



# Powering the Planet

Nathan S. Lewis, California Institute of Technology



# Global Energy Perspective

---

- Present Energy Perspective
- Future Constraints Imposed by Sustainability
- Challenges in Exploiting Carbon-Neutral Energy Sources Economically on the Needed Scale

Nathan S. Lewis, California Institute of Technology  
*Division of Chemistry and Chemical Engineering*  
*Pasadena, CA 91125*  
*<http://nsl.caltech.edu>*

# Perspective

“Energy is the single most important challenge facing humanity today.”  
Nobel Laureate Rick Smalley, April 2004, Testimony to U.S. Senate

“..energy is the single most important scientific and technological challenge facing humanity in the 21<sup>st</sup> century..”: Chemical and Engineering News, August 22, 2005.

“What should be the centerpiece of a policy of American renewal is blindingly obvious: making a quest for energy independence the moon shot of our generation“, Thomas L. Friedman, New York Times, Sept. 23, 2005.

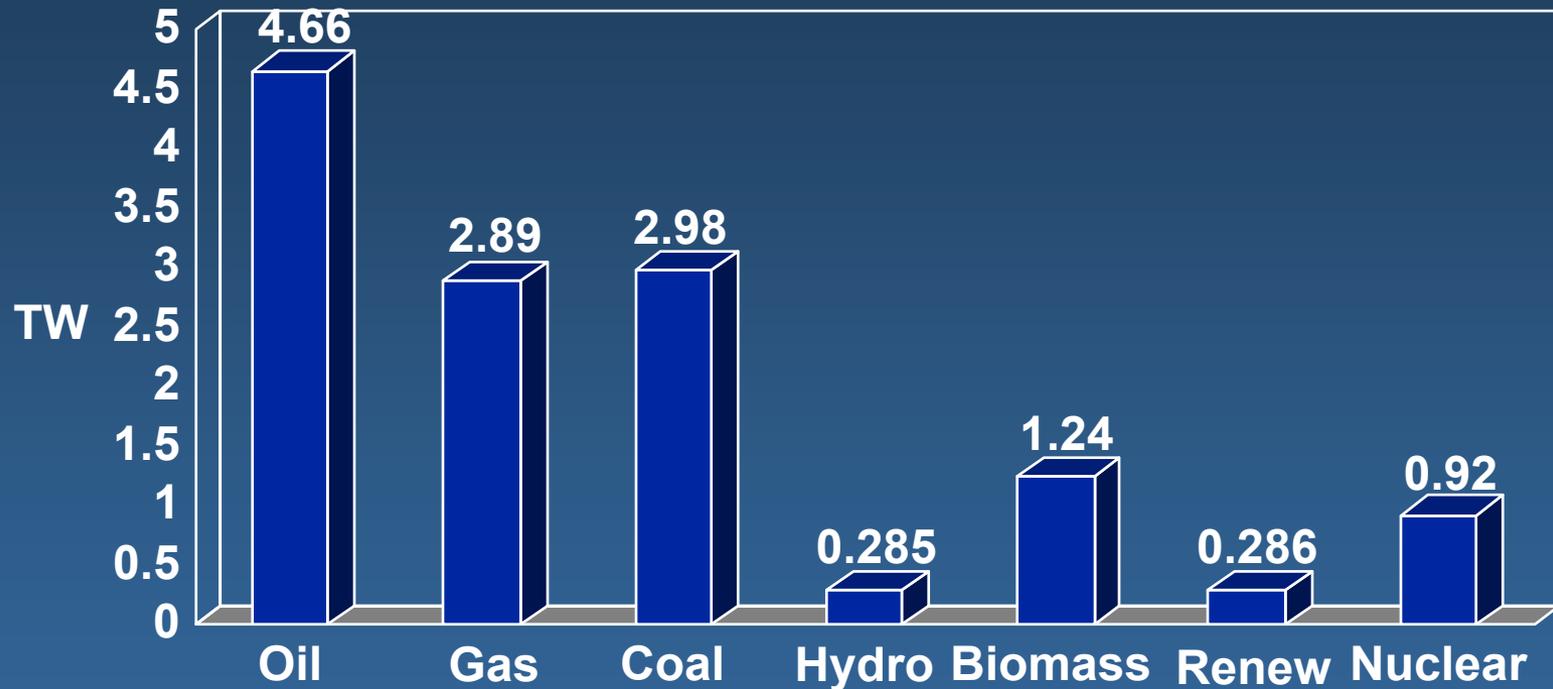
“The time for progress is now. .. it is our responsibility to *lead* in this mission”, Susan Hockfield, on energy, in her MIT Inauguration speech.

# Power Units: The Terawatt Challenge



Power	1	$10^3$	$10^6$	$10^9$	$10^{12}$
	1 W	1 kW	1 MW	1 GW	1 TW

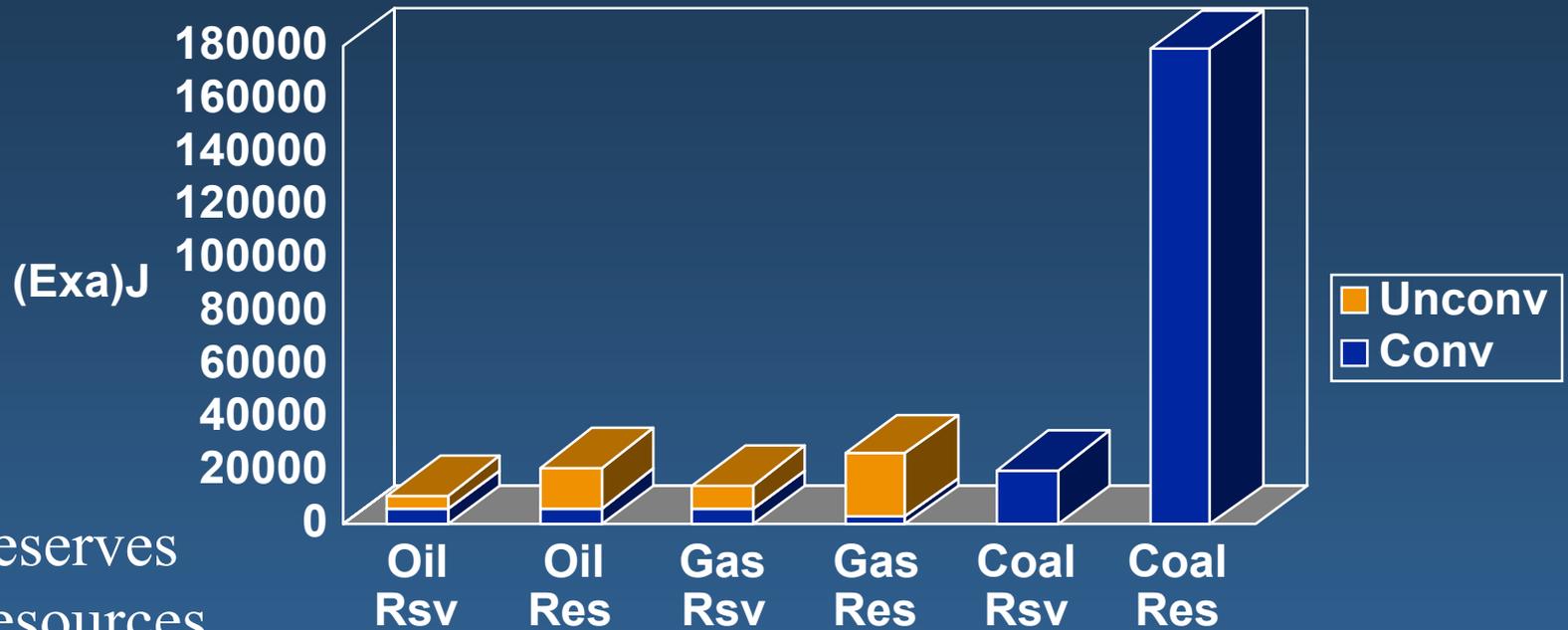
# Global Energy Consumption, 2001



Total: 13.2 TW

U.S.: 3.2 TW (96 Quads)

# Energy Reserves and Resources



Reserves/(1998 Consumption/yr)

Oil	40-78
Gas	68-176
Coal	224

Resource Base/(1998 Consumption/yr)

Oil	51-151
Gas	207-590
Coal	2160

# Energy and Sustainability

---

- “It’s hard to make predictions, especially about the future”

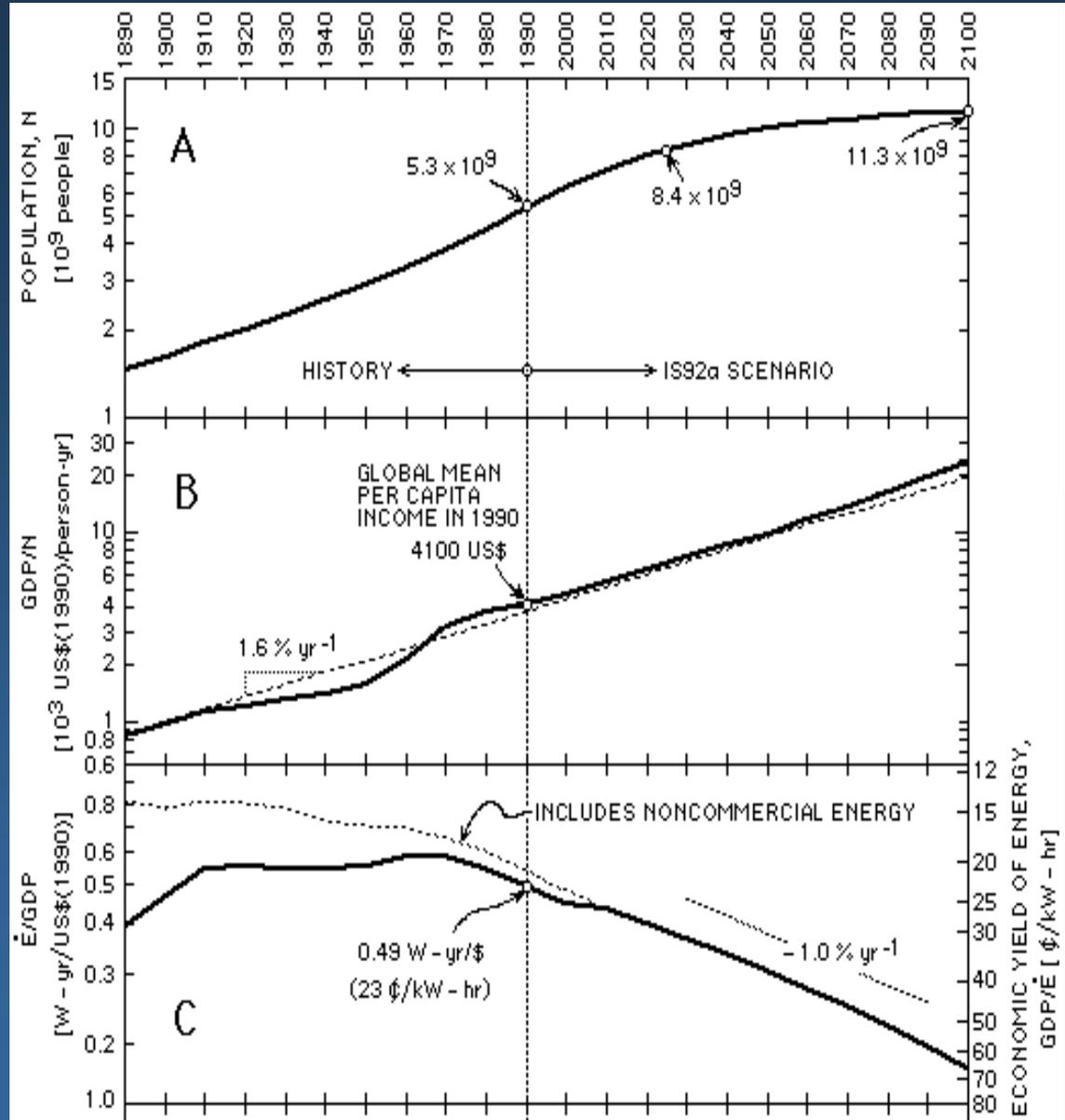
- 
- M. I. Hoffert et. al., *Nature*, **1998**, 395, 881, “Energy Implications of Future Atmospheric Stabilization of CO<sub>2</sub> Content

adapted from IPCC 92 Report: Leggett, J. et. al. in *Climate Change, The Supplementary Report to the Scientific IPCC Assessment*, 69-95, Cambridge Univ. Press, 1992

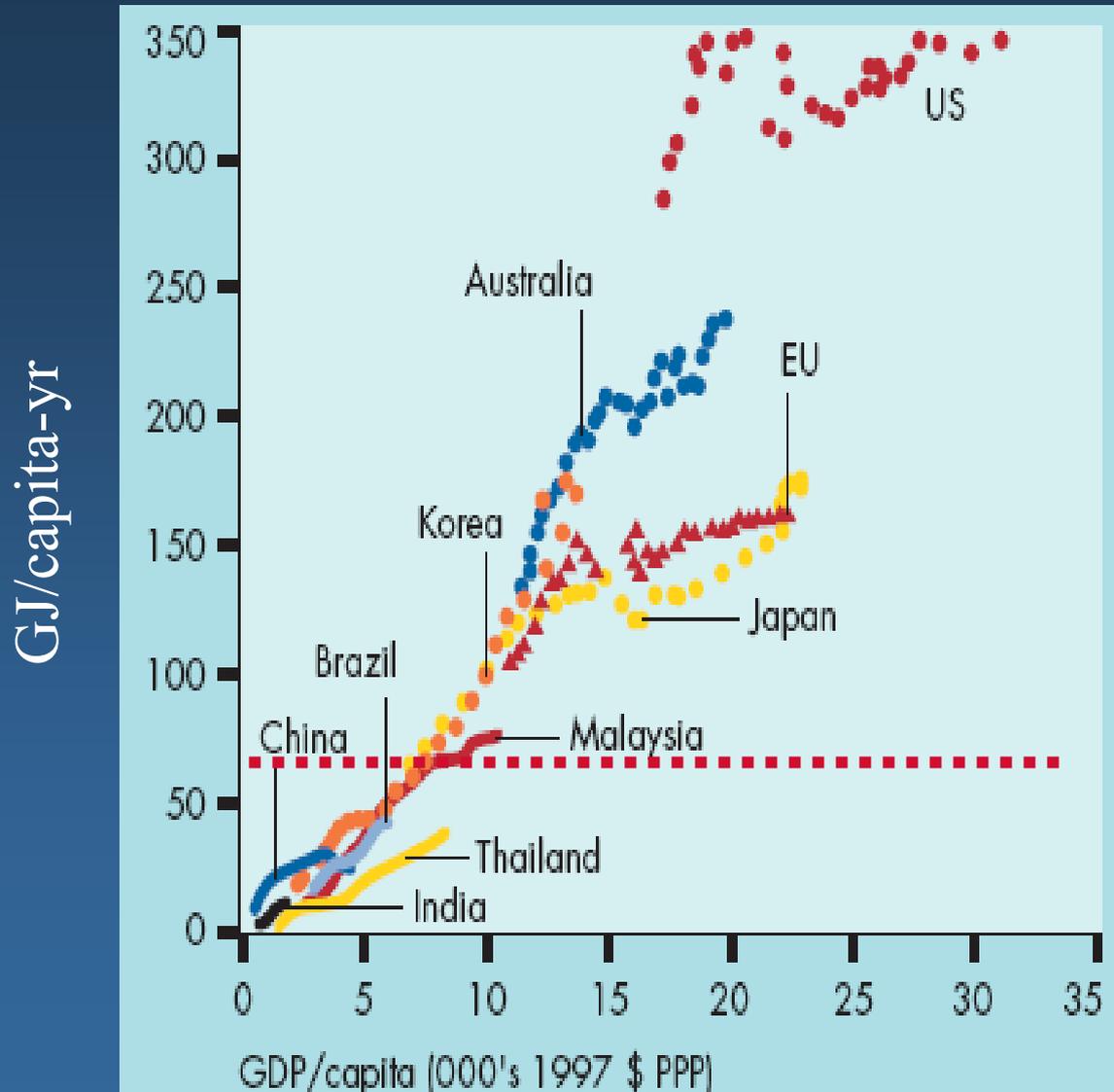
Population Growth to 10 - 11 Billion People in 2050

Per Capita GDP Growth at 1.6% yr<sup>-1</sup>

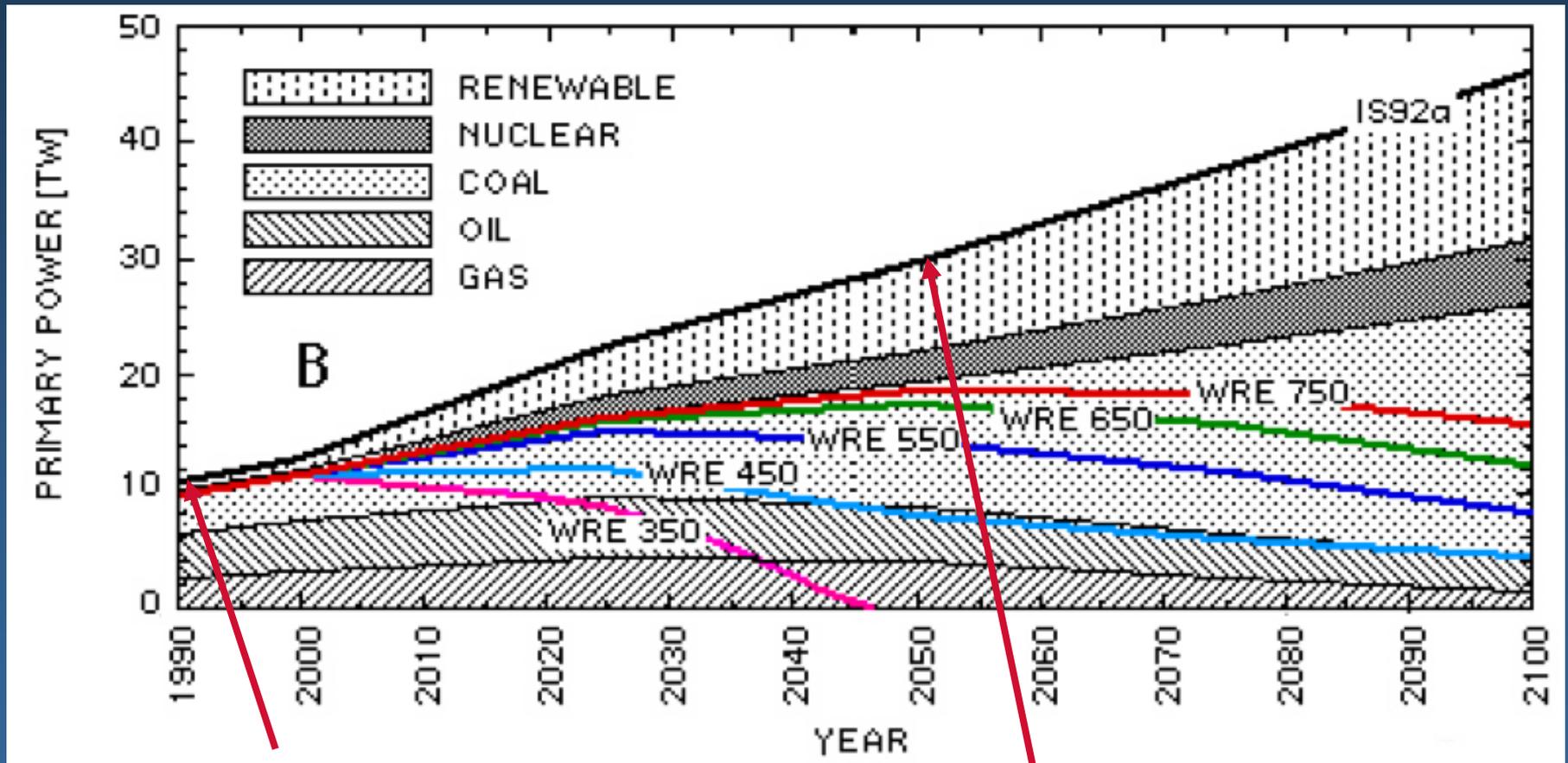
Energy consumption per Unit of GDP declines at 1.0% yr<sup>-1</sup>



# Energy Consumption vs GDP

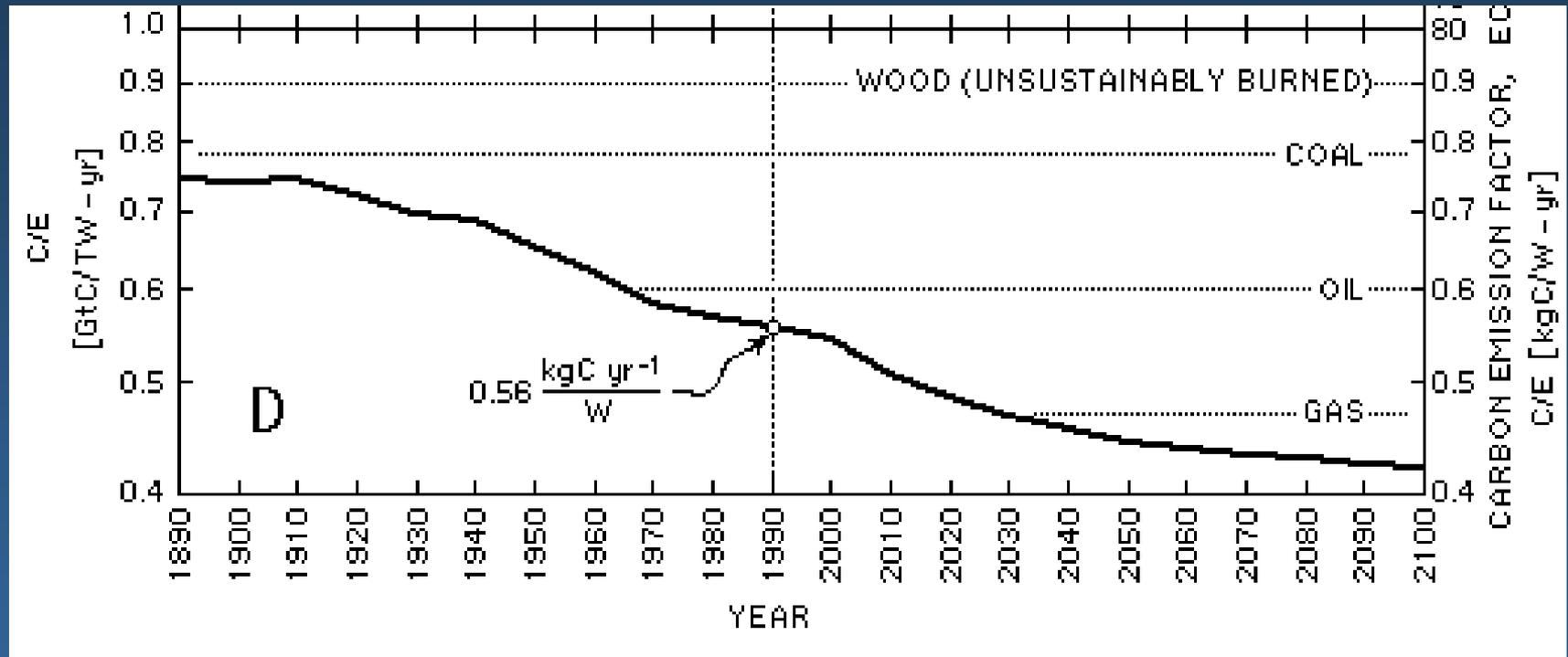


# Total Primary Power vs Year



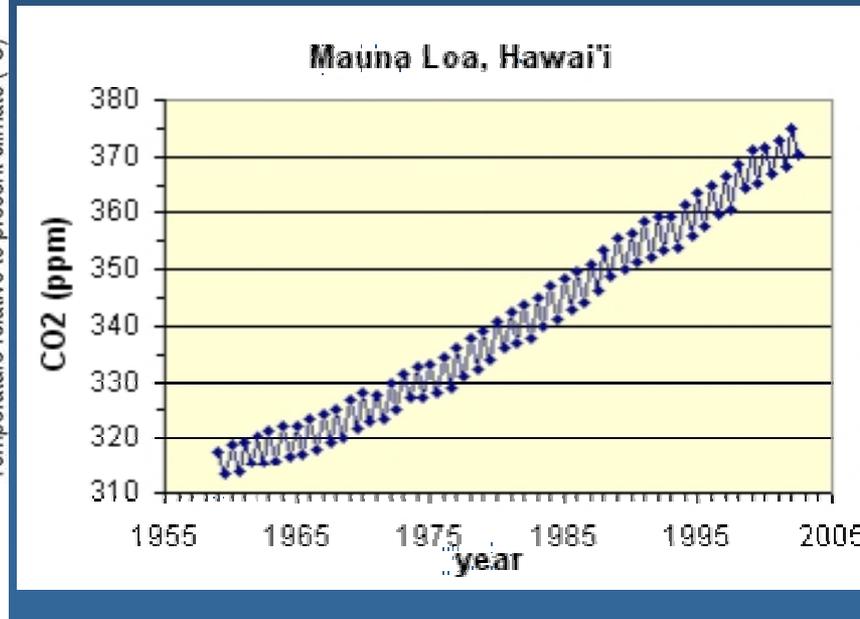
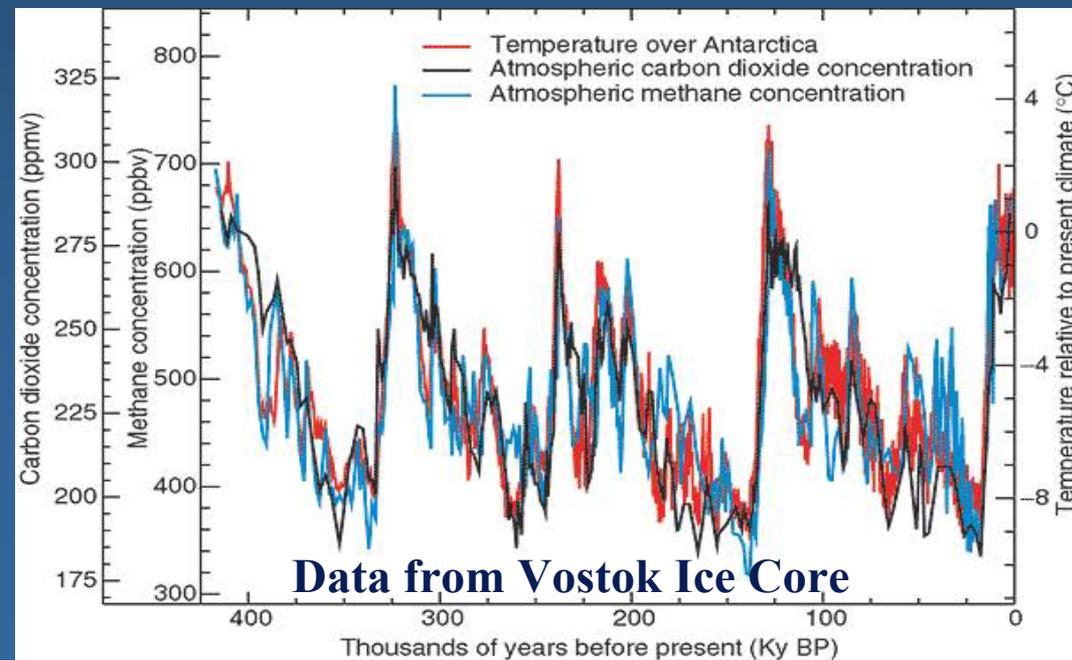
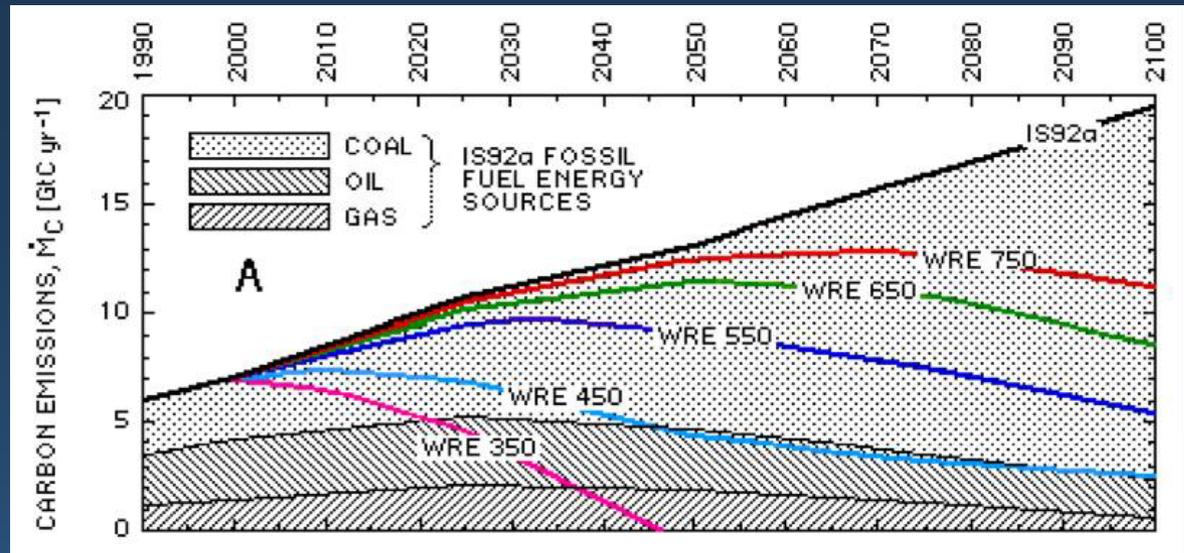
**1990: 12 TW 2050: 28 TW**

# Carbon Intensity of Energy Mix

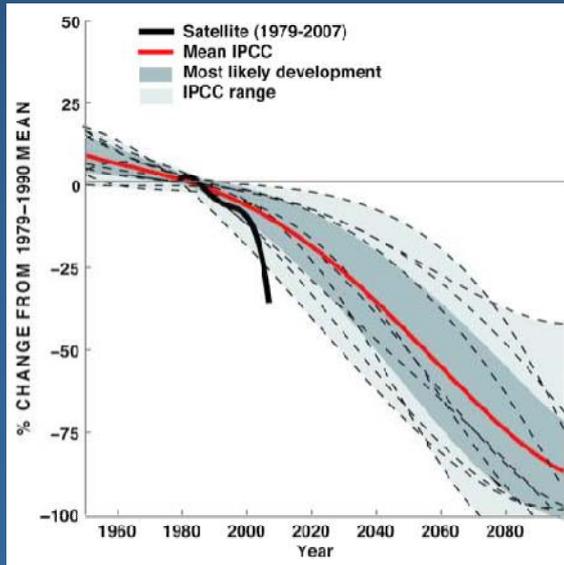
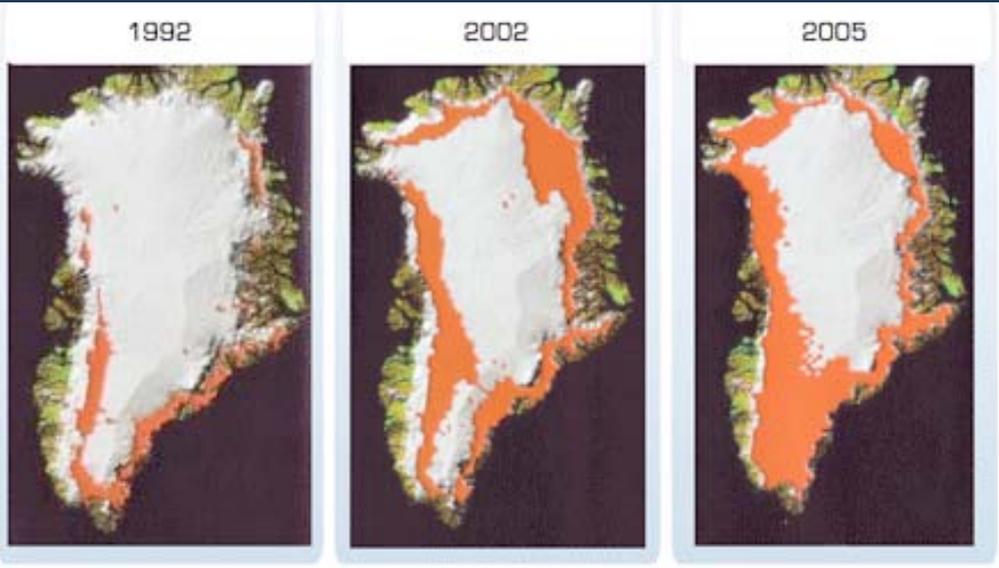


*M. I. Hoffert et. al., Nature, 1998, 395, 881*

# CO<sub>2</sub> Emissions for vs CO<sub>2</sub>(atm)



# Greenland Ice Sheet



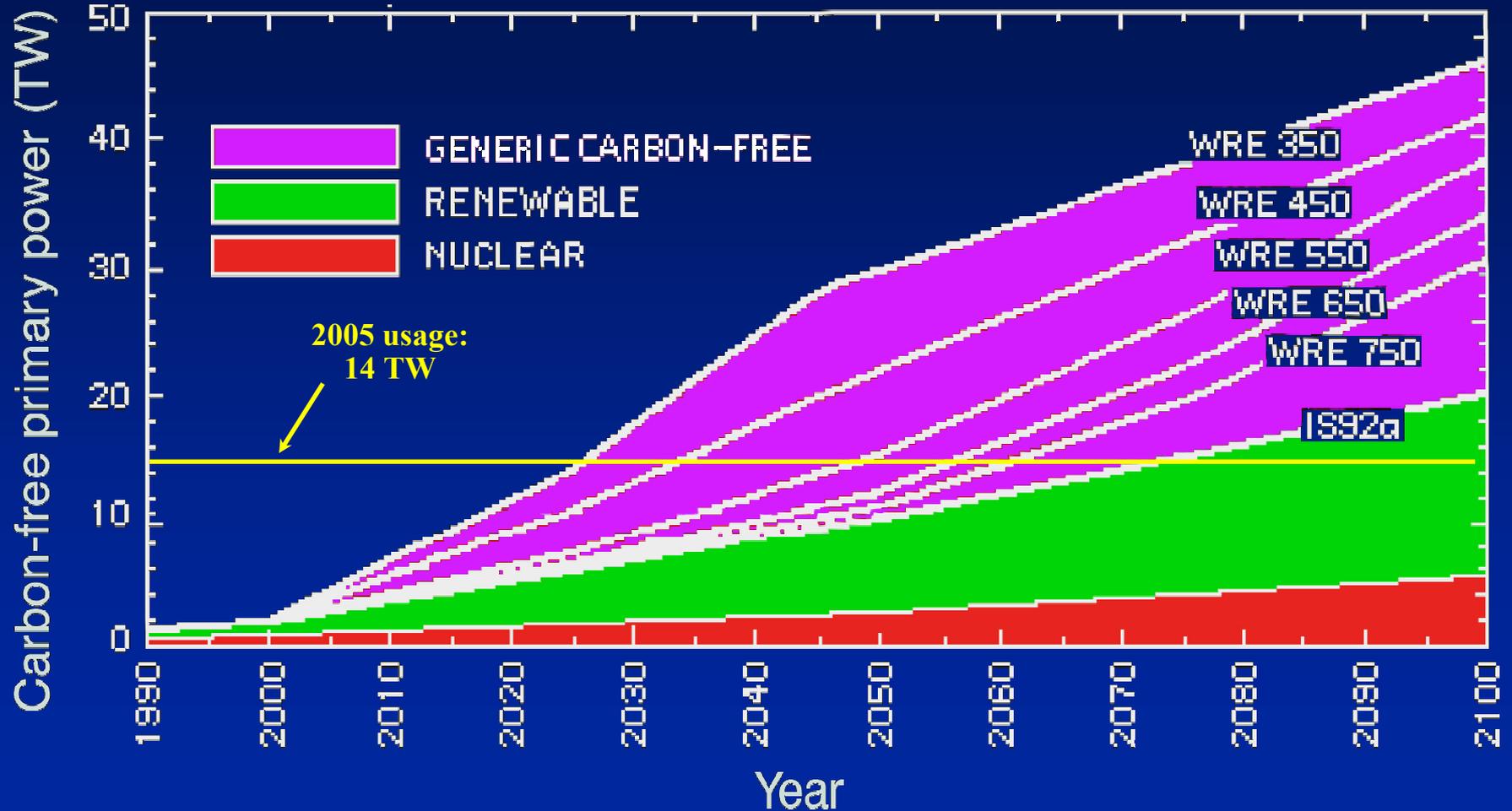
# Permafrost



# Coral Bleaching



# Projected Carbon-Free Primary Power



# Hoffert et al.'s Conclusions

---

- “These results underscore the pitfalls of “wait and see”.”
- Without policy incentives to overcome socioeconomic inertia, development of needed technologies will likely not occur soon enough to allow capitalization on a 10-30 TW scale by 2050
- “Researching, developing, and commercializing carbon-free primary power technologies capable of 10-30 TW by the mid-21<sup>st</sup> century could require efforts, perhaps international, pursued with the urgency of the Manhattan Project or the Apollo Space Program.”

# Sources of Carbon-Free Power

---

- Nuclear (fission and fusion)
- Carbon sequestration
- Renewables

# Sources of Carbon-Free Power

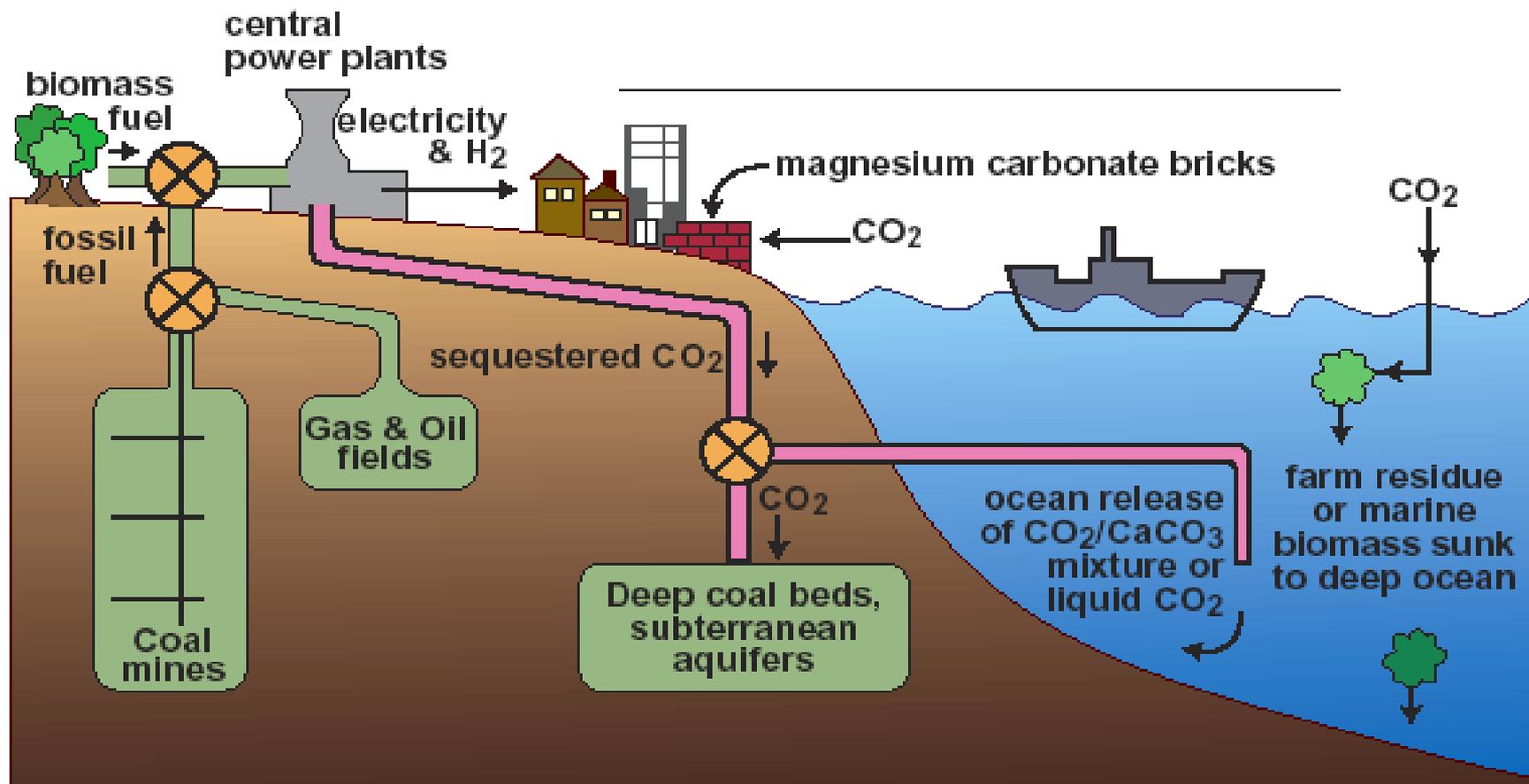
- Nuclear (fission and fusion)
  - 10 TW = 10,000 new 1 GW reactors
  - i.e., a new reactor every other day for the next 50 years

- Π 2.3 million tonnes proven reserves;  
1 TW-hr requires 22 tonnes of U
- Π Hence at 10 TW, terrestrial resource base  
provides 10 years of energy
- Π More energy in CH<sub>4</sub> than in <sup>235</sup>U
- Π Would need to mine U from seawater  
(700 x terrestrial resource base;  
so needs 3000 Niagra Falls or breeders)
- Π At \$5/W, requires \$50 Trillion (2006 GWP = \$65 trillion)



- Carbon sequestration
- Renewables

# Carbon Sequestration



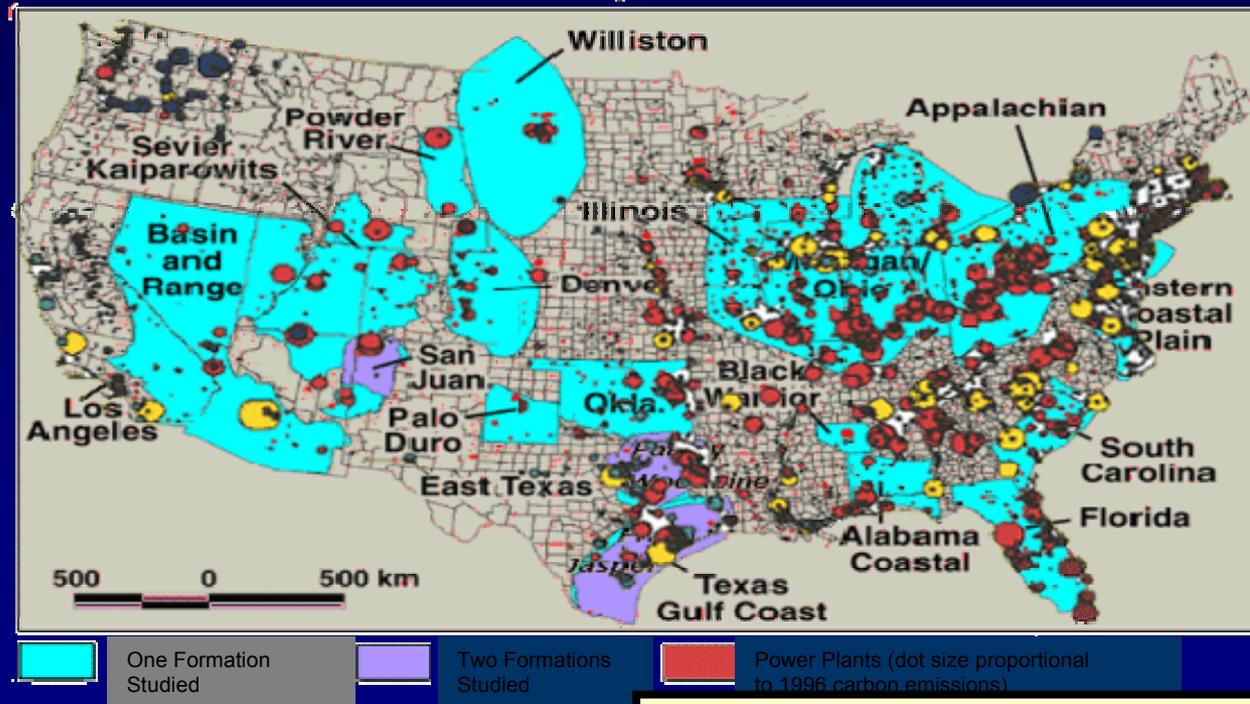
# CO<sub>2</sub> Burial: Saline Reservoirs

130 Gt total U.S. sequestration potential

Global emissions 6 Gt/yr in 2002 Test sequestration projects 2002-2004

## Study Areas

- Near sources (power plants, refineries, coal fields)
- Distribute only H<sub>2</sub> or electricity
- Must not leak
- At 2 Gt/yr sequestration rate, surface of U.S. would rise 5 cm by 2100

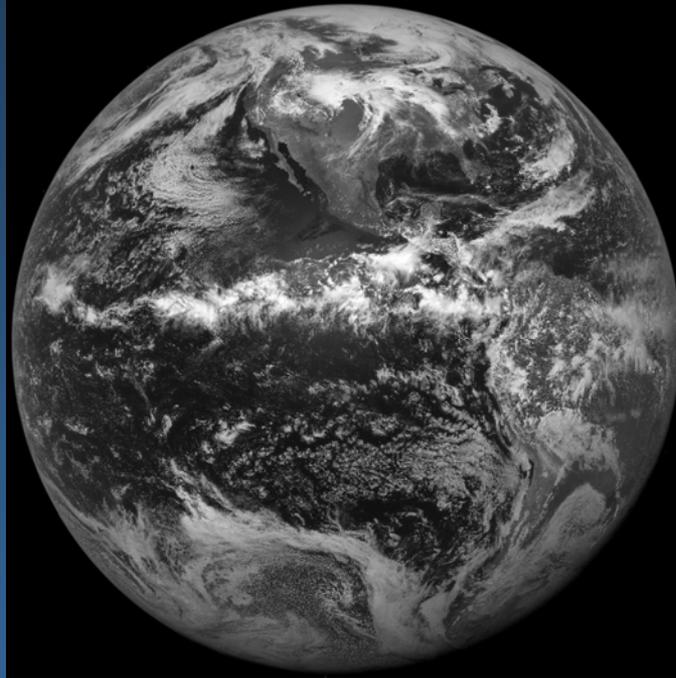


**DOE Vision & Goal:**  
1 Gt storage by 2025, 4 Gt by 2050

**Solar**

**Biomass**

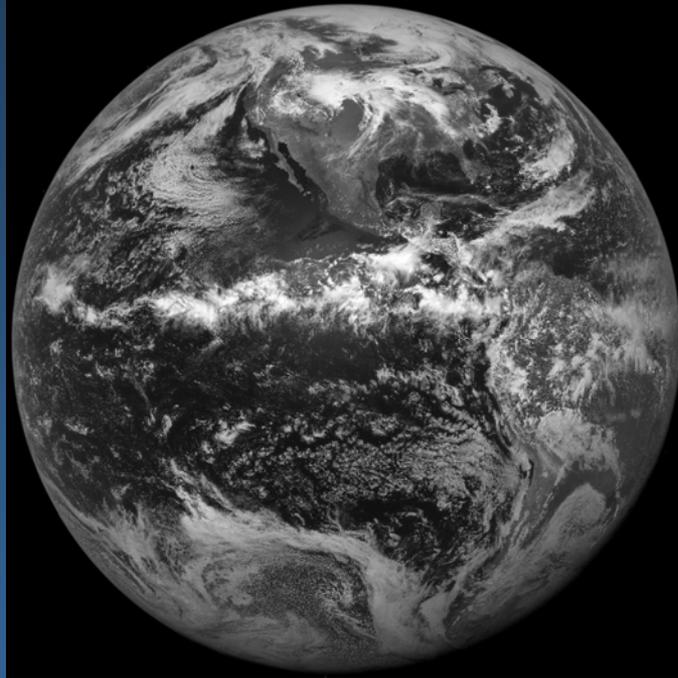
**Ocean**



**Wind**

**Hydroelectric**

**Geothermal**



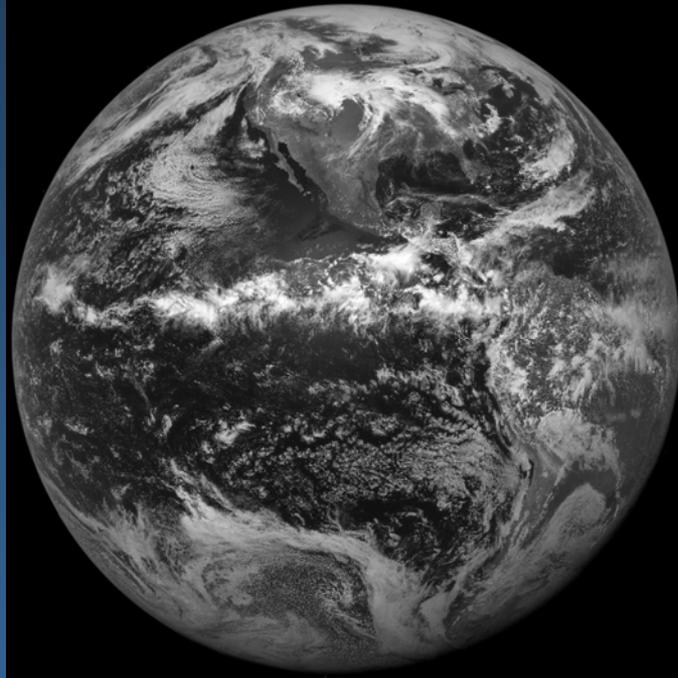
## Hydroelectric

Gross: 4.6 TW

Technically Feasible: 1.6 TW

Economic: 0.9 TW

Installed Capacity: 0.6 TW



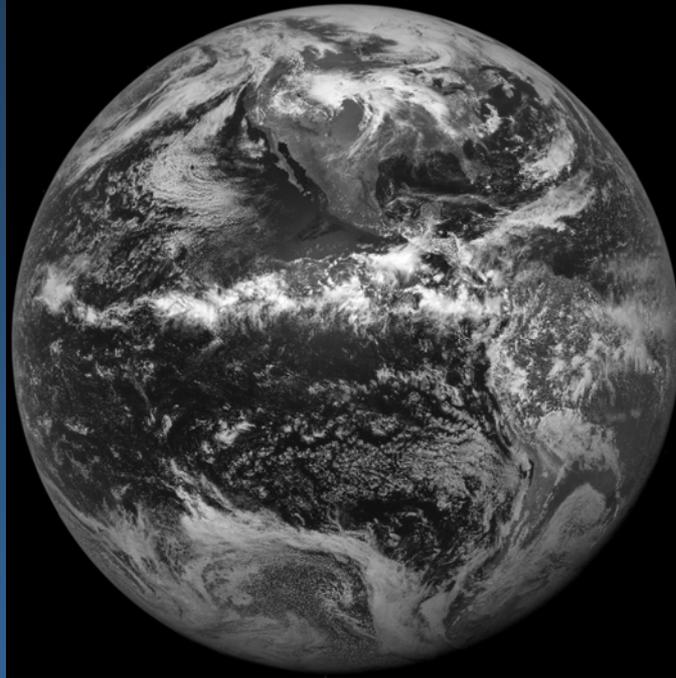
## Geothermal

Mean flux at surface:  $0.057 \text{ W/m}^2$

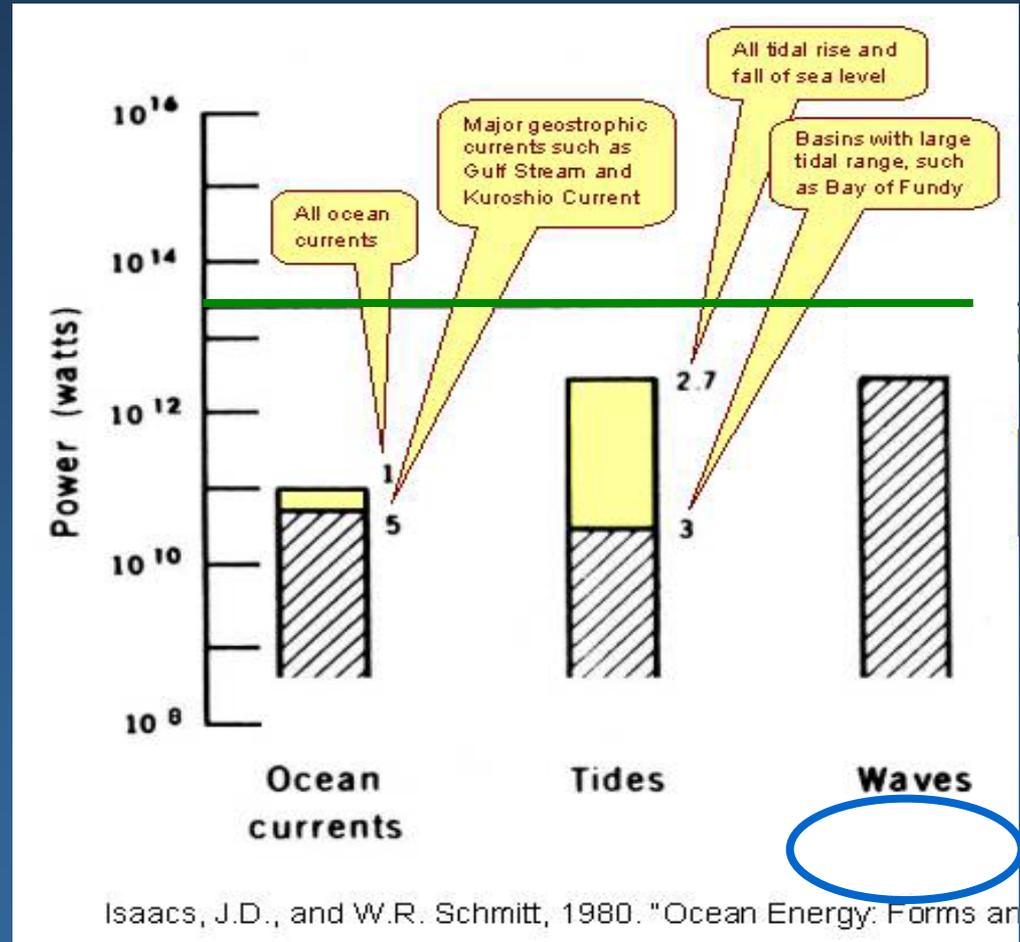
Continental Total Potential: 11.6 TW

# Wind

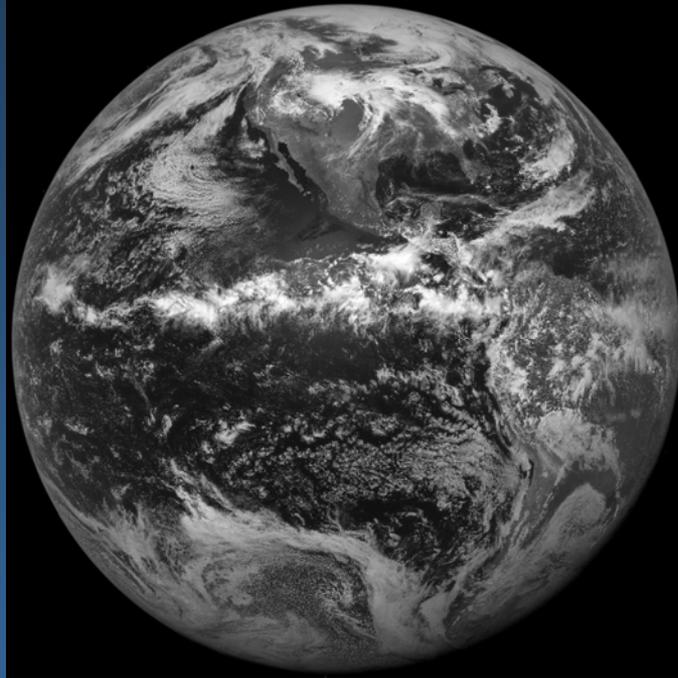
4% Utilization  
Class 3 and  
Above  
2-3 TW



# Ocean Energy Potential



# Biomass



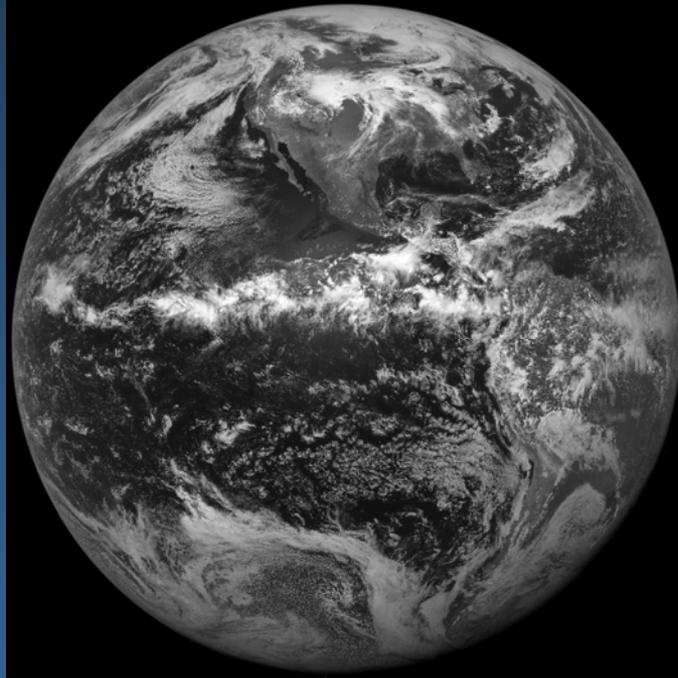
50% of all cultivatable land:  
7-10 TW (gross)  
1-2 TW (net)

# Biomass Energy Potential

## Global: Bottom Up

- Land with Crop Production Potential, 1990:  $2.45 \times 10^{13} \text{ m}^2$
- Cultivated Land, 1990:  $0.897 \times 10^{13} \text{ m}^2$
- Additional Land needed to support 9 billion people in 2050:  $0.416 \times 10^{13} \text{ m}^2$
- Remaining land available for biomass energy:  $1.28 \times 10^{13} \text{ m}^2$
- At 8.5-15 oven dry tonnes/hectare/year and 20 GJ higher heating value per dry tonne, energy potential is 7-12 TW
- Perhaps 5-7 TW by 2050 through biomass (less  $\text{CO}_2$  displaced)
- Possible/likely that this is water resource limited
- 25% of U.S. corn in 2007 provided 2% of transportation fuel

**Solar:** potential  $1.2 \times 10^5$  TW; practical  $> 600$  TW



# Solar Energy Potential

- **Theoretical:**  $1.2 \times 10^5$  TW solar energy potential  
( $1.76 \times 10^5$  TW striking Earth; 0.30 Global mean albedo)
  - Energy in 1 hr of sunlight  $\leftrightarrow$  14 TW for a year
- **Practical:**  $> 600$  TW solar energy potential  
(50 TW - 1500 TW depending on land fraction etc.; WEA 2000)  
Onshore electricity generation potential of  $\approx 60$  TW (10% conversion efficiency):
  - *Photosynthesis:* 90 TW

# Solar Land Area Requirements



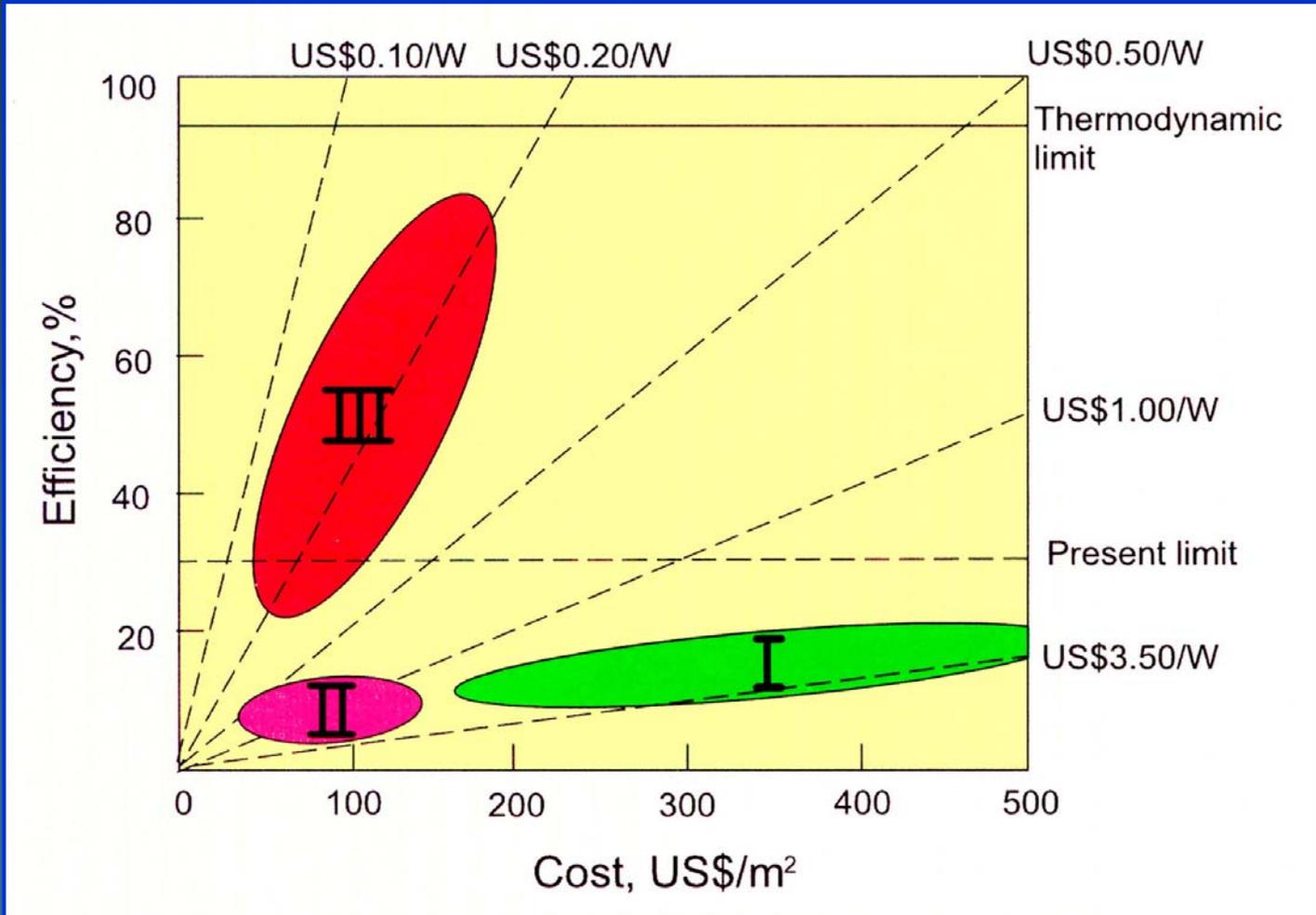
# Solar Land Area Requirements

---



6 Boxes at 3.3 TW Each

# Cost/Efficiency of Photovoltaic Technology



Costs are modules per peak W; installed is \$5-10/W; \$0.35-\$1.5/kW-hr

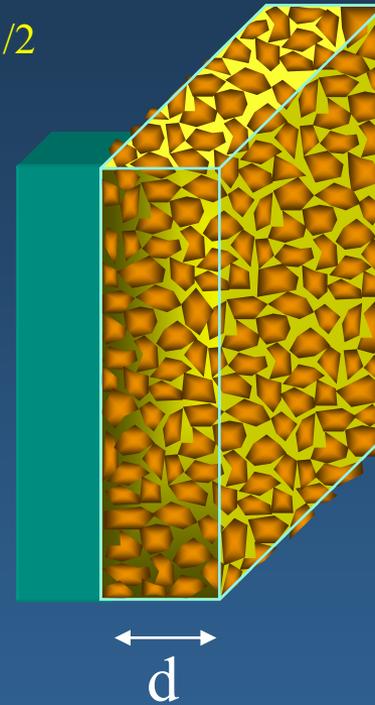
# Cost vs. Efficiency Tradeoff

Large Grain  
Single  
Crystals



Long  $d$   
High  $\tau$   
High Cost

Efficiency  $\propto \tau^{1/2}$

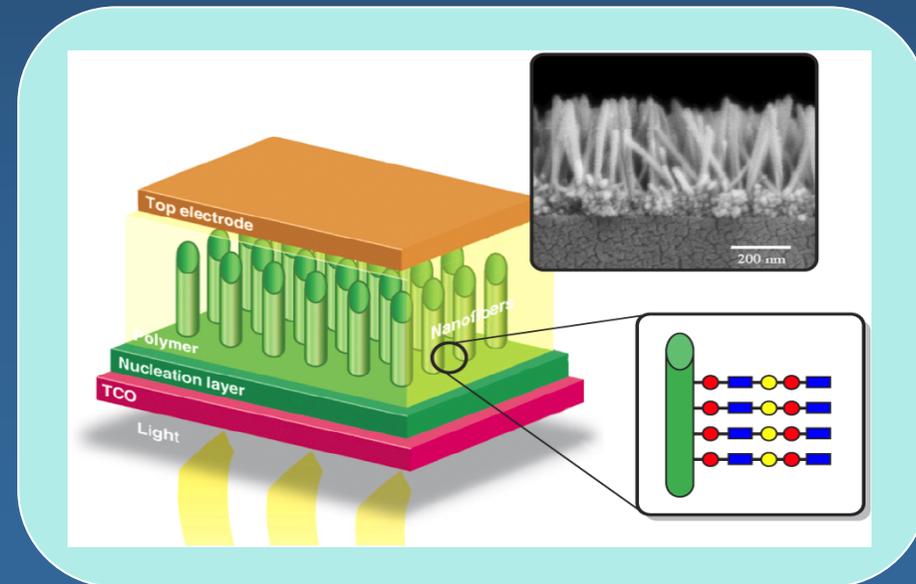
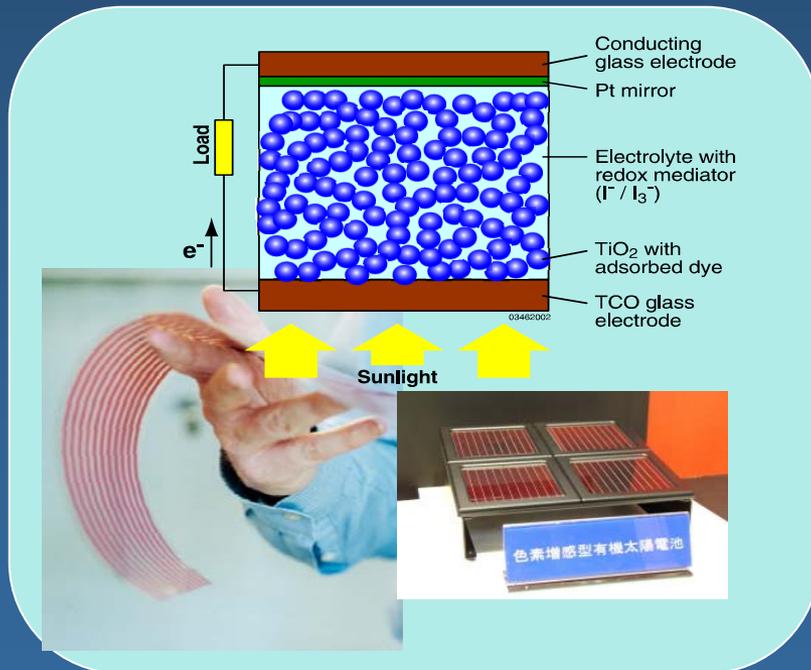
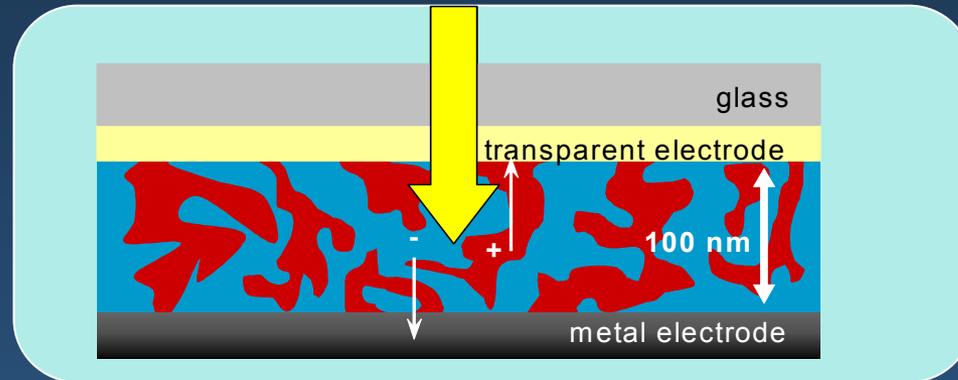


Small Grain  
And/or  
Polycrystalline  
Solids

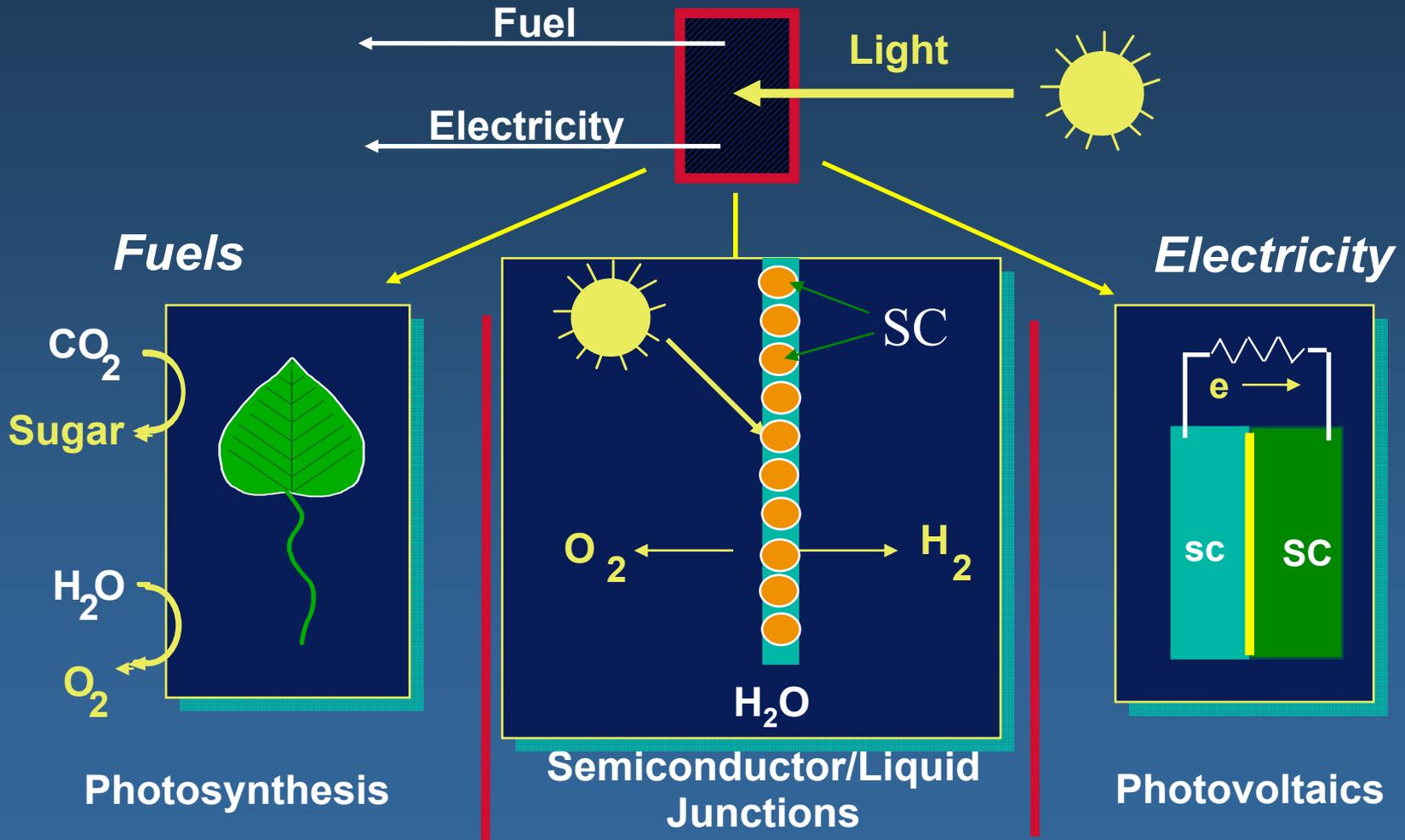
Long  $d$   
Low  $\tau$   
Lower Cost

$\tau$  decreases as grain size (and cost) decreases

# Interpenetrating Nanostructured Networks

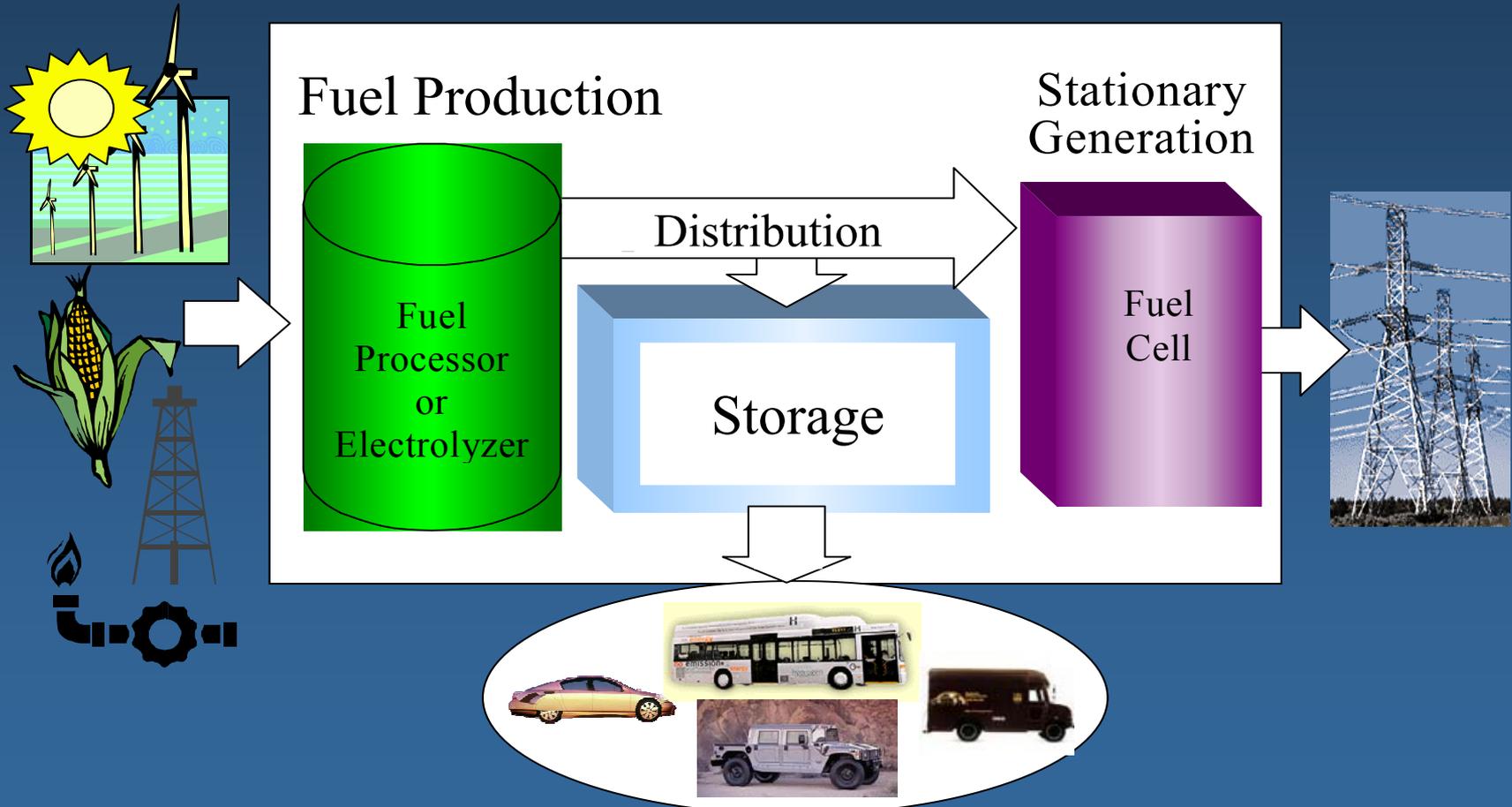


# Energy Conversion Strategies

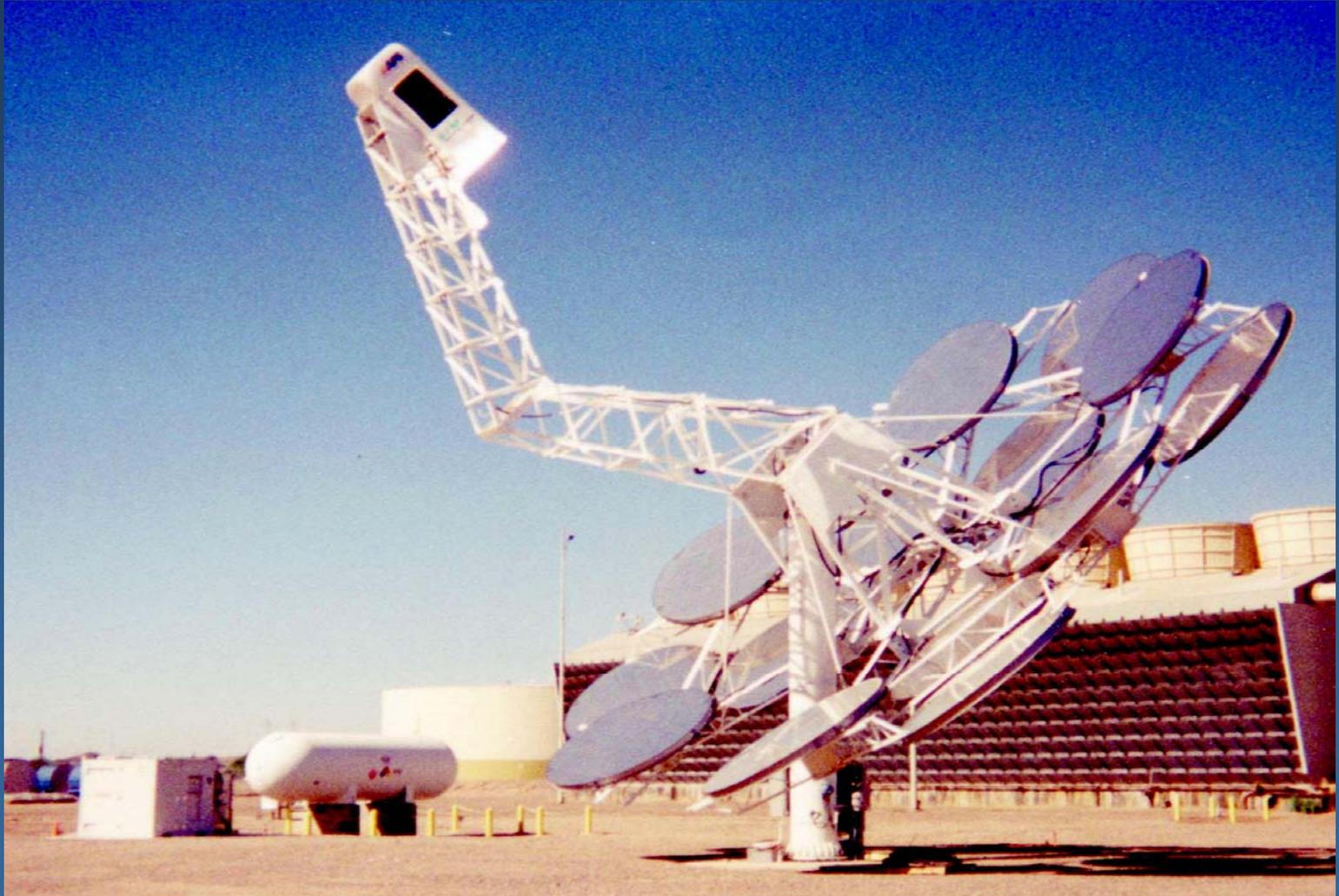


# The Need to Produce Fuel

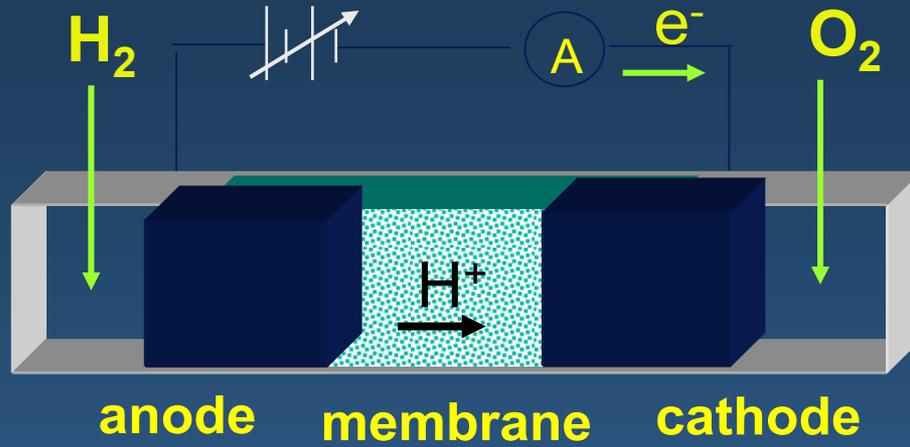
*“Power Park Concept”*



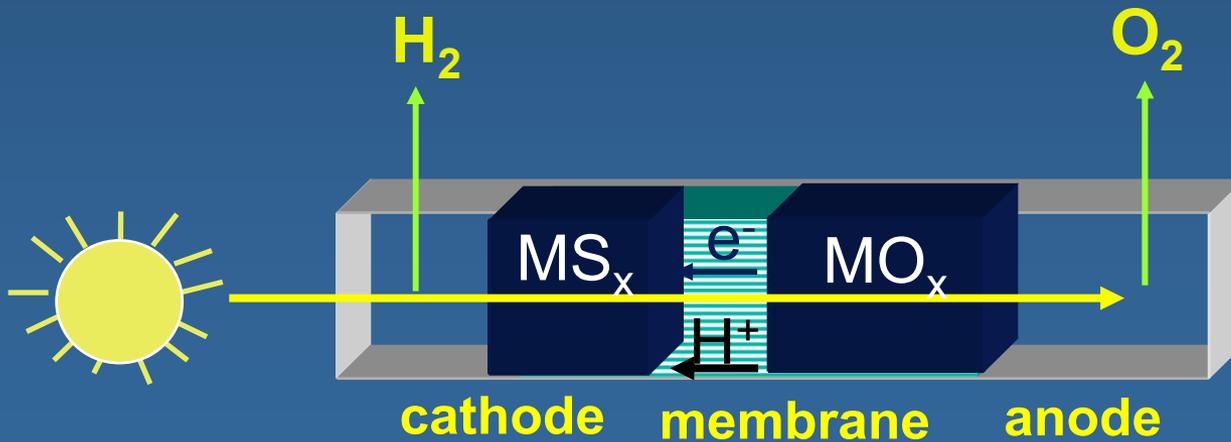
# Photovoltaic + Electrolyzer System



# Fuel Cell vs Photoelectrolysis Cell

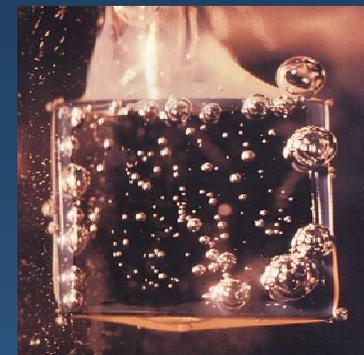
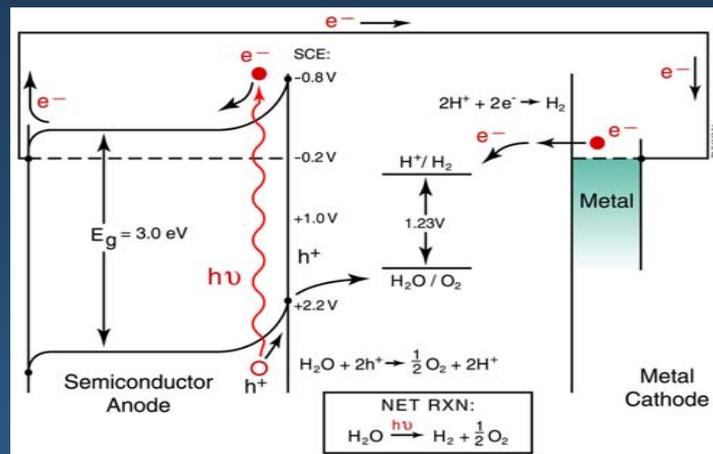
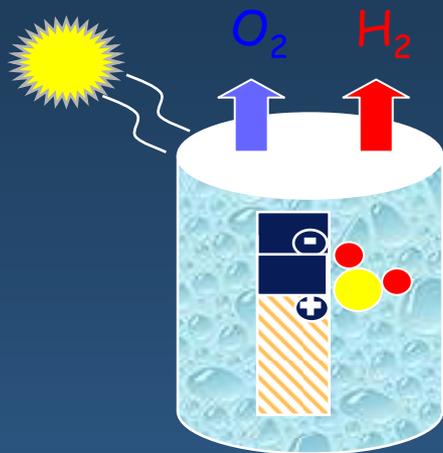


Fuel Cell  
MEA



Photoelectrolysis  
Cell MEA

# Efficient Solar Water Splitting

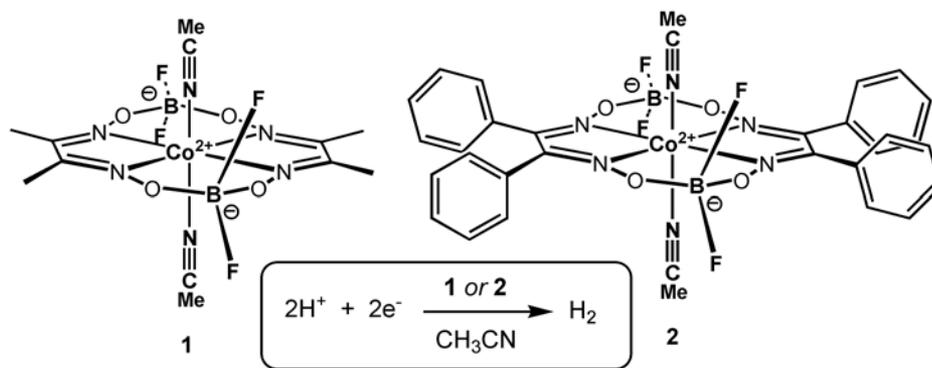
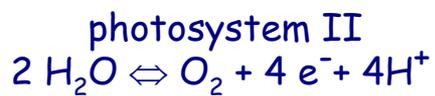
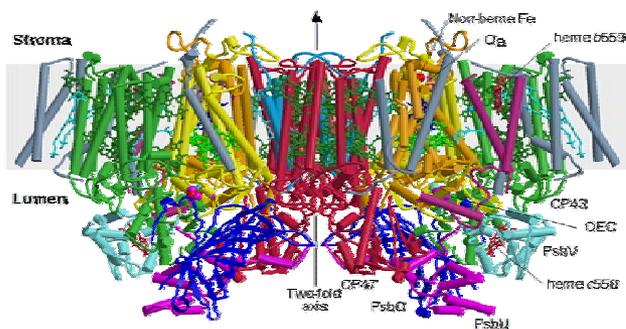
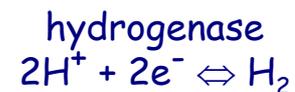
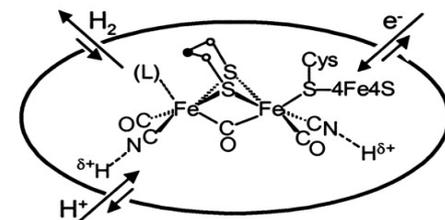
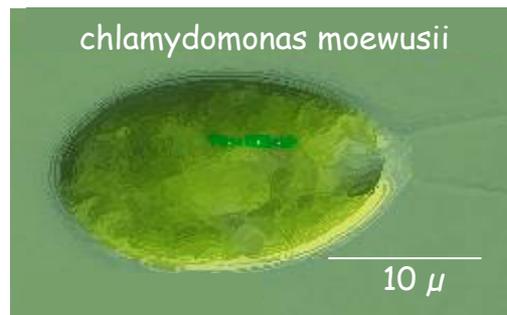
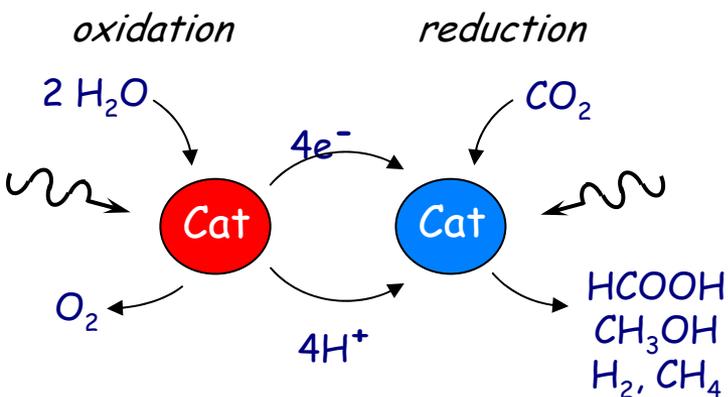


demonstrated efficiencies 10-18% in laboratory

## Scientific Challenges

- cheap materials that are robust in water
- catalysts for the redox reactions at each electrode
- nanoscale architecture for electron excitation ⇒ transfer ⇒ reaction

# Solar-Powered Catalysts for Fuel Formation



# Summary

- Need for Additional Primary Energy is Apparent
- Case for Significant (Daunting?) Carbon-Free Energy Seems Plausible (Imperative?):  
CO<sub>2</sub> emissions growth: 1990-1999: 1.1%/yr; 2000-2006: 3.1%/yr

## Scientific/Technological Challenges

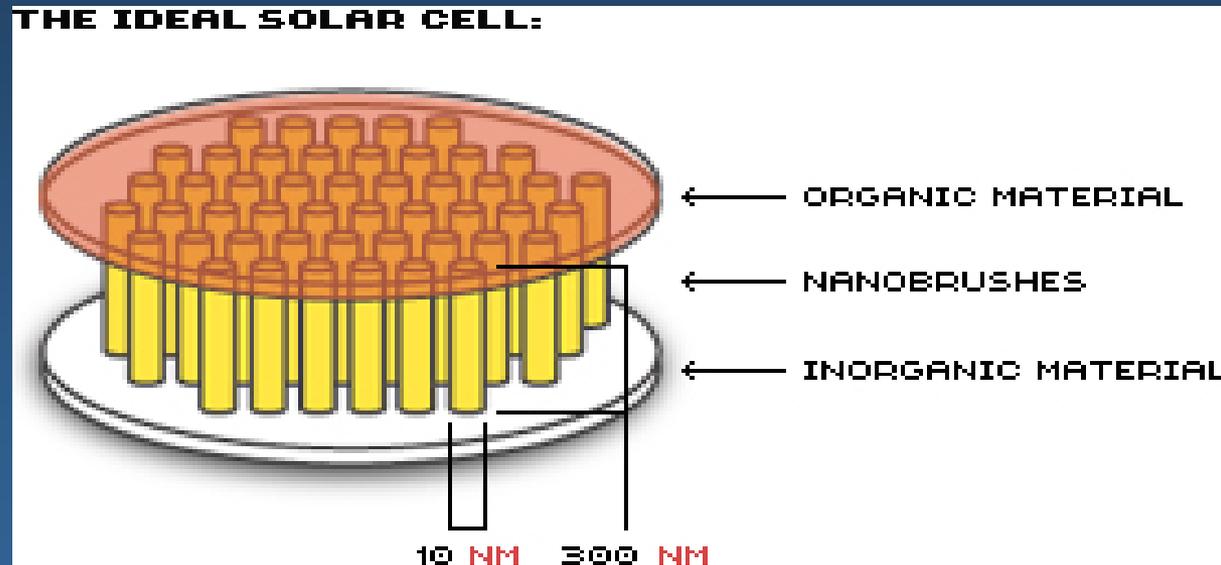
- Energy efficiency: energy security and environmental security
- Coal/sequestration; nuclear/breeders; **Cheap Solar Fuel**

Inexpensive conversion systems, effective storage systems

## Policy Challenges

- Is Failure an Option?
- Will there be the needed commitment? In the remaining time?

# Nanotechnology Solar Cell Design



# Conclusion

- **Solar is a critical piece of any long-term energy strategy**
- **PV is a significant, and growing, market**
- **Sustained, targeted, long-term investment is needed to enable the technology breakthroughs that will unlock the ultimate potential of Solar Energy**



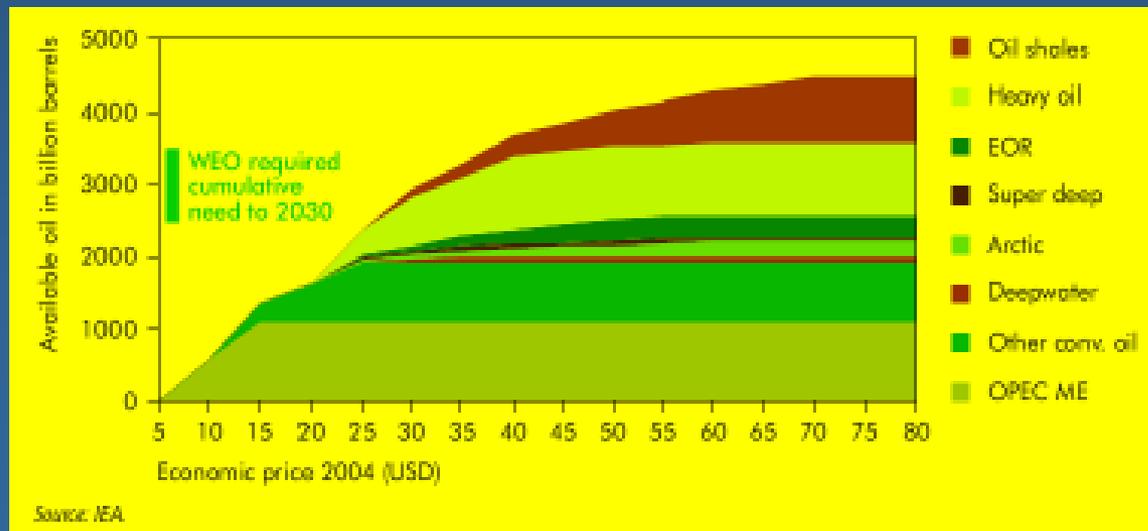
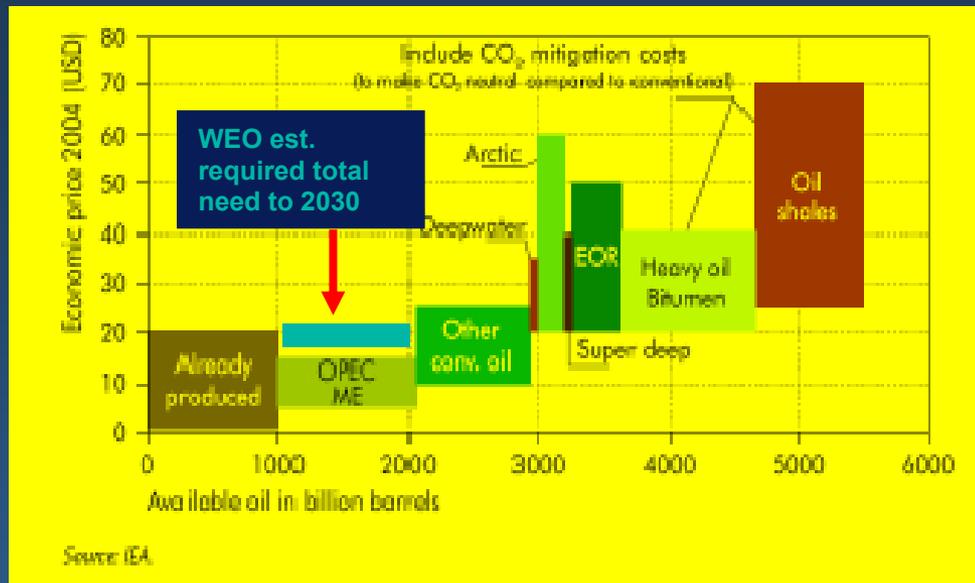
# Biomass Energy Potential

---

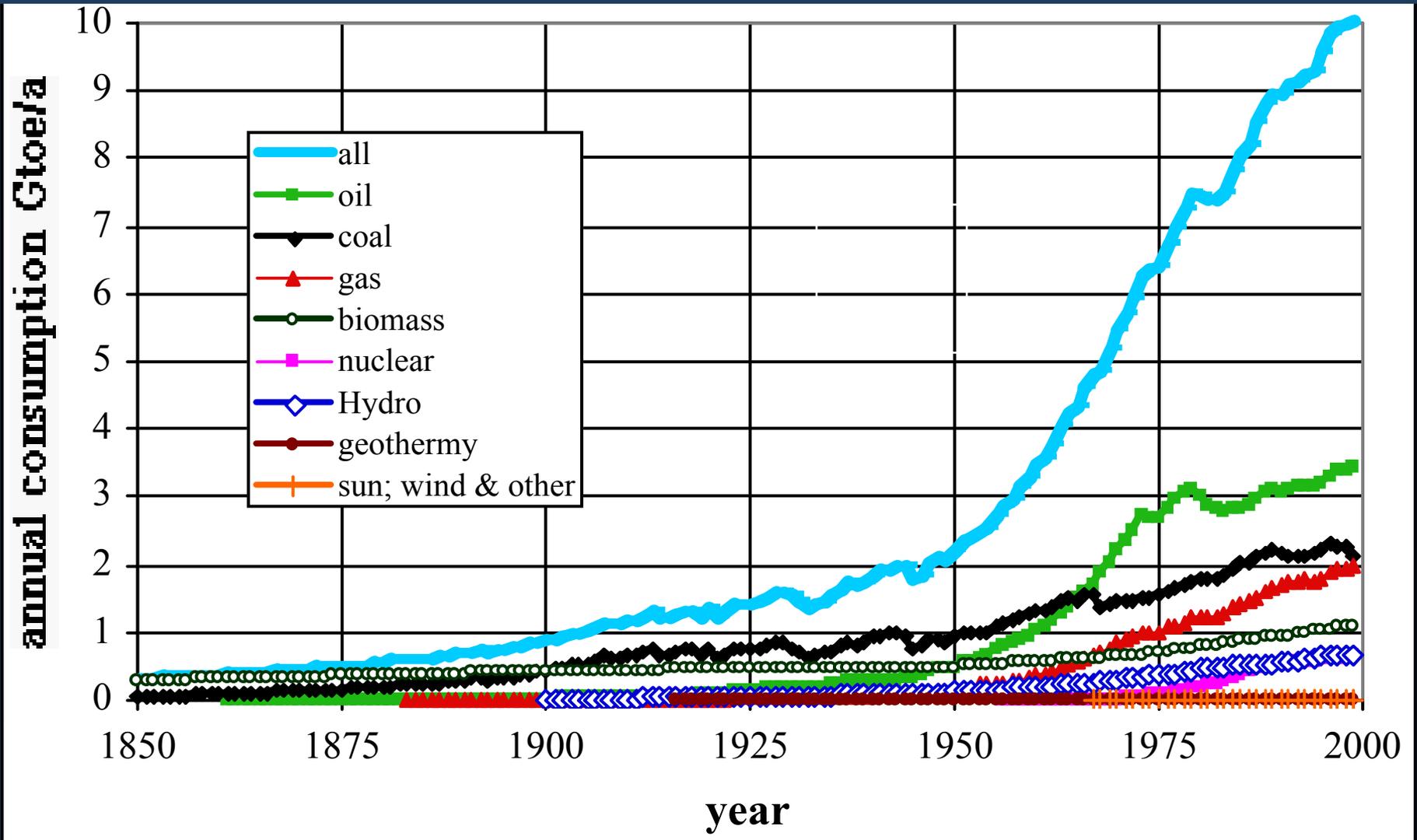
## Carbon Debts and Land Use Changes

- Land with Crop Production Potential, 1990:  $2.45 \times 10^{13} \text{ m}^2$
- Cultivated Land, 1990:  $0.897 \times 10^{13} \text{ m}^2$
- Additional Land needed to support 9 billion people in 2050:  $0.416 \times 10^{13} \text{ m}^2$
- Remaining land available for biomass energy:  $1.28 \times 10^{13} \text{ m}^2$
- At 8.5-15 oven dry tonnes/hectare/year and 20 GJ higher heating value per dry tonne, energy potential is 7-12 TW
- Perhaps 5-7 TW by 2050 through biomass (less  $\text{CO}_2$  displaced)
- Possible/likely that this is water resource limited
- 25% of U.S. corn in 2007 provided 2% of transportation fuel

# Oil Supply Curves



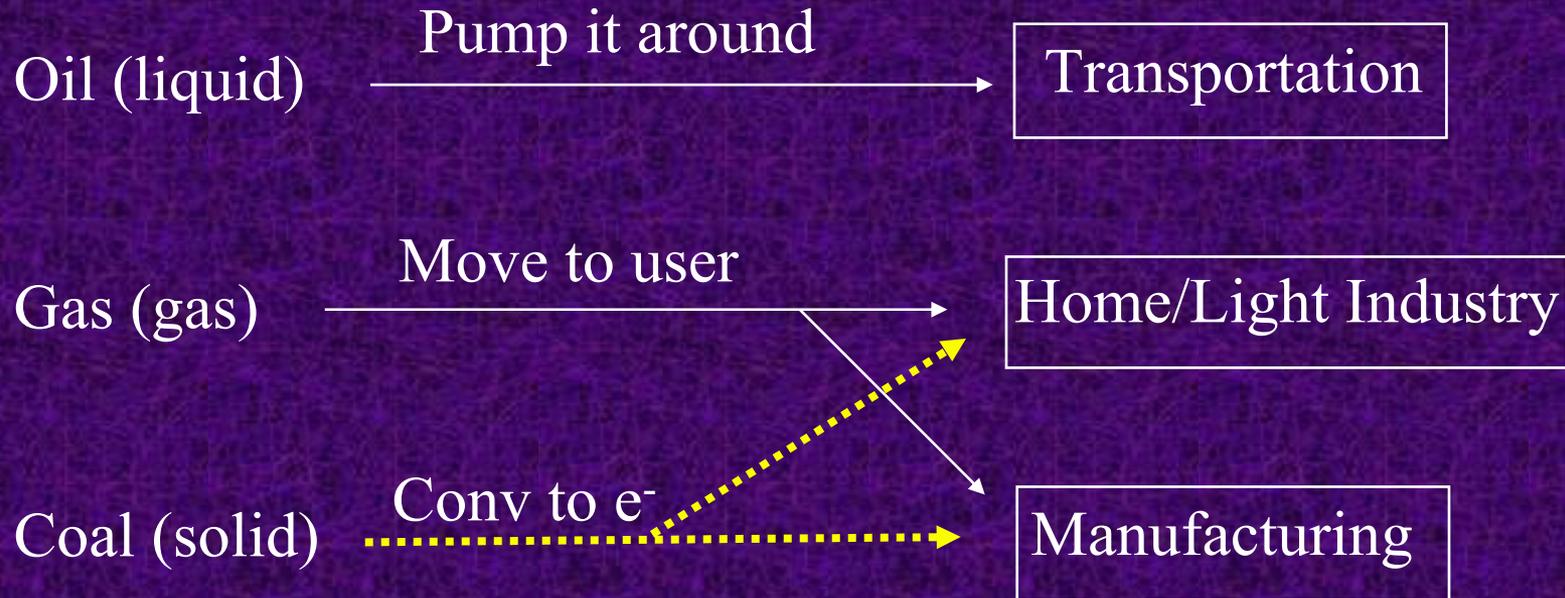
# Global Energy Consumption



# Solar Land Area Requirements

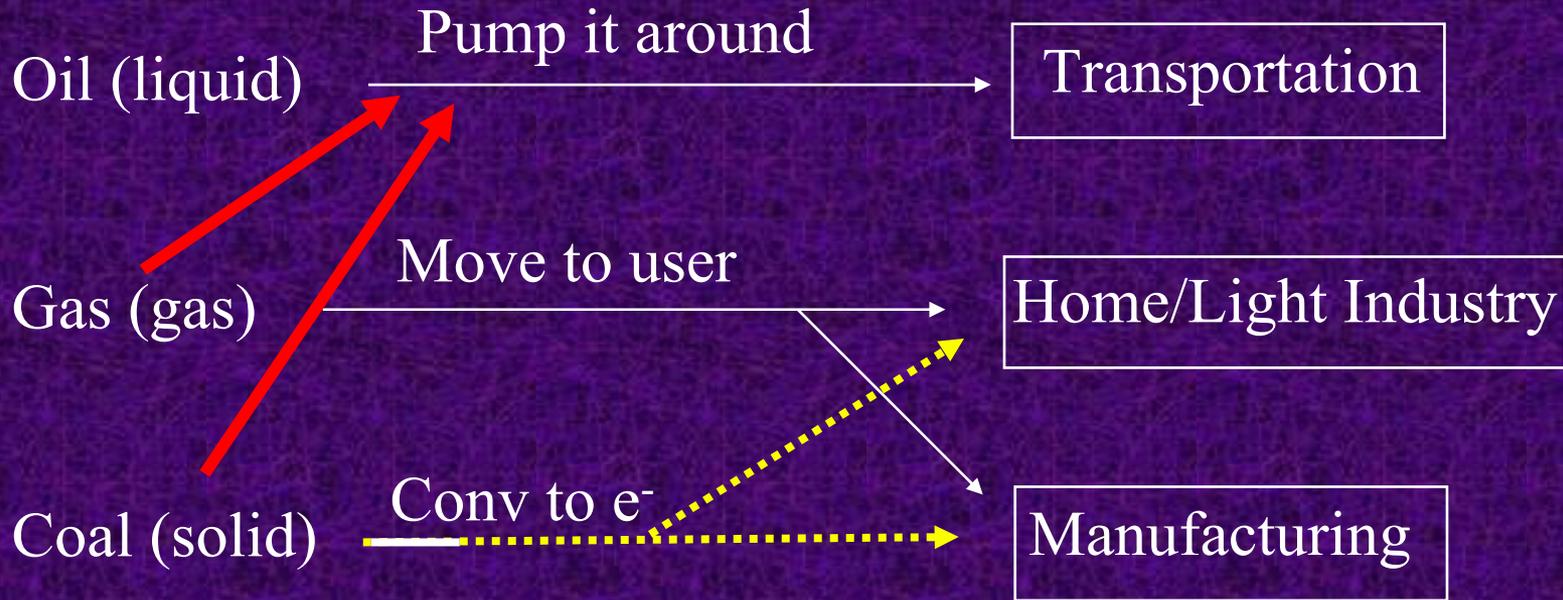
- $1.2 \times 10^5$  TW of solar energy potential globally
- Generating  $2 \times 10^1$  TW with 10% efficient solar farms requires  $2 \times 10^2 / 1.2 \times 10^5 = 0.16\%$  of Globe =  $8 \times 10^{11}$  m<sup>2</sup> (i.e., 8.8 % of U.S.A)
- Generating  $1.2 \times 10^1$  TW (1998 Global Primary Power) requires  $1.2 \times 10^2 / 1.2 \times 10^5 = 0.10\%$  of Globe =  $5 \times 10^{11}$  m<sup>2</sup> (i.e., 5.5% of U.S.A.)

# Matching Supply and Demand



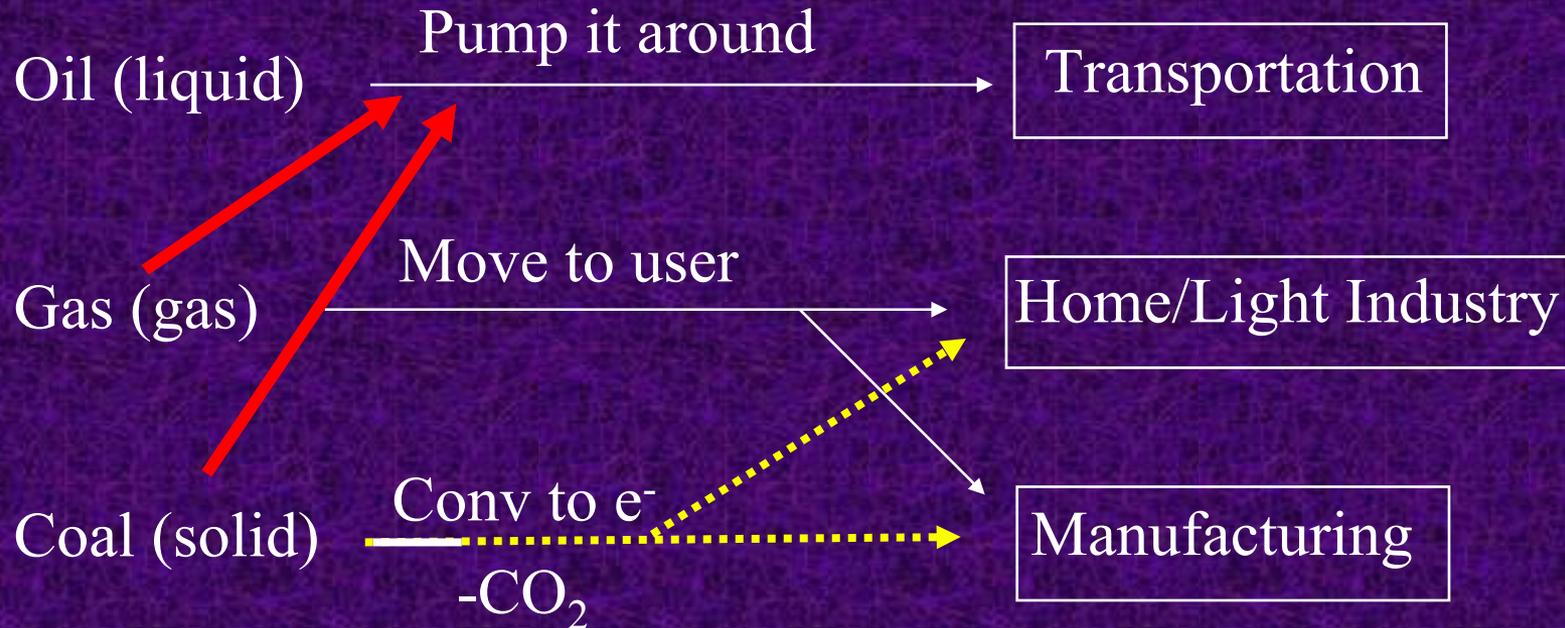
Currently end use well-matched to physical properties of resources

# Matching Supply and Demand



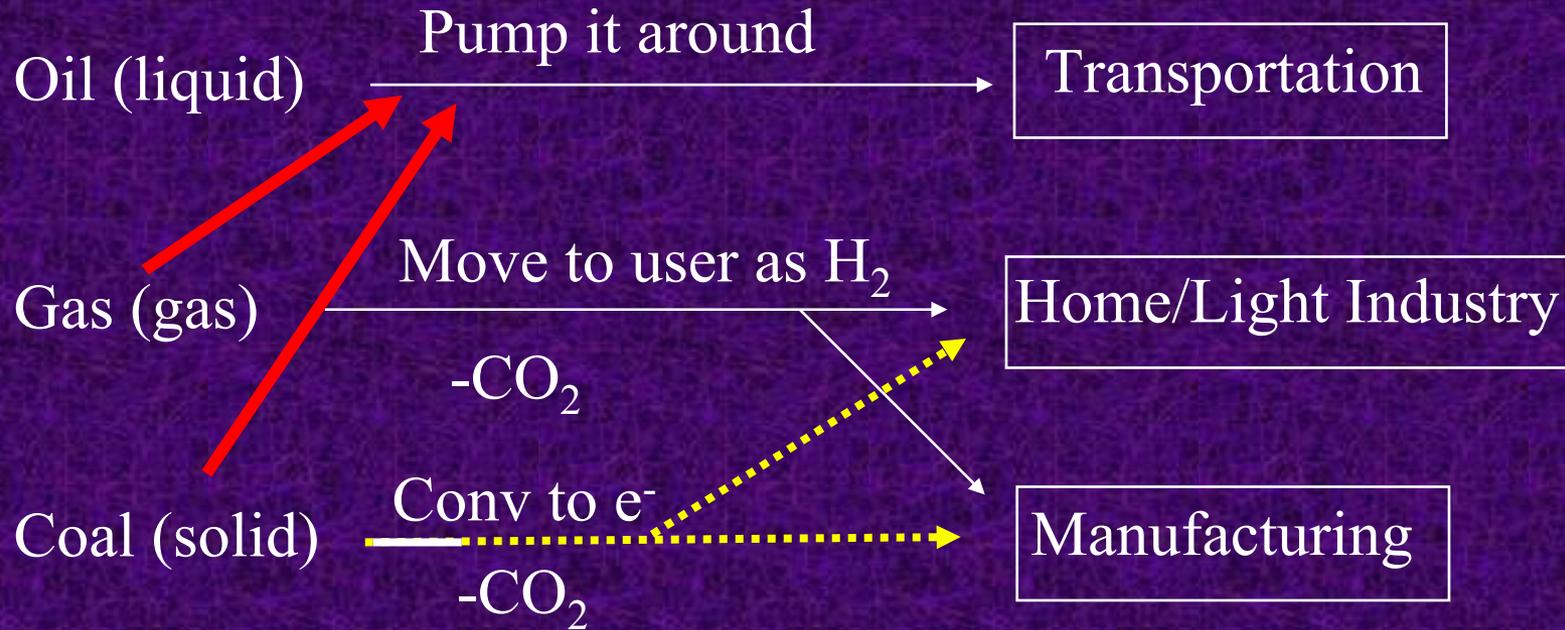
If deplete oil (or national security issue for oil), then liquify gas, coal

# Matching Supply and Demand



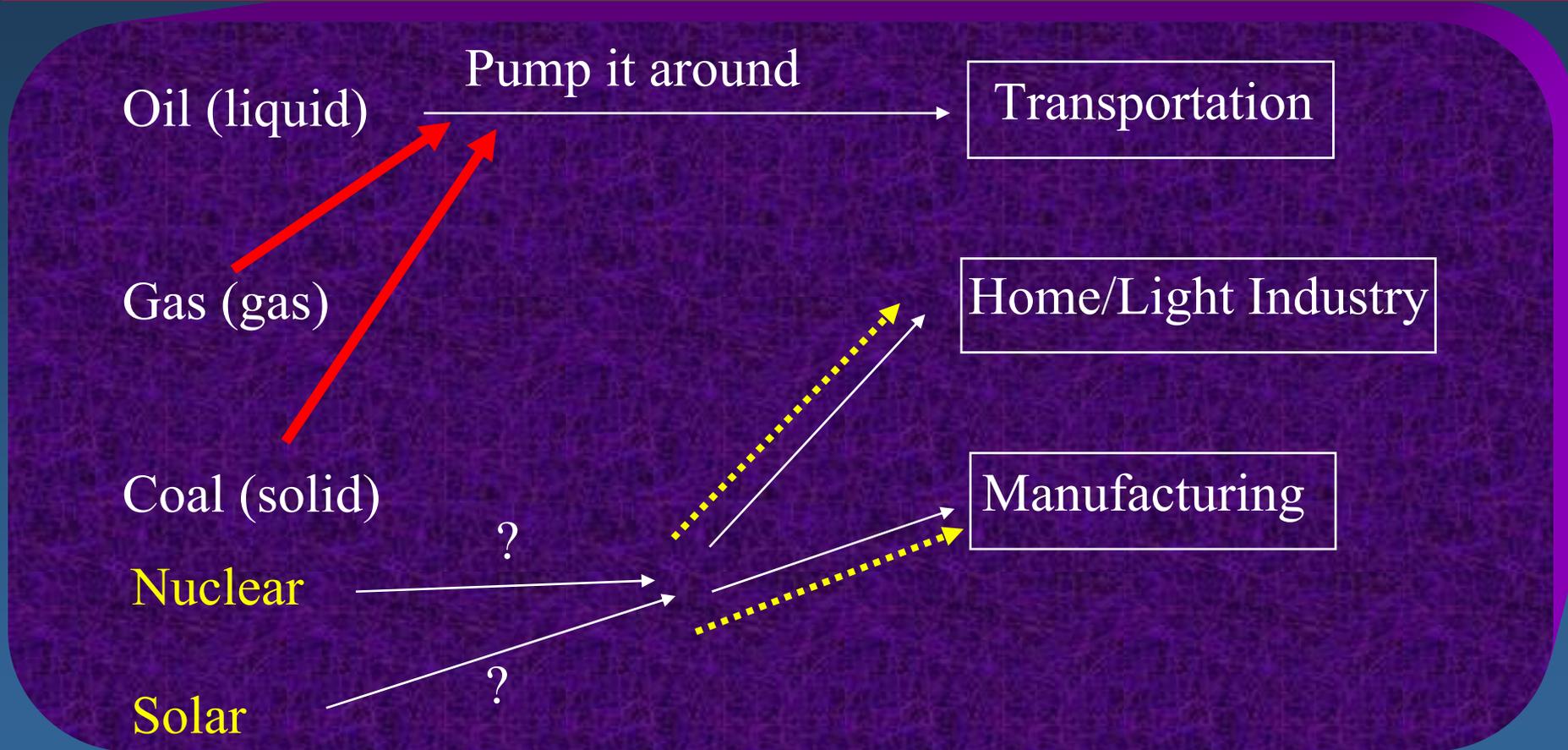
If carbon constraint to 550 ppm *and* sequestration works

# Matching Supply and Demand



If carbon constraint to  $<550$  ppm *and* sequestration works

# Matching Supply and Demand

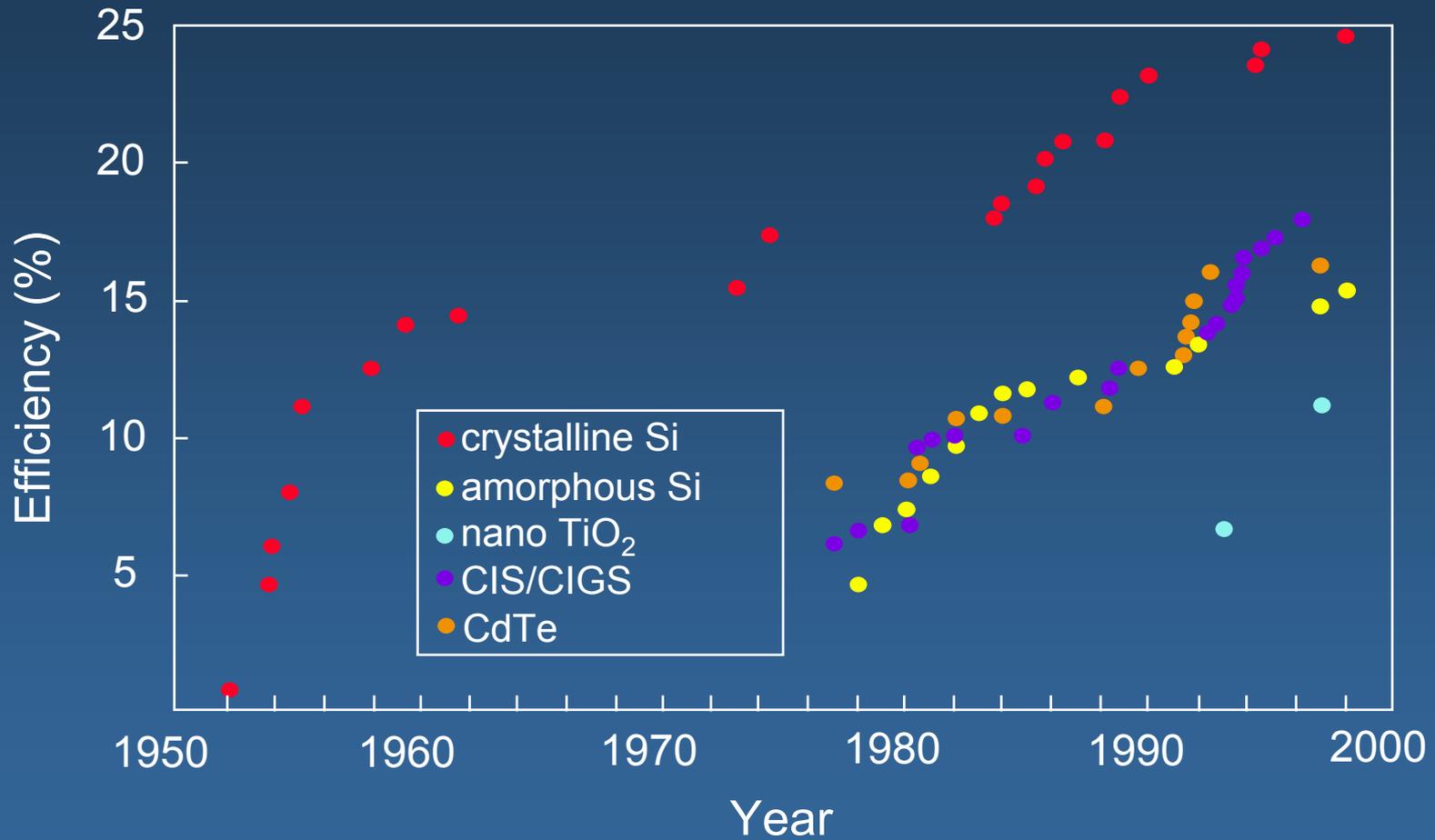


If carbon constraint to 550 ppm *and* sequestration does *not* work

# Solar Electricity, 2001

- Production is Currently Capacity Limited (100 MW mean power output manufactured in 2001)
  - *but*, subsidized industry (Japan biggest market)
- High Growth
  - *but*, off of a small base (0.01% of 1%)
- Cost-favorable/competitive in off-grid installations
  - *but*, cost structures up-front vs amortization of grid-lines disfavorable
- Demands a systems solution: Electricity, heat, storage

# Efficiency of Photovoltaic Devices



Quotes from PCAST, DOE, NAS

The principles are known, but the technology is not

Will our efforts be too little, too late?

Solar in 1 hour > Fossil in one year

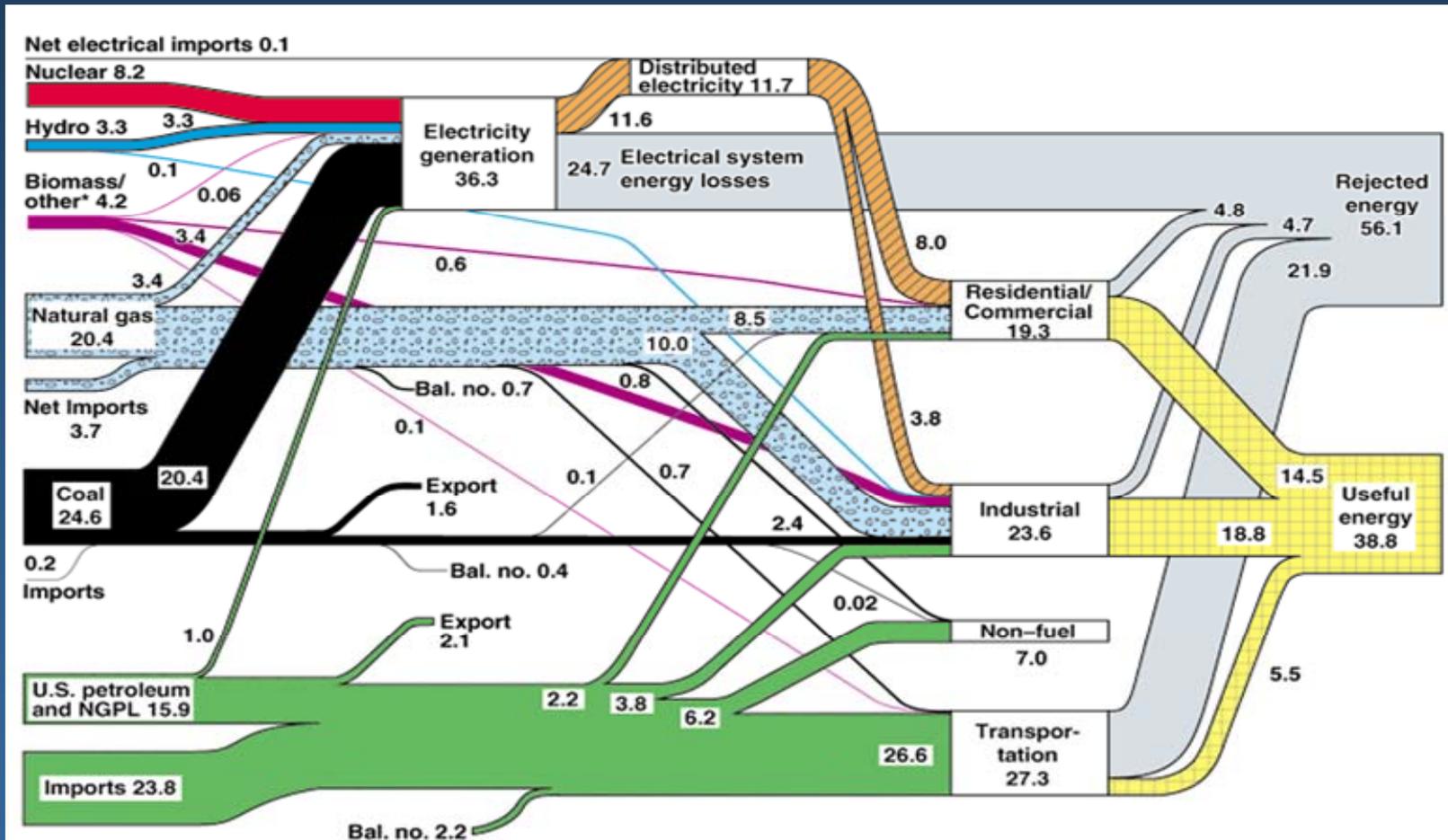
1 hour \$\$\$ gasoline > solar R&D in 6 years

Will we show the commitment to do this?

Is failure an option?

# US Energy Flow -1999

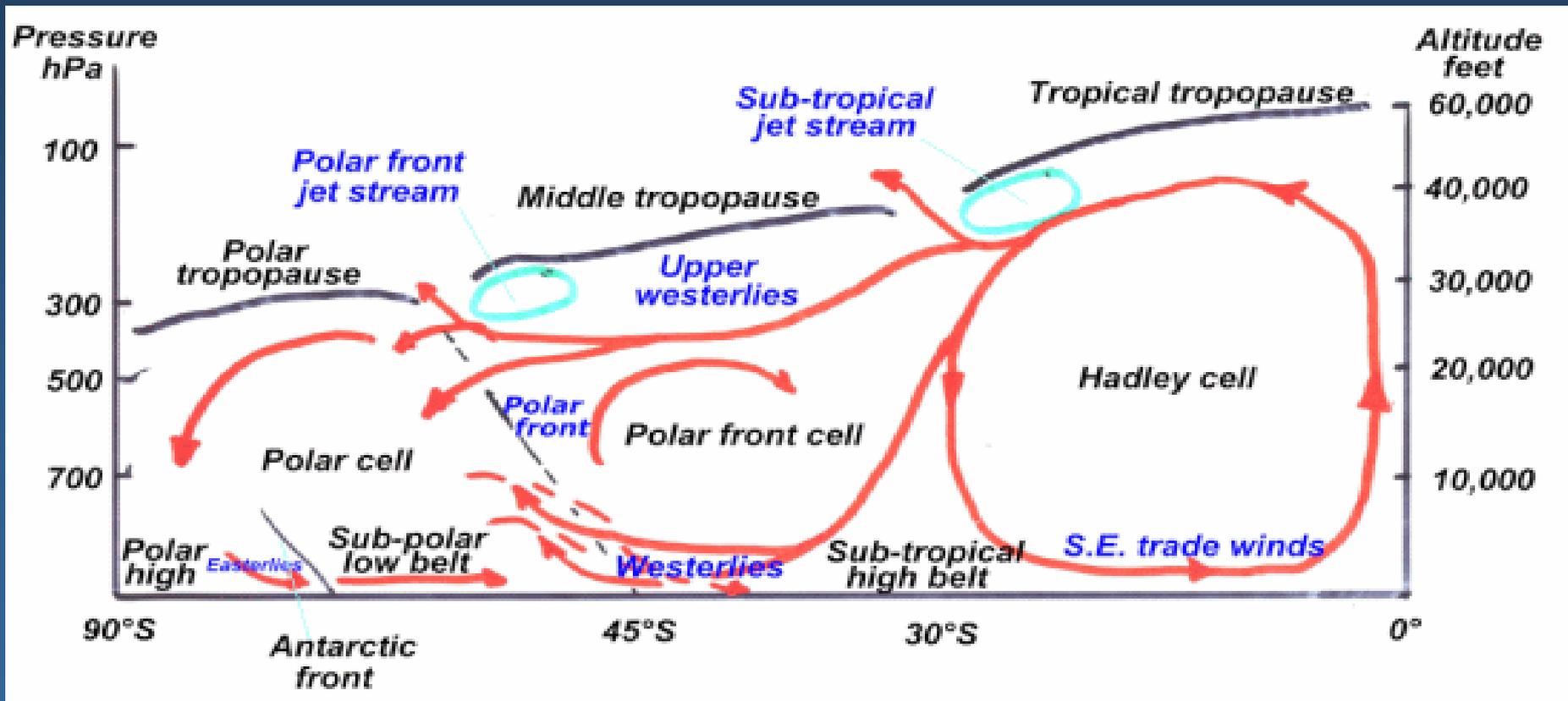
## Net Primary Resource Consumption 102 Exajoules



Source: Production and end-use data from Energy Information Administration, *Annual Energy Review 1999*  
 \*Biomass/other includes wood and waste, geothermal, solar, and wind.

March 2001  
 Lawrence Livermore  
 National Laboratory

# Tropospheric Circulation Cross Section



# Primary vs. Secondary Power

## Transportation Power

- Hybrid Gasoline/Electric
- Hybrid Direct Methanol Fuel Cell/Electric
- Hydrogen Fuel Cell/Electric?

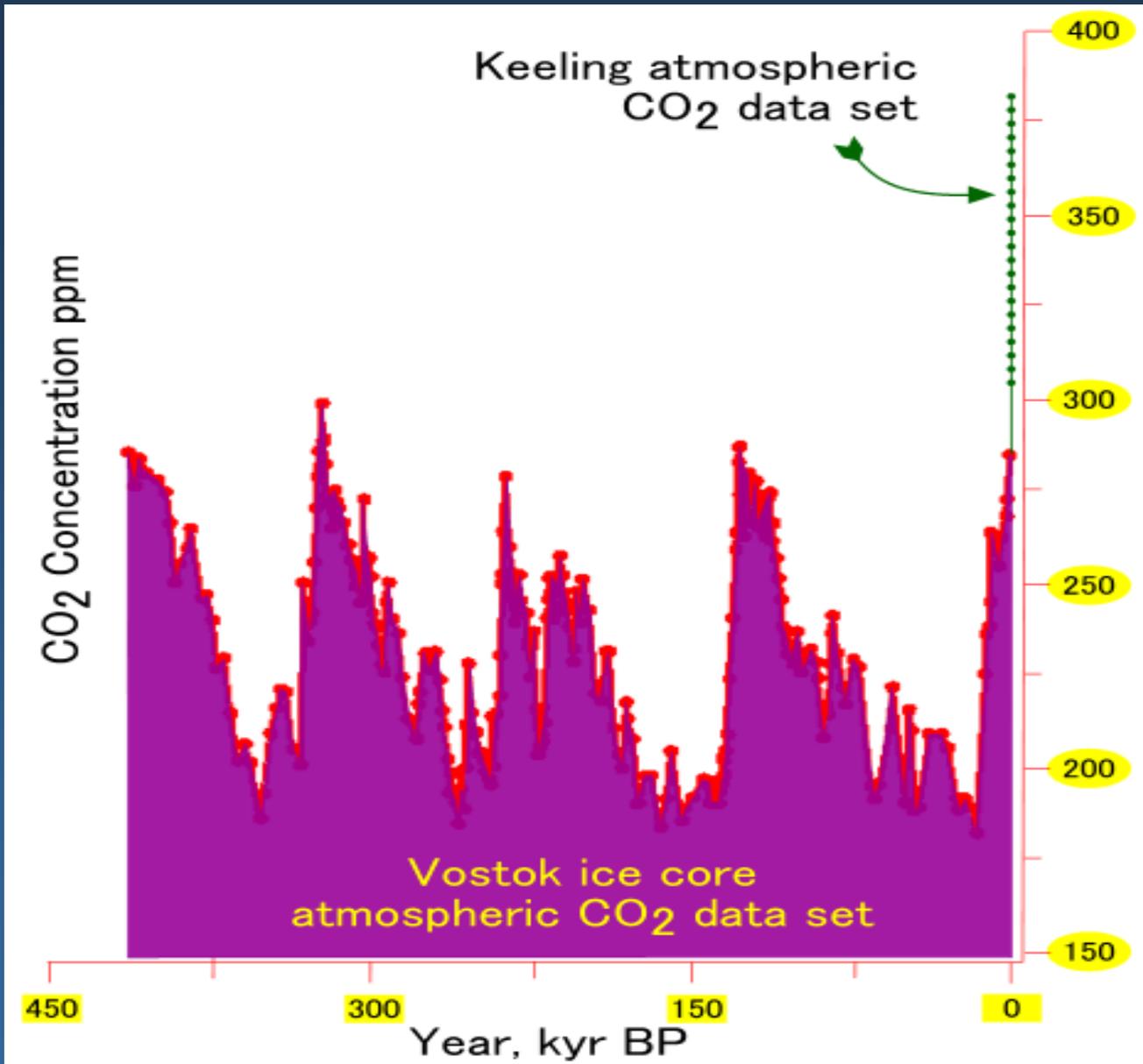
## Primary Power

- Wind, Solar, Nuclear; Bio.
- $\text{CH}_4$  to  $\text{CH}_3\text{OH}$
- “Disruptive” Solar
- $\text{CO}_2 \longrightarrow \text{CH}_3\text{OH} + (1/2) \text{O}_2$
- $\text{H}_2\text{O} \longrightarrow \text{H}_2 + (1/2) \text{O}_2$

# Challenges for the Chemical Sciences

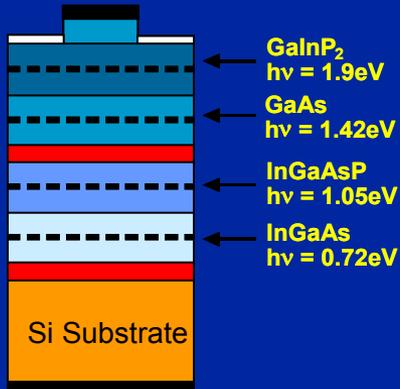
## CHEMICAL TRANSFORMATIONS

- Methane Activation to Methanol:  $\text{CH}_4 + (1/2)\text{O}_2 = \text{CH}_3\text{OH}$
- Direct Methanol Fuel Cell:  $\text{CH}_3\text{OH} + \text{H}_2\text{O} = \text{CO}_2 + 6\text{H}^+ + 6\text{e}^-$
- $\text{CO}_2$  (Photo)reduction to Methanol:  $\text{CO}_2 + 6\text{H}^+ + 6\text{e}^- = \text{CH}_3\text{OH}$
- $\text{H}_2/\text{O}_2$  Fuel Cell:  $\text{H}_2 = 2\text{H}^+ + 2\text{e}^-$ ;  $\text{O}_2 + 4\text{H}^+ + 4\text{e}^- = 2\text{H}_2\text{O}$
- (Photo)chemical Water Splitting:  
 $2\text{H}^+ + 2\text{e}^- = \text{H}_2$ ;  $2\text{H}_2\text{O} = \text{O}_2 + 4\text{H}^+ + 4\text{e}^-$
- Improved Oxygen Cathode;  $\text{O}_2 + 4\text{H}^+ + 4\text{e}^- = 2\text{H}_2\text{O}$

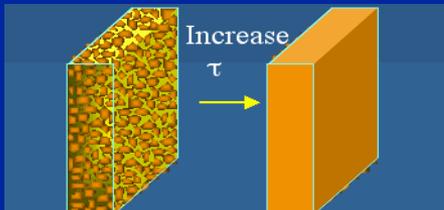


# Powering the Planet

## Solar → Electric

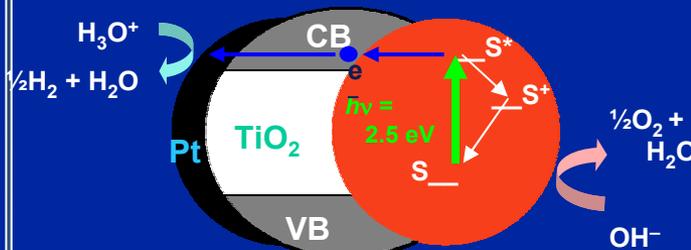


Extreme efficiency  
at moderate cost

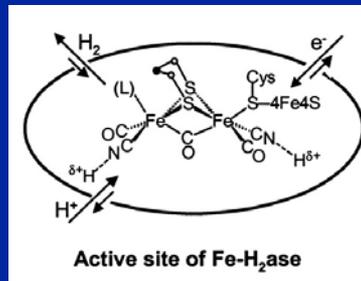


Solar paint: grain  
boundary passivation

## Solar → Chemical

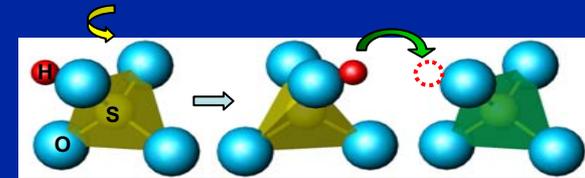


Photoelectrolysis: integrated  
energy conversion and fuel  
generation

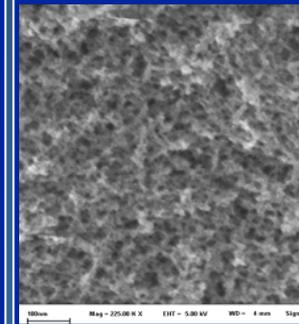


Bio-inspired  
fuel generation

## Chemical → Electric



Inorganic electrolytes:  
bare proton transport



Catalysis:  
ultra high  
surface area,  
nanoporous  
materials

**Synergies: Catalysis, materials  
discovery, materials processing**

# Hydrogen vs Hydrocarbons

---

- By essentially all measures, H<sub>2</sub> is an inferior transportation fuel relative to liquid hydrocarbons
- So, why?
- **Local air quality**: 90% of the benefits can be obtained from clean diesel without a gross change in distribution and end-use infrastructure; no compelling need for H<sub>2</sub>
- **Large scale CO<sub>2</sub> sequestration**: Must distribute either electrons or protons; compels H<sub>2</sub> be the distributed fuel-based energy carrier
- **Renewable (sustainable) power**: no compelling need for H<sub>2</sub> to end user, e.g.:  $\text{CO}_2 + \text{H}_2 \rightarrow \text{CH}_3\text{OH} \rightarrow \text{DME} \rightarrow \text{other liquids}$

# Observations of Climate Change

---

Evaporation & rainfall are increasing;

- More of the rainfall is occurring in downpours
- Corals are bleaching
- Glaciers are retreating
- Sea ice is shrinking
- Sea level is rising
- Wildfires are increasing
- Storm & flood damages are much larger

# Solar Thermal, 2001

- Roughly equal global energy use in each major sector: transportation, residential, transformation, industrial
- World market: 1.6 TW space heating; 0.3 TW hot water; 1.3 TW process heat (solar crop drying:  $\approx 0.05$  TW)
- Temporal mismatch between source and demand requires storage
- ( $\Delta S$ ) yields high heat production costs: (\$0.03-\$0.20)/kW-hr
- High-T solar thermal: currently lowest cost solar electric source (\$0.12-0.18/kW-hr); potential to be competitive with fossil energy in long term, but needs large areas in sunbelt
- Solar-to-electric efficiency 18-20% (research in thermochemical fuels: hydrogen, syn gas, metals)

# Solar Land Area Requirements

- U.S. Land Area:  $9.1 \times 10^{12} \text{ m}^2$  (incl. Alaska)
- Average Insolation:  $200 \text{ W/m}^2$
- 2000 U.S. Primary Power Consumption: 99 Quads =  $3.3 \text{ TW}$
- 1999 U.S. Electricity Consumption =  $0.4 \text{ TW}$
- Hence:  
$$3.3 \times 10^{12} \text{ W} / (2 \times 10^2 \text{ W/m}^2 \times 10\% \text{ Efficiency}) = 1.6 \times 10^{11} \text{ m}^2$$
  
Requires  $1.6 \times 10^{11} \text{ m}^2 / 9.1 \times 10^{12} \text{ m}^2 = 1.7\%$  of Land

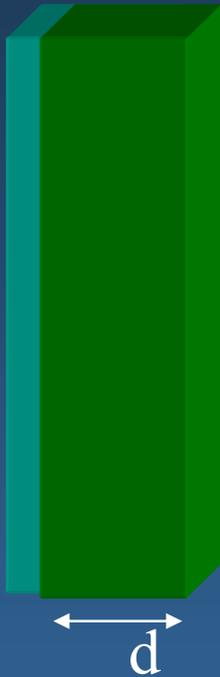
# U.S. Single Family Housing Roof Area

---

- $7 \times 10^7$  detached single family homes in U.S.  
 $\approx 2000$  sq ft/roof =  $44\text{ft} \times 44\text{ft} = 13\text{ m} \times 13\text{ m} = 180\text{ m}^2/\text{home}$   
 $= 1.2 \times 10^{10}\text{ m}^2$  total roof area
- Hence can (only) supply 0.25 TW, or  $\approx 1/10^{\text{th}}$  of 2000 U.S. Primary Energy Consumption

# Cost vs. Efficiency Tradeoff

Ordered  
Crystalline  
Solids



Long  $d$   
High  $\tau$   
High Cost

$$\text{Efficiency} \propto \tau^{1/2}$$



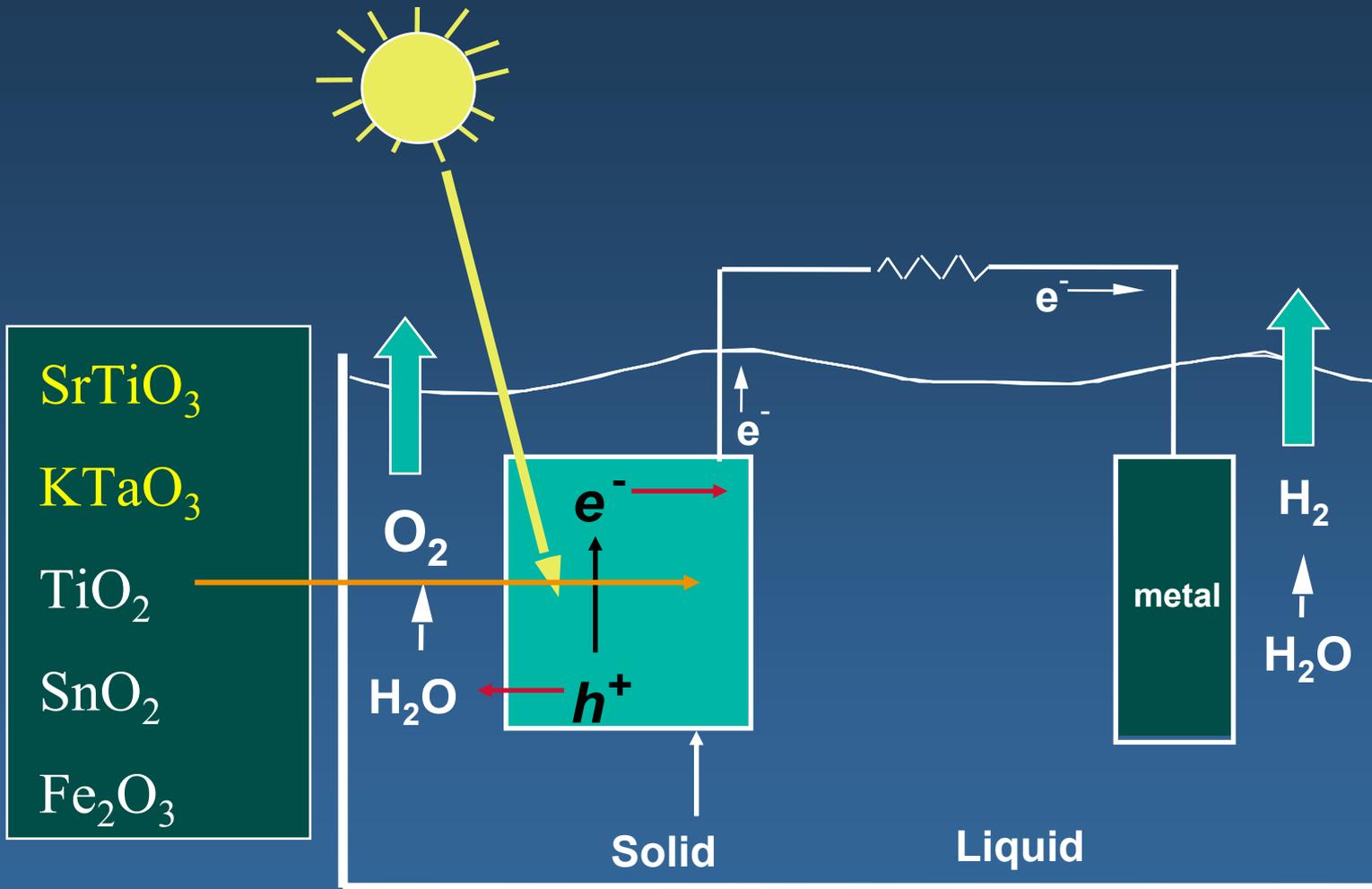
Disordered  
Organic  
Films



$d$   
Long  $d$   
Low  $\tau$   
Lower Cost

$\tau$  decreases as material (and cost) decreases

# Photoelectrochemical Cell



*Light is Converted to Electrical+Chemical Energy*

# Potential of Renewable Energy

---

- Hydroelectric
- Geothermal
- Ocean/Tides
- Wind
- Biomass
- Solar

# Hydroelectric Energy Potential

## Globally

- Gross theoretical potential 4.6 TW
  - Technically feasible potential 1.5 TW
  - Economically feasible potential 0.9 TW
  - Installed capacity in 1997 0.6 TW
  - Production in 1997 0.3 TW
- (can get to 80% capacity in some cases)

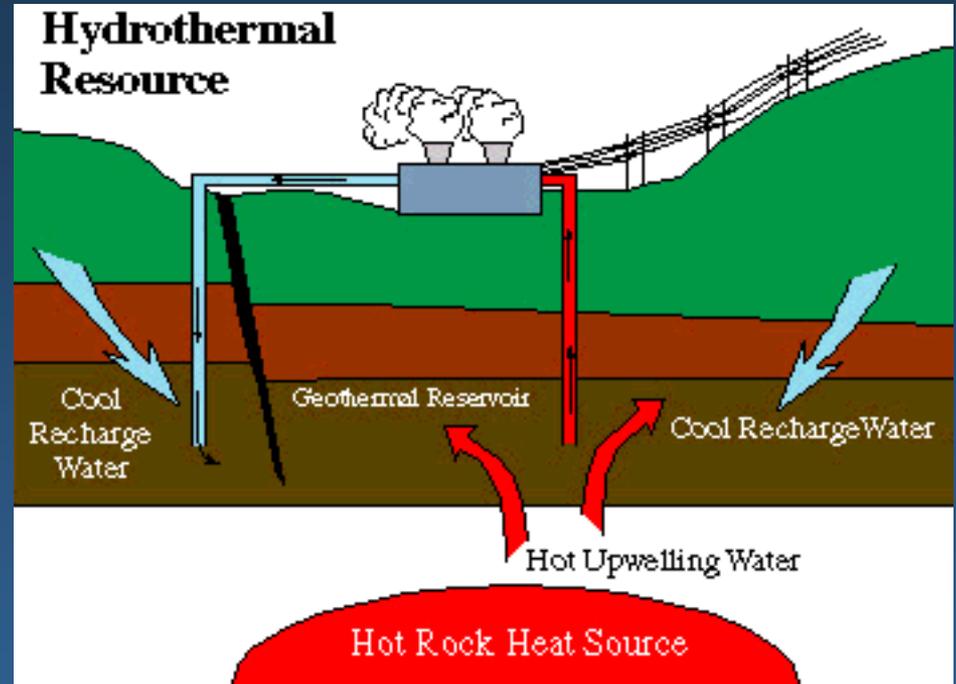
*Source: WEA 2000*



# Geothermal Energy

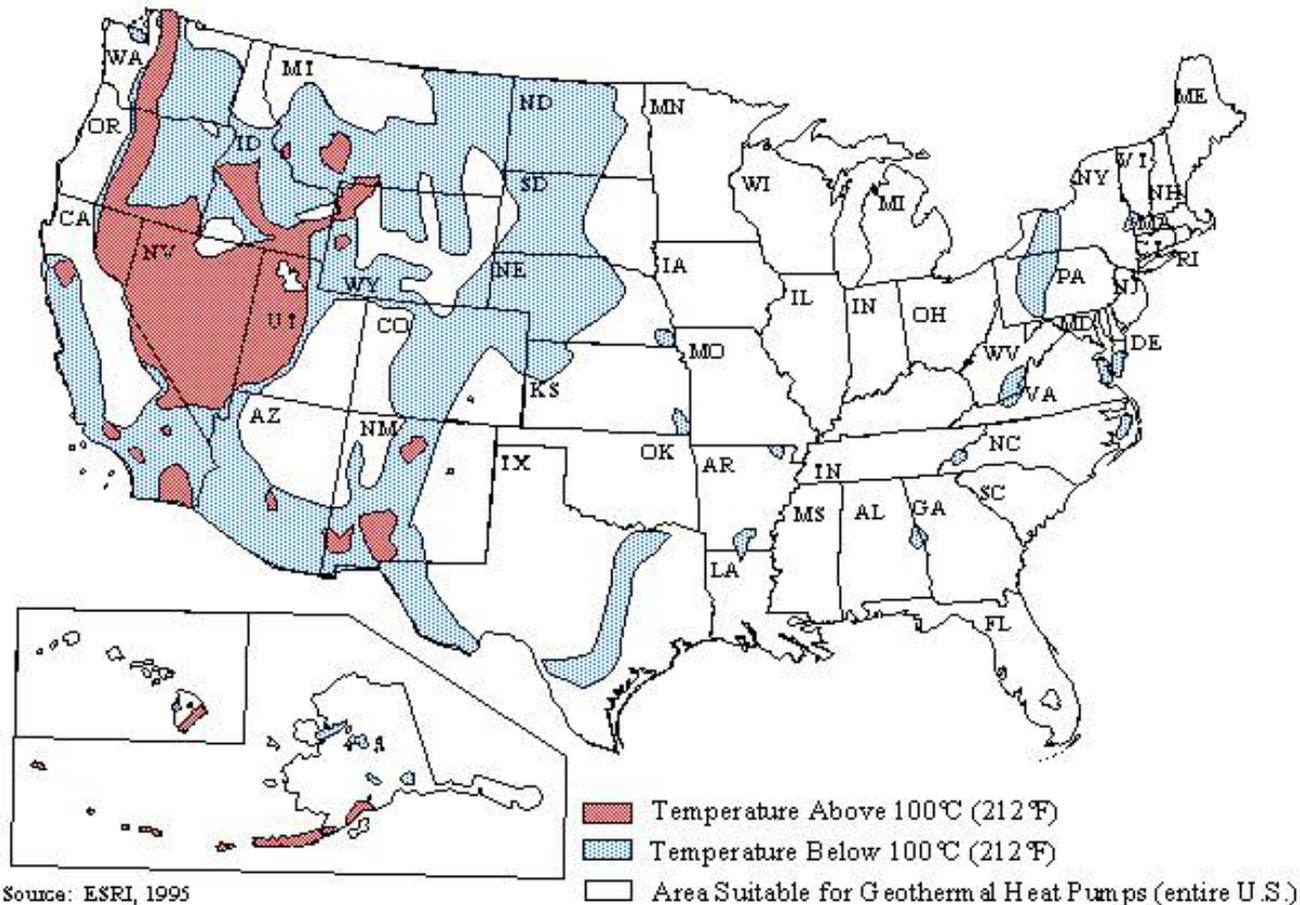


1.3 GW capacity in 1985



Hydrothermal systems  
Hot dry rock (igneous systems)  
Normal geothermal heat (200 C at 10 km depth)

# Geothermal Energy Potential



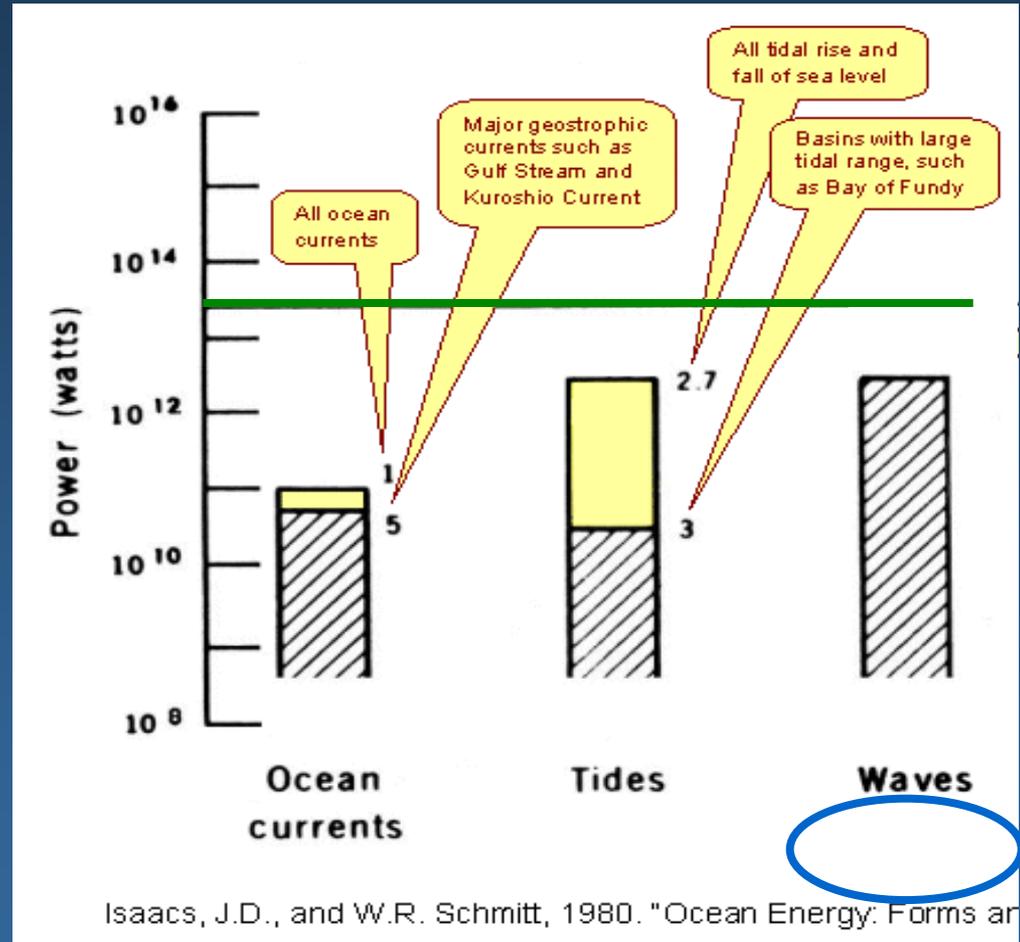
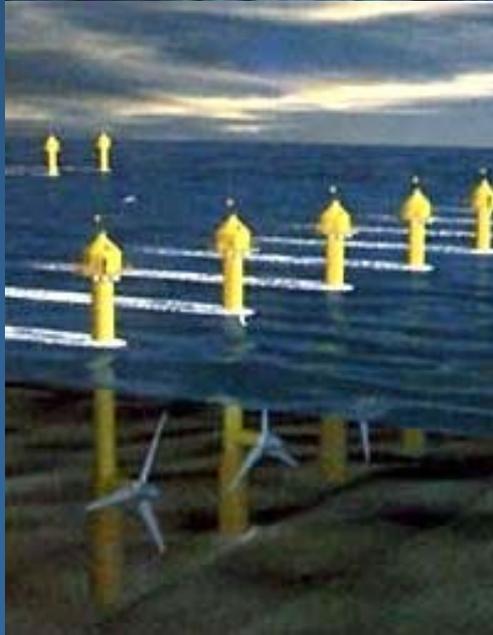
# Geothermal Energy Potential

---

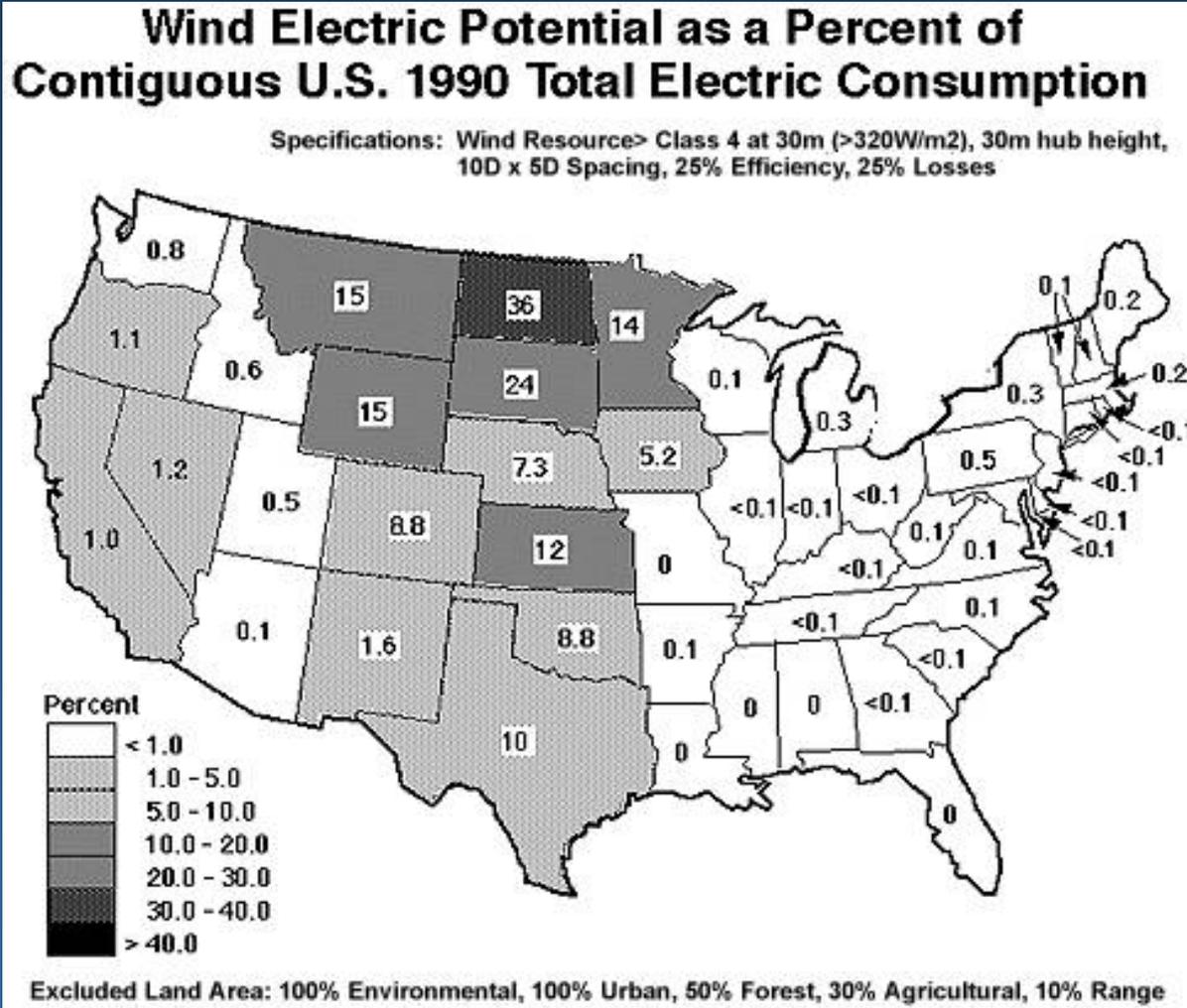
- Mean terrestrial geothermal flux at earth's surface      0.057 W/m<sup>2</sup>
- Total continental geothermal energy potential              11.6 TW
- Oceanic geothermal energy potential                              30 TW

- Wells “run out of steam” in 5 years
- Power from a good geothermal well (pair)                      5 MW
- Power from typical Saudi oil well                                  500 MW
- Needs drilling technology breakthrough  
(from exponential \$/m to linear \$/m) to become economical)

# Ocean Energy Potential



# Electric Potential of Wind



In 1999, U.S consumed  
3.45 trillion kW-hr of  
Electricity =  
0.39 TW



<http://www.nrel.gov/wind/potential.html>

# Global Potential of Terrestrial Wind

- **Top-down:**

Downward kinetic energy flux:  $2 \text{ W/m}^2$

Total land area:  $1.5 \times 10^{14} \text{ m}^2$

Hence total available energy = 300 TW

Extract <10%, 30% of land, 30% generation efficiency:

**2-4 TW** electrical generation potential

- **Bottom-Up:**

**Theoretical:** 27% of earth's land surface is class 3 (250-300  $\text{W/m}^2$  at 50 m) or greater

If use entire area, electricity generation potential of 50 TW

**Practical:** **2 TW** electrical generation potential (4% utilization of  $\geq$ class 3 land area, IPCC 2001)

Off-shore potential is larger but must be close to grid to be interesting; (no installation  $> 20 \text{ km}$  offshore now)

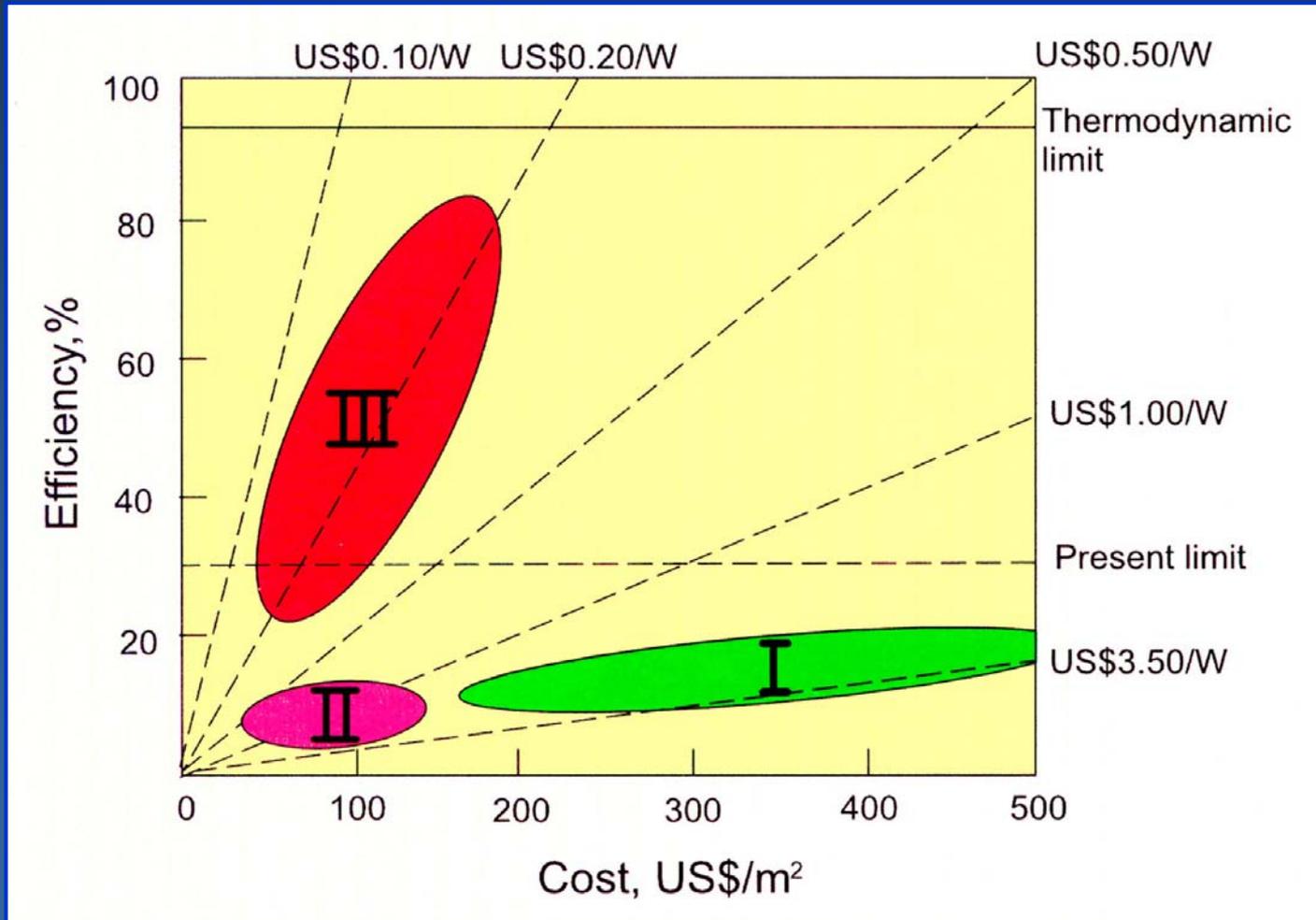
# Biomass Energy Potential

## Global: Top Down

- Requires Large Areas Because Inefficient (0.3%)
- 3 TW requires  $\approx 600$  million hectares =  $6 \times 10^{12}$  m<sup>2</sup>
- 20 TW requires  $\approx 4 \times 10^{13}$  m<sup>2</sup>
- Total land area of earth:  $1.3 \times 10^{14}$  m<sup>2</sup>
- Hence requires  $4/13 = 31\%$  of total land area



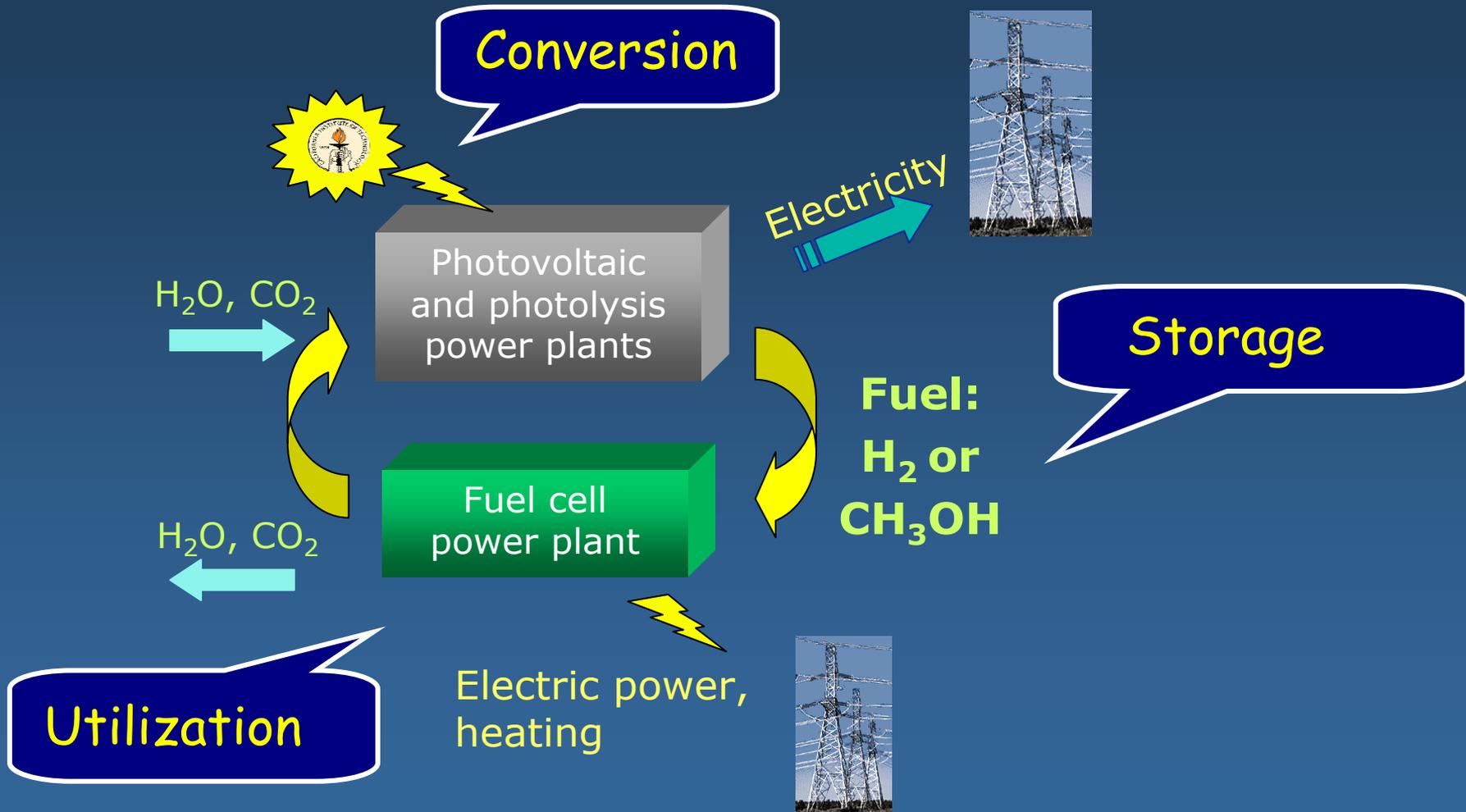
# Cost/Efficiency of “Solar Farms”



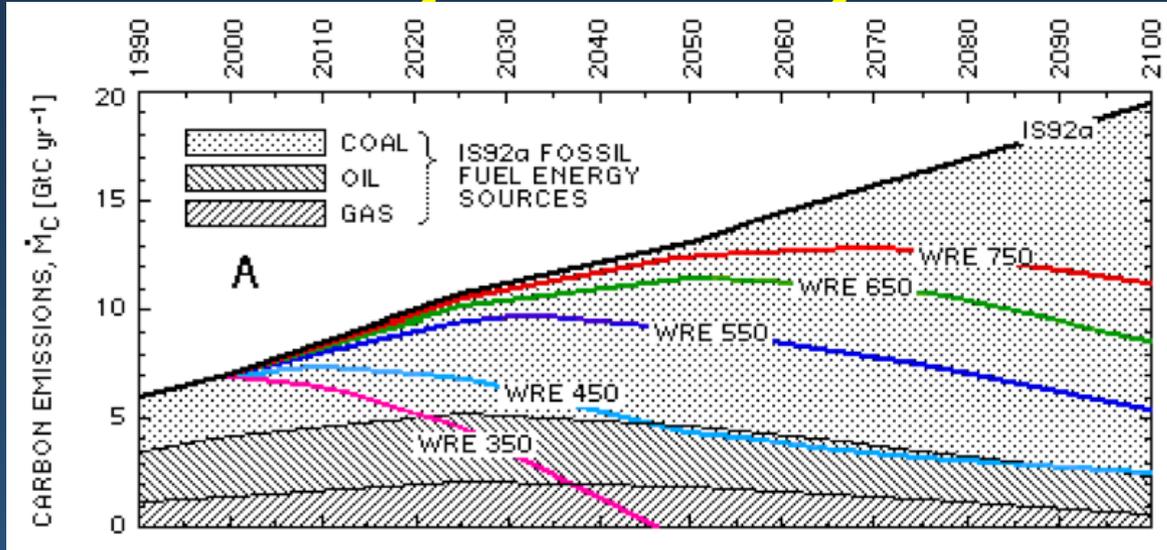
Costs are modules per peak W; installed is \$5-10/W; \$0.35-\$1.5/kW-hr



# The Vision



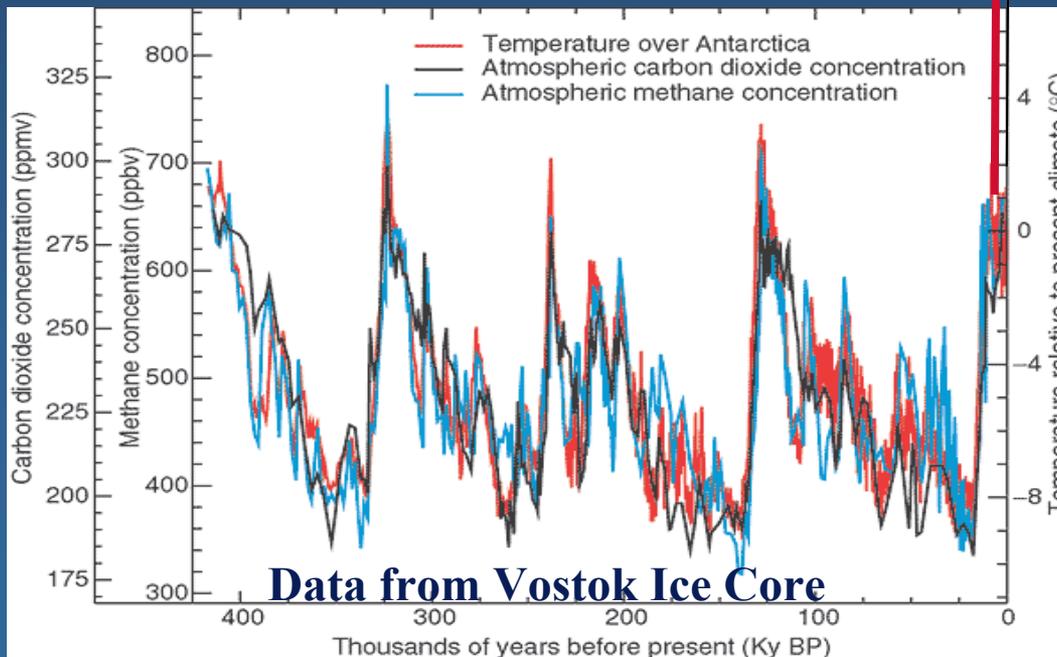
# CO<sub>2</sub> Emissions vs CO<sub>2</sub>(atm)



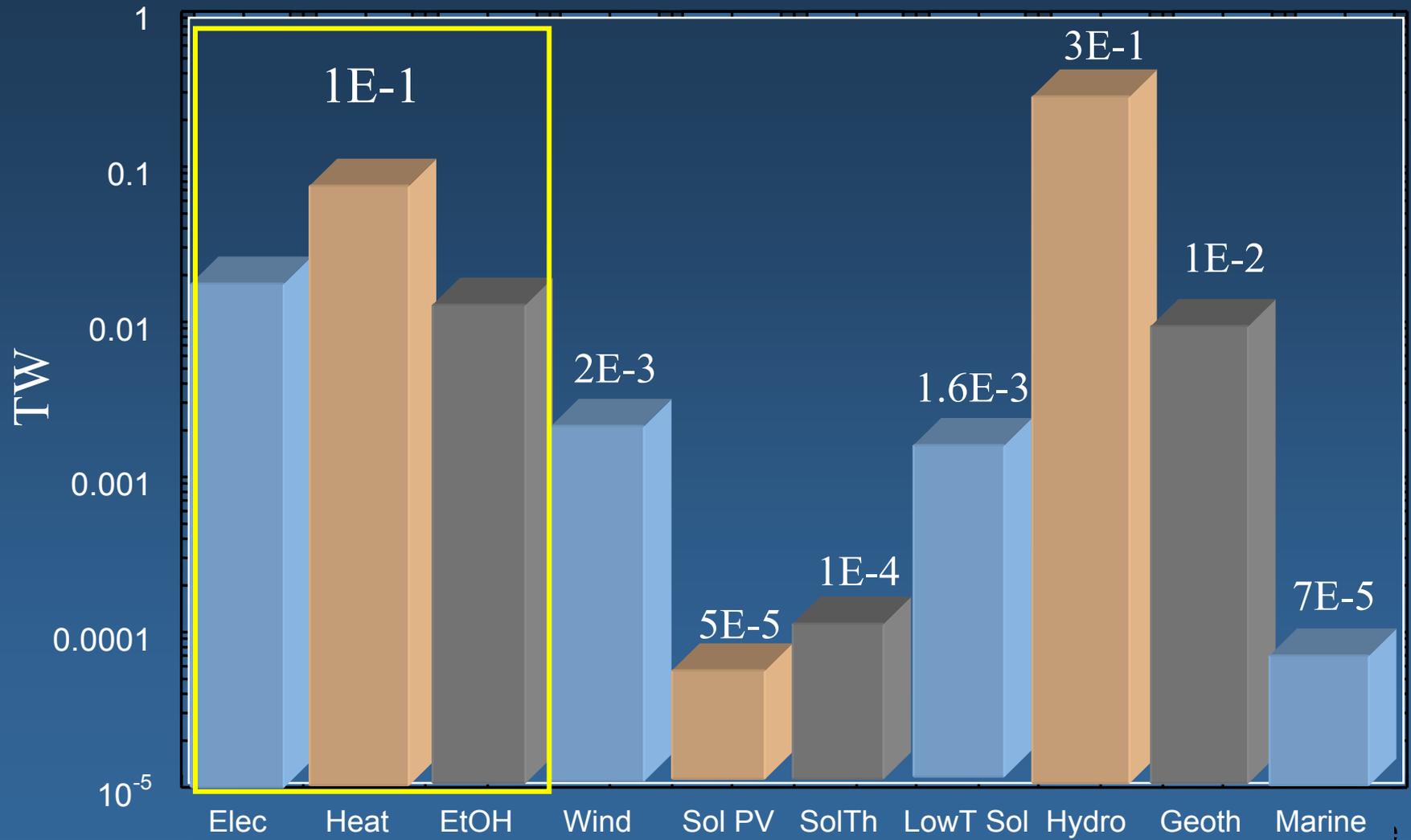
500 ppmv

400 ppmv

382 ppmv



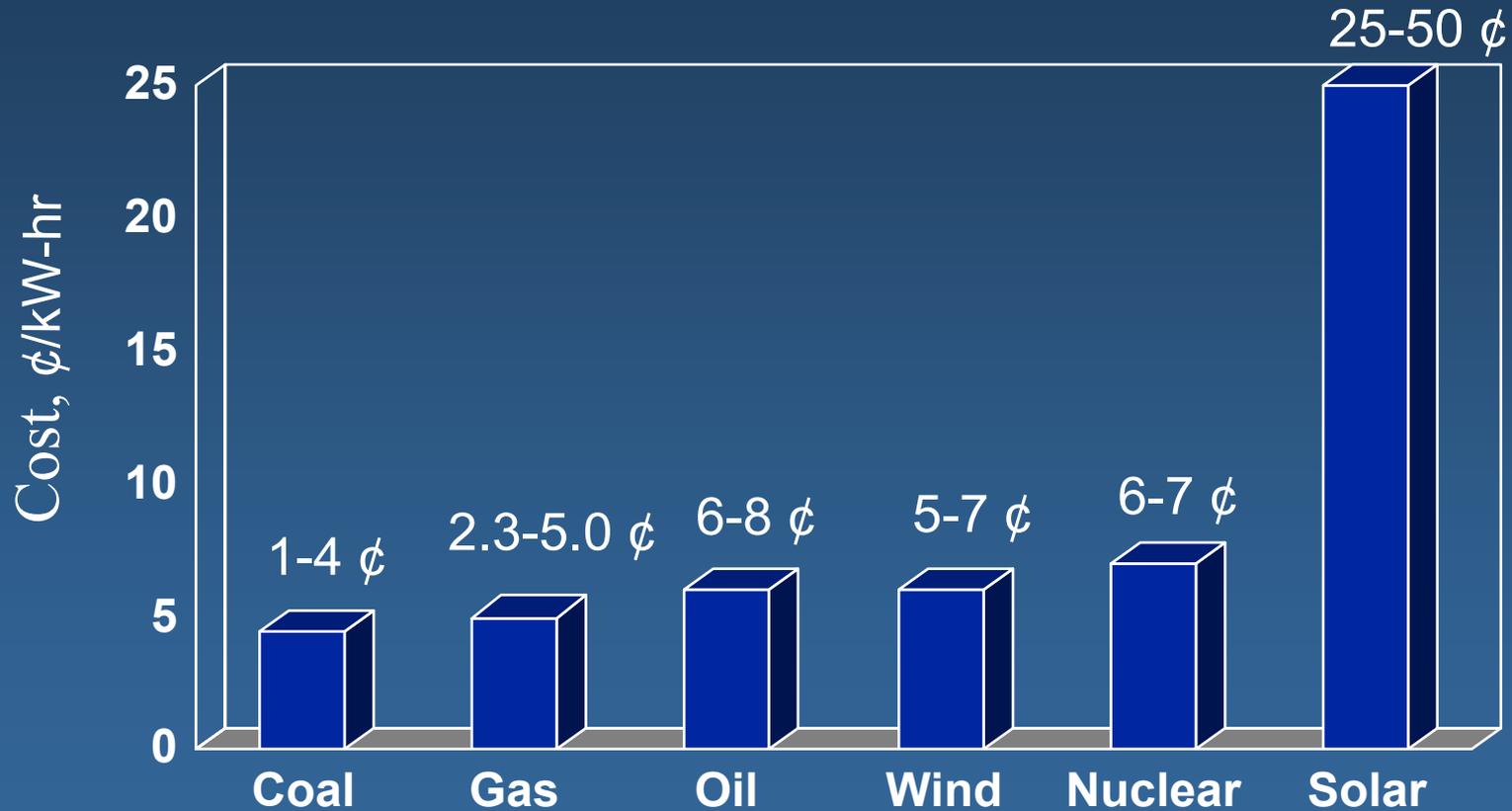
# Energy From Renewables, 1998



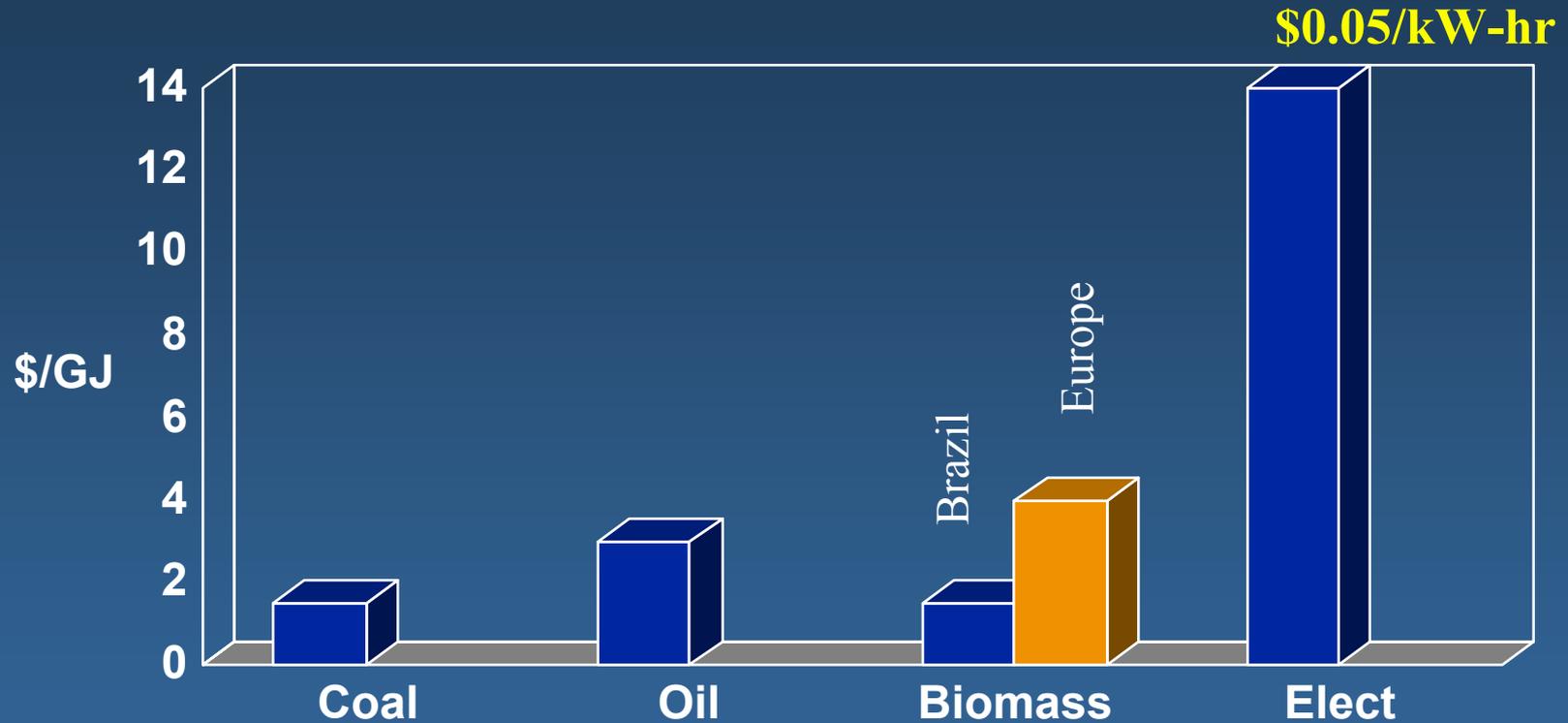
Biomass

# Today: Production Cost of Electricity

(in the U.S. in 2002)



# Energy Costs



[www.undp.org/seed/eap/activities/wea](http://www.undp.org/seed/eap/activities/wea)

# Conclusions

---

- Abundant, Inexpensive Resource Base of Fossil Fuels
- Renewables will not play a large role in primary power generation unless/until:
  - technological/cost breakthroughs are achieved, or
  - unpriced externalities are introduced (e.g., environmentally -driven carbon taxes)

# Argentina

# Portage Lake/Glacier



Upsala Glacier

You can observe a lot  
by watching...

# Lewis' Conclusions

---

- If we need such large amounts of carbon-free power, then:
  - current pricing is not the driver for year 2050 primary energy supply
- Hence,
  - Examine energy potential of various forms of renewable energy
  - Examine technologies and costs of various renewables
  - Examine impact on secondary power infrastructure and energy utilization

# Oil Supply Curves

