7 U.S. This has been alluded to a couple of times earlier
8 today. However, when they do the spacial analysis in
9 2009, the report no effects in New York City, but a
10 positive effect in Los Angeles and a fairly large effect
11 there. So the conclusions from these two studies is
12 somewhat different. And that might be attributed to maybe
13 better exposure in the second study, better exposure
14 estimates.

15 Now, I know that John is not going to like this
16 point I'm making here. But when you look at the first
17 stage NMMAPS analysis, finds a significant effect in New
18 York City, but not in L.A. And the comment has been made
19 that you should not be looking at the first page NMMAPS
20 analysis because they were not meant to be looked at.
21 That's like saying you can take 90 meaningless results and
22 combine them into one meaningful mean estimate in the
23 country. And that simply cannot be done.

24 And there's also a statement in the NMMAPS
25 results that says if the city is large enough, then you

1 can take the estimate seriously. I contend that L.A. is a
2 large enough city in the United States for us to look at.

3 Next slide, please.

4 --o0o--

5 PANEL MEMBER MOOLGAVKAR: Biologically
6 implausible results. Pope, et al, circulation 2004
7 reports significant protective effect of fine PM on
8 respiratory mortality. So you can -- this is his Table 4
9 reproduced. You can look at the relative risks here.

10 Now, I'm not suggesting that one run behind a
11 diesel bus and inhale deeply. All I'm suggesting is that
12 this is a biologically implausible result. And if this
13 result is reported in these analyses, why should the other
14 estimates reported in the paper be taken seriously? I
15 mean, we have to talk about the limitations of
16 observational studies when we see results of this type.

17 Next slide, please.

18 --o0o--

19 PANEL MEMBER MOOLGAVKAR: One more point I wanted
20 to make on the previous slide is that in that paper Arden
21 points out that there is a synergistic effect between
22 smoking and air pollution risk. And this morning, George
23 Thurston has mentioned a couple of times that, in fact, we
24 see the biggest risk among non-smokers. So again here
25 there are not entirely consistent results.

1 characterize exposure more finely than has been done in
2 the past.

3 Next slide, please.

4 --o0o--

5 PANEL MEMBER MOOLGAVKAR: So this is my last
6 slide. I'm repeating again my conclusion from the
7 previous one that Miller, et al, and Ostro, et al, could
8 be explained by residual confounding by SES. The attempt
9 to better characterize exposure may actually lead to
10 estimation of an SES effect. Regulation is a policy
11 decision in the face of considerable uncertainty.
12 Attempting to justify specific regulation on the basis of
13 causality in my opinion leads to a distortion of the
14 science. Data that are used for regulation -- we've
15 discussed this earlier today. Data that are discussed for
16 regulation should be available to all stakeholders.

17 Thank you.

18 DR. SAMET: So I want to remind everybody of what
19 the topic was that we began here. How should
20 uncertainties in the concentration-response function and
21 exposure assessment be addressed in developing a
22 methodology. And I think I would for the moment prefer
23 that we focus on that issue.

24 I think Suresh spent a fair amount of time both
25 in the earlier discussing some of the issues around the

1 limitations and strengths of particulate studies. And I
2 think you've highlighted some of those again.

3 But again I think for starting and I think for a
4 discussion that would be most useful for ARB purposes I
5 think if we could initially address I think some of the
6 more general concerns/issues related to underlying models,
7 model selection, and the actually addressing of the
8 uncertainty how it should be characterized, described, and
9 so on, I think that would be useful.

10 I think we have the possibility of looking at one
11 methodology from Dan. But I think if we could move to a
12 more general level in the discussion, I think that would
13 be most helpful for ARB.

14 Fred.

15 PANEL MEMBER LIPFERT: I would have to agree with
16 you, John. And it seems to me in listening today that the
17 one topic that we don't hear a lot about -- maybe it's
18 just implied -- is the uncertainty due to model selection.
19 Very often, especially in large studies, the difference
20 between alternate models is much larger than the
21 confidence limits from any one model.

22 And by model, I mean how you slice the data, what
23 confounders you use, how many pollutants you run.

24 And I think an issue that's underlying here that
25 no one has really come to grips with yet is the issue of

1 the age of the subjects, because those effects are quite
2 different between time-series studies which effect the
3 elderly and long-term studies which appear to effect
4 middle ages. So the notion that somehow you can compare
5 those two kinds of studies I think is flawed and needs to
6 be addressed a bit more fully.

7 Thank you.

8 PANEL MEMBER GREENBAUM: Yeah, I would agree with
9 what Fred is saying.

10 I would just make a more general comment for
11 especially for people who are of not familiar with
12 epidemiology studies.

13 Generally, when you look at different populations
14 in different parts of the world, you have different mixes
15 of exposures. And as Melanie said before, at least with
16 time-series studies that have been done looking at
17 hundreds of cities throughout the world, the relationships
18 between PM and mortality, for example, are pretty
19 consistent. But when you look at just confining the
20 analysis data, some of these longer-term studies, you are
21 looking to people who have different age distributions but
22 they're going to have differences with susceptibility
23 based on what -- in part on the extent to which people in
24 a particular population have more underlying disease,
25 whether it's like cardiovascular or whatever, you have

1 different information quality of information about their
2 exposures.

3 For example, like some of the major studies that
4 we're talking about used a single monitor to represent an
5 entire population in a city, like with Mr. Enstrom's
6 study, with the ACS Study, with the Harvard Six Cities
7 Study. And so that would indicate that people who might
8 live at different -- like three, four, five, six, seven
9 miles away from the monitor all get assigned the same kind
10 of exposure in this kind of analysis.

11 And one of the principles when you have that kind
12 of exposure mass classification in epidemiology, the more
13 exposure misclassification, the more likely it is that
14 you're going to obscure a real relationship, assuming a
15 relationship like that exists. So you get a bias towards
16 the null effect. And you bias your estimates downward.

17 So to the extent that you try to improve your
18 risk assessment, as was done in the Women's Health study
19 or the Teachers' study or some of these other, there is a
20 real effect. You are likely to see higher effect
21 estimates. But the quality of the exposure assessment
22 will make a big difference on what you see in your
23 ultimate estimates of risk.

24 And then the confounders, these are things that
25 might be associated with the exposure and with the

1 outcomes. They're different across all these different
2 populations. And all of these things enter into what the
3 ultimate results are. And so you can't -- because of
4 this, you can't make -- do any regulation. You can't make
5 any conclusions on a single study or two studies. You
6 have to look at the vast body of evidence to see if
7 things -- if you get results that are reasonably
8 consistent across different populations with different
9 studies and hopefully with different kinds of models as
10 well.

11 DR. SAMET: George.

12 PANEL MEMBER THURSTON: Well, I just had a couple
13 of responses to Suresh's talk which I really feel have to
14 be asked, even though I know you want to stay on
15 methodological.

16 One was moving from Honolulu to a high pollution
17 area. I think that's not a proper use of the results,
18 because you know you have to average over their lifetime.
19 And the assumption of the study is that people have lived
20 there for many, many years. So if they move, then you
21 have to say, well, they had this low exposure and they
22 moved to this higher place. And that may be 1/50th of
23 their exposure. So then you average the two. You just
24 can't suddenly take their measurement that year and say
25 that's their lifetime exposure. So I think you're way

1 overestimating the difference. I don't have the numbers.

2 It's very difficult to respond.

3 The other comment is with this whole SO2
4 discussion that you keep bringing up. In the HEI
5 reanalysis, they pointed out very clearly this association
6 with SO2 and they said the absence of a plausible
7 toxicological mechanism by which sulfur dioxide could lead
8 to an increase in mortality further suggests it might be
9 acting as a marker for the mortality associated pollution.

10 And you know, I think you're ignoring that whole
11 argument which you're doing this that there is a whole
12 body of information which we didn't really present very
13 much here, but Arden put up that slide showing all these
14 plausible mechanisms by which particles could be killing
15 people. And we don't have that for SO2, do we?

16 PANEL MEMBER MOOLGAVKAR: No, we don't.

17 PANEL MEMBER THURSTON: So if you look at
18 causality, that's a big factor.

19 PANEL MEMBER MOOLGAVKAR: Which is why the
20 finding is an embarrassment.

21 PANEL MEMBER THURSTON: No, it's not. It's
22 consistent actually with the results that it's part of the
23 mix.

24 PANEL MEMBER MOOLGAVKAR: -- debate at the
25 moment, but I would like to respond to your first point.

1 PANEL MEMBER THURSTON: I know you don't want to.

2 PANEL MEMBER MOOLGAVKAR: What I'm saying is that
3 a woman who's 20 years old, she can either choose to take
4 up smoking 40 cigarettes a day or move from Honolulu to
5 Riverside. And by the time she's 65 years old, she will
6 be at a higher risk of cardiovascular disease if she
7 decides to move.

8 DR. SAMET: And the Honolulu/Riverside debate we
9 really don't want to take up.

10 PANEL MEMBER THURSTON: I just think the
11 calculation is misleading. It's not a proper use --

12 DR. SAMET: It turns out no one has ever moved --
13 never mind. I might get myself in trouble.

14 So Dan.

15 PANEL MEMBER KREWSKI: John, thank you. Let me
16 raise a broader question about uncertainty analysis.
17 You're challenging us, Mr. Chairman, to think about
18 uncertainties associated with the concentration-response
19 function. I'm not sure that's the -- you're focusing us
20 on uncertainties associated with concentration-response
21 function, which is important.

22 But I'd like to ask the question what are the
23 major sources of uncertainties and estimates of air
24 pollution on population health. And the
25 concentration-response function will have an impact.

1 But largely we're measuring population health
2 impacts within the response range. So any sensible
3 flexible models, as long as we don't go too far to
4 different exposure levels, is probably going to be
5 reasonably consistent.

6 But let's think about something that was just
7 discussed. The exposure data, what happens if we use
8 exposure as ecologic exposure at the SMSA level and what
9 happens if we use them at the ZIP code level. In L.A.,
10 like Schwarz showed, there was a factor of three. I can't
11 think of a single concentration-response function choice
12 that would lead to that big of a difference.

13 If I think of other sources of choice of analytic
14 technique that could lead to large or small uncertainties
15 adjustment for individual covariate, we've done that
16 extensively. Doesn't seem to be a big deal. I think the
17 exposure assessment to my mind could be one of the
18 dominant ones and much more important than the choice of
19 the concentration-response function.

20 I had another one that's a big one, too, that's
21 slipping my mind.

22 DR. SAMET: So in terms of what EPA is doing, the
23 risk assessments, you've done now I think a much better
24 job of setting out systematically the sources of
25 uncertainty and trying to characterize them.

1 Zack, can you make a comment on that?

2 PANEL MEMBER PEKAR: So I laid out up there the
3 variety of functions and studies that we considered. But
4 for instance, we actually considered trying to run the
5 more detailed sort of Jerrett-based estimates for L.A.
6 with that kind of detailed profile and just given time and
7 methodological challenges, we couldn't adjust the surfaces
8 down to look at alternate air quality standards. Sort of
9 the what if. It was too complex for us given that time.

10 But just to let folks know, just even if your
11 uncertainty -- we haven't included those higher slopes
12 that come when you address exposure misclassification or
13 exposure error, which I think is a pretty important thing.

14 So we talk about that qualitatively. We say that
15 the range of risk estimates we've looked at are
16 essentially based on the primary national scale analyses.
17 But we say some of these more detailed looks are starting
18 to suggest exposure profiles -- addressing those factors
19 can increase the slope more.

20 PANEL MEMBER KREWSKI: I thought of my other big
21 ticket item.

22 Pardon me for jumping in, John.

23 If you look at adjustment for copollutants, we
24 talked about SO2 mitigating the PM2.5 effect when they're
25 included in the same models but not the other way around.

1 So the choices of the exposure metric, the choice of the
2 copollutants that go into the two models I think would be
3 to my intuitive thinking probably the two biggest sources
4 of uncertainty we would have to contend with.

5 DR. SAMET: And again, perhaps in a suggestion to
6 ARB. And I think Roger and Dan and I once sat around for
7 a number of years estimating the risks of radon. Do you
8 remember that? And somewhere in this massive risk
9 assessment there was a huge table of uncertainty. And you
10 may remember that. It was a useful exercise to develop
11 such a table because you could begin to say which ones are
12 the most important. It's probably a useful exercise.
13 Suresh listed some of these. And it's hard to say either
14 qualitatively or by expert judgment or quantitatively it's
15 not hard.

16 But you can begin to make some estimates of which
17 are most important, which are most critical and line those
18 up and begin to try to do whether it's a sensitivity
19 analysis as we saw from EPA or other methods to try to
20 understand what are the most critical uncertainties as you
21 make decision. Again, that's a useful exercise. We've
22 only potentially touched the surface of how long that list
23 might be.

24 Mike.

25 PANEL MEMBER JERRETT: Thanks, John.

1 Just a few comments.

2 And I think bar on what Suresh was commenting on
3 but also on the uncertainties that we face, the Women's
4 Health studies and the Teachers' cohort both produce risks
5 that are much larger than what we've seen. So if they
6 were biologically implausible, we might say there's too
7 much uncertainty there, we should throw out those risk
8 estimates.

9 But both those cohorts have very low levels of
10 underlining cardiovascular risk. So even small increases
11 on a relatively low baseline are going to in a relative
12 sense look quite large. So it's entirely plausible that
13 those are reasonable estimates and never smoking cohort of
14 women or in a cohort of women where the baseline
15 prevalence is five percent and there is no significant
16 occupational exposure.

17 So I think that that's one -- looking and trying
18 to understand the underlying population differences and
19 their absolute versus relative risk is something we need
20 to think about a lot in doing the burden estimates.

21 When we look at the effects in New York City,
22 which have come up time and again, I want to emphasize
23 there were significant effects there for ischemic heart
24 disease. There was a 20 percent increase, which if I
25 scale it to the same exposure contrast as Los Angeles,

1 it's actually a bigger increase. It's 1.56 relative risk,
2 significant. So very significant.

3 Now here's another case where you have to
4 understand the underlying exposure and population, because
5 the underlying population is subject to the highest
6 exposure. It's probably one of the healthiest populations
7 in the world. You have Manhattan, upper east side, good
8 access to health care, good diet. They're walking a lot.
9 They're exercising. And they're getting the highest level
10 of exposure that we can detect on what's arguably the most
11 biologically plausible outcome and effect, even in this
12 healthy population I think it's quite remarkable.

13 And to me, it lends that it's even stronger
14 evidence that air pollution is asserting an effect than a
15 weaker one. It's not a negative study at all, and it's
16 one that we think about in this broader body of evidence
17 that people are talking about it strengthens the base.

18 Now, the scale of variability in the pollution,
19 going back to what Dan has mentioned and what Suresh is
20 mentioning, I think everybody views SO₂ as a marker for
21 near source effects. And if we want to have ARB and/or
22 government regulatory agencies do something, we need more
23 measurement at fine scales that represent high gradient
24 exposures near traffic and industry where we're likely to
25 see these bigger effects. We can't develop exposure

1 models without the underlying data. And we can't go out
2 and get the data every single time we do a health study.
3 So that's something I think when we look at reducing
4 uncertainty, there's going to have to be a complete
5 rethinking in the way that government monitors pollution.

6 Just a couple of factual corrections. The
7 reanalysis never tested a California-specific estimate or
8 tested a southwest estimate which includes at least four
9 states. So there's no specific evidence from the
10 reanalysis study on that.

11 The respiratory effects that were shown to be --
12 or thought to be biologically implausible, we have
13 subsequently investigated those, published in the New
14 England Journal of Medicine last year in our article
15 investigating ozone. And when we put ozone in a model
16 with PM, we nullify any of those negative risks because we
17 show that the mixture that's associated with ozone is
18 associated with mortality. So we have to look at these
19 multi-pollutant models.

20 And just one final point. We did test for
21 proportionality hazard assumptions for key confounders
22 like smoking and air pollution in the first reanalysis
23 when there are only nine years of follow-up and a lower
24 likelihood of non-proportionality, and we found no
25 likelihood of it.

1 So if you're thinking about not using the
2 national level ACS Study because you're concerned with
3 that, we don't have any evidence that's a problem yet.

4 DR. SAMET: Okay. I think -- Suresh, just
5 quickly, because I think we're going to do one other
6 thing.

7 PANEL MEMBER MOOLGAVKAR: Yeah.

8 With the kind of departure from
9 non-proportionality that I showed you, I don't think
10 statistical tests would detect that kind of departure,
11 because it's not monotonic departure from proportionality.

12 Having said that, I think I buy your explanation
13 about healthy populations to some extent. But they can't
14 explain the magnitude of the risk, I don't believe.

15 And finally, I think talking about the shape of
16 the concentration-response function, there is this paper
17 by Abrahamowicz. I think Dan Krewski is also a co-author
18 of that paper. And that finds clear evidence of
19 non-linearities and for thresholds like behavior for
20 sulfates. And for some reason, that paper has been
21 completely ignored today.

22 DR. SAMET: So in our last three minutes before
23 break, Dan is going to spend a few minutes talking about
24 expert solicitation I guess, Dan, as a way to characterize
25 uncertainties. So go for about two or three minutes and

1 then we're going to break.

2 PANEL MEMBER KREWSKI: While the slides are being
3 retrieved, Mr. Chairman, we call it expert elicitation,
4 not solicitation.

5 DR. SAMET: I think experts are also solicited.

6 (Thereupon an overhead presentation was
7 presented as follows.)

8 PANEL MEMBER KREWSKI: So turn the clock on and
9 see if I can do this in three minutes.

10 This is a presentation I made earlier this week
11 at the Public Health Agency of Canada. Took me two hours.
12 I'm going to do it in three minutes.

13 Next slide.

14 --o0o--

15 PANEL MEMBER KREWSKI: So this slide was already
16 shown previously -- next slide --

17 --o0o--

18 PANEL MEMBER KREWSKI: -- where we saw the
19 experts that were providing guesses of what the best risk
20 estimates were for particulate air pollution.

21 Which one were you again, Arden?

22 PANEL MEMBER POPE: I'm Dr. J.

23 PANEL MEMBER KREWSKI: Who am I? I'm Dr. -- I
24 don't know.

25 But this is an example of a quantitative expert

1 elicitation and you discuss --

2 DR. SAMET: Dan, maybe for the sake -- this has
3 not been very well explained what the data are up there.
4 So would you just take a moment to describe for everybody
5 what we're actually looking at?

6 PANEL MEMBER KREWSKI: On the vertical axis, you
7 have the relative risk. So relative risk of where it's
8 one is no increase in risk relative to background. And
9 here you would see a central estimate of about two --

10 UNIDENTIFIED SPEAKER: They're not relative risk.
11 They're percent change.

12 PANEL MEMBER KREWSKI: Sorry. I can't see the
13 slide.

14 So 2 percent increase in risk and sort of a
15 50 percent uncertainty interval around that and a fifth to
16 95 percent uncertainty interval.

17 So one expert said I think this is the best
18 guess. I'm half sure it's in here. And I'm pretty sure
19 95 percent it's in this range. And all of the other
20 individual experts -- you can pool across experts, but the
21 way these numbers get put up on the board or the way these
22 experts get put around the table to discuss the data, they
23 discuss each other's data, sources of uncertainty,
24 strengths and weaknesses. You modify your original
25 opinion, at least I modified mine. Arden, you may have

1 stuck with your original opinion knowing that yours was
2 right and mine was wrong. I don't remember.

3 But this is an example of an expert elicitation
4 that's quite useful. But the one we weren't to show you
5 was on the next slide.

6 --o0o--

7 PANEL MEMBER KREWSKI: We went through a very
8 intensive expert elicitation process for prion diseases,
9 which are a bizarre class of diseases we don't know how
10 they occur. Mad Cow Disease is perhaps the best known.
11 What you do is ask the experts a series of questions to
12 which you know the answers. You calibrate their
13 knowledge. And then you ask a whole series of questions
14 to which you don't know the answers and you give the
15 experts who calibrated well more weight than the others.

16 --o0o--

17 PANEL MEMBER KREWSKI: I'm just going to show you
18 one or two of those questions.

19 Next slide.

20 --o0o--

21 PANEL MEMBER KREWSKI: So these are the experts.
22 We gave them 22 unknown answerable questions. The 22
23 target questions after they were calibrated. This is the
24 center room at the University of Ottawa.

25 Next slide.

--o0o--

1
2 PANEL MEMBER KREWSKI: And here is the first
3 question out of 22 that I could show you. What's the
4 likelihood that we'll encounter another disease like BSE,
5 but it's not BSE? So another zoonotic disease
6 transferable from
7 animals to humans within the class of transmissible
8 spongiform encephalopathies? BSE is mad cow disease.
9 What's the likelihood there is another mad cow disease
10 lurking out there that we haven't discovered?

11 This slide shows here we have eleven
12 international experts. One expert would give his or her
13 best case. In this case, it's 40 percent likelihood that
14 we'll find another such disease. But that expert
15 expressed uncertainty going from ten percent likely to 80
16 percent likely. You can see a fair bit of divergence
17 among the experts.

18 If you just take a simple average, the red line,
19 the overall average is about 60 percent likely. And this
20 is averaging the -- taking the max of the upper and lower
21 limits, if you take the weighted average right up here, 98
22 percent with the knowledgeable experts of another TSE. So
23 you may see a lot of uncertainty here.

24 What sense can I make out of this?

25 --o0o--

1 (Thereupon a recess was taken.)

2 DR. SAMET: We're starting again.

3 George -- so the next topic is what studies are
4 appropriate to use to estimate health impacts from
5 specific sources such as diesel PM.

6 (Thereupon an overhead presentation was
7 presented as follows.)

8 PANEL MEMBER THURSTON: And I guess I just say
9 forward on the slides.

10 Okay. I'll be very brief, because I just have a
11 couple of minutes.

12 As you said, I'm going to try to cover a very,
13 very broad topic that requires far more discussion than
14 I'm going to give it. But I will follow it up. Talking
15 about how to estimate premature deaths from long-term
16 exposure to PM2.5.

17 Next slide.

18 --o0o--

19 PANEL MEMBER THURSTON: So this is like so many
20 other environmental and pollution studies that there are
21 basically three groups of information: Animal studies,
22 controlled exposure studies, and then occupational studies
23 of people who work and get exposed and then
24 epidemiological studies.

25 We look at a large population. And that's really

1 what we've been discussing this morning are the population
2 studies. And there is information that can be gotten from
3 each of them and there are strengths and weaknesses. In
4 one line, I tried to summarize those. So it's obviously
5 very abbreviated.

6 But in the animal studies, the big advantage is
7 it's controlled conditions. And you can use specific
8 pollution emissions to expose the animals, like mice. And
9 then you can see what the effects of specific things are.

10 The challenge in other situations is that there
11 is a mix and you can't -- it's difficult to break out how
12 much is from each component. Here, you can apply a
13 component. You can add things in, subtract them. So
14 there are advantages to these kinds of studies, especially
15 in looking at mechanisms is biologically plausible which
16 components could have an effect on living things.

17 The disadvantage is that you're giving up the
18 realistic mix of pollution that, in reality, people aren't
19 exposed to just one pollutant. They're exposed to a
20 variety of things.

21 And also there is a species extrapolation
22 required. There's people are not the same as mice and
23 there is a lot of differences. And so you have this whole
24 problem of extrapolating, especially in terms of trying to
25 get a dose-response, how much pollution for how much

1 effect. And so quantitatively you really can't use these
2 studies for risk assessment, but you can use them as a way
3 to look at biological plausibility.

4 And then there are occupational studies. For
5 example, toll workers who get exposed to air pollution and
6 predominantly traffic pollution and the advantages that
7 they're human population with real pollution mixes, not
8 just one pollutant. But the disadvantage is that they're
9 very high exposure, so they're not like the general
10 public.

11 And also there is -- generally, any time you look
12 at an occupational group, you have to worry about are they
13 different from the general public? Is there a selection
14 bias that goes on? The type of people who get these jobs
15 and keep those jobs might be different from the general
16 public. And you probably don't have the most susceptible
17 individuals in that population.

18 And so when we talk about occupation, one of the
19 big concerns people have, is there a healthy worker
20 effect. So it makes them not representative.

21 So basically the concern would be that they're
22 getting very high exposures, but they have lower effects
23 per microgram. So you end up with very high estimates of
24 effects.

25 The epidemiological studies have advantages.

1 Those are real people getting real exposures. And it
2 includes everybody in the population, including who might
3 be susceptible in the population. So that would be like
4 people with preexisting diseases, older children, pregnant
5 women, those populations.

6 But the disadvantage is that then it's hard to
7 differentiate out how much is from one component. For
8 example, diesel versus on the component, oil burning. And
9 so that's the challenge.

10 Next slide.

11 --o0o--

12 PANEL MEMBER THURSTON: So of the epidemiological
13 studies, you know, basically I broke them into three
14 groups.

15 One is proximity to roadway studies. And the
16 advantage of that, you know, again, they are real people
17 and they have large traffic exposures. I think in Europe
18 it's more of a diesel exposure, but in the United States
19 it's more of a mix.

20 And disadvantages of those is again how much is
21 diesel, how much is other traffic pollution, if you find
22 an effect. There is always a concern -- well, in all
23 studies really possible socioeconomic status. The people
24 who live near the roadways in the case of the study, are
25 they the same as the people who live further away?

1 As a really nice summary of all this, there is a
2 recent -- just last month an HEI special report 17 that
3 goes through all these studies. So that's worth looking
4 at and I think should get into the process. And maybe Dan
5 will want to maybe summarize the findings of that or if
6 you want to add to that, or you could assign it to Aaron.

7 Two slides.

8 --o0o--

9 PANEL MEMBER THURSTON: But basically in that
10 report, if I can try to summarize it, even though I am not
11 the expert on that report, it seems to me what they were
12 saying with the long-term studies was that the results of
13 looking at proximity to roadway were consistent with
14 studies of air pollution in general, but there weren't
15 enough studies that have been done where they took
16 long-term cohorts and analyzed this to be able to come to
17 some decision about causality.

18 And correct me if I'm wrong.

19 So that's very relevant to look at. Special
20 report 17, I think it is.

21 --o0o--

22 PANEL MEMBER THURSTON: And then epidemiology
23 studies looking at particulate matter. We've been talking
24 about those all day with their relative risks or hazard
25 ratios.

1 And the advantage of those, we do have many
2 studies and we have representative exposures and the
3 general public that's studied. And so they're very
4 relevant.

5 But the disadvantage is if you're trying to apply
6 this to diesel, that you're assuming that the toxicity of
7 diesel is not significantly different from all particles.
8 In other words, that you're saying that we can't really
9 say whether diesels are more or less toxic than PM2.5. So
10 we're going to say it's similar to other PM2.5 and treat
11 it that way, if you're going to use particulate matter
12 studies.

13 Now, there is another option, which is
14 epidemiological studies looking at the particulate matter
15 component such as by constituent, a trace element, or even
16 doing source apportionment where you take the mass and
17 apportion some of it to coal burning and some to traffic.
18 And there are studies like that beginning to come out, but
19 it's in a very early stage. And so while it has the
20 advantage that would yield results of mass deaths per
21 amount of mass, let's say, or relative risk, that's more
22 specific to traffic and to diesel.

23 The disadvantage is we don't have that many
24 studies yet. So it's not really possible to have a body
25 of knowledge to come to that estimate yet. And I think

1 the basic, you know, situation with that whole area is
2 what Mary Ross was really speaking to this morning is that
3 we don't have enough information to say that one component
4 is much more toxic than another component yet and that one
5 thing is very much more and that one is very much less.
6 And right now we can't answer that question with
7 sufficient confidence.

8 So I would say, you know, based on our studies,
9 it does seem that the fossil fuel combustion component,
10 the kinds of things that Arden Pope was talking about, the
11 fossil fuel combustion component would be among the more
12 toxic.

13 But I think a conservative assumption is to say,
14 okay, we are not going to say it's the most toxic. We're
15 going to say it's similar to other PM2.5. So while not
16 the best answer -- I would love to have more studies done
17 of components. I think that's a conservative approach to
18 that. But so that's it. And so maybe that will get some
19 discussion going. Something to shoot at.

20 DR. SAMET: Tom.

21 PANEL MEMBER HESTERBERG: Again, my name is Tom
22 Hesterberg. I'm Director of Product Stewardship and
23 Environmental Health at Navistar, Incorporated.

24 (Thereupon an overhead presentation was
25 presented as follows.)

1 PANEL MEMBER HESTERBERG: We're the major U.S.
2 manufacturer of trucks and engines and buses, school
3 buses, as well as RVs, military equipment in the U.S.
4 There are European competitors. But especially in the
5 intra-city vehicles, the pick-up and delivery vehicles,
6 you'll either see Navistar or international on most of
7 them around the city. So we are obviously very interested
8 in this topic.

9 I don't see Mary here. But thank Mary Nichols
10 and Bart Croes and Linda Smith for inviting me and
11 organizing this. I think this is a fairly balanced
12 discussion of the issues not only around PM, but I'm going
13 to talk more about diesel on my topic.

14 I want to mention, too, in your packet -- I
15 wanted to thank CARB for including -- there is a short
16 white paper that I wrote that's going to go into more
17 detail than I can go into in five minutes. And there is
18 also some of the recent review articles that I published
19 in a peer reviewed publication on diesel exhaust.

20 And I wanted to thank George too for a good intro
21 to what I'm going to provide, because I didn't go into the
22 pros and cons of these different studies. I'm going to
23 cover the ones that you covered focusing primarily on
24 studies that have been done on diesel exhaust.

25 One that I don't think you mentioned is human

1 volunteer clinical studies. And those obviously have the
2 advantage of controlled exposures, controlling the
3 environment, but also obviously a lot more relevant to
4 humans. They are relevant to humans.

5 Next slide.

6 --o0o--

7 PANEL MEMBER HESTERBERG: I'm not going to go
8 into the details. I mentioned earlier I'm not an
9 epidemiologist, but it's clear there is a lot of
10 uncertainty around the ecological studies. Model
11 selection is one that a few years ago there was a lot of
12 discussion around that because that can certainly impact
13 the results. And you know, there may be a tendency to
14 select those models that provide the increases and the
15 associations.

16 Treatment of co-pollutants. I brought that up
17 this morning. I'm still not comfortable that the
18 potential co-pollutants are being dealt with as
19 confounders. There are thousands of other potentially
20 toxic and some known toxic agents in the atmosphere that
21 aren't being measured in these epidemiological studies.
22 And a lot of those go up with PM, go down. There's some
23 recent studies, Joe Monalie's group and others, filtered
24 out the PM from diesel exhaust. And a lot of this
25 toxicity is still there. There's not that much, and it's

1 have been a number of studies of -- I call it TDE,
2 traditional diesel exhaust. This is pre-1990 diesel.
3 It's quite a bit different than what I'll talk about
4 later, the new technology diesel exhaust where most of the
5 PM has gone.

6 But in a six-month study that was completed by
7 Lovelace down in Albuquerque -- and this is actually part
8 of a NERC study where they're comparing a lot of different
9 combustion sources -- exposures were to whole diesel
10 exhaust. And I want to point out that the PM is only
11 about a third of the mass. Two-thirds of that mass are
12 gaseous components. So any effects you see you can't
13 necessarily attribute to the particulate matter.

14 As I mentioned earlier, in fact, when you filter
15 out that particulate matter, a lot of that effects are
16 still seen.

17 But basically what they saw even at very high
18 doses, a thousand micrograms per cubic meter, and they
19 drop to 300, 130, they saw mild pulmonary and some
20 cardiovascular effects. A lot of those were transient.
21 Essentially didn't kill any of the animals. There was no
22 mortality, even at a thousand micrograms per cubic meter,
23 two or three orders of magnitude higher than you see in a
24 city where the exposures is two to ten micrograms per
25 cubic meter.

1 And I realize we don't have the "susceptible"
2 population here, but no mortality, no real indication.
3 And also in the NERC studies, they're comparing not just
4 diesel, but they're comparing that with gasoline exhaust.
5 And wood smoke and a lot of those same mild effects are
6 seen at similar levels. So I don't think there is any --
7 you can attribute any unusual toxicity diesel exhaust
8 particles to any other exhaust particles.

9 If you put a particle trap on it, Jake Lovelace
10 put a trap on a Yanmar engine and compared before and
11 after exposure. Even those mild effects went away when
12 you put that particle trap on.

13 Now, the trap is a catalyzed trap. It not only
14 removes the particles, but it actually burns the
15 hydrocarbons. So hydrocarbon is going down. Everything
16 is going down with these particle traps.

17 And then in terms of cancer, one of the reviews
18 in the packet there -- we reviewed all of the studies out
19 there. You only get lung cancer in rats at overload
20 levels, higher than a thousand micrograms per cubic meter.
21 You get below that, there is no cancer. There is no
22 cancer even at those massive levels in mice or hamsters
23 either. So I think it's pretty well accepted this
24 overload is an unusual thing. Probably doesn't get to
25 those levels in humans. And certainly the animal evidence

1 does not support diesel being a carcinogen.

2 --o0o--

3 PANEL MEMBER HESTERBERG: And I don't have a
4 slide on this, but I've also reviewed the occupational
5 studies. The trucker study is a major one. And there is
6 a railroad study. The issue with those studies is that
7 although they find a small increase, the odds ratio is
8 about 1.5. Maybe a 50 percent increase. It's consistent
9 across all exposure levels. In fact, that same 1.5 was
10 found in truckers before dieselization of the trucks. So
11 I think that's pretty weak evidence there.

12 In terms of the human volunteer studies, we
13 reviewed about 50 of those studies that have been
14 completed. There's little or no consistent impact
15 observed at the primary exposure levels that have been
16 used are 100 or 300 micrograms per cubic meter. Again,
17 those are fairly high compared to the ambient exposures of
18 diesel exhaust which are on the order of two to ten
19 micrograms per cubic meter.

20 And there is some recent studies. They haven't
21 been published. But there are essentially abstracts where
22 they put a particle trap on the human volunteer study
23 exposures. And even those subtle pulmonary and
24 cardiovascular effects that we're seeing completely
25 disappear when they put that trap on. That's Kent

1 Donaldson's group at University of Edinburgh.

2 Next.

3 --o0o--

4 PANEL MEMBER HESTERBERG: I want to point out
5 that I think future studies should focus on the new
6 technology diesel exhaust. We've done some analyses, part
7 of the ACES study that's being overseen by Dan's group,
8 HEI. We've got some of the data on the emissions. The
9 emissions when you compare to old diesel, they are
10 actually more similar to CNG, compressed natural gas. And
11 CARB has been involved in some studies that have
12 collaborated that.

13 --o0o--

14 PANEL MEMBER HESTERBERG: And final slide just to
15 summarize.

16 I think epidemiology studies still have quite a
17 few uncertainties. I'm uncomfortable about one. I know
18 there is a huge (inaudible) of chemicals and potential
19 toxins in the ambient air. When you measure PM, that
20 tends to go up and down with those. Those are not being
21 measured. We don't know -- those are unmeasurable in many
22 studies. Unmeasurable confounders. They're not being
23 taken into account. There is no marker for diesel exhaust
24 in terms of going forward. Maybe we'll come up with a
25 marker with Bart's group next month.

1 I think some studies that do have some utility
2 are sub-chronic and chronic animal studies, human
3 volunteer studies, occupational studies in humans. And
4 bottom line is when you get down to ambient or even
5 occupational levels of diesel exhaust, there is not a
6 whole lot going on. When you compare it with other
7 combustion sources, it's not very different from wood
8 smoke and some of the other sources.

9 And again, in the future, ten years from now,
10 most of the vehicles on the roadways here in California
11 and throughout the U.S. are going to be new technology
12 diesel exhaust emitting vehicles. And these are extremely
13 clean. There's no black soot coming out the back. You
14 can't smell anything. It's as clean or cleaner than
15 compressed natural gas exhaust.

16 Thank you.

17 DR. SAMET: Thanks, Tom.

18 So I'm going to suggest that to make certain that
19 we give as much time as possible to the questions that
20 have come from the public that we take 10 minutes, 15
21 minutes for discussion here and then move on to the
22 questions, because we have both questions from the public
23 to discuss and a wrap up from Dan.

24 So why don't we open this up for discussion for a
25 while and then move on.

1 Fred.

2 PANEL MEMBER LIPFERT: I just want to make a
3 quick comment, because I've forgotten about it and I think
4 it's relevant.

5 A couple years ago, I was cruising the autobahn
6 with some relatives, and we got stuck in traffic. And to
7 my amazement, when you look out the window of the car,
8 about 18 inches off the ground you're looking at a diesel
9 exhaust pipe. European trucks don't discharge in the air.
10 They discharge on the ground. So when you're looking at
11 European studies, I think that's something that one might
12 really want to think about.

13 And also as you probably all know, when you
14 cruise the autobahns, you don't go through towns in Europe
15 like you do in the U.S. But the central streets, the
16 regular streets do. And many of those houses have no
17 set-back. If you look at the exposure study for the Hoke,
18 et al, epidemiological study for a few years ago in the
19 Netherlands, the minimum distance from the roadway was
20 sited at ten centimeters. So we got a lot of apples and
21 oranges to sort out here.

22 Thank you.

23 PANEL MEMBER ENSTROM: I would like to propose
24 that based on the information particularly that Tom
25 presented and the new diesel technology and the great

1 reductions in diesel levels that CARB reconsider the
2 designation of diesel particulate matter as a toxic air
3 contaminant. I think there is a process for that. And I
4 think it should go forward because of all the advances and
5 the information that's been presented today. And I think
6 I'm proposing that. I believe that it should be done,
7 because I think there is a lot of irregularities in what
8 was done in 1998 and I think it should be straightened
9 out.

10 That's my proposal.

11 DR. SAMET: Okay. Other comments?

12 Roger.

13 PANEL MEMBER MC CLELLAN: Just a general comment.

14 As I emphasized earlier, you know, at the end of
15 the day, the regulator, the policy maker, the politician
16 has to use whatever science is available. And the science
17 has to inform what is ultimately a policy decision. We
18 might even be able to estimate to some degree some level
19 of risk associated with a particulate level in the
20 environment. But ultimately a decision on how that's used
21 then in setting some standard involves acceptable risk.
22 And that really is a decision that scientists can't make
23 but is left in the hands of the policy maker.

24 The point I wanted to make is that the challenge
25 for the regulator is to look to the future, and one of the

1 things you're going to do in terms of the future but using
2 the science experience of the past. And that's why in
3 some of my comments earlier I dwelled on what I viewed as
4 important factors in terms of past exposures that we are
5 now weeding out, if you will, in our epidemiological
6 studies. And essentially as I've looked at the data over
7 the last 30 to 40 years, there's no question that we have
8 made major advances in terms of improving air quality
9 across the United States.

10 To paraphrase, one of my friends and colleagues
11 said the problem we have is that we've never decided on
12 what's the rule for success. And I think that kind of is
13 imbedded in that policy decision in terms of the regulator
14 in terms of putting any new regulation in place or making
15 a change is how much more is needed in terms of going
16 lower.

17 As I said, as I look at it, I see that there has
18 been a lot of changes in terms of air quality over time.
19 I'm not certain where we are on that path in terms of how
20 much "cleaner" it should be. But I think those policy
21 decisions ought to be made not looking just at the
22 information through our little magnifying glass on this
23 issue, but taking a broader view of all the factors that
24 influence human health.

25 DR. SAMET: Okay. Let me ask again -- going back

1 to our charge on studies to be used for estimating health
2 impacts of diesel, other comments? We heard from both
3 George and Tom.

4 Because if not, we have lots of questions from
5 the public. To use a metaphor, this panel looks a little
6 out of gas. And it could be that we should move on to
7 the -- roused up Arden.

8 PANEL MEMBER POPE: I actually have to go to
9 catch a flight. So I'm going to wait for a few public
10 questions.

11 But on this, I want to just say one thing. And
12 it kind of relates to what Suresh said earlier in that
13 there is a number of us he said that have latched onto
14 PM2.5 and thinks it's it. That's not true. And it's not
15 true with diesel emissions either.

16 Just very briefly, when I got involved with this,
17 my first studies used the word "community" air pollution,
18 because I had no idea which pollutant was mostly
19 responsible. My buddy here, George, and others tried to
20 convince me that it was acid aerosols. So we spent years
21 chasing the acid aerosol effect.

22 The reality is the acid aerosol doesn't pan out,
23 so we started looking more closely at inhalable
24 particles, PM10. That didn't pan out so well, especially
25 in those long studies where the PM2.5 consistently --

1 PM2.5 and not acid aerosols, but sulfate and other sulfur
2 oxides consistently outperformed our other indices of air
3 pollution.

4 The bottom line is it's been the data that has
5 led us to PM2.5 as being the index. And it seems like
6 that works in areas where you have a lot of truck traffic,
7 a lot of gasoline automobile traffic where you have steel
8 mills, where you have copper smelters, where you have
9 coal-fired power plants. Why this is the case, I don't
10 know. But it turns out -- and we tried to go to ultra
11 fines. Ultra fines don't seem to work as good as PM2.5
12 right now. So the bottom line is it's the data that's
13 driven us to PM2.5.

14 I will agree that the different sources of PM2.5,
15 they don't -- none of them seem to be any worse than the
16 others. That includes diesel. It doesn't seem to be
17 particularly worse than gasoline or steel mills or
18 coal-fired power plants.

19 And this is one of the problems with public
20 policy is, you know, the different industries want it to
21 be the other industry that's really the source of the
22 pollutant that matters the most. And it's sort of bad
23 news that us as epidemiologists are giving, and that is
24 we're saying it looks like all of the sources matter. And
25 that's the best answer we've got at this point.

1 So my most -- I guess the plea I have is for the
2 public and everyone else to understand is that the
3 movement toward PM2.5 and the various sources of PM2.5 as
4 being contributors on the adverse health effects that we
5 see has not come by any personal vendetta against PM2.5 or
6 diesel emissions or coal-fired power plants or whatever.
7 It's that's where the data have led us.

8 Now where it's going to lead us in the future as
9 to whether diesel is really way more important or not, I
10 don't know. But right now, it appears that where we're at
11 is that the various sources of PM2.5 contribute maybe not
12 equally, but contribute in a combined way to adversely
13 affect human health.

14 Thanks.

15 DR. SAMET: Suresh. And then we have two other
16 commentators, and then we're going to go I think to the
17 public.

18 PANEL MEMBER MOOLGAVKAR: I just wanted to make
19 one comment, Arden. And that is if you look at combustion
20 source particles, each cigarette contains about 16,000
21 micrograms. So you're clearly saying that combustion of
22 fossil fuels is far, far riskier than the combustion
23 source particles that we get from cigarette smoke. Is
24 that what you're saying?

25 PANEL MEMBER POPE: Cigarettes are way worse. No

1 doubt about it.

2 PANEL MEMBER MOOLGAVKAR: On a per micrograms
3 basis, you're saying that air pollution is a lot worse
4 than cigarettes.

5 PANEL MEMBER POPE: The evidence suggests that
6 environmental tobacco smoke as well as urban air pollution
7 both on a per microgram basis is more toxic than active
8 smoking. Why that's the case, I can't tell you. But
9 that's certainly the evidence.

10 PANEL MEMBER MOOLGAVKAR: Well, you're talking
11 about your paper in circulation. But that paper doesn't
12 explain anything. All it does is --

13 DR. SAMET: We're heading off track here. We're
14 heading off track.

15 Melanie.

16 PANEL MEMBER MARTY: I'm answering the question:
17 What studies are appropriate to use to estimate health
18 impacts from specific sources such as diesel PM?

19 Roger said you have to use the science you have.
20 Right now, we have lots of data on PM2.5. It's robust.
21 There is effect estimates we can choose from. It makes
22 sense to me. And we have, as a sister agency -- the ARB
23 said that we are comfortable with them at this point in
24 time using those effect estimates to look at diesel PM.

25 DR. SAMET: Okay.

1 PANEL MEMBER MC CLELLAN: I missed your comment
2 you attributed to me. What was it you were attributing to
3 me?

4 PANEL MEMBER MARTY: That you have to use the
5 data that you have.

6 PANEL MEMBER MC CLELLAN: Yeah. Absolutely.

7 DR. SAMET: Michael.

8 PANEL MEMBER JERRETT: I just wanted to raise
9 another issue I think is important, and that's the concept
10 of intake fraction. We've heard a lot from some of our
11 other presenters that levels of diesel exhaust are going
12 down. But what has happened though is the vehicle miles
13 traveled have gone up at a rate of three to four times
14 population growth over the past 20 years. So our roads
15 have become more crowded and more congested. There are
16 more roads. There are more people living in proximity to
17 heavy traffic. And some of that is diesel traffic.

18 Very good studies in Los Angeles along the 710
19 freeway unequivocally shows the difference of on-road
20 exposure -- Scott Froines has done the best studies. He's
21 at UCS in John's department. Unequivocally showing
22 massive increases in black carbon, ultra fine particles.
23 Virtually all of the major constituents of toxic PM are on
24 the road with a lot of trucks compared to roads with cars.
25 So we have a lot more people living close to these areas.

1 And someone said earlier the dose makes the
2 poison. But the place also makes the poison, because
3 these place conditions how much of the fraction that is
4 emitted actually enter into the lung. And unless we start
5 taking that into account in our studies -- and Zack and I
6 had some interesting discussions about how that might be
7 done by looking at proximity to roadways and the level of
8 population exposed. I think that's another consideration
9 when we want to look at diesel particulate to see how we
10 can do these risk assessments. Because we're going to
11 have to understand the intake fraction as well as the
12 levels that are coming out of the exhaust, because the
13 vehicles miles traveled have gone up so much and they're
14 in proximity to huge populations.

15 PANEL MEMBER LIPFERT: I just want to make a
16 quick response.

17 Arden, there is a certain circularity in your
18 argument, because the reason you have PM2.5 data is
19 because EPA decided to measure PM2.5. If they had put a
20 similar effort into ultra fine or benzene or
21 benzo[a]pyrene or formaldehyde, we might have a different
22 situation. We can't answer that question. It's just part
23 of the evils of the system that we don't have an
24 independent monitoring agency that doesn't have a
25 regulatory agenda in mind.

1 DR. SAMET: Okay. I'm actually -- Tom, to the
2 diesel point?

3 PANEL MEMBER HESTERBERG: A quick point on
4 Michael Jerrett's comment, and I think it's a good one.
5 But it's not just vehicle miles traveled. I think it's
6 density on the freeway. And that's probably not going to
7 change. The L.A. freeways, I mean, you're in creep mode
8 and almost like a parking lot or you're flying along. I'm
9 not sure that's going to change on the roadway. And we
10 know from studies that the PM drops dramatically as you
11 get 200 feet away from the freeway.

12 So I'm not sure vehicle miles traveled is going
13 to necessarily increase the exposure to people's --

14 DR. SAMET: I'm going to suggest we're probably
15 drilling down too deep, and I think we have probably not
16 enough time to cover all the purple cards that are in
17 hand. So I think we need to move on. But after 5:00 you
18 can continue this discussion outside.

19 There were three kinds of questions that came in.
20 There were simple ones that I think I can just quickly run
21 through myself and maybe give a ten second answer that
22 hopefully no one will disagree with. There are a number
23 that I think need sort of a full response by the panel.
24 And then there are a number that really relate to matters
25 of policy and the ARB itself.

1 And what we're going to do first is turn to I
2 think Mary for some general responses to these comments,
3 and then the panel will focus on those that are less
4 policy related.

5 AIR RESOURCES BOARD CHAIRPERSON NICHOLS: Well, I
6 have been listening to the science debate. And it's been
7 really interesting for me, because you know, I tuned into
8 this topic when I was at EPA in the Clinton administration
9 and was involved with the original setting of the PM2.5
10 standard and checked out for quite a long time. And now
11 obviously I'm back here at ARB responsible for
12 implementing our programs. So I have appreciated how much
13 work has gone on since those early days and how much of
14 the controversy that swirled around the whole issue of
15 moving from a focus on all particles to smaller particles
16 has now moved on to a much more sophisticated kind of
17 discussion.

18 There are about six questions here that are
19 really policy questions. And they probably reflect a lot
20 more of what people who have been here -- I think some of
21 them had to leave. But I know there were a number of
22 people in the audience who are here because they are
23 involved with some of the industries that are being
24 regulated, especially trucking, construction, and so
25 forth. And so their questions are much -- they tend to be

1 pretty practical about why are you doing this and what's
2 your excuse, basically.

3 So I just wanted to take a step back for a second
4 and say how I think we're approaching the issue of
5 regulating PM2.5 and how we think about these questions at
6 the Air Resources Board, which is a policy agency.

7 So first of all, Air Resources Board is the
8 agency created under state law to do basically two major
9 things. One is to come up with a plan and develop the
10 regulations to meet federal air quality standards or any
11 state ambient air quality standard that we create. And
12 the other one is to deal with reducing exposures to toxic
13 air contaminants.

14 I want to tell you that having had this job, the
15 same job I'm in right now, you know, 30 years ago, the
16 levels of public concern about air pollution wax and wane,
17 mostly in regard to economic conditions more than they
18 have anything to do with the measured air pollution.

19 The comment about how people ought to realize
20 that we've really done a lot to clean up the air, that's
21 interesting to me, because I know we've cleaned up the
22 air. I've lived through a lot of that experience. And
23 there's no question that we measure many pollutants at far
24 lower levels than we did when I moved out here in 1970.

25 On the other hand, public concern, especially in

1 some communities, has not gone away about air pollution.
2 People, even those who lived through the period when it
3 was much worse than it is now, still feel that air
4 pollution is unacceptable. They're worried about
5 different things. They might be worried more about toxic
6 chemicals than they are about ozone. But they're still --
7 the pressure to continue the work of cleaning up the air
8 is strong.

9 Okay. So with respect to PM2.5 and what we're
10 doing with that, one of the things that I think has
11 created a lot of concern and understandably so is that the
12 very controversial report that came out with the
13 information that suggested higher risk of mortality and
14 premature death from being exposed to PM2.5 came out
15 shortly before the ARB adopted some regulation or while we
16 were in the process of adopting some regulations dealing
17 with particulate emissions from diesel engines.

18 And those two things are sort of related, but in
19 some ways they're not related, because the truck rules and
20 the off-road diesel equipment rules that the ARB has
21 adopted and is implementing were adopted under the State
22 Implementation Plan that we have to submit to U.S. EPA for
23 meeting the federal fine particle standard. We are not at
24 liberty to decide that we don't like -- that we don't
25 think PM2.5 is a problem. We have to submit a plan on

1 pain of being sued and losing our freeway funds and so
2 forth if we don't show how we're going to meet that
3 federal ambient air quality standard.

4 And the plans that the State had developed over
5 the years, particularly for the South Coast air basin and
6 for the whole central valley, seemed to show that we had
7 done just about everything we could do to clean up
8 stationary sources, all the power plants and refineries
9 and canneries and everything else. Everybody had controls
10 on. And so you're going to have to go by logical steps.
11 We cleaned up fuels, and the next step was to go look at
12 diesel equipment. And they have been the last group to be
13 regulated. And there is a good reason for that. It's
14 important economically. It's characterized by a lot of
15 small businesses. So it's much more difficult and
16 expensive to regulate in that area. But the time finally
17 came to adopt those regulations.

18 Now, do we consider effects of the economy or do
19 we consider the economy? Yes. I've already said that
20 cost effectiveness in terms of regulation is a key issue
21 for ARB. We look at cost effectiveness relative to other
22 things that we could control. So every time we do a
23 rulemaking, we try to look at it in comparison with the
24 cost of other rules that we've already adopted and not to
25 just jump into things that are way more expensive.

1 We also look at the fact that the economy can
2 change and sources can change in terms of their
3 contribution to the problem. So for the diesel-related
4 industries, both construction and trucking, the current
5 economic downturn has made a big difference in the
6 inventory. We know that. And so we are both slowing down
7 in terms of enforcement of rules, and we are actually
8 looking at reviewing those rules to see what can be done
9 or what needs to be done to continue the progress that
10 we're making on meeting air quality standards but to do it
11 in a way that doesn't over regulate or cost more than it
12 has to.

13 I guess the last issue is about the toxic aspects
14 of diesel particulate specifically, which I realize is
15 some of the main concern of some of the people that are --
16 whether the toxicity or the identification of diesel
17 particulate as a toxic air contaminant was correct when it
18 was first done or whether it should be re-looked at again
19 in terms of current information. And I guess that process
20 is not under the control of ARB. It's something that we
21 take -- we receive our information on that through the
22 Office of Environmental Health Hazard Assessment. But my
23 view would be we should regularly look it up and indicate
24 our toxic assessments if we have new bodies out there. It
25 would be wrong not to do that. Science moves on, and

1 particularly relative information about what's important
2 and what isn't.

3 So I think that actually answers the major
4 questions. There was one question somebody asked why we
5 had so many industry representatives here to manufacture
6 doubt. I would just say to that I don't think of anybody
7 as either an industry representative or an environmental
8 representative here. I know that people have disclosed
9 their salaries or research is funded by different groups.
10 I think that's a fact that can be noted. But I guess I
11 believe that science is science and the great thing about
12 a symposium like this is it does allow people to expose
13 each other's ideas, challenge each other's positions, and
14 I think people have done that very effectively.

15 So I think that covers what I was assigned.

16 DR. SAMET: Good. Thank you, Mary.

17 (Appause)

18 DR. SAMET: Okay. So I think Dan is going to
19 wrap up. So we have a big stack of purple slips. And I'm
20 going to run through a few of them that I think I can
21 answer quickly.

22 So one question is have any of these studies
23 demonstrated a relative risk ratio of two or higher, the
24 standard used by federal courts for admissibility of
25 scientific evidence?

1 I'll just make a quick comment. I'm quite
2 familiar with this issue and the federal reference manual
3 used to train judges. There's not a bright line for
4 relative risk. This has sometimes been construed that way
5 for individuals, but not on a population level.

6 Another question related -- I think this has been
7 an important issue in the discussion. The clear
8 definition of premature mortality and the comment is
9 versus harvesting. And early on there has been a
10 substantial discussion. Here really the issue is for
11 these premature deaths, how much life shortening has gone
12 on?

13 Historically, when these day-to-day studies were
14 first done, the question was how much life shortening is
15 there? And these longer-term studies became very
16 important, because they pointed to a longer degree of life
17 shortening, not just a day to day, but perhaps some matter
18 of months to years, something of public health
19 significance. So there's no a definition that we care
20 about one day of life shortening, ten days of life
21 shortening. None of us would want to have our lives
22 shortened from a public health perspective. But there's
23 not a bright line in this discussion.

24 Bob, do you have any addition?

25 PANEL MEMBER PHALEN: On the question on the

1 relative risk of two, to me, the question is related to
2 indicating that you're looking at surrogates for the real
3 factors. In other words, when you have a relative risk of
4 two or so, there is a -- historically, there is a strong
5 indication that what you've measured is not the cause. So
6 I think that's the point.

7 DR. SAMET: Let's not go there. I think it's
8 largely based around calculation of attributable risk and
9 those are exposed to a factor and probability of
10 causation. And you're a little bit off. But we can talk
11 about that privately.

12 There is a discussion here about tire tread
13 rubber, very high percentage of carbon black as filler
14 material. How is it separated from the carbon of diesel
15 exhaust in those studies since there is obviously heavy
16 tire wear in the same locations as the fuel usage?

17 I know around the course PM fraction there has
18 been discussion of the potential contribution of materials
19 from tire wear. Perhaps there is somebody who can comment
20 specifically on any separation of the carbon in the tire
21 material, the tread from other sources. I suspect what's
22 collected is mass on a filter and analyzed, it's probably
23 just carbon I suspect. But you're hearing that from an
24 epidemiologist.

25 PANEL MEMBER JERRETT: There's research being led

1 by Frank Kelly in London, England, to look at the toxicity
2 of particles that come from tire wear compared to other
3 elements of black carbon. And as far as I know, it's
4 showing up as toxic.

5 DR. SAMET: And then a question: Are sulfates
6 toxic? And I assume this means sulfate, per se. And I
7 think this is actually looked at in a lot of critters.
8 And isn't the answer pretty uniformly not; is that
9 correct? Roger or Bob, somebody help me out.

10 PANEL MEMBER MC CLELLAN: You have to expose
11 animals to extraordinary concentrations. The ability of
12 the respiratory tract to handle and inhale sulfates at
13 levels well above those is typically seen as quite
14 remarkable.

15 PANEL MEMBER PHALEN: There are some exceptions,
16 like vanadium sulfate, for example.

17 PANEL MEMBER THURSTON: Can I respond to this?
18 Because this is a subject that comes up a lot and has been
19 looked at. There is a recent British study report that
20 looked at this evidence. And basically, you know, if you
21 look at sulfate alone, then you have to go to really high
22 levels to see effect.

23 However, it's never alone in the atmosphere.
24 What they're finding is that it interacts with things like
25 transition metals, that it's an effect modifier. So

1 places with more sulfate that seems to imbue the particles
2 with more toxicity, not because of the sulfate, per se
3 alone, but its particle is an interaction of many things.
4 And so that's really the thinking right now is that it's
5 an effect modifier. It makes the particle more toxic.
6 It's not itself the cause all toxicity --

7 DR. SAMET: I don't want to dwell too much. We
8 have some much more complicated questions to go on to.

9 So another question that I'm going to read, and I
10 think it's an important question of explanation. Can you
11 show us one death associated with diesel particle
12 emissions exposure in California?

13 And I think this is a very useful question and
14 relates to understanding of the fact that indeed these are
15 calculations of attributable numbers under models and
16 assumptions. It's not that anyone can go to a particular
17 person and say this is the person who was a victim of
18 diesel exhaust, radon, or anything else. Essentially,
19 with some exposures, we become far more susceptible to
20 lung cancer in a heavy and sustained smoker.

21 But these are calculations at a population level,
22 and they don't go down to specific individuals. And I
23 think this is something that's very important to be
24 communicated as ARB or anyone else who does these
25 estimates tells the public about them.

1 So let's go to the tough stuff. And there are
2 three in my hand that primarily relate to changes in
3 diesel. So here's a question. As engine technologies
4 improve and particle emissions decline, will the health
5 effects decline into insignificance? If so, when?

6 Sort of related to that is a question that I
7 think Tom alluded to in some of his presentation. All the
8 major studies are with "historical diesel." How do we
9 calculate the risk of today's diesel?

10 And another question just related to the change
11 in the fuel, the removal of sulfur and what the
12 implications are. I think these questions relate to how
13 do we, in fact, use some of the historical data to deal
14 with now and I think the future.

15 So Tom.

16 PANEL MEMBER HESTERBERG: Just one thing to keep
17 in mind is not only the particulate matter levels are
18 coming down to near zero in terms of diesel emissions, but
19 a lot of other things are coming down. The NOx is coming
20 down. Hydrocarbons, as I mentioned, that particle trap is
21 catalyzed with platinum so it burns off not only the
22 particles, but the hydrocarbon comes down to near zero.
23 We're not going to know -- just like now we don't know in
24 my mind attributable the health effects to particulate
25 matter.

1 Once all these new technology diesel engines are
2 in place, it's not just particles; it's a lot of the
3 pollution. That's probably a good thing. Maybe we won't
4 know exactly what was brought down was attributed, but I
5 think the studies Dan is overseeing at HEI is going to
6 give us a handle on that.

7 PANEL MEMBER GREENBAUM: We actually are testing
8 newest diesel. But in addition, there is a set of studies
9 that we're doing, several of them in roadway tunnels, that
10 have tracked quite dramatically reductions in emissions
11 over time as new rules have been put in place showing a
12 reduction in the diesel emissions. There haven't been
13 such a set of studies, but there probably should be now
14 that we are seeing much, much cleaner diesels. So as that
15 mix increases, that's only been for the last two years,
16 there would need to be increase.

17 I would say our ACES study, which ARB is
18 happening to fund, has published its first report on
19 dramatic emissions, including ultra-fine emissions from
20 the heavy-duty diesel trucks and buses even during
21 regeneration of the filters.

22 PANEL MEMBER HESTERBERG: Just a quick corollary
23 to that is -- I think Dr. Lipfert touched on this. The
24 high emitters I think are the issue now. There is a lot
25 of low-hanging fruit out there. And the emissions program

1 here in California apparently isn't working, because those
2 are still there. I don't know exactly why. But I think
3 if there is a focus on that, that would be the next step.
4 Rather than putting more and more after treatment and
5 controls on the new vehicles and new diesel new cars,
6 let's go after the high emitters. And some of those are
7 new vehicles. It's not necessarily just the old vehicles
8 that are high emitters.

9 DR. SAMET: Roger and then Melanie.

10 PANEL MEMBER MC CLELLAN: I just joined the
11 answer to that -- back to the question you were asked
12 about has anybody seen a death from diesel exhaust. You
13 went through a very logical explanation, which you said
14 that going down the line of assumption and calculations
15 one could calculate -- make that calculation. Using those
16 same assumptions, we'd say based on the same number of
17 vehicles operating today we'd have a 99 percent reduction
18 or greater using the same models, because the new
19 technology really is that effective. It's a combination
20 of the engine, the control system, the exhaust
21 after treatment, and that ultra low sulfur fuel.

22 DR. SAMET: Melanie.

23 PANEL MEMBER MARTY: I was just going to say that
24 the risk estimates are based on per microgram per cubic
25 meter. So as that drops, yes, your risk estimates drop.

1 DR. SAMET: Okay. So any other comments to this
2 set of questions?

3 Should we be monitoring more pollutants? Which
4 one would we use of these data? Bob.

5 PANEL MEMBER PHALEN: I think definitely the
6 biological aerosols. You know we've been very slow to
7 recognize their potential importance. And they're very,
8 very potent. I remember one MD pulmonary physician said
9 one potential engrain in a sensitive enough asthmatic can
10 trigger an attack. So I would propose the biological
11 components as worthy of more measurement.

12 DR. SAMET: Other commentors on this? I'm not
13 sure we've satisfied the questioner.

14 Melanie.

15 PANEL MEMBER MARTY: I would just say as time is
16 moving forward, we are slowly looking at the individual
17 components of particulate matter, and more of those types
18 of studies are needed and better measurements of those are
19 needed.

20 DR. SAMET: I would essentially add from the
21 perspective of the epidemiologists, there are a few
22 epidemiological studies that have the resources to
23 establish their own monitoring network and any population
24 context we rely on what is there.

25 I think Roger and others have pointed out we

1 study what we have at hand, because that's what we have at
2 hand. You know, I guess the question of whether a group
3 could sit back and say here's our best candidates for
4 health risks, whether it's biological aerosols or
5 something else. And this is what somebody should be
6 thinking. I don't know how ARB thinks about this. But
7 essentially it means every time the monitoring changes,
8 because it involves money and implementation of new a
9 monitoring network is costly, of course.

10 Yeah, Roger.

11 PANEL MEMBER MC CLELLAN: I think that
12 epidemiologists, scientists, we love more data. We'd love
13 to have more specificity out there in terms of what's in
14 the ambient environment, link that in terms of our health
15 effects studies.

16 But I'll sort of state the obvious. A monitor
17 has never protected anyone. So the public shouldn't be
18 confused by putting more monitors out there that we
19 protect them. We may gather more scientist data,
20 whatever.

21 I think there is some element of a need to step
22 back and make a little more common sense approach in terms
23 of -- we've heard a comment from Tom, low-hanging fruit.
24 I think all of us understand that we have high emitters
25 out there on the road. How do we deal with those? That's

1 a real tough political policy issue, because the American
2 public by and large doesn't like regulation that comes
3 down and impacts on them. They're quite happy in terms of
4 impacting somebody else.

5 But in terms of Michael might be -- there's a
6 little different sort of thing. But I think we need to
7 step back and be very cautious with regard to suggesting
8 more monitors help us out in some way in protecting public
9 health. I don't think they do.

10 DR. SAMET: George.

11 PANEL MEMBER THURSTON: Well, I would say the
12 information we gain allows more efficient public health
13 decisions to be made so that once the information is
14 gathered, the monitors itself -- if you don't use the data
15 to learn more, then you're right. But if you take that
16 data and that information and you learn more about what's
17 causing the risks and what's not causing risk, then public
18 policy makers can make better decisions to more
19 efficiently and hopefully, you know, at the lowest
20 possible cost get the maximum public health benefit.

21 And along those lines, I think we're moving more
22 towards, as we discussed, trying to look at things in a
23 more holistic sense and look at sources and the mix of
24 pollutants coming from them. So separating that out is a
25 challenge.

1 And EPA is now setting a standard for roadside
2 monitoring of NOx, nitrogen oxides. And that would be an
3 opportunity to look at organics. And there are new
4 methods out there.

5 What we lack -- years ago, we had a very good
6 tracer for automobiles at least because we had lead in
7 gasoline. That was not good that we had that, but it did
8 allow us to focus in and target. We could say, okay, we
9 see lead along a roadway. That's from automobiles. And
10 then we implemented catalytic converters. And because the
11 lead poisoned the catalytic converters, we took the lead
12 out. It really didn't have so much to do with the fact
13 that it was lowering IQs in children. It had to do that
14 we had the catalysts. And eventually we learned more
15 about the effect of lead, so it's a good thing we did
16 that.

17 So we don't have a marker for -- a very good
18 single marker for automobiles versus diesel. But there
19 are new techniques out there looking at organics coming
20 out of various sources and able to differentiate where the
21 particles come from based on that. So we have trace
22 elements from the network, the speciation network.

23 What we don't have is any data along the lines of
24 these JMA -- HEI has been very active in promoting
25 research into this area. So -- right, Dan? And so those

1 kind of data would be very effective in trying to
2 discriminate out how much of the particles are from which
3 sources. And especially I think this roadside monitoring
4 that may result from a proposed standard at this point,
5 NOx. It's final now. I should know that.

6 PANEL MEMBER MC CLELLAN: A single purpose
7 monitor doesn't provide you much in the way of scientific
8 information. If you learn nothing today, again, it's you
9 can't go out and look at a single marker and somehow try
10 to draw an association.

11 PANEL MEMBER THURSTON: So you're agreeing with
12 me?

13 PANEL MEMBER MC CLELLAN: No. I'm agreeing it's
14 worth less to put out NO2 monitors along roadways. If
15 you're going to put out more monitors, you've got to be
16 looking at the mixer of what's there. Single purpose,
17 single indicate for monitors are not very useful. But
18 that can't be regulatory -- (inaudible)

19 PANEL MEMBER THURSTON: (inaudible) We have an
20 opportunity to better characterize emissions and the
21 exposure along roadways by enhancing, not just doing the
22 single pollutant, but adding in organics. If you want to
23 promote adding in other pollutants as well, that's if you
24 want to propose that.

25 But I think the most cost effective -- if I had

1 to focus, target in on one thing I would add, it would be
2 these organic compounds so that we can better -- because
3 each source has its own fingerprints, organic fingerprint,
4 it's a little variable. But the sources are different in
5 that. And that could very well be a way to get to the
6 next level.

7 DR. SAMET: I think the person who submitted this
8 question was trying to stir up trouble.

9 Mike.

10 PANEL MEMBER JERRETT: I think these are valid
11 points. But what we do need a lot more of is not
12 necessarily measuring many more pollutants. We need to
13 decide on what are reasonable markers and measure them at
14 many more locations than what we do routinely.

15 And New York City has just set up a very
16 interesting program where they're measuring in over 100
17 neighborhoods. They've come up and found something that
18 nobody expected. It's buildings with oil -- level four
19 oil in their boilers and have some of the highest
20 particulate levels in any of the neighborhoods in New
21 York. So that's a system that's going to cost money.

22 But when we look at the societal investment we
23 have to make in diesel regulations or any of the other
24 ancillary costs that come along with trying to protect
25 public health, the investment in getting better

1 information to inform the science is relatively trivial.

2 DR. SAMET: I think this discussion is useful
3 largely for pointing out it would be useful to have
4 questions that you want to answer in implementing whatever
5 additional monitoring is being done. And going beyond
6 that to making decisions about what you might do targeted
7 at particular sources or particular classes of compound is
8 I think a lot of work.

9 I'm going to move us on. And there is a
10 question -- it's more of a comment. I'll read it, because
11 I think we've touched on it already.

12 Couldn't the exposure to pollutant mix in large
13 California cities be different than other large
14 non-California U.S. cities due to California emission
15 standards for on-road mobile sources which are more
16 stringent than U.S. emissions standards for time periods
17 for many of the cohort studies? Engine control technology
18 may change not only the inter-pollutant mix, but also the
19 PM characteristics such as size, distribution, et cetera.
20 I think we've touched on these issues, and I think this is
21 in the complexity of understanding the air pollution
22 mixture and its source from place to place.

23 Mike, here's a question for you. How can you
24 relate the association of PM to elevated death in the
25 California Teachers' study -- and I think there is an

1 important issue buried in here -- for individuals that
2 spent a majority of their life indoors? What does this
3 have to do with diesel PM?

4 And I guess teachers -- this is actually an out
5 of date question, because it says why not chalk dust PM?
6 But I'm not sure that chalk dust is in -- chalk is as much
7 in use as it used to be. But historical chalk dust
8 exposure might be.

9 PANEL MEMBER LIPSETT: Teachers are not the only
10 people who spend most of their lives indoors. In fact,
11 ARB did some time activities studies back I think in the
12 1980s and early 90s indicating that virtually everybody
13 spends 85 to 90 plus percent of their time indoors.

14 And with respect to exposures to PM2.5, there are
15 a number of exposure studies that have indicated that
16 these particles remain airborne or suspended for long
17 periods of time and do actually penetrate indoors. Homes
18 are not -- maybe this has changed over time as homes have
19 become better insulated and weather stripped and this sort
20 of thing. But in terms of the overall and compared to
21 like coarse particles or PM10, for example, they do tend
22 to penetrate much better.

23 Now, John has just opened a recent review article
24 on this as well. But overall, these fine particles do
25 tend to get into schools. Certainly the doors are open a

1 lot more in schools than individual homes, but these other
2 kinds of long-term studies that have investigated PM2.5
3 have investigated impacts in people who spend most of
4 their lives indoors. So from that standpoint, teachers
5 are not really different from any other people.

6 DR. SAMET: Dan.

7 PANEL MEMBER GREENBAUM: I just wanted to say
8 that we have funded a number of studies measuring personal
9 indoor and outdoor levels of a variety of pollutants in
10 hundreds of households, including the elderly, including
11 families, including caretakers of children. It's hard to
12 measure them directly with children.

13 And in general, two things. One is -- and this
14 is a place where sulfate, whether or not it has effects,
15 can be useful, because there's not a lot of indoor sources
16 of sulfate. But we do find very strong correlations
17 between the outdoor levels of sulfate as a marker of the
18 particle mixture and sulfate indoors.

19 At the same time, there are some things that are
20 outdoors that don't show up as primarily coming from
21 outdoors. For example, formaldehyde and acetaldehyde, the
22 principle exposures of the people that we measured in our
23 studies are from indoor sources, not from outdoor sources.

24 But for particles, it seems pretty clear there is
25 a strong measure, even for the elderly who spend the most

1 time of anybody indoors.

2 DR. SAMET: Tom.

3 PANEL MEMBER HESTERBERG: I just wanted to make a
4 comment before you get to the next question, and that
5 relates to the talk that Mary Nichols gave. I thought it
6 was very good.

7 And you mentioned that you want to go back and
8 look at the diesel URF and then also go back and look at
9 some of these new regulations that CARB has to speed up
10 the process of replacing the traditional diesel exhaust
11 vehicles on the road. And given that it seems to be a
12 real burden, particularly on a number of the smaller
13 trucking companies, I would encourage you to delay those,
14 as I indicated, I think with the current reg.

15 The 2007 regulation that's in place, the current
16 replacement reg, the 2010, in ten years, all of those are
17 going to be replaced. I think what you have to weigh is
18 often is there going to be an advantage to speeding that
19 up to putting a huge burden on companies out of business,
20 putting -- increasing the unemployment, particularly when
21 we now have studies that are indicating diesel exhaust.

22 I don't think it really is a carcinogen, unless
23 it's at really high concentrations. These are new studies
24 and new analyses since the last URF was passed. And also
25 the human clinical studies don't show much --

1 DR. SAMET: I'm going to take us back to the
2 public questions.

3 PANEL MEMBER HESTERBERG: -- 100 micrograms.
4 Anyway, just want to encourage you to re-look at those
5 things.

6 DR. SAMET: I have two questions in my hand that
7 essentially relate to how do we know the relationship
8 between exposure and risk, the form of that relationship.

9 So one asks could there be possibilities of
10 thresholds and could the relationship be such that there
11 is a threshold at lower levels?

12 And the other question I think relates to how --
13 it says if there is a health effect for PM, shouldn't
14 there be a concentration-response function where greater
15 effects are shown at higher PM ambient concentrations?
16 That is essentially what is being modeled in the data.
17 But I think if anyone would like to speak to how modelers
18 sort out what the shade might be and could sort of look at
19 what the alternatives are -- and again, I think this is
20 often where there is debate about the right model, the
21 form of the model.

22 But perhaps either Zack or Suresh or both could
23 give some generic response to this question.

24 PANEL MEMBER MOOLGAVKAR: Well, in modeling
25 concentration-response relationships, I think one has to

1 distinguish between short-term and the long-term studies.

2 In the short-term studies, the technique that has
3 been widely used is to use what's called regression. And
4 to use what are called splines -- flexible splines to look
5 at exposure-response relationship. So one starts off with
6 the assumption that the relationship could be linear and
7 then moves to other model forms to see whether there are
8 non-linearities or any indication of a threshold.

9 For the long-term studies, the standard
10 statistical methodology that has been used so far has been
11 the Cox proportional hazards model. And again, one starts
12 out with the assumption of linearity. Actually, it's
13 linearity on the logarithmic scale, but then moves on to
14 other kinds of exposure-response relationships to examine
15 the question of thresholds and non-linearities.

16 Again, it's a pretty technical subject, and it's
17 not an easy question to answer very quickly. However, I
18 will say that for the long-term studies, as we discussed,
19 there is one paper out there. I'm sorry that Dan Krewski
20 isn't here on the panel now. But there is a paper by
21 Krewski that clearly shows indications of non-linearity
22 and also indications of a threshold for the sulfate
23 dose-response relationship.

24 PANEL MEMBER ROSS: There is an issue --
25 actually, a number of these are issues we've been talking

1 about for some time. And we've considered them in
2 previous assessments and continue to consider them.

3 And one of the things we've talked about, and
4 many of you heard this before, is the possibility that in
5 a population you have a whole mix of people. So what's a
6 threshold for someone is not a threshold for somebody
7 else. So while individuals may be more or less -- there
8 may be a threshold for me, for example, for what levels of
9 pollution can affect me. But across the whole population
10 of people, babies to grandmothers, you can't discern a
11 threshold in these community-based studies.

12 DR. SAMET: Roger.

13 PANEL MEMBER MC CLELLAN: I think that's an
14 excellent question and obviously very complex. We spent
15 pretty much all of today, with the exception perhaps of
16 Zachary's presentation where he started to move into this
17 issue of now we have an assumed relationship between the
18 concentrations and the response. And we're going to use
19 that to estimate deaths, body count if you will.

20 So you have to have some assumptions as to the
21 exposure of that population, the concentration and that
22 response function.

23 I think that there's some very important issues
24 in that and that the distribution of exposure across the
25 population -- and without going into the details of it

1 when one starts to calculate risk, the largest number of
2 people are actually those who have the lowest levels of
3 exposure. And it's only a few individuals up at the top.
4 So your body count, if you will, is very sensitive to how
5 far down you assume that linearity goes. If you assume
6 the linearities goes to the first micrograms of material,
7 you're going to have a larger number.

8 Now, we know realistically when you attempt to
9 roll back in terms of regulation you're not going to do
10 that uniformly. So there's complications there. My view
11 of always when I look at this is that these are estimates
12 in italics with double parentheses around. They provide
13 guidance to the policy makers. We should be very careful
14 to make sure they don't represent reality.

15 DR. SAMET: Fred, I'm going to move us on for the
16 sake of time. We're moving right on with this one.

17 Another question, many questions raised this
18 morning about the potential role of SO2 and mortality.
19 Are there mechanistic data that would support this link.
20 I just would say that for SO2, per se, and mortality,
21 except at extremely high levels, the answer would be no.

22 And some other questions have come in. I'm just
23 going to highlight them. We really have to move on to
24 Dan's wrap-up.

25 A suggestion that one group to study would be

1 heavy equipment operators, like a member of the Operating
2 Engineers Union. Certainly some groups have been studied
3 that are heavy diesel exposed. I'm not sure this
4 particular group has been -- this union itself -- yeah.
5 Okay. All right. So much for that one.

6 And then I'd say more of a comment that there are
7 groups that are heavily exposed to particles. The comment
8 about those with massive indoor exposures in developing
9 nations, little evidence of a cardiovascular signal from
10 these massive exposures in developed lower and middle
11 income countries.

12 Any comment on that, Aaron?

13 PANEL MEMBER COHEN: Well, it's the case of
14 absence of evidence rather than evidence of absence. That
15 really hasn't been studied, except to a limited extent in
16 a randomized control trial of clean stoves in Guatemala.
17 And in that study by Kirk Smith at U.C. Berkeley, actually
18 they found effects of very high levels of indoor
19 particulates from burning solid fuels on blood pressure.

20 DR. SAMET: Okay. Well, thank you.

21 There is a last comment or question I simply
22 can't read. It's a tracking of PM and life expectancy
23 against each other in California noting some
24 inconsistencies. So I think we've had a long day. Dan
25 has been sitting next to me capturing the essence of what

1 we've been saying and is going to summarize the meeting.

2 Dan.

3 (Thereupon an overhead presentation was
4 presented as follows.)

5 PANEL MEMBER GREENBAUM: And for those sitting
6 behind me and saw me working on my computer, no, I wasn't
7 doing my e-mail. I was trying to capture this all.

8 So I'm going to very briefly try and recap what I
9 heard today and in as fair and honest a way as possible.
10 I'm sure there's more and we can figure out ways to
11 capture that, too.

12 Remember that good science is messy and takes
13 time. There are multiple paths and dead-ends, so it's not
14 surprising there's all these questions in some ways.

15 And our understanding does grow with the number
16 of studies and different types of studies. If that were
17 not true, a lot of the scientists in this room would
18 probably have given up a long time ago.

19 We heard life is risky and we all die. And some
20 causes of why we die are well understood. There are a lot
21 that are not well understood.

22 I'm going to talk about five questions I think we
23 tried to address:

24 What do we know about PM and premature mortality
25 nationwide?

1 What do we know about PM and premature mortality
2 in California?

3 What study might we use if we were trying in
4 California to estimate those things?

5 How should uncertainties be included?

6 And what do we know about the constituents and
7 the sources of PM, including diesel?

8 --o0o--

9 PANEL MEMBER GREENBAUM: Just hit this until it
10 fills the screen.

11 Bart Croes asked me to very briefly tell
12 people -- because although many of you know who we are,
13 not everybody does.

14 So HEI is a nonprofit. We've been around for
15 nearly 30 years, and we're dedicated to providing
16 impartial high-quality science on the health effects of
17 air pollution.

18 We have joint and equal core funding from U.S.
19 EPA and the motor vehicle industry, all of the companies
20 worldwide who make vehicles for sale in the United States.
21 Also other agencies and industry balance funding, U.S.
22 DOE, highway administration, CARB, but also the oil,
23 chemical, steel, and other industry.

24 We have an independent board and science
25 committees, so we are not affiliated with these sponsors

1 who oversee our work. I described that a little bit in
2 the history today.

3 And we've done over 200 studies on a whole range
4 of these things. We primarily do new research. But we
5 also occasionally, when asked, do reanalysis and special
6 reports, like the traffic report, which George Thurston
7 mentioned.

8 --o0o--

9 PANEL MEMBER GREENBAUM: Now go on to what we've
10 been talking about.

11 There are a number of larger epidemiology studies
12 of PM and mortality which generally find positive
13 associations with some exceptions. And there are some.
14 Some of the largest of these studies have been subjected
15 to extensive reanalysis and extended analysis.

16 The U.S. EPA and the Global Burden of Disease
17 effort have reviewed these as well as a range of relevant
18 toxicology and intervention studies, which we didn't talk
19 about today, but studies like the one in Utah where we
20 know that a steel mill shut, that pollution went down and
21 a number of health effects went down. And then they went
22 back up after the plant opened up again. And based on
23 that, they have determined that PM exposure causes
24 premature mortality of certain types.

25 And Mary described that process I think quite

1 studies, but several epidemiology studies that have tried
2 to estimate PM mortality risks in California. There's the
3 ACS Study in Los Angeles, the California Teachers' study,
4 AHSMOG study, the follow-up to the California Cancer
5 Prevention Society I study which did find positive
6 associations.

7 The second follow-up to that study -- and it's a
8 little unclear, but the AHSMOG male results did not find
9 such strong association or did not find associations at
10 all.

11 We also saw a preview not yet peer reviewed of
12 the ACS in California study which seemed to find
13 cause-specific associations but not for all-cause
14 mortality.

15 There are questions. One of the key ones, how
16 does California air differ from the rest of the U.S.?
17 There is some difference. For example, sulfates are
18 higher in the eastern part of the country. But the
19 carbonaceous species from the traffic, for example, in
20 some fossil fuel combustion seem to be very similar. How
21 does the fact that California's healthier factor into
22 this? I was pleased being from Massachusetts to see that
23 the life expectancy from Massachusetts is better than
24 California actually. But I don't know to what attributes
25 to it. Our weather is much worse than yours.

1 Is there a reason that all-cause mortality might
2 not be positive in California when it is elsewhere? And
3 that's the question that came up from this most recent
4 analysis that is being done. And we don't know the answer
5 to that piece yet.

6 A key question is which study to use for
7 analysis, if you want to estimate risks. And EPA and the
8 Global Burden of Disease have reviewed the evidence and
9 they have selected the Krewski HEI 2009 work on the
10 American Cancer Society Cohort as the basis for their
11 work. And I think that the reasons most -- it's the most
12 recent fully peer reviewed analysis of the most extended
13 population. It's based on a study with extended
14 individual characteristics and community characteristics.
15 And it had been subjected earlier to extensive reanalysis.

16 Some other studies we talked about seemed to have
17 very high estimates, for example, the Women's Health
18 Initiative and the California Teachers. And not that that
19 automatically says you shouldn't use that, but it does
20 raise questions about whether those are the appropriate
21 studies to use.

22 And I think the key question for ARB to ask in
23 this: Is there good evidence that the risk in California
24 is notably different from that found in the Krewski study?
25 Is there something that's dramatically different or

1 markedly different that would say you shouldn't use that?

2 This is not wearing my HEI hat, but I think that seems to

3 be the question that we were all wrestling with.

4 --o0o--

5 PANEL MEMBER GREENBAUM: Uncertainty is a

6 certainty in these studies. And it's very important as

7 we've talked about to figure out how these effect the

8 result. As Aaron Cohen talked about, the Global Burden of

9 Disease is moving to quantify uncertain, too, in all

10 aspects of their analysis: The exposure measurement, the

11 geographic variation, and the modeling approaches that

12 they use.

13 And they're comparing that not just from the

14 Krewski study they're using, but other studies they use.

15 U.S. EPA is quantifying uncertainty from a number of

16 different scenarios. We did talk about two in particular.

17 This list could be longer. But the panel identified two

18 very important areas: Model selection in which models and

19 approaches you use and the exposure metrics and/or method

20 of estimating exposure that you use that you have to try

21 and estimate what the uncertainties are for that.

22 --o0o--

23 PANEL MEMBER GREENBAUM: Last, but not least, we

24 talked about PM effect and the mix of pollutants. And

25 it's not a surprise to anybody it's a complex mixture and

1 think there were a number of comments that that was
2 important to enhancing the underlying confidence and
3 result.

4 The next one is my comment, it's not a comment we
5 heard from the panel. I think it's useful and that we
6 generally follow this. Civility is a good idea. I think
7 it's important in these science meets policy and
8 contentious arenas to remember that ARB officials and
9 scientists that critic are trying to advance knowledge and
10 the public good, as a general guide.

11 Twenty years of hard work has advanced our
12 knowledge. I don't think anybody would doubt that. And
13 led to some beneficial decisions. We have much cleaner
14 diesel on the road today than we did 20 years ago. And a
15 lot of good work on the part of industry and others leads
16 to that, even as questions have continued.

17 And last, but not least, we should remember that
18 good science is messy.

19 Thanks.

20 (Applause)

21 DR. SAMET: Thanks, Dan. That was a terrific
22 recap.

23 I think just a few last comments. As an
24 epidemiologist, I just have to say that epidemiology has
25 done society a lot of good. You've heard about

1 epidemiological studies in a very difficult area.

2 But if you look at accomplishments more broadly,
3 heart disease mortality is now one-third of what it used
4 to be. Half of that is prevention driven by epidemiology.
5 Cancer rates are dropping. We now know what causes
6 cervical cancer, and we have a vaccine.

7 So the tool, the method has proved remarkably
8 valid and useful in many arenas. It is our core science
9 of public health. But we can wander into very difficult
10 questions, and we've been talking about one today. And
11 it's a question that involves looking around the table and
12 in the room, not just epidemiologists, but a whole range
13 of scientists that have to come together to look at
14 difficult questions.

15 And I think Dan made reference to the fact that,
16 you know, when you have difficult data, gray, and you have
17 to make it black and white, decisions like to regulate or
18 not regulate, it becomes I think difficult. A lot of
19 questions of the science goes on and it should go on.

20 So just a last remark from me, and I want to
21 thank everybody.

22 PANEL MEMBER MC CLELLAN: I have a question for
23 you, John. As an epidemiologist, there was one point that
24 Dan -- and I thought was an excellent summary, Dan.
25 Excellent. Almost matched Mary's.

1 The question about looking at cohorts -- and I'm
2 not exactly at the tale of the age distribution curve and
3 dropping off, but I would take strong exception with it.
4 I wonder if you would also.

5 DR. SAMET: I don't want to reopen the whole
6 methodological discussion. I think we need to have a
7 different way to have cohorts. Maybe Medicare is a way.
8 We need serial cohorts.

9 PANEL MEMBER MC CLELLAN: But the question was
10 we're not getting much value out of looking at these
11 end-of-life cohorts. And I'd say maybe Michael made that.
12 Whoever made it. I just disagree.

13 DR. SAMET: Well, I think the easy answer -- and
14 again, we're ending up here. We need cohorts that cover
15 the full age span, and we need to keep having them come --
16 you and I can talk about this later.

17 Let me see if ARB wants to make any last remarks.
18 I want to thank everybody for a lot of input, a lot of
19 discussion. And I think it was a great exchange on tough
20 issues.

21 If there are no further comments, then we are
22 done. And we didn't do too badly with time after all. So
23 thanks to all.

24 (Thereupon the CARB Symposium concluded.)

25

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2 I, TIFFANY C. KRAFT, a Certified Shorthand
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